



Functional Groups: Characteristics and Interconversions

G. Denis Meakins

$$R-C \longrightarrow R-C \longrightarrow Y \longrightarrow R-C \longrightarrow Nu$$

$$Nu$$

$$Nu$$

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Series Editors Foreword

Functional group chemistry comprises a central part to modern organic chemistry courses. It provides the basic information necessary to understand elementary mechanisms and to design organic synthesis strategies to simple targets.

Oxford Chemistry Primers have been designed to provide concise introductions relevant to all students of chemistry and contain only the essential material that would normally be covered in an 8–10 lecture course. In this primer Denis Meakins presents a systematic and student-friendly introduction to functional group chemistry that will give students a firm grounding in basic reactions and reactivity at the beginning of their chemistry courses. This primer will be of interest to apprentice and master chemist alike.

May 1996

Stephen G. Davies The Dyson Perrins Laboratory, University of Oxford

Preface

The characteristic properties of functional groups and the methods for interconverting them are the foundations of organic chemistry; a sound grasp of these topics is essential for the aspiring chemist's journey to the higher levels of the subject.

It is probably in the field of synthesis that the importance of functional group interconversion shows up most clearly. The approaches to new compounds (required, perhaps, for their physiological activity) involve many stages in which a precursor's functional group must be transformed into the one required for the next stage. To effect such changes efficiently is the hallmark of a successful synthesis.

All the information about functional groups is already in many excellent books and the need for a Primer may, therefore, be questioned. The modern text-books are long (ca. 1000 pages); they contain the functional group material and a great deal more! A student wanting information on say, ketones is faced with twenty or more page references, and to wade through these without guidance is a daunting or even an overwhelming task. The object here is to anticipate this difficulty by presenting the chemistry of the groups in a *concise* and *systematic* form.

To deal with functional groups in so short a compass as the present one necessitates difficult decisions about what must be included and what may be omitted, even though reluctantly. The present selection has been formed by experience in giving the first year lecture course; I am grateful to the undergraduates for many helpful comments and particularly to the Keble chemists with whom I enjoyed a rewarding relationship as their Tutor for many years.

A colleague of long standing, Dr A. S. Bailey (Emeritus Fellow of St Peter's College), kindly read the first draft, spotted mistakes and made many helpful suggestions. I am grateful for his encouragement and generous support.

Oxford May 1996 G. D. M.

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1 The approach, and background topics

1.1 Introduction

Although most of the material is for study in the first year at university, some of it belongs more appropriately to later years. Topics regarded as beyond the first year are clearly identified in the text by the superscript. These will be covered in the second year, and it is hoped that the book as a whole will be useful for quick reference and revision at all stages. Some pages are shorter than the standard length; these end, irrespective of their length, at natural breaks between topics.

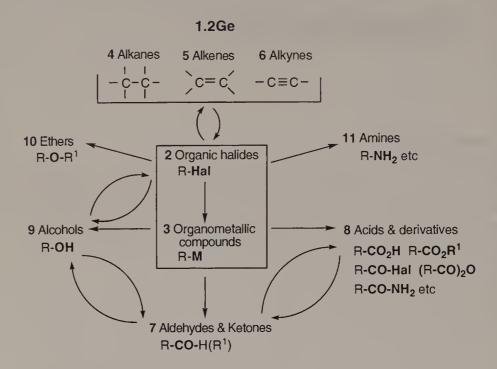
The aim is to cover the characteristic properties of functional groups and the methods for interconverting them in a *concise systematic* form. Only those properties and reactions regarded as general and important are included. A *concise* treatment requires a very economical presentation. The form adopted consists of schemes and diagrams, very short sentences and (in many places) a 'note form' of key phrases. Grammatical correctness and style have thus been subordinated to brevity and clarity. The central feature of the attempted *systematic* treatment is the simple reference system described a little later.

Aliphatic compounds (those not containing rings of atoms, open-chain compounds) are the main subject but cyclic compounds (those containing a ring) are often used to give a clearer illustration of a point. A few aromatic compounds are included to illustrate similar behaviour of aliphatic and aromatic compounds arising from a common structural feature.

The rest of this chapter is concerned with the terms, representations and abbreviations which are to be used, and with some fundamental topics (e.g. electronic effects) involved in studying the functional groups. Although it is not necessary for us to delve too deeply into organic theory a knowledge of the main features is required. Most students will already have some familiarity with the material; helpful accounts, pitched deliberately at an elementary level, are given in another Primer (M. G. Hornby and J. M. Peach, 'Foundations of Organic Chemistry', abbreviated here to 'Foundations'). In most departments the study of functional groups is preceded or accompanied by a course on theory and mechanism. However, this supplementation cannot be assumed, and to embark on the groups without some knowledge of certain fundamentals would be difficult and unsatisfactory. To fill such a possible gap, brief accounts of the topics germane to group chemistry are given in the following sections. For simplification the valence bond treatment is generally used, but simple molecular orbital theory is introduced at certain points.

1.2 The functional groups, and order of discussion

The groups to be discussed are shown in scheme 1.2Ge.



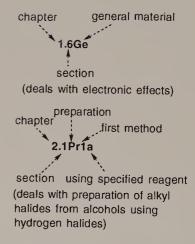
The organic halides (2) involving the chemistry of $C^{\delta+}$ are discussed first. Next come the organometallic compounds (3) prepared from the halides; these exemplify the contrasting situation, the chemistry of $C^{\delta-}$. Thus, the behaviour of two important states of C is encountered at an early stage. From these two central groups the chemical cycle, which embraces the others, is developed in chapters following the numerical order of the scheme. [There is no group (1); this number refers to the present chapter.] It is thought that a mechanistic understanding emerges more naturally from the order adopted here than from the traditional sequence starting with hydrocarbons.

Transformations, arrows in 1.2Ge, signify that while some conversions are largely restricted to one direction some pairs, e.g. acids and aldehydes, can be usefully interconverted. Systematic names (see Chapter 4) are given, in plain (normal) type, for almost all the individual compounds. However, with some compounds the traditional names, given in *italic type*, are so well established that there is little hope of change. For groups of compounds the name most clearly reflecting their nature is used even if there is a systematic alternative. For example, the main compounds in the first group to be considered are called alkyl halides rather than halogenoalkanes.

You may like to skim through the rest of this chapter to get the gist of the material. Then start in earnest on Chapter 2. When you come to a point (such as a term, an abbreviation, an effect etc.) whose meaning is not clear turn back to the appropriate section here and study it in more detail.

1.3 Reference system

Only chapter 1 is divided into many (13) sections. Of the other chapters some are divided into sections but most are not divided. The chemistry is regarded as General (abbreviated Ge), Preparations (Pr) and Reactions (Re). Where necessary Ge, Pr and Re are further divided, as shown by the examples in the margin. Ge includes topics which apply generally, e.g. the inductive effect, and also the main tendencies of the individual functional groups (which are summarised at the start of each chapter or section). This simple system allows reference forward to material coming later and backwards to that already covered.



1.4 Representations in schemes

 $R = (means) \text{ unbranched } \textbf{alkyl} \text{ group } C_nH_{2n+1} \text{ e.g.}$ $methyl (CH_3 \text{ or Me}) \quad \text{ethyl } (CH_3CH_2 \text{ or Et}) \quad \text{propyl } (CH_3CH_2CH_2 \text{ or Pr}) \quad \text{butyl } (CH_3CH_2CH_2 \text{ or Bu})$

The properties of a functional group are often influenced by the nature of the α carbon atom, the atom to which the group is attached. When it is necessary to show this influence the representation of the R group is expanded as follows:

C—C— functional group RCH₂Br R₂CHBr R₃CBr

primary alkyl group secondary alkyl group tertiary alkyl group abbreviated to **pm** abbreviated to **se** abbreviated to **te**

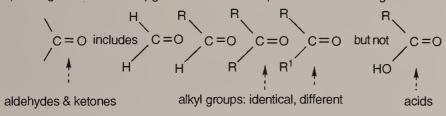
Ar = aryl (aromatic) e.g. Ph = phenyl, PhCH₂ = benzyl, Ts = abbreviation derived from traditional name *toluenesulphonyl*systematic name 4–methylbenzenesulphonyl

[Nomenclature (naming compounds) comes later in 4.1Ge]

Hal = halogen atom; in many Re certain halogens are specified as more suitable than others

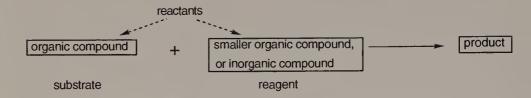
M = metal atom; most common for organic work Li, Mg, Cd, Al

'Free bonds' (nothing at one terminus) go to C or H unless specified otherwise e.g.



1.5 Reactions

Many reactions are of the form:



Terms used:

 Δ = heat; usually 60–80° (degrees° are °C throughout)

 $\mathbf{sq} = \mathbf{small}$ quantity of a reagent; > catalytic amount, about 1-5% by weight of substrate

ts = transition state (see 'Foundations'); used only when no ambiguity possible

(For the present don't try to distinguish between the next two; regard them as the *slow* step of a multi-step sequence)

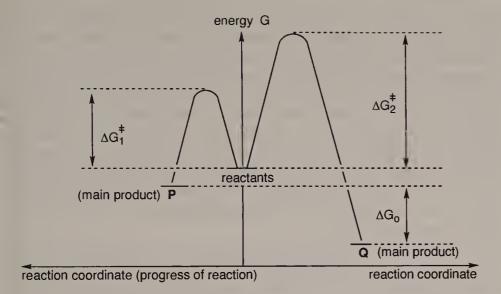
rds = rate-determining step; the first step of a multi-step sequence which is slower than the subsequent steps

rls = rate-limiting step; the later step of a multi-step sequence which is slower than the others

Kinetic and Thermodynamic control: Consider a reaction which may give more than one product. If the main product is the one formed faster (of two products) the reaction is said to proceed under kinetic control. If the main product is the more stable (of two products), thermodynamic control. Generally there is no problem, the product formed faster is the more stable. Only reversible reactions can be subject to thermodynamic control.

In the following example the chemistry is difficult and need not be considered here; the crucial point is that a reaction gives different products at different temperatures. Product $\bf P$ (lower activation energy, higher rate constant) is formed irreversibly at 20°. At 80° sufficient thermal energy is available for equilibria to be set up between $\bf P$ and reactants, and between reactants and $\bf Q$. $\bf Q$ gradually accumulates until the equilibrium position between $\bf P$ and $\bf Q$ governed by ΔG_0 is reached.

 k_1, k_2 are rate constants; $\Delta G_1^{\dagger}, \Delta G_2^{\dagger}$ are activation energies; ΔG_0 is the energy difference, **P-Q. P** is formed under kinetic control, and **Q** under thermodynamic control



1.6 Electronic effects, resonance

Resonance in relation to benzene is discussed in 'Foundations'. Representations of electronic distribution in terms of resonance and of the mesomeric effect (mesomerism) are alternatives; they arrive at the same result, as illustrated in the example later in this section.

Inductive effect (I): Sign and order, see 1.6Ge. This arises from the difference in electronegativity of an atom or a group relative to H (hydrogen). It affects σ -electrons in a covalent bond, and is represented by an arrow on the bond. The effect results in a small separation of charges (polarisation). It falls off rapidly with distance (see βC atom).

 $C \stackrel{\frown}{\Sigma}_{NR_2} > C \stackrel{\frown}{\Sigma}_{OR} > C \stackrel{\frown}{\Sigma}_{SR} > C \stackrel{\frown}{\Sigma}_{Hal} (F > CI > Br > I)$

$$-M$$
 $C=NR_2 > C=S > C=O > C=NR > C=CR_2$

+M

Keep in mind that F > CI > Br > I, and that OR > SR in both -I and +M

Dimethylformamide N,N–dimethylmethanamide

Resonance

Mesomeric effect

Composite representation

O

H-C

Resonance: This is the concept invoked when the properties of a compound cannot be represented satisfactorily by one conventional formula. The structure is regarded as being somewhere between two or more formulae. For example dimethylformamide (see margin) does not behave as either a normal aldehyde or a normal amine. Formula P is therefore not a satisfactory representation. The structure is somewhere between P and Q, hypothetical extreme forms called canonicals. Canonicals differ in only electron distribution; the atomic centres are in the same places. The compound is termed a resonance hybrid, resonance being depicted by the double-headed arrow shown. The energy of the compound (its enthalpy) is lower than the energy calculated for any of the canonicals. Resonance is not a mechanical oscillation between real forms.

Mesomeric (also termed Resonance or Conjugative) effect (\mathbf{M}): Sign and order, see 1.6Ge. This involves interactions of π electrons, or of π electrons and unshared electron pairs (also termed nonbonded or lone pairs). It results in changes in covalency of two or more centres, and is represented by curly arrows. This effect does not fall off with distance (see aromatic example). Operation of the \mathbf{M} effect with e.g. dimethylformamide suggests a structure between P and Q, the same outcome as reached on the resonance concept.

1.7 Acids and bases

There are two types of acids. The first type (Bronsted acids), e.g. $MeCO_2H$, give an H^+ to the substrate. The second type (Lewis acids), e.g. BF_3 , coordinate with an unshared electron pair in the substrate. Similarly, Bronsted bases, e.g. NMe_3 , accept an H^+ from the substrate, and Lewis bases, e.g. Et_2O , provide an unshared pair for coordination to the substrate. We shall be concerned mainly with the Bronsted type, represented H-A(acids) and B(bases).

The strengths of H–A are expressed on the p K_a scale e.g. MeCO₂H + H₂O(excess) \longrightarrow MeCO₂⁻ + H₃O⁺ ethanoic (*acetic*) acid

$$K_{\rm a}$$
 = [MeCO₂⁻][H₃O⁺] / [MeCO₂H] = 1.7x i 0⁻⁵ mol dm⁻³ at 298K p $K_{\rm a}$ = -log₁₀ $K_{\rm a}$ = 4.8

([H₂O] stays almost constant at 55.6 mol dm⁻³ and is incorporated into $K_{\rm a}$)

In order to have a unified scale for H–A and B strength, the acid strength of BH⁺ rather than the base strength of B is listed. If required, the latter value is easily calculated from the former, as shown in the following example:

For MeNH₂ acting as a base require pK_b . This is $-\log_{10}K_b$ in the equilibrium

 $MeNH_2 + H_2O \implies MeNH_3^+ + OH^-$

The literature lists the acid strength of the MeNH₃⁺, the *conjugate* acid of McNH₂ (conjugate means related) in the equilibrium

MeNH₃⁺ + H₂O \rightleftharpoons MeNH₂ + H₃O⁺ p K_a (MeNH₃⁺) = 10.6 In any aqueous solution [H₃O⁺][OH⁻] = 10^{-14} mol² dm⁻⁶ at 289K, and by writing out the expressions in full it can be shown (see 'Foundations') that

$$pK_b(of B) + pK_a(of BH^+) = 14$$

Thus $pK_b(MeNH_2) = 14 - 10.6 = 3.4$

As the acid strength of H–A *increases* K_a increases and pK_a decreases. As the base strength of B *increases* K_b increases and pK_a (of BH⁺) *increases*.

A cautionary note: two values may be listed for what is, ostensibly, the same compound, e.g. 4.6 and ~ 27 (\sim means 'about') for PhNH₂. However

 pK_a 4.6 refers to $PhNH_3^+ + H_2O \Longrightarrow PhNH_2 + H_3O^+$ whereas $pK_a \sim 27$ refers to $PhNH_2 + H_2O \Longrightarrow PhNH^- + H_3O^+$

The second process is a convenient fiction. PhNH₂ is a base not an acid; formation of PhNH⁻ from PhNH₂ requires a very strong base.

Aid to thinking: pK_a of H-A = pH of a solution in which [H-A] = [A⁻]. Thus for MeCO₂H [MeCO₂H] = [MeCO₂-] at pH 4.8

1.7Ge shows a selection of pK_a values which will be useful later.

1.8 Electrophiles, nucleophiles, radicals

An electrophile (electron seeking), represented by E^+ , has a centre (usually of low electron density) which forms a bond to the substrate using the substrate's electrons. A nucleophile (nucleus seeking), represented by Nu^- , has a pair of electrons (unshared or in a π bond) which form a bond to a centre of low electron density in the substrate; for most organic reactions the centre is a C atom with some degree of positive charge. A radical, represented by U^- , has an unpaired electron and is usually very reactive. Some reagents, e.g. HBr, can act either as (Bronsted) acids or electrophiles; some, e.g. NH_3 , as bases or nucleophiles.

1.9 Carbocations, carbanions, carbon radicals

Carbocations are planar; stabilised by electron donating groups, destabilised by electron withdrawing groups. Carbanions may be regarded as planar. (#Actually mixtures of rapidly inverting tetrahedral forms, as in NH₃.) Carbanions are stabilised by electron withdrawal and destabilised by electron donation. Carbon radicals may be regarded as planar. (#Some deviate slightly from planarity. The situation is similiar to that of carbanions but with 'shallower' tetrahedra.) Their relative stabilities, which cannot be explained in terms of inductive effects of attached groups, correlate with the variation of C-H homolytic bond energy as H atoms are replaced by R groups (see 4.2Re1a).

	1.96	e			
Stability order	te (tertiary)	se	(secondary)	p	m (primary)
Carbocations	R ₃ C ⁺	>	R ₂ CH ⁺	>	RCH ₂ +
Carbanions	R ₃ C ⁻	<	R ₂ CH ⁻	<	RCH ₂ ⁻
Carbon radicals	R ₃ C∙	>	R₂CH•	>	RCH₂·

1.7Ge acid strength increasing

ciu sti	engun moreasii	19		
Ac	ids	p <i>K</i> a		
	HCI	-7		
	CCI ₃ CO ₂ H	0.9		
	CICH ₂ CO ₂ H	2.8		
	PhCO ₂ H	4.2		
	MeCO ₂ H	4.8		
O ₂ N ·	√ CO₂H	7.2		
	HCN	9.2		
	PhOH	10		
Ne	utral			
	H ₂ O	15.7	(not 14)
	EtOH	18		
Ва	ses		pK _a of BH+	
0 ₂ N	\sim NH ₂	19	1.0	1
	PhNH ₂	~27	4.6	
	pyridir	ne	5.3	
	NH ₃	~36	9.2	l
	MeNH ₂	~37	10.4	
	piperi	dine	11.2	
	H OH-		15.7	1

base strength increasing

E+ may be +ve or neutral e.g. H+, NO₂+, Hal₂

Nu⁻ may be -ve or neutral e.g. MeO⁻, NH₃

U' may be organic or inorganic e.g. Me', Cl'

1.10 Substitution, elimination, configuration

Many substitution reactions are of type:

$$R-L$$
 + nucleophile \longrightarrow R -nucleophile + L^-

L⁻ is the *leaving group* and may be negative (e.g. Br⁻) or neutral (e.g. Me₃N).

The first part of the following scheme depicts substitution and elimination reactions from a system in which L is attached to a primary alkyl group; in the second part (next page) L is attached to a tertiary alkyl group. The chemistry is discussed in the text which comes after the scheme.

approach

[The statements *yes* and *no* used here and elsewhere refer to the main outcome. Most reactions give mixtures, so *yes* does not imply entirely one product or process]

S_N2 <u>S</u>ubstition by a <u>n</u>ucleophile in a <u>b</u>imolecular reaction (Avoid defining as second-order reaction)

 $^{\#}$ The complete definitions of S_N2 and E2 are that the slow step (rds or rls) is bimolecular. Here there is only one step in both which, therefore, must be the rds.

E1 Elimination in which rds (slow step) is unimolecular

To follow the stereochemistry of the reactions chiral substrates must be considered. (Chirality is discussed in 'Foundations'.) Thus to illustrate primary substrates Me-CHD-L (rather than Me-CH₂-L) is used because, by virtue of the D atom, this molecule has an asymmetric C. In the S_N2 (substition) reaction the C has undergone an 'umbrella' motion. The C-Nu orbital is not the original C-L orbital. The reaction involves inversion of configuration. In front-side approach Nu and L would 'get in each others way'. Rear-side approach involves much less steric hindrance. (*It is also strongly favoured by a stereoelectronic factor.) For comparison with the following tertiary case keep in mind that a carbocation Me-CHD+ would be very unstable. In the E2 (elimination) reaction removal of the H is concerted with (at the same time as) departure of L⁻.

The chiral tertiary compound exemplifies S_N1 and E1 reactions. S_N2 and E2 are impeded by severe steric hindrance to approach of nucleophiles or bases from either side. A different pathway involving ionisation is followed. The carbocation formed is much more stable than that from a primary compound but still very reactive. ($^{\#}$ The first step is reversible but the backwards reaction is so much slower than the second step that it is usually not significant.) The carbocation common to S_N1 and E1 is planar; the top and bottom faces are therefore equivalent. S_N1 leads to racemisation.In E1 removal of the H occurs after the departure of L^- .

In general, 'other things being equal', the most favoured reactions are S_N2 for primary and E1 for tertiary. However many factors come into play and these tendencies must not be regarded as rules. For example by appropriate choice of reactants and conditions tertiary compounds may be induced to undergo S_N1 or even S_N2 reactions (2.1Re3b,c).

1.11 Leaving group, acid strength: Nucleophilicity, base strength

This section is based on S_N2 reactions in a *protic* solvent (see Section 1.13).

$$R-L + Nu^- \longrightarrow R-Nu + L^-$$

The relative rates of series of the reactions give the tendency of an entity to act as L⁻ (good......poor) and as a nucleophile, Nu⁻ (strong......weak, termed its nucleophilicity). Several investigations, under different conditions, have given somewhat different sets of results. Although the *figures* for the relative rates in **1.11Ge** are not important, the *orders* of the L⁻ and nucleophile species are very useful.

The following tempting arguments suggest themselves. As the acid strength of H–L increases the stability of L⁻ increases, so L⁻ should become a better leaving group. Thus leaving group tendency should parallel acid strength. Similarly, as the basicity of a species (tendency to accept H⁺) increases, its nucleophilicity (tendency to attack $C^{\delta+}$) should increase. Thus basicity should parallel nucleophilicity. *There are no such general correlations*. Several factors militate against the existence of such correlations. The main one is that acid and base strengths refer to *equilibria* (difference in free energy between ground states) whereas leaving group tendency and nucleophilicity represent *rates* (difference in free energy between a ground state and a transition state).[#Full discussion, later course on 'Linear Free Energy Relationships'.]

There are some useful correlations for species of similar structures (margin) but even these are not immutable. Fortunately, choosing an L⁻ or a Nu⁻ for a particular purpose is facilitated by the wealth of experimental results available in extended versions of **1.11Ge**.

Nucleophilicity and basicity in protic solvents (1.11Ge) $EtO^->PhO^->MeCO_2^- \\ I^->Br^->Cl^->F^- \\ but as Nu^- in aprotic solvents \\ F^->Cl^->Br^->I^- \\ see Section 1.13 for this reversal in nucleophilicity$

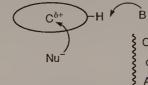
	L ⁻	Relative rate	Conji acid,	ugate and its p <i>K</i> a		Nu ⁻	Relative rate	Conju acid,	ugate and its p K_a
	N ₂	high	,	or to pria			, 4.0	40.0,	and no pria
good <	TsO ⁻	6	TsOH	-6.5		PhS-	5x10 ⁵	PhSH	7
	[I-	3	HI	-10	v strong {	CN ⁻	5000	HCN	9.3
	Br ⁻	1	HBr	-9		[I-	5000	HI	-10
medium •	H ₂ O	1	H ₃ O ⁺	-1.7	strong {	N ₃ -	1000	HN ₃	4.7
	Me ₂ S	0.5	Me ₂ SH ⁺	-5.2		PhNH ₂	1000	PhNH ₃	9.4
noor	∫ CIT	0.02	HCI	– 7		EtO ⁻	1000	EtOH	18
poor ·	F	0.001	HF	-3.2		OH-	1000	H ₂ O	15.7
rarely act	OH_	~0	H ₂ O	15.7	medium	∫ Br ⁻	500	HBr	- 9
as L	NH ₂ -	~0	NH ₃	~ 36	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	PhO-	400	PhOH	10
							50		5.3
					weak <			ή	
						MeCO ₂	50	MeCO ₂ H	
						Cor Cor	50	HCI	- 7
	<u> </u>				not	F	<1	HF	- 3.2
					useful	TsO	<1	TsOH +	-6.5
					Goordi	H ₂ O	<1	H ₃ O ⁺	-1.7

1.12 Steric effects on basicity and nucleophilicity

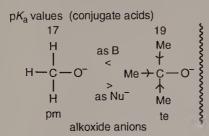
Preoccupation with electronic effects should not be allowed to result in neglect of steric effects; these are central to all branches of organic chemistry. A general consideration and some important manifestions are shown in 1.12Ge.

In general, attack by a nucleophile is sterically more demanding than attack by a base (top part of scheme). The contrast between primary and tertiary alkoxides (middle of scheme) has significance in the preparative work of later chapters. More subtle features are exemplified in the material lower down the scheme.

Substrate, represented:

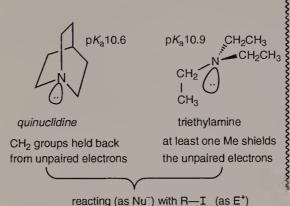


C is inside molecule, approach of reagents may be impeded by other centres. H is on periphery, less steric hindrance to approach As size of reagent increases the importance of this difference increases



Me groups (-I effect) push electrons towards O which is already -ve. Anion destabilised, hence stronger base.

Me groups increase size of reagent (which becomes fatter), hence lower nucleophilicity



Basicities about the same, little difference in hindrance with H⁺ (small). But relative rates, quinuclidine: triethylamine, in reactions with E⁺ are:

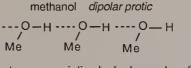
MeI as E⁺, 50:1, marked difference

Me₂CHI as E⁺, 500:1, huge difference.

Approach more hindered; therefore enhancement of difference in accessibility of unpaired electrons

1.13 Solvents

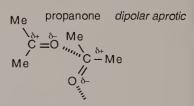
Unfortunately many overlapping terms are used, and used in different ways! The meanings of *dipolar* and *nonpolar* as used here are explained in the following material. (Many compilations adopt a different approach, based on polar and nonpolar denoting solvents having and not having a dipole moment. An example of a dipole moment is in the sketch below.)



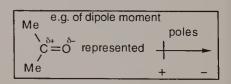
strong association by hydrogen bonding

benzene nonpolar aprotic

very little intermolecular association



weak association by dipole-dipole attraction



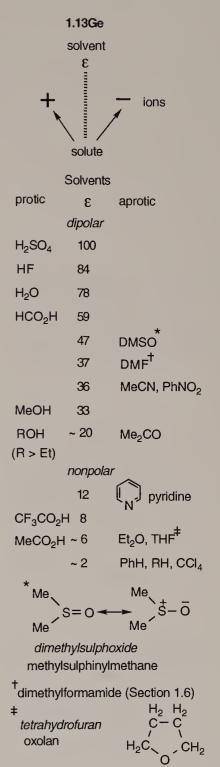
Three types of solvents are shown; they differ in their propensity for intermolecular bonding. The influence of a solvent on an organic reaction depends mainly on two features: its *dielectric constant* and its *ability to solvate ions*.

The definition of dielectric constant (ε), a number, is not needed here: its significance is as follows. As a solvent's ε increases the electrostatic attraction between a solute's ions decreases. The stability of the ions therefore increases, and the solute has a greater tendency to ionise. ε is a macroscopic ('bulk') property, not concerned with details of the ions' state. Solvents with $\varepsilon > 20$ are termed *dipolar*, $\varepsilon < 20$ *nonpolar* (see 1.13Ge). In general, dipolar solvents have relatively high dipole moments, nonpolar solvents relatively low ones. (Exceptions, e.g. pyridine, nonpolar, but dipole moment 2.37 debyes.)

In ability to solvate ions the main consideration is whether the solvent has an $H^{\delta+}$ which bonds to a negative ion. If so the solvent is termed *protic*, if not *aprotic*. The terms do *not* mean having an H, no matter of what sort, and devoid of an H. The scheme below shows a metal bromide in two solvents. An important feature is that many salts are soluble in dipolar aprotic solvents; their *anions* are not solvated and are therefore *reactive*.

Section 1.11 refers to Hal⁻ as a nucleophile in protic solvents which bond to Hal⁻. The extent of solvation is determined by the tendency of the different Hal⁻ to form H bonds, I⁻ < Br⁻ < Cl⁻ < F⁻. The solvent cage impedes, and must be removed during, reaction as a nucleophile. Thus F⁻ which is most solvated has the lowest nucleophilicity etc. In aprotic solvents Hal⁻ is not solvated. Nucleophilicity is determined by the energy (enthalpy) of the C-Hal bond being formed. C-F is the strongest bond (see 2.1Ge2b), hence F⁻ has the highest nucleophilicity etc. and the nucleophile order is F⁻ > Cl⁻ > Br⁻ > I⁻

in H₂O dipolar protic in DMF dipolar aprotic (several solvent molecules rather just one molecule, as shown, are involved in each case)



2 Organic halides

Here, and where required in other chapters, the material is divided into sections covering the types within the group. Thus Section 2.1 refers to alkyl halides (saturated compounds), Sections 2.2 and 2.3 to halides containing a double bond. The order within each section is general tendencies (**Ge**), methods of preparation (**Pr**), and the characteristic reactions (**Re**).

Schemes such as 2.1Ge1, 2.1Ge2 etc. are used throughout to present the chemistry. A scheme is usually followed by the related discussion but spacing requirements necessitate other layouts in some places. The discussions are brief; the meat of the subject is in the schemes themselves. At first sight some of the schemes appear rather daunting because they contain such a mass of information. The best approach is to go through them slowly, writing out the material on scrap paper. This will be helpful in understanding the chemistry and, later, in memorising the main points.

2.1 Alkyl halides

While the chlorides, bromides, and iodides fall into a graded series the fluorides are of a different nature. The monofluorides, which are very unreactive towards nucleophiles, are little used in general work and are not covered here. Scheme **2.1Ge1** shows the main features of alkyl halides.

2.1Ge1

-C + Hal leads to
$$C^{\delta+}$$
 Hal, attacked by Nu

-I effect Nu

substitution

H - C - C - X Hal

2 species

HX Hal

3 species

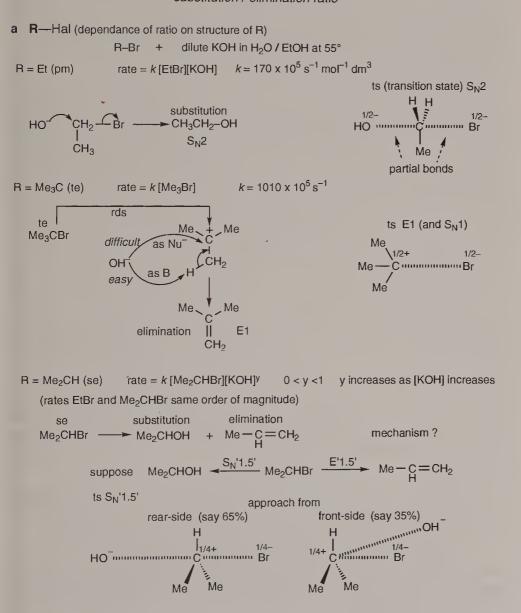
In the polarised halide $\operatorname{Hal}^{\delta_-}$ is relatively stable but $\operatorname{C}^{\delta_+}$ is unstable (hence the reactive site) and is attacked by nucleophiles. The importance of the halides lies mainly in their reactions with nucleophiles (2.1Re1, which comes later). The second general reaction to be considered is the attack of a base (B) on halides. However, before turning to these reactions we should deal with an awkward point which appears in different guises and often causes confusion.

The αH of the halide is more acidic than the βH , and so is removed more easily (margin). In general the carbanion formed merely equilibrates with the original halide; there is no 'outcome'. ($^{\#}$ With R-CHHal $_2$ the carbanion may lead to dihalogenocarbenes, useful diradicals.) This and similar non-productive equilibria are justifiably omitted from textbooks, and no more will be shown here; attention will be directed to reactions that 'get somewhere'.

2.1Ge1 depicts a halide being attacked by X^- acting as a nucleophile and as a base. X^- may be negative, e.g. CN^- , or neutral, e.g. Me_3N . If X^- acts as a nucleophile, the result is substitution. If X^- acts as a base at the βH there is an important outcome, elimination giving an alkene. Consideration of the factors influencing the substitution/elimination ratio (2.1Ge2) illustrates the marked differences between primary, seconday, and tertiary halides.

2.1Ge2

substitution / elimination ratio



pm—> substitution
se —> substitution + elimination
te —> elimination

substitution / elimination ratio decreases
as pm → se → te

2-methylprop-2-yl (**4.1Ge**)
Structure and name are 'heavy',
not convenient for everyday use!
Usually abbreviated to
t-butyl Bu^t—

$$\text{(tertiary)}$$
 $\text{Me}_3\text{C-Br} = \text{Bu}^t\text{-Br}$

Stereochemistry

(see chiral substates Section1.10)

S_N1 racemization

S_N2 inversion

assuming figures in ts sketch

'S_N1.5' 70% racemisation

and 30% inversion

b Hal

substitution / elimination ratio not markedly affected

c X-

substitution / elimination ratio increases as Nu⁻ / B tendency of X⁻ increases

d Temperature

substitution / elimination ratio decreases as temp increases

b R-Hal

C-F C-Cl C-Br C-I C-Hal bond energy (kJ mol^{-1}) 485 339 284 209 rates of substitution and elimination increases as Cl \longrightarrow Br \longrightarrow I

c X⁻ ether alkene
e.g. Me₂CH−Br Na⁺ OR Me₂CH−OR + Me − C = CH₂
ether / alkene ratio increases as R goes Me₃C → Me
see 1.12Ge Na⁺ OMe is weaker B but stronger Nu⁻ than Na⁺ OCMe₃

d Temperature

see general reactions in 2.1Ge1 in substitution 2 species — 2 species in elimination 2 species — 3 species as the temperature is raised the amount of the elimination product increases

 $\Delta G \stackrel{\ddagger}{=} \Delta H \stackrel{\ddagger}{-} T \Delta S \stackrel{\ddagger}{-}$

 ΔG^{\dagger} = free energy of activation, difference in free energy beween ts and reactants ΔH^{\dagger} , ΔS^{\dagger} are corresponding enthalpy and entropy of activation ΔS^{\dagger} bigger for elimination than for substitution

The influence of the alkyl group's structure is covered in part a. OH⁻ can act as a strong nucleophile (1.11Ge) or as a strong base (1.7Ge). With EtBr there is little hindrance to rear-side approach at the αC, and the carbocation CH₃-CH₂+ would be very unstable. Thus OH- acts as a nucleophile, S_N2 ensues and EtOH is formed in high yield. With Me₃CBr there is hindrance to approach of OH⁻ but the carbocation Me₃C⁺ is stable (Section 1.10). Formation of Me₃C⁺ is helped by another factor as follows. In Me₃CBr the central C is sp³ hybridised, tetrahedral, and the angle between groups is 109.5°; in Me₃C⁺ the central C is sp^2 , trigonal, and the angle between groups is 120° (Section 1.9). Thus, as Me₃CBr ionises, the Me groups move farther apart, and there is relief of strain. This applies generally to tertiary C going from tetrahedral to trigonal. The effect was described originally, and very aptly, as 'relief of back strain' but, sadly, this term is no longer used. Attack of OH⁻ as a nucleophile on the central C of Me₃C⁺ is unfavourable because it is difficult to get into the crowded centre, and the change from trigonal to tetrahedral increases strain (reversal of relief attending ionisation). There is little impedance to attack of OH⁻ as a basc at the βH (and there are nine of them) on the periphery (1.12Ge); thus E1 is favoured and gives the alkene in high yield. The amount of Me_3COH , formed by S_NI , is less than 5%.

Me₂CHBr, a secondary halide, forms comparable amounts of ether and alkene. There are two views about the mechanism

(i) Some molecules react by $S_N 1 + E1$ and some by $S_N 2 + E2$. Thus: Rate = $k_1[Mc_2CHBr] + k_2[Mc_2CHBr][KOH]$

This accords with the experimental results. As [KOH] increases the importance of the S_N2 + E2 term increases. This is the simplest but probably not the correct explanation.

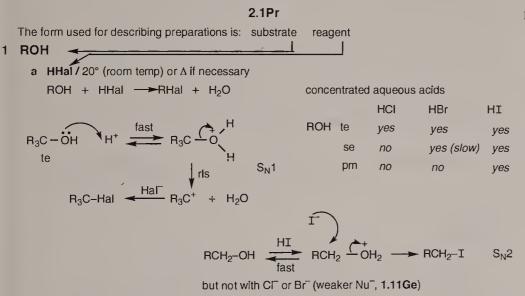
(ii) S_N1 + E1 and S_N2 + E2 are extremes of ranges of mechanisms. All the molecules react by the same mechanisms, one for substitution and one for

elimination, which are intermediate between the extremes. The notion of '1.5' reactions shown in 2.1Ge2 is for illustration only; it is not to be taken literally. (#Full treatment, later courses, involves consideration of intimate and solvent-separated ion pairs.) The basis of the present simplistic version is the difference in degree of involvement of OH⁻ in the transition state. In $S_N1 + E1$, OH^- is not involved. In $S_N2 + E2$, OH^- is fully involved. In $S_N'1.5' + E'1.5'$, OH^- is involved to some extent. The schematic transition state for S_N '1.5' is an attempt to depict this, and a corresponding transition state for E'1.5' can be envisaged.

The variation in rates with the nature of Hal, part b, is in line with the L⁻ order, which parallels the acid strength of the conjugate acids(1.11Ge). The variation also follows the sequence of C-Hal bond energies. The figures show C-I to be the weakest, easiest to break; hence reactions of iodides are fastest. There is a possible caveat: the figures are energies for homolytic breaking (C-Hal giving C and Hal) not the heterolytic breaking involved here.

Varying the nature of X⁻, part c, has the expected effect. The trend towards elimination with increasing temperature, part d, may arise from the entropy factor, which favours elimination (two species forming three) relative to substitution (two giving two). The equation in 2d shows the thermodynamic relation. As temperature increases the free energy of activation is lowered more for elimination than for substitution; hence k_{elimination} increases more than k_{substitution}.

The preparations are shown in detail in scheme 2.1Pr.



Potency of HHal increased by using e.g.

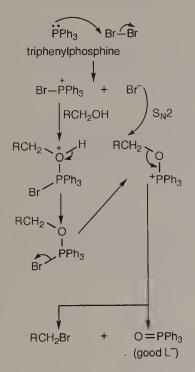
reaction potentially reversible, absence of H₂O drives reaction 'dry' HBr (no H2O) 'dry' HBr / sq H₂SO₄ increases amount of protonated alcohol (sq = small quantity)

$$HCI/ZnCl_2$$
 forms $RCH_2 - O \begin{pmatrix} H \\ ZnCl_2 \end{pmatrix}$ better L^- than H_2O

HHal may lead to rearrangement

Think of protonation; loss of H₂O accompanied by migration of Me (as carbanion) to give more stable carbocation; addition of Br

18 Organic halides



no rearrangement

Me₃C-CH₂-OH

Me₃C-CH₂-Br

b P-Hal reagents driving force is formation of very strong PO double bond

PHal₃ (Cl, Br, I) or mixtures P / Br₂, P / I₂

PBr₃ / I0° is good method several modern developments e.g. PPh₃ / Br₂, PPh₃ / CCl₄

c SOCI₂ (thionyl chloride)

simple representation: R-OH + SOCl₂
$$\xrightarrow{\Delta}$$
 R-O-S-O and loss \xrightarrow{I} \xrightarrow

 $\begin{array}{c} \text{\#Et}_2\text{O as solvent, HCI formed is largely covalent, little CI$^-$ present} \\ \text{R-O-S=O} & \begin{array}{c} \text{CI} \\ \text{R+} & \begin{array}{c} \text{CI} \\ \text{O} \end{array} \end{array} \\ \text{S=O} & \begin{array}{c} \text{CI attacks on front side} \end{array} \\ \text{R-CI} & \begin{array}{c} \text{S}_N\text{I} \\ \text{Substitution by an internal nucleophile} \end{array} \\ \text{pyridine as solvent, with HCI gives} & \begin{array}{c} \text{CI-} \\ \text{N} \\ \text{H} \end{array} \\ \text{CI-} & \begin{array}{c} \text{CI-} \\ \text{R-O-S=O} \\ \text{CI-} \end{array} \\ \text{R-CI} & \begin{array}{c} \text{S}_N\text{2} \\ \text{CI-} \\ \text{CI-} \end{array} \\ \text{P-CI-} \\ \text{R-O-S=O} \\ \text{CI-} \\ \text{R-O-S=O} \\ \text{R-O-S=O} \\ \text{CI-} \\ \text{R-O-S=O} \\ \text{R-O-S=O}$

2 Hydrocarbons 4.2Re1 5Re1a,2a,4a,4b

(These are references forward to reactions shown and discussed in later chapters)

3 RHal (i.e. from halides obtained by the other methods given here)

direction contrary to expectation from nucleophilicities in aprotic solvents (Section 1.13); reaction driven by precipitation of NaC! (or Br)

useful development:
$$RCH_2-OH \xrightarrow{TsCI/N} RCH_2-OTs \xrightarrow{NaI \text{ (or Br) }/} RCH_2-I \text{ (or Section 1.4)}$$

4 RCO₂H

R-CO-OAg + Br₂ CCI₄ / △ R - Br + AgBr + CO₂

Hunsdiecker reaction # mechanism, radical chain reaction

overall effect: R CO₂H → R Br pm, se, te

no rearrangementMe₃C-CO₂H → Me₃C-Br Me₃C-CH₂-CO₂H → Me₃C-CH₂-Br convenient modification: use RCO₂H / HgO instead of RCO₂Ag

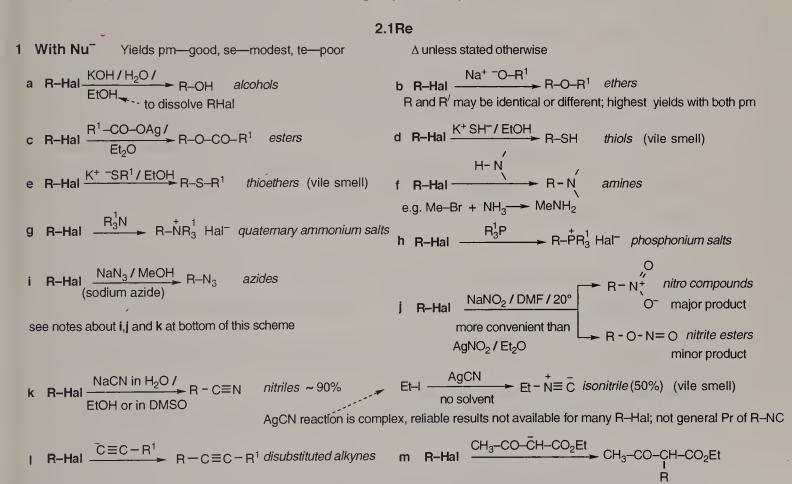
Protonation is essential in **2.1Pr1a**; the L⁻ is H₂O. Reaction of ROH itself would involve OH⁻as L⁻, a very unlikely event(**1.11Ge**). The first step is set out in detail here; from now on the curly arrows arc omitted from such simple processes. High reactivity with tertiary ROH is as expected. The second step involves Hal⁻ acting as a nucleophile with R₃C⁺. This appears

to conflict with the trend of 2.1Ge2a. However in protic solvents HHal are very strong acids (p K_a values 1.11Ge), and Hal⁻ are therefore very weak bases. Further, HHal is present in massive excess, so any alkene generated by attack of B (unlikely) would be converted back to the carbocation by protonation. Reaction of the primary ROH, lowest reactivity, is successful only with HI. With those alcohols which do not react with concentrated aqueous HHal various devices (in scheme) are used to pep up the reactivity.

The mechanism of a modern development of **Pr1b** is illustrated in the margin. No HBr is present, and substitution occurs without rearrangement. As noted earlier, the same alcohol with HBr gives a rearranged bromide.

The name of the chemist who invented the reaction is given under **Pr4**. There is no great virtue in remembering names, but they do give a convenient shorthand way of referring to reactions. Most of the names commemorate chemists, many German, who were responsible for developing the basis of the subject in the pioneering period *ca.* 1850–1910.

The reactions of RHal are shown in **2.1Re**. These will be encountered again in the chapters dealing with the products and, if necessary, discussed at the second meeting. That so many types of compound can be obtained justifies placing the halides at the centre of functional group chemistry.



n R-Hal
$$\xrightarrow{CH(CO_2Et)_2}$$
 R-CH(CO₂Et)₂ o R-Hal $\xrightarrow{LiCuR_2^1}$ R-R¹ 4.2Pr2c
p R-Hal $\xrightarrow{ArH / AlCl_3}$ R-Ar e.g. $\xrightarrow{Me_3C-Cl/}$ $\xrightarrow{AlCl_3}$

Friedel-Crafts reaction, mechanism different from others here, range of R-Hal can be used

Re1j,k C = N

NO₂⁻ and C \equiv N⁻ are examples of ambident ('either tooth') anions. Generalisation: increasing δ + of C in transition state favours attack by more negative centre (O > N, N > C)

In **1k** pull by Ag⁺ increases positive on C of bromide; favours formation of isonitrile R — CH₂—Br — Ag

2 With B

Elimination of HHal (dehydrohalogenation), tendency with RHal te > se > pm, but high yields can be obtained with all using appropriate B

See 5Ge2 for discussion of elimination

e.g. CH_3 H_3C CI (very big B) K^+ $O-Me/\Delta$ $PhMe/\Delta$ $PhMe/\Delta$

P main products probably E2 in nonpolar aprotic solvent

A cautionary note. Although often find stated that CH_3-CH_2-Br CH_3-CH_2-Br

3 Influence of reagents and conditions on behaviour of te RHal

Me₃C-Br KCN Me-C=CH₂ + Me₃C-CN [to prepare this nitrile start from Me₃C-CO₂H] main product <5%

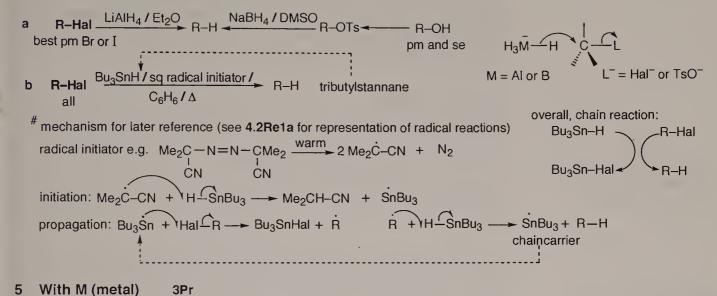
CN⁻ very strong Nu⁻ (1.11Ge), medium weak B (1.7Ge), but with te RHal tendency for elimination so strong that CN⁻ acts as B

b Problem: how to convert Me₃C-Br directly into Me₃C-OH ?

Solution: Me₃C–Br $\frac{\text{AgNO}_3 / \text{H}_2\text{O (excess)} /}{\text{stir at } 20^{\circ} \text{ for several hours}} \text{Me}_3\text{C-OH}$ yield > 80% mechanism? S_N'1.5'

Br Me Me N₃ $\frac{\text{LiN}_3 \text{ / Me}_2\text{CO}}{\text{S}_{N2}}$

4 Reduction



- **2.1Re1a**—o consists of a range of convenient efficient reactions which provide access to many other functional groups; as already noted, discussion of them is deferred. Although the general form R-Hal is used for the substrate the most effective halides are primary bromides, RCH₂Br. Primary iodides, RCH₂I, are more expensive and sometimes give side-reactions which lower the yield of the required product. The solvents shown are widely used but others are equally good in most cases. (Don't try to remember the details.)
- **2.1Re2** illustrates a general point: with some reactions the outcome can be changed in the desired direction by adroit choice of reagent. In the example selected product **P** is more stable than **Q** (**5Ge1**); other things being equal **P** would be formed. However, approach of the base to the CH₃ (leading to **Q**) involves less steric hindrance than approach to a ring CH₂ group (leading to **P**). Thus with a very big base (such as Et₃C-O⁻) attack occurs at the CH₃ not at a CH₂. The two reactions are *regioselctive*. (A regioselective reaction is one which may give two or more structural isomers but leads, exclusively or predominantly, to only one isomer.)

The outcome of **Re3a** is, as expected, elimination. In **3b** a tertiary halide is induced to undergo substitution. The key is in the conditions. No base is present (other than H_2O); the tendency for elimination is thus reduced. The temperature is low, which favours substitution (**2.1Ge2d**). Without Ag⁺ the reaction would be slow; Ag⁺ coordinates with the Br atom, thereby increasing the solubility of Me₃CBr, and then pulls it off as Br⁻. **3c** is remarkable, S_N2 with a tertiary halide. There are three contributing factors. In 1-bromo-1-methylcyclopentane the βCH_2 groups are held back from the αC -Br, thus reducing hindrance to attack at the C. N_3 ⁻ is a strong 'slim' nucleophile but a weak base. (See bottom of **2.1Re1** and pK_a of h in **1.11Ge.**) Me₂CO is an aprotic solvent of lowish ϵ (**1.13Ge**) and therefore inimical to formation of the ions involved in E1 and h in E1 and h in the conclusion to be drawn is that reversal of general behaviour is rare and requires exceptional circumstances.

Re4a involves the very useful reagents LiAlH₄ (lithium aluminium hydride) and NaBH₄ (sodium borohydride). Using OTs as an alternative to Hal is convenient and, in several instances, advantageous. Tributylstannane(**4b**) is a selective reagent for replacing Hal by H.

2.2 Allyl halides

These have a CC double bond attached to the C-Hal as shown in 2.2Pr.

The conventional representations of allyl bromide and vinyl bromide (see later) are $CH_2 = CH - CH_2 - Br$ and $CH_2 = CH - Br$

It is very tedious to draw these with the computer program being used; the program likes to produce the unusual but more correct forms adopted here

e.g.
$$H_2C = C - CH_3$$
 $CI_2/500^\circ$
 $CI_2/$

[Don't worry about names and numbering at this stage]

2.2Ge
allyl carbocation, carbanion, and radical are stabilised by delocalisation (Section 1.6)

benzyl systems are similarly stabilised

canonicals with charge or unpaired electron at positions 2 and 6

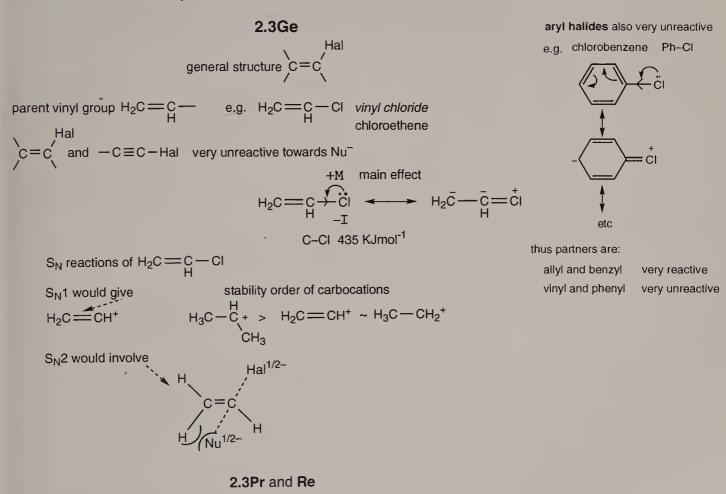
ts in ally! S_N2

The important feature is the very high reactivity towards nucleophiles. This stems from the enhanced stability of the allyl carbocation (2.2Ge in margin). Thus, by following the S_N1 path allyl halides undergo substitution reactions in which the rate-determining step is accelerated. The low C–Cl

energy reflects this high reactivity. Even the chlorides are so reactive that the more reactive halides (Br, I) are seldom required. Benzyl halides (PhCH₂-Hal) are similarly reactive. With allyl and benzyl systems attention is usually confined to S_N1 reactions, which are readily explained. However the S_N2 rates also show acceleration. For chlorides, relative rates are: propyl 1, allyl ~100, benzyl ~300. $^{\#}Of$ many explanations the following is probably the best. The transition state in S_N2 is stabilised by favourable interaction between the π elections and the proximate p orbital as indicated at the bottom of **2.2Ge**. With substituted allyl halides two products are usually formed (**2.2Re**), by reaction at either end of the unsymmetrical carbocation. This is *not* to be construed as reaction with different canonicals, which are hypothetical, not real, species.

2.3 Vinyl halides

These have the Hal directly attached to a CC double bond as shown in 2.3Ge



3Pr1

Re With M

The important feature is the very low reactivity towards nucleophiles. (Vinyl chloride is shown in $\bf 2.3Ge$ and used for discussion; vinyl bromide and iodide are slightly more reactive but not markedly so.) S_N1 and S_N2

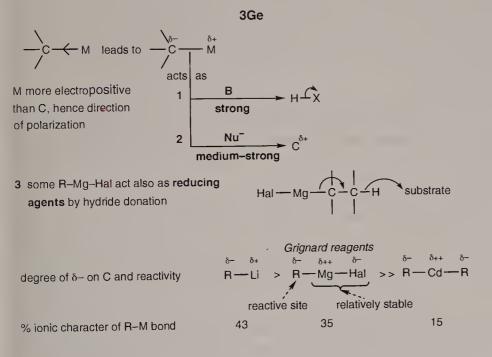
HC≡CH HCI/HgCl2 H2C=C-CI

reactions do not occur, or occur very slowly. Several features are involved. As C goes from sp^3 to sp^2 to sp the C becomes more electron attracting (**5Ge1**). Thus relative to ethyl the vinyl group is weakly electron attracting (**1.6Ge**). This opposes the inductive (**-I**) effect of CI; the main effect of CI is therefore mesomeric (**+M**). Appreciable contribution of the resulting charged canonical is confirmed by the high C–CI energy. The C–CI bond has partial double character, and to break it by either S_N mechanism is therefore difficult. S_NI would lead to an unstable carbocation, but this is not so unstable as was once thought. More important is that S_NI involves disruption of a stabilised molecule. In S_N2 the transition state must have a linear Nu-C-L arrangement (**2.1Ge2**). For vinyl systems this would involve some hindrance between the incoming nucleophile and a substituent (even H) on the βC (sketch in **2.1Ge2**). Despite their general unreactivity towards nucleophiles vinyl halides polymerise readily and give important industrial materials.

The important general relationships of **2.2** and **2.3** are the *high reactivity* of allyl and benzyl halides and the low reactivity of vinyl and aryl halides.

3 Organometallic compounds

This chapter covers the most useful organometallic compounds R-M with M = Li, Mg or Cd. Although Zn compounds have been largely superseded, one of their reactions still merits inclusion here. Lithium cuprates are treated only very briefly. The dialkyl derivatives of magnesium, R-Mg-R, are known, but the Grignard reagents, R-Mg-Hal, are the ones commonly used. In this chapter R-M stands for R-M compound(s) or reagent(s), thus obviating repetition of 'compound(s)' or 'reagent(s)'. Scheme **3Ge** portrays polarisation of the covalent R-M bond leading to a $C^{\delta-}$ centre; this dominates the chemistry of R-M and is responsible for tendencies **1** and **2**. Tendency **3**, a nuisance, is not so readily predicted.



Structures: Me-Li as solid,X-ray diffraction shows tetramer (MeLi)₄ having Li atoms in a tetrahedral arrangement with a Me over each face

R-Li in solution, equilibria between species such as (RLi)₄ and (RLi)₆

R-Mg-Br (R = Et, Ph) as solids isolated from solutions in
$$Et_2O$$
 Et_2O OEt_2

R-Mg-Hal in solution

2 R-Mg-Hal R₂Mg + MgHal₂ various complexes

R-M are decomposed by water (see **3Re1**); they are also sensitive, in the order Li > Mg > Cd, to atmospheric moisture and oxygen. The general

procedure is to generate R-M in an inert solvent such as Et₂O (ether, ethoxyethane], hexane, or benzene, and then to add the reagent to this solution. In a few cases the R-M solution is added to the reagent. Thus R-M arc not isolated unless required for studies such as X-ray diffraction. R-Li are prepared and used under N₂. Solutions of the less sensitive R-Mg-Hal in ether, b.p. 35°, are sufficiently protected from atmospheric moisture and oxygen by the layer of ether vapour above the solution. With R-Cd-R the only requirement is exclusion of water. R-Li have many advantages over the older R-Mg-Hal and solutions of R-Li in hexane may be bought. This is a great convenience to busy chemists but an expensive luxury in large scale work. For comparable reactions with, say, 50g of Me₂CO the costs would be ~£60 for Bu–Li supplied commercially but only ~£7 for Bu–Mg–Br generated in the laboratory. (A historical note: Viktor Grignard, 1871–1935, developed the synthetic uses of R-Mg-Hal in his Ph.D. research at the University of Nancy. The outstanding importance of this work led to the well merited award of a Nobel Prize in 1912. However the reagents were discovered by Philippe Barbier, Grignard's supervisor, who suggested the study of their reactions. There was no dispute about 'priority'; with commendable generosity of spirit Barbier insisted that the reagents should bear only Grignard's name.)

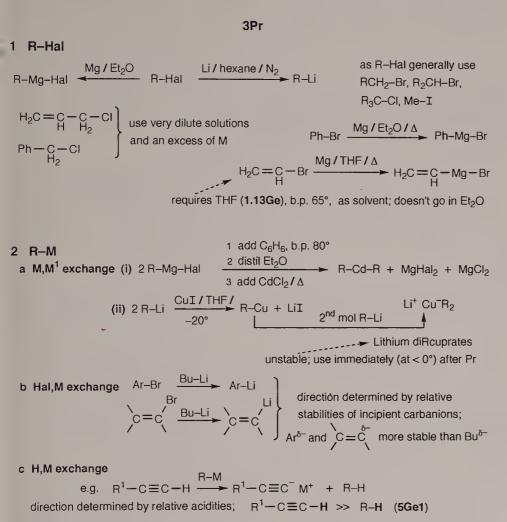
The structures of a few solid R-M have been investigated by X-ray diffraction. In solutions there are equilibria between various species, and the finer details remain obscure. Some of the results are summarised in scheme **3Ge.** For our purposes the simple representations R-M and R-Mg-Hal are adequate.

The wide range of general reactions exhibited by R-M put them in the first rank of reagents used in synthesis. They are the $C^{\delta-}$ complement of the $C^{\delta+}$ in organic halides; the two groups have central positions in functional group chemistry. Although most of the reactions (in **3Re**, which comes after the preparations) are depicted as occurring with R-Mg-Hal, the treatment is intended to include all the R-M covered here. In general, R-Mg-Hal and R-Li are similar; many of the reactions discussed later occur with both. There are, however, important differences between them, and these are clearly identified. The Cd and Zn reagents, which differ markedly from R-Mg-Hal, have particular applications and these are slotted in at appropriate places. (#The lithium cuprates, LiCuR₂, are very useful in certain reactions where R-Mg-Hal are unsatisfactory. The preparation is shown here, **3Pr2a(ii)**, and an application is given in **4.2Pr2e**. Full treatment, later course on synthesis.)

Scheme 3Pr covers the two main methods for preparing R-M. All types of R-Hal (primary, secondary, and tertiary; Cl, Br, I) react with Li and Mg (Pr1). With primary and secondary Cl the reactions are inconveniently slow; with tertiary Br they are very fast and difficult to control. The halides generally used are shown in the scheme. MeI, a liquid b.p.42°, is easier to handle than MeBr, a gas b.p.3°. Allyl and benzyl halides are so reactive that they undergo S_N2 reactions with the R-M being formed,

i.e. $R-Hal + R-M \longrightarrow R-R + M-Hal$.

Conditions for reducing this tendency are shown in **Pr1**. Et₂O or, in a few cases, THF (tetrahydrofuran) are the solvents used with R-Mg-Hal. R-Li react with Et₂O at 20°; Et₂O may be employed at -70° but hexane, which is inert, is generally preferred. Benzene is the standard solvent for R-Cd-R.



R-M prepared by Pr1 are sources of other R-M which cannot be generated efficiently from M + R-Hal. Several variations of this approach are set out in Pr2. As the electropositivity of a metal increases so does its tendency to assume the ionic state. This determines the direction of 2a. In 2a(i) Mg is more electropositive than Cd; in 2a(ii) Li is more electropositive than Cu. The outcome is that a more reactive R-M generates a less reactive R-M. Features responsible for the directions of 2b and 2c are shown in the scheme. Some syntheses would be difficult if it was not possible to form R-M from vinyl and phenyl halides. Despite the unreactivity of these halides in S_N reactions (2.3Ge) the Mg and Li derivates of vinyl and aryl systems can be obtained as shown in Pr1 and 2.

Scheme 3Re deals with the reactions of R-M.

3Re

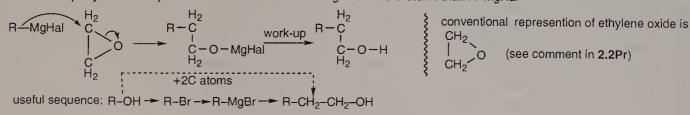
For convenience the representation R–MgHal is used rather than the more elaborate R–Mg–Hal of 3Ge

2 As Nu

a with aldehydes and ketones: formation of alcohols

b with esters: formation of alcohols

c with oxiran (ethylene oxide): formation of alcohols containing two more C atoms than R-MgHal



d-h: methods using R-M to form aldehydes and ketones

Li⁺ R-Cu-R reacts similarly

similarly R¹–CO–NMe₂ gives R¹–CO–R

generally unstable, cannot be isolated; product is the aldehyde

i with CO2: formation of acids

j use of Zn compounds: formation of 1,3-hydroxyesters

$$\begin{array}{c} R \\ C=O \\ \hline R^1 \\ \hline \\ R^1 \\ \hline \\ R^1 \\ \hline \\ C_6H_6/\Delta \\ \hline \\ R^1 \\ \hline \\ CH_2-CO_2Et \\ \hline \\ CH_2-CO_2E$$

3 R-MgHal as reducing agent: advantage of R-Li

$$R = Me_2CH$$

$$R =$$

In **3Re1** the 'free bonds' go to C or H (Section 1.4). Thus H–O– denotes H–O–H and H–O–R. Although R-Mg-Hal are strong bases they do not abstract a proton from simple alkanes.

Re2 illustrate the main features of R-Mg-Hal as reagents in synthetic work. These reactions produce, initially, Mg derivatives from which the products are liberated by 'work-up', usually treatment with dilute acid. For products sensitive to acid, e.g. some tertiary ROH (see later), aqueous ammonium chloride is used. In representations of R-Mg-Hal reactions this work-up is generally omitted; it is regarded as included in the R-Mg-Hal specified. The simple mechanisms of the reactions in Re2 are satisfactory for our purposes, but in reality the reactions may be more complicated. For example, certain cases of 2a are known to proceed via a 6-membered transition state as shown. Reaction with esters(2b) gives ketones (or aldehydes) but these cannot be isolated under standard conditions; they react further to form alcohols, as explained in the scheme. The ethers Et₂O and THF (5-membered ring, not strained) solvate and thereby stabilise R-Mg-Hal. By contrast, oxiran (3-membered ring, strained) reacts smoothly with R-Mg-Hal (2c), the strain being relieved by ring opening. This is the crucial step in a sequence for adding 2C atoms to a substrate. 2d-h are methods devised to circumvent the difficulty, already encountered(2c), of

producing aldehydes and ketones. In 2d the first stage gives an acetal (10Re2) which does not react with R-Mg-Hal. The mechanism of this reaction has not been established; that suggested here is speculative. The aldehyde is generated in a second separate stage, i.e. in the absence of any R-Mg-Hal. A different approach is adopted in 2e. R₂Cd and LiCuR₂ are much weaker nucleophiles than R-Mg-Hal; R1-CO-Cl, an acid chloride, is more reactive than a ketone towards nucleophiles (8.1Ge1). The upshot is that the Cd and Cu reagents do react with acid chlorides but not with ketones. Reaction 2f calls for good experimental technique. The key features are that the R-Mg-Hal is, unusually, added slowly to the other reactant, and at low temperature. At -70° the difference in reactivity between R¹-CO-Cl and R-CO-R¹ is enhanced (see discussion of **4.2Re1c**), and the reverse addition ensures that the concentration of R-Mg-Hal at any moment is low. The divergence between R-Mg-Hal and R-Li in 1g exemplifies the general higher reactivity of R-Li, and R-Li is more effective than R-Mg-Hal in 1h. (Prefixing the intermediates in 1g and 1h by 1,1- means merely that the groups are attached to the same C.) The instability of these intermediates, which is not readily predicted by organic theory, stems from the decrease of free energy associated with their decomposition. (It does not follow that the reactions must be fast. Rates depend on activation energies, as illustrated in Section 1.5.) Both 1g and 1h embody the principle of 1d, that the aldehyde or ketone is not generated in the presence of R-Mg-Hal. Reaction 2i provides an excellent preparation of carboxylic acids(8.2Pr1).

A limitation of both R-Mg-Hal and R-Li is that the halide used in the preparation must not contain, elsewhere in the molecule, groups which react with R-M such as CO double bonds, CN double and triple bonds, carboxylic and nitro groups. 1,1-,1,2-, and 1,3-dihalides are also excluded: they undergo elimations with Mg and Li. The use of other R-M may circumvent this difficulty. For example Zn organometallics (slightly more reactive than the Cd compounds) do not react with esters, and an ester group may be present in the reagent as shown in 2j.

Reactions of R-Mg-Hal as a nucleophile are blown off course by even moderate steric hindrance. In **Re3** formation of product **U** requires approach of two C centres. Formation of **V** and **W** involving approach of a C centre and a H atom are less sterically demanding (see **1.12Ge**) and are therefore favoured. The R₂CO in the mixture of products does *not* arise from incompleteness of the R-Mg-Hal reaction. It is liberated in work-up from the Mg enolate shown in the scheme. Thus, **Re3** illustrates R-Mg-Hal acting as a nucleophile, a reducing agent, and a base. R-Li are effectively smaller, possibly because they are not solvated (in hexane). The formation of the tertiary alcohol in high yield by R-Li provides a sharp contrast, and exemplifies the modern trend towards the use of these reagents.

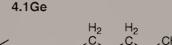
4 Alkanes

Organic nomenclature, the naming of compounds, is based on the alkanes' names. This is discussed in Section 4.1. The chemistry of the alkanes forms Section 4.2.

4.1 Systematic nomenclature

Many compounds have attractive traditional (trivial) names which are short and handy for everyday use. For publications, however, names denoting compounds' structures unambiguously are essential. These systematic names are constructed by a rigid procedure the full form of which, replete with its arcane conventions, is exceedingly difficult. Unfortunately, a truly 'simple' account does not bring out the underlying principles. The present version is a compromise. It covers open—chain (aliphatic) compounds fairly comprehensively but cyclic compounds, apart from the cycloalkanes, are not discussed. Even with open—chain systems the going is hard enough but, some comfort, the details need not be memorised.

Scheme **4.1Ge** deals first with alkanes (**part 1**), then with compounds (**part 2**) containing O, N, Hal, S,....A range of examples follows.



Skeleton formulae are used to save space e.g.
$$= H_3C \xrightarrow{C_2} C_2 \xrightarrow{C_3} C_3$$

1 Alkanes

Aliphatic C_nH_{2n+2} n=1 2 3 4 5 6 7 8 9 10 methane ethane propane butane pentane hexane heptane octane nonane decane etc H_2 3 4 5 6 H_2 H_2 H_3 H_4 H_4 H_4 H_4 H_4 H_5 H_4 H_5 H_4 H_5 H_5 H_6 H_6 H_6 H_6 H_7 H_8 H_8 H

cyclopropane cyclobutane cyclopentane cyclohexane etc

Aikanes with branched chains:

Number the longest chain in the direction that gives the lowest possible numbers to the side chains. This gives the basic name. Introduce the side chains as prefixes (i.e. before the basic name) in alphabetical, not numerical, order.

Q gives a lower total (16) than P (17). There is a widespread misconception that the name with the lower total (here Q) is correct. There is a more important rule, that the name having the lower number at the point of first difference' is preferred. Here the first difference is 2 in P versus 3 in Q. Hence P is correct.

Suffix

Some functional groups are named only as prefixes, some as prefixes or suffixes (i.e. at the end of the name), and two only as suffixes. (*With cyclic compounds the situation is more complicated.) A name is allowed to have only one suffix. Groups containing O, N, Hal, S, etc are arranged in a priority order for selection as the *Principal Function*. The following Table shows the order, and deals with a tricky point about the endings –ene and –yne

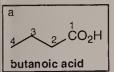
To devise a name: **a** select the Principal Function, **b** use this as the suffix, **c** give it (or the C to which it is attached) the lowest possible number and so deduce the basic name, **d** specify the other groups as numbered prefixes

Table of groups,(i) to (xv), in descending order of priority for selection as Principal Function

(i) (ii)	acid -CO ₂ H anhydride -CO-O-CO-	-oic acid -oic anhydride		(xi) $C = C$ -en	These replace –ane of basic name and are regarded as part of basic
i(iii)	ester CO ₂ R see e.g.s for clarification	R -oate	R-oxycarbonyl	(xii) −C≡C− -yn	e name. Do not 'count' as suffixes. Are followed by suffix of any higher
(iv)	acyl halide -CO-Hal	-oyl halide	halocarbony!-		priority group in compound
(v)	amide -CO-N	-amide	amido-		
(vi)	nitrile -CN	-nitrile	cyano-		Prefix only
(√ii)	aloehyde -CO-H	–al]	oxo-	(xiii) ether -OR	R–oxy
(viii)	ketone -CO-	-one	(denoting == 0)		(see e.g.s)
(ix)	alcohol -OH	-ol	hydroxy–	(xiv) halides -Hal	halo-
	amine -N	-amine	amino-	(xv) nitro -NO ₂	nitro-

Prefix

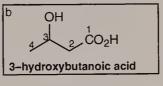
The following e.g.s show how the procedures are applied. Do not try to memorize the details.



Group

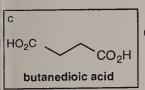
Idea is one CH₃ of butane is converted, in theory, into CO₂H. A CO₂H must be at end of chain, and its C is always numbered 1. Thus no need to show 1 in name. Name

should be butaneoic but, convention, e is dropped if vowel or y follows.

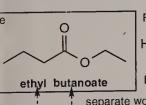


Suffix

The Principal Function (PF) is CO₂H, hence suffix is –oic acid. The OH is named as a prefix, and its number must be specified.



Di before acid, 2 acid groups.Both CO₂H must be at end of chain, so no need for numbers. Traditional name *succinic acid* still in vogue.



Full structure O $\stackrel{\text{ethyl}}{\downarrow}$ H₃C-C-C-C-C-C-C-C+3 H₂

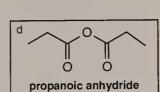
Ethyl ester of butanoic acid

separate words

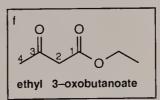


Full structure H_2C CO_2Et CO_2Et

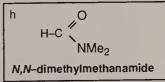
Ester of propanedioic acid. Two ester groups so must specify both ethyl. Traditional name *malonic* ester still generally used.



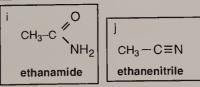
Product of removing H₂O from 2 molecules of propanoic acid. Word anhydride means loss of H₂O from some compound.



Is a ketone and an ester. Ester is PF. Number the C chain. Regard keto group as a substituent O=(C) and specify as a prefix. Traditional name acetoacetic ester generally used.

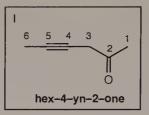


Italicised *N,N* show that both Me are attached to N.Traditional name *dimethylformamide* and abbreviation *DMF* generally used.

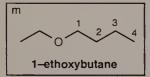


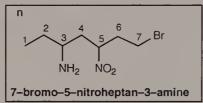
Traditional names *acetamide(i)* and *acetonitrile(j)* stubbornly defy extinction.

-ene regarded as part of basic name, not a suffix. OH, the PF, used as suffix does not contain C. So C to which OH attached given lowest possible number. Double bond is 5,6. Lower number cited. Although there is a number between ene and ol final e of ene is still omitted.



Keto group given lowest possible number. The e of –yne is omitted.





NH₂ is PF, not contain C. So C to which attached given lowest possible number.
Would be 5 if numbered from other end. Prefixes cited alphabetically.

4.2 Chemistry

Alkanes do not react with electrophiles, nucleophiles, acids or bases unless very vigorous conditions or very reactive reagents are used; they do react with radical reagents (4.2Ge).

The preparations of alkanes are in 4.2Pr

Most of the material in **4.2Pr** does not refer to preparations in the usual sense. These days no chemist would set about preparing, say, decane. Standard alkanes are supplied, cheaply and in a pure condition, by the petroleum industry. For various purposes, however, (for example in natural work) it is necessary to remove the functional groups of compounds: methods for doing so are in **Pr1**. Synthetic work sometimes involves the conversion of a compound R–(functional group) into R–R or R–R¹: this is covered in **Pr2**.

The important process of hydrogenation(Pr1a) is usually carried out using heterogeneous catalysis, i.e. reaction on the insoluble metal surface. Homogeneous catalysts are now available and have the advantage of greater selectivity. Thus, when double bonds of different types are present a particular one can be reduced leaving the others intact.

Removal of a CO double bond is shown in **Pr1b.** Procedures of type (i), and the sequence (ii) involving the thioacetal are convenient and reliable. Less useful methods, for example the Clemmensen reaction, have been omitted. The best method for converting R-Hal into R-R or R-R¹ (**Pr2**) involves the lithium cuprates (3**Pr2a**). Two old preparations of R-R (the Wurtz reaction using R-Hal and the Kolbe reaction using R-CO₂H) have been superseded and can be disregarded.

1 Reduction

a Hydrogenation of alkenes and alkynes

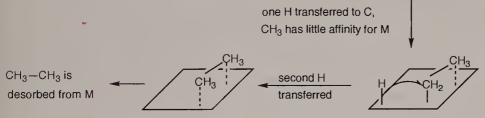
$$\begin{array}{c} \text{C} = \text{C} & \frac{\text{solvent (e.g. EtOH) / catalyst / stir under}}{\text{H}_2 \text{ (possibly at high pressure) / 20-150}^\circ} & \begin{array}{c} \text{I} & \text{I} \\ \text{C} = \text{C} \\ \text{I} & \text{I} \\ \text{H} & \text{H} \end{array} \\ -\text{C} = \text{C} - \\ \begin{array}{c} \text{H}_2 & \text{H}_2 \end{array}$$

heterogeneous catalysts: Pd-C (Pd adsorbed on charcoal); Pt (in a finely divided state);

Raney Ni (prepared cheaply in a finely divided state by treating

homogeneous catalysts: e.g. (Ph₃P)₃RhCl

Simple representation (#more in later courses):



The two H atoms are added to the same side of the double bond (see 6Re1)

b Aldehydes and ketones

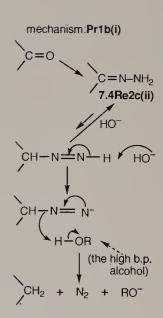
(i) H_2N-NH_2 (hydrazine) / KOH / HO-(CH₂)-O-(CH₂)-OH / Δ a convenient version of the original Wolff-Kishner reaction (mechanism in margin)

Widely used modern developments include:

(ii)
$$C = O$$
 $\frac{HS - (CH_2)_2 - SH /}{sq BF_3}$ (ethane-1,2-dithiol) $\frac{S}{(has H_2 \text{ on surface from Pr)}}$ $\frac{S}{(has H_2 \text{ on surface from Pr)}}$ $\frac{CH_2}{(has H_2 \text{ on surface from Pr)}}$

c R-Hal and R-OH → R-H 2.1Re4 see 8.2Re2 for R-CO₂H → R-H

2 Alkyl halides \longrightarrow R-R or R-R¹ 3Pr2a(ii) LiCuR₂ + R¹-Br $\xrightarrow{0^{\circ}}$ R-R¹ R-Cu R R¹ Br S_N¹ (R and R¹ may be identical or different)

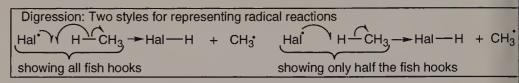


The alkanes' reactions are covered in 4.2Re.

4.2Re

1 With Hal₂ (reminder, that Hal2 represents F2, Cl2, Br2, I2)

a methane



radical chain reaction

until all CH4 used

Calculate enthalpy change from bond energies

	Table of Bond Energies (kJ mol ⁻¹)										
F-F 1	57	H-F	568	CH ₃ -F	456	H–H	435				
CI-CI 2	43	H-CI	431	CH ₃ -CI	351	н-он	502				
Br–Br 19	94	H-Br	365	CH ₃ -Br	293	H-NH ₂	427				
I_I 1	53	H-I	299	CH ₃ -I	234	_	1				
C—H bo	nds:										
R ₃ C—H	381	R ₂ CH-	-H 397	RCH ₂ —I	H 410	CH ₃ —F	1 435				
CH ₂ =C	-С-Н 37 I Н ₂	7 2	Ph-CH ₂ -H	355	Ph-H	460					

Thus: breaking CH
$$_3$$
-H breaking CI-CI
$$CH_4 + CI_2 - CH_3CI + HCI \qquad \Delta H_0 = -431 + 435 - 351 + 243 = -104 \text{ kJ}$$
 forming H-CI forming CH $_3$ -CI

Similarly for all Hal:

b higher alkanes e.g. % attack at sites of 2-methylbutane (1 mol) by Cl₂ (1 mol) at 250

c Br₂ versus Cl₂

Generalisation: As reactivity of system increases selectivity of reaction decreases Br_2 is less reactive, hence more selective: in relation to C–H bond breaking, the transition state is earlier for Cl_2 than for Br_2

2 Vapour phase nitration

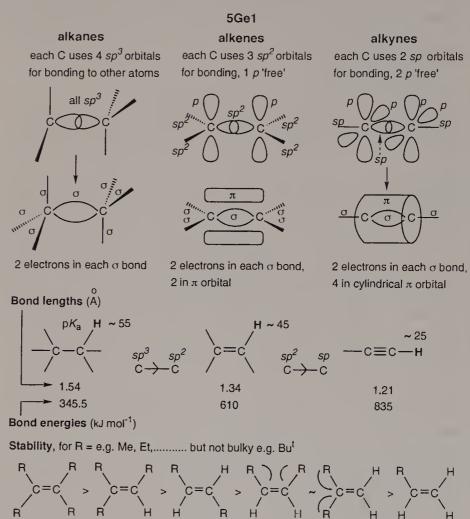
$$R-H \xrightarrow{HNO_3/450^{\circ}} R-NO_2 + R^1-NO_2 + R^2-NO_2$$
 etc

mixture formed, in some products R has been degraded (R¹ and R² have fewer C atoms than R); one of main uses is to produce CH₃–NO₂ nitromethane (11Re1)

Two representations of a step in **Re1a** are shown. Which to use is a matter of personal choice; the chemistry is not affected. The enthalpy change of halogenation may be calculated from the bond energies given in the table. (The value for a specific bond e.g. CH₃-Cl is, strictly, a bond-dissociation energy. Bond energy refers to the average value in a series of similar compounds e.g. the C-Hal values in 2.1Ge2b. The present table contains both types, but we can ignore the distinction.) Calculation of the enthalpy changes with the different Hal₂ explains the observations that I₂ does not react with alkanes whereas F2 reacts violently and generally in an uncontrollable manner. Chlorination of 2-methylbutane(1b) gives much more 2-chloro-2-methylbutane than expected on a random basis. This illustrates the influence of radical stability in determining the proportions of products: the relative stabilities of C radicals (Section 1.9), tertiary > secondary > primary, correspond with the C-H bond energies of the systems from which they are formed. Unfortunately results are not available for chlorination and bromination of 2-methylbutane under the same conditions. Bromination at 25°(1c) is far more selective than chlorination at 250°. No doubt the lower temperature accounts for much of this difference, but another factor is the lower reactivity of Br2. A generalisation relating selectivity to reactivity is given below 1c; from this it follows, for example, that reaction 1b at very high temperature would give the purely statistical result of 75% attack at CH₃, 16.7% at CH₂, 8.3% at CH. (#Mechanism course for discussion of earlier transition state.) The conditions of nitration in Re2 are very different from those of the familiar nitration of aromatic compounds.

5 Alkenes

Before dealing with the alkenes we should consider the general relations between alkanes, alkenes and alkynes (scheme **5Ge1**).



In the very simple molecular orbital pictures only the CC bonds are shown in detail. ('Foundations' gives a clear description of the background.) The π electrons are not involved in the molecular framework; they are relatively distant from the C atoms and available for reaction. Thus the first general characteristic of alkenes and alkynes is their *tendency to be attacked by electrophiles*.

The spherical s orbital of C may be regarded as near to the positive nucleus, and the pear shaped p orbital as farther away. (#s has a high probability value at the nucleus whereas p has a node.) It follows that as the s character of an orbital increases the orbital becomes effectively nearer the nucleus. An electron in an orbital therefore becomes more strongly attracted by the positive nucleus as the orbital goes from sp^3 to sp^2 to sp. If 2 electrons are in a C-H bond departure of a proton leaves a carbanion which becomes more stable in the same hybridisation order, i.e. the acidity of the H increases. If the electrons are in a bond to another C they are attracted in the directions shown in 5Ge1: this is the basis of the inductive effects of differently hybridised C (1.6Ge). The inductive effect is concerned only with σ electrons: the presence of an excess of electrons in the π orbitals of CC multiple bonds is irrelevant. Electron donation by an R group attached to multiple bond is a mutually satisfying stabilising effect. A double bond therefore becomes more stable as more R groups are attached to it (bottom of scheme). However in 1,1-di-, cis-1,2-di-, tri- and tetra-alkyl systems there is the potential for repulsion between the R groups. This is small for Me-Me interactions (~4 kJmol⁻¹) but with Bu^t groups (2.1Ge2, margin) steric factors are dominant and the stability order in the scheme does not apply. For example the tetra But alkene is so strained that its preparation is very

Relative bond lengths and energies are not readily predicted by simple organic theory. From the energies it follows that additions to multiple bonds are energetically very favourable (material in margin). Thus the second characteristic of alkenes and alkynes is their tendency to undergo addition reactions.

Scheme **5Ge2** is concerned with a stereoelectronic effect, and the direction of eliminations.

ΔH⁰(kJ mol⁻¹) of reactions

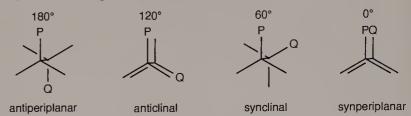
$$H_2C = CH_2 + Br_2$$

$$\downarrow \qquad -113$$
 $BrH_2C - CH_2Br$

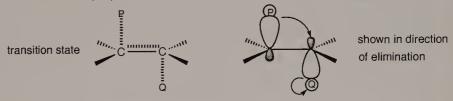
5Ge2

A stereoelectronic effect

Conformations, and dihedral angles (angles eye sees between C-P and C-Q bonds)



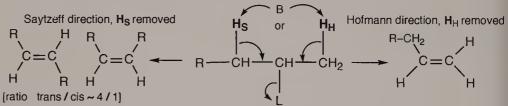
The effect Antiperiplanar conformation favoured for reaction in both directions



Direction of E2 and E1 eliminations

se R-Hal e.g.
$$Me-CH-C-Me$$
 $\xrightarrow{NaOEt/}$ $Me-CH-C-Me$ + alkenes H_2 OEt subject of present topic

E2 reactions



for R = Me, B = NaOEt / EtOH,

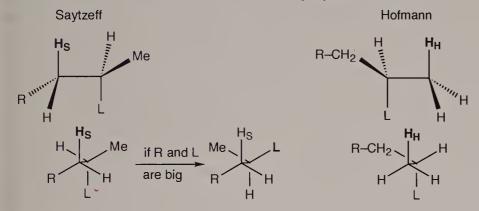
$$L = Br F N^{+}I$$

 $L = Br F N^{+}Me_{3}$ % Hofmann 19 80 95 % Saytzeff 81 20 5

Hofmann / Saytzeff ratio increases as

- (i) strength of B increases
- (iii) as L⁻ becomes a poorer leaving group
- (ii) as electron withdrawal by L increases
- (iv) as size of B increases
- (v) as size of R or L increases

Conformations with H (to be eliminated) and L in antiperiplanar relation



E1 reactions

e.g. Me
$$-C-C-Me$$
 $\xrightarrow{K^+-OR/ROH/\Delta}$ \xrightarrow{Me} C^+-C-Me $\xrightarrow{loss of H^+}$ $C=C$ Me $C=C$

high yield of 2-methylbut-2-ene irrespective of nature of R

If conditions are changed so that E2 is favoured

Possible conformations of a disubstituted ethane are shown. [It would be logical to use the terms syn (same side) and anti (opposite sides) only for reactions, and the corresponding terms cis and trans for compounds. Unfortunately syn and anti have been incorporated into the conformations' names and distinction between the two sets has become blurred.] The important point is that the antiperiplanar conformation is favoured for the substrate in E2 and the product in the additions specified. Two factors are involved. An antiperiplanar arrangement of the atomic centres allows maximum overlap of the developing orbitals in the formation of, or in the addition to, the π bond. The anti orientation of P and Q also minimises steric interaction in the elimination or the addition. Caution: this generalisation

does not apply to E2 in cyclic systems of 4, 5 (possibly), 8, 9 and 10 members where synperiplanar elimination is favoured.

Only a brief treatment of the E2 direction is given here. (#Full discussion, later courses.) In general secondary RHal give comparable amounts of substitution and elimination products (2.1Ge2c). Here only the elimination is considered but the total yield of alkenes may be 50% or less. An excess of base is present, and the elimination may taken as E2 even if this is not strictly so. (See the discussion of 2.1Ge2.)

Dehydrobromination of, for example, 2-bromobutane may proceed in 2 directions giving but-1-ene or but-2-ene. Several features determine which is formed. Saytzeff (1841-1910) studied dehydrohalogenation while Hofmann (1818–1894, a giant of classical organic chemistry) investigated elimination from quaternary ammonium salts: their names are used to designate the directions of elimination. In the general formula (scheme) H_H and H_S are, respectively, the H removed in the Hofmann and Saytzeff directions. H_H is more acidic than H_S (Section 1.9). H_H should then be removed and the Hofmann direction, giving the less substituted alkene, should be followed. However the Saytzeff product is the more substituted and therefore the more stable alkene. In a simple system (leaving group L=Br, small base B, small R) the second factor is dominant and the Saytzeff direction may be regarded as the 'natural' one. Various factors may prompt a change towards Hofmann (scheme). (i) As the strength of base increases proton removal becomes the dominant factor and Hofmann is relatively more favoured. (ii) Strong electronic withdrawal by L makes both H_H and H_S more acidic. Proton removal again becomes the dominant factor. However H_S is less affected than H_H because enhanced +I donation by R can reduce the effect on H_S (sketch). The upshot is that Hofmann becomes more favoured. [#In (i) and (ii) the elimination has some E1cb character.] (iii) As L⁻ becomes a better leaving group its departure may be regarded as marginally ahead of the base attack. From the treatment of E1 reactions (following paragraph) it emerges that this change favours the Saytzeff direction. (#The elimination has some E1 character.) So then the reverse change, making L⁻ a poorer leaving group, favours Hofmann. For example, F is strongly electron withdrawing and a poor L⁻; both properties contribute to the remarkable difference in product ratio between F and Br. (iv) On steric grounds the base prefers H_H; attack on H_S brings the base into proximity with the R of the αC . As the base becomes bigger the preference is enhanced, hence more Hofmann. (v) The antiperiplanar conformation required for Saytzeff has R and L in a synclinal relation (sketch). If either R or L is big, or if both are big, the repulsion between these groups drives the molecule into other conformations in which H and L are no longer antiplanar. The preferred Hofmann conformation is not affected by the size of R and L, and the Hofmann percentage increases.

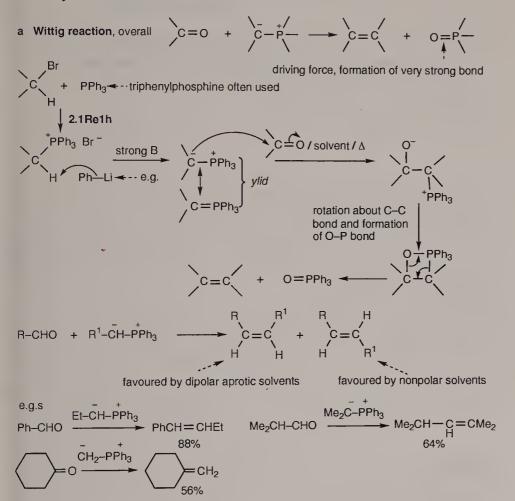
In elimination from tertiary substrates high yields of alkenes are obtained (2.1Ge2a). Under standard conditions, protic polar solvents, E1 occurs. Loss of H from a carbanion (scheme) is a fast step (very low activation energy) and is therefore governed by product stability. Thus E1 leads mainly or exclusively to Saytzeff. However, nonpolar aprotic solvents discourage

formation of ions and even tertiary substrates can be forced towards E2. Change from Saytzeff towards Hofmann in E2 is again influenced to some degree by structural features but only an increase in the size of base has a marked effect. (Scheme, and a preparatively useful example 2.1Re2.)

The main routes to alkenes are in scheme 5Pr.

5Pr

1 Aldehydes and ketones



[in general formulae of the following type R groups may be identical or different]

yields of RCH=CH $_2$ and RCH=CHR R $_2$ C=CH $_2$ and RCH=CR $_2$ R $_2$ C=CR $_2$ high medium (~50-65%) low (steric hindrance)

10 electrons in L shell

b McMurray reaction

$$C = O + O = C$$
 Ti°/Δ
 $C = C$
 Ti°/Δ
 $C = C$
 Ti° represents colloidal Ti, generated from TiCl₄/LiAlH₄

a R-Hal or R-OTs / B typical conditions Na⁺ (or K⁺) ⁻OR / ROH or PhMe / Δ see 2.1Re2 for an example

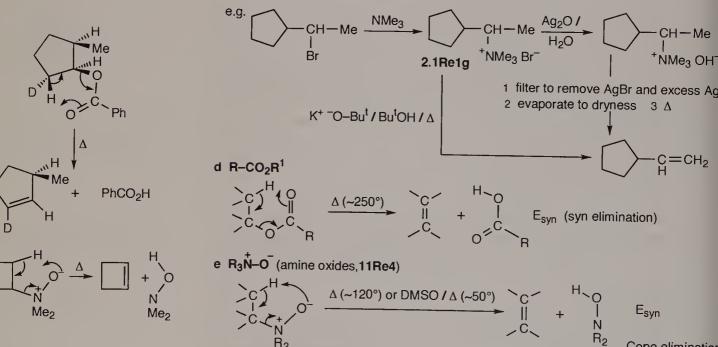
b R-OH/A/ \triangle A = e.g. H₂SO₄, BF₃, ZnCl₂ tendency for elimination te > se > pm E1 or E2 mechanism, usually Saytzeff direction, more substituted alkene formed Polyphosphoric acid (H₃PO₄ containing P₂O₅) often better than H₂SO₄

For se ROH better to use method a rather than method b

e.g.
$$RCH_2$$
 — CH — CH_3 — RCH_2 — CH — CH_3 — C — CH_3 — C

Cope elimination

c $R_4N^+OH^-/\Delta$ or $R_4N^+X^-/B/\Delta$



3 1,2- Elimination from
$$L - C - C - L^{\dagger}$$

2,3-dibromobutane + NaI
$$(S,R)$$
 (S,S) and (R,R) \downarrow (Z) - and (E) -but-2-ene

4 R-C≡C-R¹ 4.2Pr1a

The Wittig reaction (**5Pr1a**,1953) is one of the most important reactions of organic chemistry. It joins compounds together simply and cleanly, giving alkenes which serve as starting materials for further transformations shown in **5Re**. 1,2–Disubstituted alkenes are generally formed as E/Z mixtures with Z predominanting. [The terms E and Z, which denote the configurations of compounds containing a double bond, will be explained in a stereochemistry course. For symmetrical alkenes E and Z are equivalent to the familiar terms trans and cis respectively.] Several factors influence the stereochemical outcome, as exemplified in the scheme by the effect of changing solvent polarity. (*Full treatment,later courses.) The reactive intermediate (scheme) is an ylid, pronounced ill–id not eye–lid. One canonical (Section 1.6) of an ylid has C^- joined to an atom such as P^+ , S^+ , or N^+ . Resonance stabilisation (arising from a second, neutral canonical) is possible with P and S but not with N, and N ylids are much less stable.

Pr2 and **3** are eliminations. That a primary R-Br undergoes elimination rather than substitution with Hünigs base (2a) runs counter to the generalisation of **2.1Ge2**. However this base is big, apparently too big to act as a nucleophile but still able to act as a base. (See diagram in margin, and rationalisation in **1.12Ge**.) The main features of **2b** and **2c** accord with the treatment of **5Ge2**. **Pr2d** and **2e** represent a class of elimination in which the substrate is heated strongly without reagent or solvent in an inert atmosphere (N_2) or at reduced pressure. These are examples of *pyrolysis*, from *pyro* = heat and *lysis* = splitting, and are designated E_{syn} . They could with propriety be included in the E1 class, but they are so different in nature from the usual E1 reactions that it is sensible to give them a separate title. Study of isotopically labelled substrates, for example the ester in the margin, prove the syn stereochemistry of **2d**. **2e** occurs at a lower temperature than **2d** and is useful for preparing strained alkenes such as cyclobutene.

In **Pr3** reaction of the substrates in the antiperiplanar conformations (scheme) minimises the energies of activation. The reactions are *stereospecific*. (A stereospecific reaction is one in which stereoisomers of a

substrate give, selectively, stereoisomers of a product. Replacement of 'stereoisomers' by 'diastereoisomers' is strictly correct but pedantic.) It is instructive to draw sketches for the material in the margin opposite 3a; points of stereochemistry and nomenclature are involved. This reaction is usually employed in the reverse direction, i.e. the dibromides are obtained from the alkenes, as shown in the following scheme (5Re).

Alkenes exhibit a wide range of synthetically useful reactions. The scheme showing these (**5Re**) is necessarily long. Don't try to work through it in one sitting. Take it slowly, and before starting look at the commentary which follows the scheme.

Addition of E⁺ to equivalent faces of simple alkene

top
or C
bottom
E⁺

used for illustrating various reactions

Re1 and 2 are represented
$$C = C + E - N \longrightarrow E - C - C - N$$

With unsymmetric alkenes Re1, Re2 and Re4a are regiospecific

1 Stepwise E⁺ addition, intermediate not bridged

b H-OH (see also 3a,b)
e.g.
$$R-C = CH_2$$
 H_2SO_4 or H_3PO_4 /
 H_2O $R-CH-CH_3$
OH

$$\label{eq:me2C} \operatorname{Me_2C} = \operatorname{CH_2} \xrightarrow{\operatorname{HBr}} \operatorname{Me_2C} - \operatorname{CH_3}$$

$$\operatorname{Br} \ 99\%$$

In an equilibrium:

Thus HBr addition is under kinetic control (Section 1.5)

industrial process

$$H_2C \longrightarrow CH_2$$

$$\downarrow 1 \quad H_2SO_4$$
 $CH_3-CH_2-O-SO_2-OH$
ethyl hydrogen sulphate
$$\downarrow 2 \quad H_2O$$

$$\downarrow CH_3-CH_2-OH + H_2SO_4$$

2 Stepwise E⁺ addition, bridged intermediate

Running C = C
$$\frac{H}{R}$$
 $\frac{H}{R}$ $\frac{H}{R}$

$$\Delta H_0$$
 (kJmol⁻¹) for additions -548 -170 -109 0 reactivity F_2 > Cl_2 > Br_2 > I_2 too reactive, not useful useful does *not* add to simple alkenes

b HO-Ha! limited to Br (most useful) and Cl solutions containing HO-Br [hypobromous acid, oxobromic(I) acid] in equilibrium with its protonated form generated from Br₂ or NBS (see below)

Br₂ + H₂O HBr + HO-Br Br + Br-O+H₂

N-bromosuccinimide, abbreviation NBS N-bromobutanimide very useful reagent, commercially

relative rates of addition of Br₂: ethene 1 propene 61 (E) and (Z) but-2-ene ~2100 2-methylpropene 5400 2,3-dimethylbut-2-ene 1800x10³

3 Concerted E⁺ addition (must be syn addition)

a Hydroboration

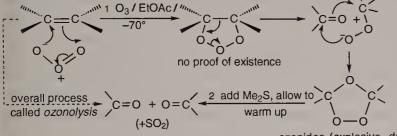
(H C Brown, 1956 onwards)

3NaBF₄ + 4BF₃
$$\frac{\text{Et}_2\text{O}}{\text{O}^{\circ}}$$
 3NaBF₄ + 2B₂H₆ diborane, regard as $\frac{\delta^-}{\text{H}} - \frac{\delta_+}{\text{BH}_2}$ $\frac{\delta^+}{\text{E}^+}$ e.g. $\frac{R_{\text{MM}}}{\text{H}} - \frac{\delta^+}{\text{BH}_2}$ $\frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{BH}_2}$ $\frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{BH}_2}$ $\frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{B}} - \frac{\delta^+}{\text{H}} - \frac{\delta^+}{\text{H}}$

d Osmium tetroxide

$$C = C \frac{1 - OsO_4 / III_{20}}{Et_2O} \frac{1 - OsO_4 / III_{20}}{H_2O} \frac{1 - OsO_4 / III_{20}}{H_2$$

e Ozone



ozonides (explosive, don't isolate!)

4 U reactions

a Addition of HBr R-C=CH₂ CH₂ R-C-CH₂Br

radical initiator e.g. in 2.1Re4b; another often used, dibenzoylperoxide init

initiation: init
$$+$$
 H $-$ init-H + Br $-$ init-H + Br $+$ H

propagation: step 1 Br + H_2 C = C - R \rightarrow Br - $C - \dot{C} - R$ H_2 H_2 H_3 seC' yes

 $\Delta H_o(kJmol^{-1})$ HCI **-49** -40 steps 1 and 2 together 56 -74 -19 step 1 step 2

b Allylic halogenation, Hal usually Cl or Br

See 2.2Pr for e.g. involving
$$Cl_2/500^\circ$$

Good general method for bromination e.g.

NBS / sq radical initiator / sq HBr / CCl_4/Δ

Cyclohexene

3-bromocyclohex-1-ene

4.2Pr1a (included here although not U' in usual sense) c Addition of H₂

N-bromosuccinimide N-bromobutanimide

Most of the reactions are additions. Earlier we saw that eliminations are favoured by high temperature, because this takes advantage of the favourable ΔS_o change(2.1Ge2d). Additions, generally two species giving one, are entropically unfavourable; they are usually conducted at 20° to minimise this factor.

The additions to alkenes are divided between those involving electrophilic (Re1,2 and 3) and radical (Re4a) reagents. Electrophilic additions are further divided into Stepwise in which the intermediate is not bridged (Re1), Stepwise with a bridged intermediate (Re2) and Concerted (Re3). In all these there two important features, viz., the orientation and the stereochemistry of the reactions.

The faces of simple alkenes are equivalent (scheme). 50% of electrophiles or U· add from the top, 50% from the bottom. For simplification only one mode (from the bottom) is shown for the individual reactions of **5Re**. In many places unsymmetric aliphatic alkenes are used to signify the orientation. Unfortunately even with these the products of syn and anti addition are identical because there is free rotation about the products' CC single bonds. With cyclic systems rotation is not possible and products from syn and anti addition are different. The stereochemical features are shown clearly in the scheme, and it is good practice to work through all the reactions with, say, 1-methylcyclopentene.

In **Re1**(stepwise, intermediate not bridged) preference for a certain orientation (regiospecificity) is decided in the first step (scheme) but both syn and anti addition occur. All HHal add; with HBr it is essential to exclude strong irradiation and certain impurities which promote radical addition. H⁺ is included here in the nonbridging category.([#]Later courses, the possibility of H bridges.) The results with 2-methylpropene (margin) establish kinetic control, as would be expected from the general mechanism.

With a bridged intermediate (**Re2**) the orientation is decided in the second step and anti addition occurs. The bridged canonical by itself does not allow prediction of the orientation. However, of the two C⁺ canonicals that with tertiary C⁺ is the more important. This is equivalent to saying that breaking the tertiary C–E bond requires less energy than breaking the primary C–E bond. Thus the nucleophile attacks the tertiary C⁺; this factor outweighs the purely steric preference of the nucleophile to attack the primary C. The favourable antiperiplanar Nu–C–C–E arrangement in the transition state leads to anti addition. In **Re2a** the lack of addition by iodine is a matter of bond energies; organic theory would not account for this. The simplest explanation of the rates of Br₂ addition (margin) is that the intermediate carbocation formed in the rate-determining step is stabilised by attachment of R groups.

The discovery of hydroboration(Re3a) is a milestone in the development of synthetic chemistry. Many transformations regarded previously as mere paper chemistry became going concerns. Only one application is given here; later courses will include many more. Diborane (b.p.12°) is toxic, so the solution in which it is generated is generally used directly. It is an excellent electrophile and (see later) a reducing agent. The addition is concerted but driven by the electron deficiency of boron. Thus arrow 1 (scheme) may be regarded as marginally ahead of arrow 2. The transition state involves the

more stable of the two possible incipient carbocations, and boron becomes attached to the iess substituted C. A steric effect, leading to the same outcome, must also be operating because bulky boranes HBR₂ show remarkably high regiospecificity. **Re3b** and **Re1b** give the same alcohol; **3b** is the preferred method in the laboratory. **3a** and **3b** give *isomeric alcohols*, the OH being added at the *less* and the *more* substituted C respectively.

Osmium tetroxide(3d) is an excellent reagent for hydroxylating double bonds. It is toxic (care necessary) and, for large scale work, prohibitively expensive. The amount required for 50g of but-2—ene would cost £15,000! Ingenious methods for overcoming this impediment include those (scheme) for hydroxylating and splitting double bonds. Ozone, for long regarded as a vicious indiscriminate reagent, has been developed into a good alternative method for bond fission.

Radical addition of HHal(4a) is restricted to HBr. Although the ΔH_o values are similar for all the HHal only HBr has reasonable values for both the propagation steps. The orientation is conventionally regarded as reflecting the possible C radical intermediates. [In the example shown the secondary C· is more stable than the primary C· (Section 1.9).] A steric effect, easier access to the primary C, may also be important. Radical and ionic(1a) addition of HBr give isomeric bromides, the Br being added at the less and the more substituted C respectively. At 20° radical addition occurs by both syn and anti modes. ($^{\#}$ At -80° anti addition is favoured, later courses.)

Allylic chlorination (4b), via the stabilised allyl radical (2.2Ge), occurs at high temperature. Under these conditions addition would not be expected. N-Bromosuccinimide in dry solutions is very convenient for allylic bromination (4b); different conditions apply in the earlier, less useful, application (2b). The crucial feature of the mechanism (#later courses) is that Br_2 is present in *very low steady-state* (unchanging) *concentration*.

6 Alkynes

There is a close resemblance between alkynes and alkenes; the common features are not rehearsed here. Thus many reactions of alkynes, even important ones, have been omitted because their outcome is readily predicted from alkene chemistry. Attention is concentrated on the *differences* between the groups, especially those affecting synthetic work. The CC double bond is usually created from saturated intermediates by elimination. However, with the alkynes the situation is different: the simplest member, ethene, is used widely in building up the higher members and other compounds containing the triple bond. [Ethyne, *acetylene*, is produced cheaply by the industrial process of heating a mixture of methane and steam at 1400°.]

At first sight it is surprising that alkynes (4 electrons in a π orbital) are less reactive than alkenes (2 such electrons) to E^+ (6Ge). With nucleophiles the relative reactivity is reversed. Simple alkenes do not undergo nucleophilic addition; simple alkynes undergo very few such additions. These differences may be rationalised by considering the ions formed in the additions. The alkene carbanion is more stable than the alkane carbanion; the alkene carbocation is probably less stable than the alkane carbocation. (See pK_a values in $\mathbf{5Ge1}$, the discussion in Section 1.9 and a possible qualification in $\mathbf{2.3Ge}$.)

The main preparative routes are in 6Pr.

1 Ethene

H-C=C-H NaNH₂/liq NH₃ Na+ C=C-H R-Hal R-C=C-H an excess of ethyne

see 6Re1 for details of this reagent

2 Alkenes

R-C=C-R¹

$$R-C=C-R^1$$
 $R-C=C-R^1$

NaNH₂/liq NH₃
 $R-C=C-R^1$

NaNH₂/liq NH₃
 $R-C=C-R^1$

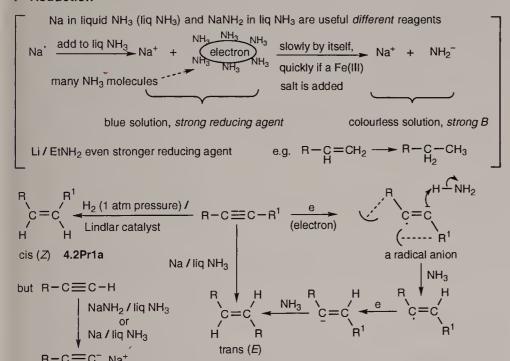
3 1,2-diketones

As explained earlier (2.1Re), high yields in reactions such as Pr1 are obtained only with primary alkyl halides. In both 1 and 2 the products must not be allowed to remain in contact with an excess of NaNH₂ (see Re4). Strained cycloalkynes were prepared by Re3; the drawback is that preparation of the starting materials, 1,2-diketones, involves several stages.

6Re covers reactions which have no close parallel in alkene chemistry.

6Re

1 Reduction



reaction much faster, high yield

$$Et-C \equiv C-H + C=O$$

$$Me$$

$$C=O$$

$$Me$$

$$C=O$$

$$Me$$

$$C=O$$

$$Me$$

$$A-methyloct-5-yn-4-ol$$

H-C
$$\equiv$$
C-H

MeO \downarrow KOH/MeOH/ Δ

H₂C $=$ C $=$ C $=$ OMe

methoxyethene

methoxyethene methyl vinyl ether

$$H-C \equiv C-H$$

$$\downarrow HCN/NH_4CI/CuCI$$
 $H_2C = C-CN$

propenenitrile acrylonitrile

a
$$X = CI$$
, $Br \longrightarrow C = CH_2$
Hal 2.3Ge

b $X = O-CO-R^1 \longrightarrow C = CH_2$
T.5Re4

c $X = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

a $R = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

b $R = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

c $R = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

c $R = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

d $R = OH$ (reagent, $H_2SO_4/HgO/HgSO_4$)

enol esters

R = $C = CH_2$
T.5Re4

Retones

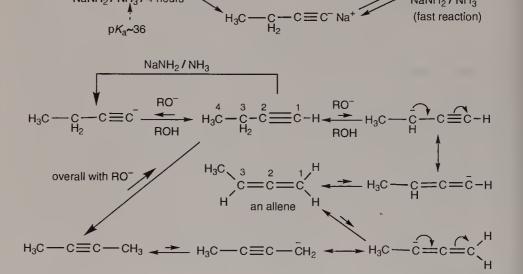
a $R = C = CH_2$
T.5Re4

A Retones

a $R = C = CH_2$
T.5Re4

A Retones

A Ret



Hydrogenation of an alkyne with a poisoned eatalyst(6Pr1) stops at the alkene stage. The product is, as expected from the syn addition shown in 4.1Pr1a, the eis (Z) isomer. The alternative reduction involves sodium in liquid ammonia (b.p.-33°). [Liquid NH₃ is used in a Dewar flask, the laboratory equivalent of a thermos flask, which is confined to the fume eupboard!] The virtue of liquid NH₃ is its extraordinary ability to solvate 'free' electrons. Thus addition of Na gives Na⁺ and what may be regarded as a solvated electron. In the radical—ion formed by addition of the electron to the triple bond the alkyl groups adopt the trans arrangement (seheme) and the product is the trans (E) isomer. Reductions of triple bonds are important tools in syntheses: an alkyne gives a cis or a trans alkene in high yield. It is important to distinguish clearly between Na and NaNH₂ in liquid NH₃ (scheme).

The electrophilic additions (Re2) are general for CC triple bonds. However they are illustrated with monosubstituted alkynes to show the orientation. Addition, slow with electrophilic reagents, is greatly facilited by Hg(II) salts. The mechanisms suggested, interpreted along the lines discussed under 5Re1 and 2, account for the observed orientations ($^{\#}S_{E}2$ reaction, later courses). Re2c forms an enol, the unstable isomer of a ketone.

Re3 involves the terminal alkyne H ($pK_a \sim 25$). The Na and Mg derivatives are useful nucleophilic reagents. Addition to ketones as illustrated, to build up more complex molecules, is a frequent ploy in synthesis. The oxidation **Re3b** proceeds in high yield; its mechanism remains obscure.

Isomerisations(**Re4**) are based on 2 features: a disubstituted alkyne is more stable than a monosubstituted alkyne, and an alkyne H is much more acidic than an alkane H(**5Ge1**). Relevant pK_a values are shown in the scheme. RO⁻ is a weak base. With the monosubstituted alkyne it forms only *very small amounts* of negative ions by removing the terminal alkyne H or an H of the CH₂ attached to the triple bond. Equilibria are set up with an allene intermediate (scheme) which may lose H from C₁ or C₃. Loss from C₁ results in reversion to the original alkyne; loss from C₃ leads on to the more stable isomer. NH₂⁻ is a very strong base. Equilibria are again set up. However an alkyne H is completely removed by NH₂⁻. Thus, as the terminal alkyne is formed it is trapped as its Na salt. Both reactions are under thermodynamic control.

Two reactions of industrial importance (margin) appear to be restricted to ethyne. They do not occur with other simple alkynes, not even with propyne. That giving methoxyethene is remarkable in exemplifying *nucleophilic* addition to an alkyne.

7 Aldehydes and ketones

The CO double bond (carbonyl group) is the commonest group in organic chemistry. Many tomes have been devoted entirely to this functional group. Our task is to drive a straight course through carbonyl chemistry, glancing to left and right but not digressing along any of the innumerable side roads. For this purpose it is helpful to divide the subject into manageable sections, viz.,

Section 7.1 The carbonyl group, keto and enol forms

Section 7.2 Oxidation and reduction of carbonyl compounds

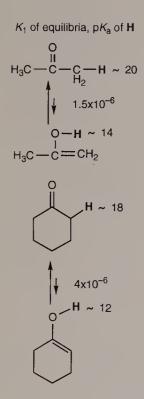
Section 7.3 Preparations

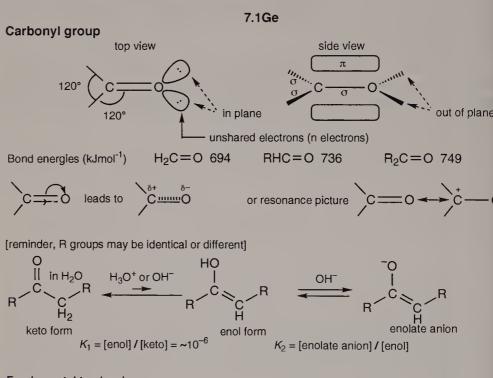
Section 7.4 Reactions of keto forms

Section 7.5 Reactions of enol forms and enolate anions

Section 7.6 Aldol and related condensations

7.1 The carbonyl group, keto and enol forms



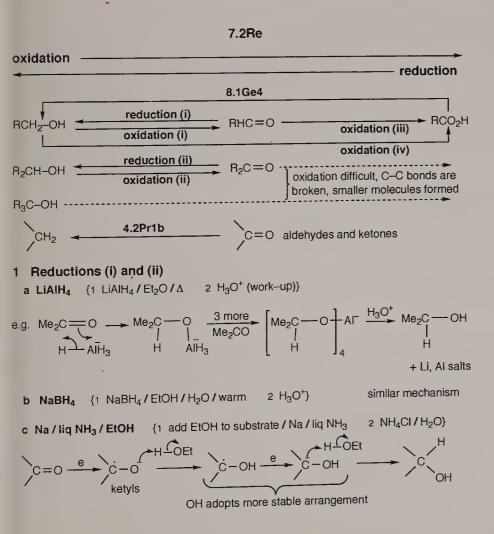


Fundamental tendencies

the Nu⁻ and E⁺ are at 90° to the planes of the keto and enol (or enolate) forms

Scheme 7.1Ge shows the main features. The polarisation can be expressed in terms of electronic effects or of resonance: the outcome is the same. Electrophiles (notably H⁺) add to $O^{\delta-}$, but the addition is reversible. The $C^{\delta+}$ centre is more important, as discussed later. Carbonyl compounds exhibit tautomerism. (A tautomeric compound, under suitable conditions, consists of an equilibrium mixture of two or more structural isomers. Tautomers are real species; canonicals are not.) Simple carbonyl compounds contain only very small amounts of the enolic forms (margin). In a solution free from impurities interconversion between keto and enol forms is slow. Adding acids or bases increases the rate of interconversion but the position of the equilibrium (K_1) is not changed. With a base there is a second equilibrium, between enol and enolate-anion; the position of this equilibrium (K_2) does depend on [base]. Mechanisms for these interconversions are in 7.5Rela. The complexity of carbonyl chemistry arises from a duality: the keto form reacts with nucleophiles, the enol form with electrophiles. In both types of reaction the outcome may depend on rate factors (kinetic control) or on the position of equilibria (thermodynamic control) (Section 1.5).

7.2 Oxidation and reduction of carbonyl compounds



hydride transfer to carbonyl group (recalls **3Re3** product **V**)

2 Oxidations (ii), (iii) and (iv)

a chromic acid [Cr(vi)]

$$R_2CH-OH$$
 R_2CH-OH
 R_2C

b
$$AgNO_3/NH_3/H_2O$$
 RHC=O + $Ag^+(NH_3)_2$ \longrightarrow RCO $_2H$ + Ag (as silver mirror under controlled conditions)

3 Oxidation (i)

CrO₃ / pyridine / CH₂Cl₂ reagents must be
$$dry$$
 RCH₂-OH \longrightarrow RHC=0 if H_2O present RHC=0 \longrightarrow RCO₂H \longrightarrow RCO₂H \longrightarrow RCO₂H

4 Reduction (ii), Oxidation (ii)

#5 Oxidations (i) and (ii)

e.g.
$$Me_2S = O$$
 $C = O$
 C

6 Oxidation of allyl and benzyl alcohols

The transformations are labelled so that the scope of the methods can be defined. Aldehydes prefer to go to acids rather than to alcohols: aldehydes are reducing agents, ketones are not. Lithium aluminium hydride (Re1a) is an excellent versatile reducing agent. Even the simplified mechanism shown brings out a crucial feature, that the process involves coordination to the O and is not merely addition of an H- to the C. Sodium hydride, which does not coordinate, is a strong base but not a reducing agent. Sodium borohydride (1b) is a milder more selective agent. There is by now a whole family of hydride reagents, many of which are selective in reducing only certain groups. LiAlH₄ and NaBH₄ add irreversibly, and when the two faces of the CO group are not equivalent the product formed depends on the rates of the different approaches (kinetic control). Reduction of aldehydes and ketones by diborane (5Re3a;8.1Ge4) is fairly slow. The example in the margin shows how this reagent can be used to reduce a CC double bond without affecting a CO group. In 1c EtOH provides a relatively acidic H which protonates a ketyl quickly. If the ketyl lingers it tends to dimerise (7.4Re1d). Dissolving metal reduction usually gives the more stable alcohol (thermodynamic control).

Chromic acid(Re2a) is excellent for preparing ketones, but aldehydes are oxidised further to carboxylic acids. Modification 3 does stop at the aldehyde. Bonding with-pyridine protects the aldehyde. Dry reagents are essential (scheme). At first sight the material in 4 appears discouragingly complicated, but the chemistry is in fact readily understandable. Aluminium alkoxides can transfer a hydride to the carbonyl group. The process is reversible (margin). Thus by adroit choice of alkoxides and solvents the reaction can be driven in both directions, as an oxidation or a reduction. #The chemistry in 5 is complicated. For simplicity ethanoic anhydride (acetic anhydride) is shown as the electrophile but others, for example carbodiimides, are more effective. Method 6 is selective for allyl and benzyl alcohols; simple alcohols are not oxidised by this mild treatment.

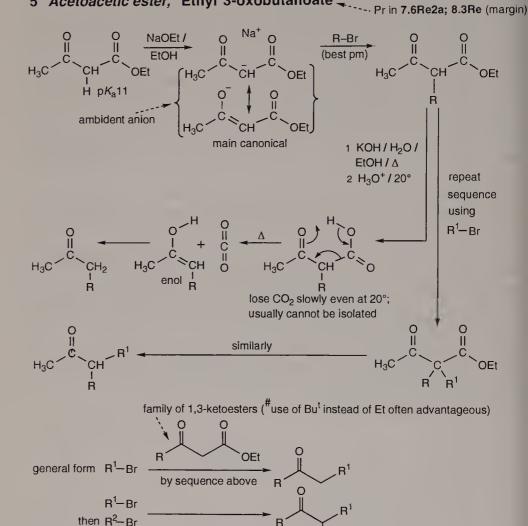
7.3 Preparations

The main routes to carbonyl compounds are in scheme 7.3Pr.

7.3Pr
1 R-OH 7.2Ge 2 R-M 3Re2 d-h

Alkenes 5Re3d,e 4 Alkynes 6Re2c

Acetoacetic ester, Ethyl 3-oxobutanoate



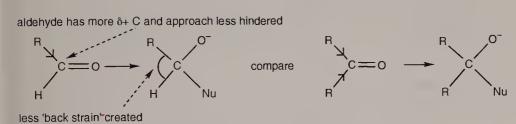
Four of the methods have already been covered. The fifth(Pr5) involves acetoacetic ester. Its properties and reactions are general for 1,3-ketoesters, important compounds in synthetic work. The enol (margin) contains a conjugated system; this and, to some extent, the intramolecular bonding stabilise the enol. Thus compared with simple ketones the tautomeric equilibrium is far more towards the enol side. With sodium ethoxide (1 mol) acetoacetic ester (1 mol) is converted, virtually completely, into the ambident ion shown. (Ambident ions, 2.1Rej,k.) The question arises why does alkylation, best with primary R-Hal, occur almost exclusively at C rather

than at O? Theories abound; none explains satisfactorily the mass of information in the literature. A useful generalisation, not without exceptions, is that S_N2 occurs at the less electronegative centre (margin). The electrophilic C of the attacking halide is almost neutral in the transition state of the S_N2 process (2.1Ge1a). This generalisation is a corollary of the earlier one about ambident ions (bottom of 2.1Re2). The key reaction of 1,3-ketoacids is the facile decarboxylation (scheme) which generates ketones. Alkylations with secondary R-Hal are improved by using the thallium rather than the sodium salt (margin).

7.4 Reactions of keto forms

The reactions of keto forms with nucleophiles are in scheme 7.4Re

7.4Re



Irreversible

3Re2a

b Ylids 5Pr1a

M such as Mg, Al (included here by considering an electron as a nucleophile)

e.g.
$$2 \text{ Me}_2\text{C} = 0$$
 $\frac{1}{2} \frac{\text{Mg-Hg} / \text{C}_6\text{H}_6 / \Delta}{2 \text{ H}_3\text{O}^+ / 20^\circ}$ $\frac{\text{Me}}{\text{C}} = \frac{\text{Me}}{\text{C}} = \frac{\text{Me}}{\text{C}} = \frac{\text{Me}}{\text{C}} = \frac{\text{Me}}{\text{C}} = \frac{\text{Me}}{\text{Me}} = \frac{\text{Me}}{\text{Me}$

yield improved by adding TiCl4 in stage1 (TiCl2, a good reducing agent, is formed)

62 Aldehydes and ketones

with
$$CF_3CO_3H / Na_2HPO_4$$

O

O

II

Me - C - Ph

Me - C - OPh

O

Me - C - Bu¹

Me - C - OBu

O

II

Ph - C - H

Ph - C - OH

$$PK_a \sim 21$$
 very strong base $PK_a \sim 21$ $PK_a \sim 21$

$$\begin{array}{lll} \text{CH}_2\text{O} & \sim& 100 \\ \text{MeCHO} & 60 \\ \text{Me}_2\text{CO} & \sim& 0 \\ \text{CCI}_3\text{CHO} & 100 \\ \text{CCI}_3\text{CH(OH)}_2 \text{ is a crystalline} \\ & \text{solid} \\ \end{array}$$

± H⁺ indicates fast loss of H⁺ from one site and fast addition at another site

f Esters of 2-chloroacids
$$R_2C = O$$
 $CI-CH_2-CO_2Et$ R_2C C CO_2Et CO_2ET

2 Reversible

reversed with H_3O^+/Δ if not specified otherwise

all reactants dry, general for these conditions

cyclic acetals in carbonyl protection

(b.p. must be > 80°, the b.p. of
$$C_6H_6$$
)

$$C = O + 2ROH \xrightarrow{ROH / sq HA (e.g. TsOH) / C_6H_6 / \Delta} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + 2ROH \xrightarrow{H^+} C + H_2O$$

$$C = O + ROH = C$$

$$C = O + ROH =$$

(iii) RSH thioacetals
$$C = O$$
 RSH / BF $_3$ or sq HCI / Et $_2O$ SR reversal: $Hg(OAc)_2$ / H_2O / THF / Δ SR etc. $C = S^+R$ C SR AcO— $Hg = OAC$

c(i)
$$H_2N-OH$$
 (hydroxylamine) to liberate the reagent
$$C=O \xrightarrow{H_3N^+-OH \ Cl^-/NaOAc/H_2O/EtOH/\Delta} C=N \text{ oximes}$$
 use salt, which is stable; hydroxylamine is not

(ii) H2N-NH2 (hydrazine) and derivatives

$$C = O \xrightarrow{H_2N-NH_2} (nydrazine) \text{ and derivatives}$$

$$C = O \xrightarrow{H_2N-NH_2} C = N$$

$$hydrazones \xrightarrow{NH_2} 2 C = O \xrightarrow{H_2N-NH_2} C = N$$

$$Ar = 2,4-dinitrophenyl$$

$$C = N \xrightarrow{N+2} N = C$$

$$C$$

(iii)
$$R-NH_2$$
 and R_2NH (amines)
$$C = O + H_2N - R \xrightarrow{\Delta, \text{ or sq H-A/}\Delta} C \xrightarrow{NHR} C = N \text{ imines}$$

$$C = O + HN \xrightarrow{R} \frac{\text{sq H-A/}\Delta}{\text{or sq H-A/}\Delta} C - NR_2 \text{ enamines}$$

a 1,3-diketone

Aldehydes are more reactive than ketones. Three relevant factors are shown a the top of scheme **7.4Re**. The contribution of 'back strain' (discussion in **2.1Ge2**) should not be overlooked. The higher reactivity of aldehydes is an advantage in 'unreactive situations' but there is a snag. With acids and bases aldehydes are prone to self-condensations (Section **7.6**) which may lead to polymers; thus many reactions of ketones are not applicable to aldehydes unless the conditions are modified (see example later, **Re1f**). Reactions represented by the formulae with free bonds are general for aldehydes and ketones. Elsewhere the main restrictions are noted.

Dimerisation of ketyls(Re1d) was mentioned earlier(7.2Re1c). The pinacol-pinacolone rearrangement shown in 1d is the archetype of countless reactions in which groups migrate to a neighbouring centre. With ketones having different R groups oxidation Re1e may give two products: the migratory aptitudes (margin) accord with the simple view that a group migrates as an incipient anion (i.e. with its bonding electrons). Peroxyacids oxidise aldehydes to carboxylic acids by migration of a hydride ion. Under the standard conditions of the Darzens reaction(1f) aldehydes undergo base induced condensations (Section 7.6). This difficulty is overcome by using all the B in a complete conversion of the 2-chloroester into its anion before the aldehyde is added (margin).

Of the **Re2** reactions many are accelerated by acids, some by acids or bases, some by bases, and a few do not require assistance. (#Later courses, distinction between specific and general acid and base catalysis; no distinction is attempted here.) The notion of establishing detailed mechanisms for organic reactions stems from the study of **Re2a(ii)** by Lapworth (work in 1903 at the Goldsmiths Institute, London). In the procedure involving hydrogen cyanide, cyanide ion (the nucleophile) is regenerated in the second step. The use of HCN (Prussic acid, a volatile liquid, b.p. 23°) is not for the faint hearted! Even the second procedure, with KCN, requires careful manipulation. Stable hydrates[2b(i)] are formed by aldehydes having strongly electron withdrawing groups (margin).

Acids promote both formation and hydrolysis of acetals[2b(ii)] by the common mechanism shown. The reaction is directed by removing H₂O or using an excess of H₂O. [Details of apparatus, such as Dean–Stark and Soxhlet (needed later in 7.6Re1a), will be found in the modern practical book by L M Harwood and C J Moody, 'Experimental Organic Chemistry'.] Acetals are stable to alkali. Thioacetals[2b(iii)] are stable to alkali and acid; hydrolysis requires Hg(II) compounds as shown. Ethane–1,2–diol (b.p. 197°, high) and the 1,2–dithiol are especially useful in acetal and thioacetal formation (margin) because the second stage is favoured (entropy factor) when cyclic derivatives are formed.

Most imines [2c(iv)] are so easily hydrolysed that they cannot be isolated; some exceptions are in the margin. With carbonyl compounds having an αH secondary amines (R_2NH) give enamines, useful intermediates in synthetic work.

7.5 Reactions of enol forms and enolate anions

Many reactions of aldehydes and ketones are treated as part of 'aldol condensations' and are deferred until the next Section. Those in **7.5Re** are a separate group.

7.5Re

1 Halogenation at α positions

a as e.g. for discussion R-CO-CH₃ in H₂O + Hal₂ / HHal or KOH

R-CO-CH₃
$$\stackrel{i}{\longrightarrow}$$
 R-CO-CH₂Hal $\stackrel{ii}{\longrightarrow}$ R-CO-CHHal₂ $\stackrel{iii}{\longrightarrow}$ R-CO-CHal₃ R = e.q. Bu^t, Ph lacking α H

rate of each stage proportional to [ketone][HHaI] or [ketone][KOH]

stage i

R-CO-CH₃ + H₃O⁺

$$K$$
 is an equilibrium constant k_a and k_b are rate constants

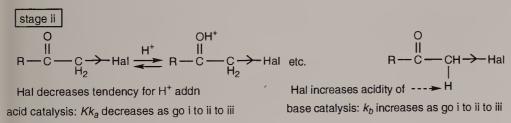
 K is an equilibrium constant k_a and k_b are rate constants

 K is an equilibrium constant k_b and k_b are rate constants

 K is an equilibrium constant K is an equi

 H_3O^+ rate = $Kk_a[R-CO-CH_3][H_3O^+]$

$$^{-}$$
OH rate = k_b [R-CO-CH₃][$^{-}$ OH]



b e.g.
$$H_3C - C - C - CH_3 \xrightarrow{H-A/Br_2} H_3C - C = C - CH_3 + H_3C - C - C = CH_2$$

more stable enol

Br₂

B/Br₂

H₃C - CH₂ - C - CH₃

Br₂
 $H_3C - CH_2 - C - CH_3$

Br₂
 $H_3C - CH_2 - C - CH_3$

Br₂
 $H_3C - CH_3 - C - CH_3$

Br₂
 $H_3C - CH_3 - C - CH_3$

Br₂
 $H_3C - CH_3 - C - CH_3$

Br₃
 $H_3C - CH_2 - C - CH_3$

Br₄
 $H_3C - CH_2 - C - CH_3$

Br₅
 $H_3C - CH_2 - C - CH_3$

Br₆
 $H_3C - CH_2 - C - CH_3$

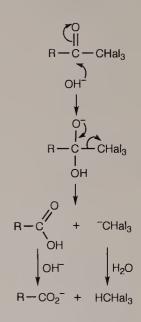
Br₇
 $H_3C - CH_2 - C - CH_3$

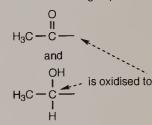
Br₈
 $H_3C - CH_2 - C - CH_3$

Br₉
 $H_3C - CH_2 - C - CH_2$

Br₉
 $H_3C - CH_2 - C - CH_3$

Br₉
 $H_3C - CH_2$





$$\begin{array}{c} O \\ II \\ H_3C-C-CH_3 \\ \hline \\ DCI/excess D_2O/\Delta \\ \hline \\ (generated from \\ Ph-CO-CI/D_2O) \\ O \\ D_3C-C-CD_3 \\ \end{array}$$

$$\begin{array}{c} & \overset{\text{O}}{\underset{\text{II}}{\text{II}}} \\ \text{Me}_2\text{CH} - \overset{\text{C}}{\underset{\text{C}}{\text{C}}} - \overset{\text{C}}{\underset{\text{H}_2}{\text{CH}_3}} \\ \downarrow & \overset{\text{O}}{\underset{\text{II}}{\text{O}}} \\ \\ \text{Me}_2\text{CD} - \overset{\text{II}}{\underset{\text{C}}{\text{C}}} - \overset{\text{C}}{\underset{\text{C}}{\text{C}}} - \overset{\text{C}}{\underset{\text{C}}{\text{CH}_3}} \\ \end{array}$$

very strong base, very poor nucleophile $(pK_a \text{ of H-NR}_2 \sim 38)$

R = Me₂CH

Ithium diisopropylamide

abbreviated to LDA

R = Me₃Si 7.4Re1f

and others, R groups not identical

2 Deuteriation of ketones at α positions

3 Alkylation a of ketones: direct, using very strong base C C C C R H R Hal

b of ketones: indirect, via 1,3-ketoesters

at 0° with an excess of ketone present

[#]c of aldehydes: indirect via imines

Enol esters

5 With methanal and amines 11Re6

Halogenation(Re1) is catalysed by acid of base; it is very slow in the absence of catalysts. The rates of the catalysed reactions are the same for chlorine, bromine and iodine. They and are not affected by the concentration of halogen but do depend on the concentration of acid or base. In the mechanisms shown the slow step is formation of enol or enolate anion. Subsequent reaction with halogen is fast. Steady-state treatment leads to useful expressions for the rates. ('Fast' and 'slow' are relative terms; on an absolute scale one reaction's slow stage might be faster than another's fast stage.) Under acid catalysis monohalogenation (stage i) is faster than di- or tri-halogenation (stages ii and iii). The presence of α halogen lowers the tendency of a carbonyl group to accept a proton (scheme); K, and hence the rate of stage ii, is decreased. Under base catalysis the stages become faster. The presence of α halogen makes the αH more acidic; k_b , and hence the rate, is increased. Monohalogenated ketones may be prepared under acid catalysis. The base catalysed reaction of a methyl ketone leads to the trihalogenated ketone, and unless the conditions are carefully controlled this is hydrolysed to the haloform (trihalomethane) and the salt of an acid. Thus from a methyl ketone(1mol), halogen(1mol) and an excess of alkali, unchanged ketone(0.66mol) and the salt of the acid(0.33mol) are formed. Aldehydes require milder conditions. The procedure shown gives satisfactory yields. Halogenocarbonyl compounds are lachrymators; the aldehydes are particularly unpleasant. In deuteriation(Re2) only αH (enolisable) is replaced by D.

Alkylation(Re3) by the older methods, for example K⁺-OBu^t with R-Hal, is often indiscriminate because the ketone is only partially converted to its enolate. In modern procedures a very strong base is used at low temperature. Derivatives of lithium are especially useful(3a); these bulky bases (margin) are almost devoid of nucleophile character (see 1.12Ge). The ketone is converted cleanly and completely to the enolate, and the other reactant is then added. In the example shown, 2-methylcyclopentanone, lithium enolates are depicted as covalent. This is merely to emphasise that they are more covalent than the fully ionic sodium and potassium derivatives. Q is formed under kinetic control; on steric grounds the C(5)H₂ is more accessible than the C(2)H. P has a more highly substituted double bond and is therefore the more stable enolate. This enolate is formed under thermodynamic control. Neither reaction is completely regiospecific. (#Later courses, improvement by silylating the enolates.)

Re3b provides an indirect but very efficient alternative route to the 2-Me,5-R-cyclopentanone. #Re3c illustrates an ingenious method for alkylating aldehydes; it has not yet been established as a general method. Enol-esters(Re4) are readily formed by both aldehydes and ketones.

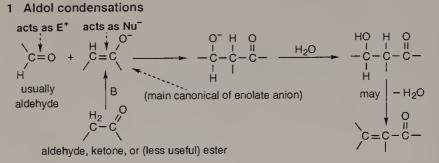
Aldol and related condensations

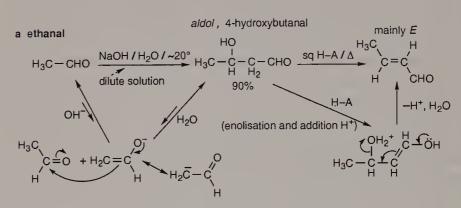
Scheme 7.6Re covers three condensations. [Condensation, a woolly term, is the joining together of two molecules by a process in which the constituents of a simple molecule (for example H₂O, EtOH) are lost.] The common theme is that an aldehyde, ketone or ester acting as an electrophile combines with the anion of an aldehyde, ketone or ester acting as a nucleophile. Although esters come later (Section 8.4) their use in these condensations is included here.

$$\begin{array}{c} \text{HO} \\ \text{H}_{3}\text{C} - \overset{!}{\text{C}} - \overset{!}{\text{C}} - \text{CHO} \\ \text{H} + \overset{!}{\text{H}_{2}} - \text{CHO} \\ & & & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ &$$

Cannizzaro reaction

only when no αH in R e.g. Ph R-CHO / NaOH / H₂O / Δ (concentrated solution)





c cross aldol, two aldehydes

$$C = O + H_3C - CHO$$
 $C = O + H_3C - CHO$
 $C = O + CH_2OH$
 $C = O + CH_3C - CHO$
 $C = O + CH_3C$
 C

d cross aldol, aldehyde and ketone

e e.g. of directed aldol condensation

2 Claisen condensations

a ethyl ethanoate

a ethyl ethanoate
$$O^-Na^+$$
 O^-Na^+ $O^-NA^$

b ethyl 2-methylpropanoate

(?configuration)

3 Knoevenagel condensations use in synthesis
$$CO_2R$$
 use in synthesis CO_2R use in synthesis CO_2R use in synthesis CO_2R aldehyde or ketone CO_2R C

Mechanism

$$C = O$$
 $C = O$
 $C = O$

In margin a with cyclohexanone b with pentanal c with Ph-CO-Me

d Doebner modification
$$Ar - CHO + H_2C \xrightarrow{CO_2H} Ar \xrightarrow{H} as solvent / \Delta H C = C & 96\% \\ CO_2H & as solvent / \Delta H C = C & 96\% \\ CO_2H & CO_2H$$

All the steps of the aldol condensation(Re1) are reversible; indeed, under appropriate conditions an aldol, a 1,3-hydroxycarbonyl compound, reverts to starting materials. Thus, the condensations occur under thermodynamic control. Dilute alkali suffices as a base, and the reactions do not require a specific amount of alkali. Dehydration of an aldol is very easy because it produces a conjugated (stabilised) system. This dehydration is merely one example of a general tendency (margin). The parent reaction, with ethanal(Re1a), may be depicted according to taste as involving either canonical of the enolate anion; the crucial point is that the electrophilic component adds to the C of the anion. (See margin for enthalpy consideration.) There is a sharp contrast between the self-condensations of ethanal and propanone; the factors discussed in 7.4Re are in play. A 'cross' condensation, different compounds as electrophiles and nucleophiles, is successful only when the reactants have different propensities. In Re1c methanal, a very reactive electrophile lacking αH , reacts with the anion of ethanal to give a trisubstituted ethanal which then undergoes a cross Cannizzaro reaction. (See margin for the standard Cannizzaro reaction. In the last stage of 1c methanal is much more reactive than the trisubstituted aldehyde for OH⁻ addition.) In 1d dehydration of the 1,3-hydroxyketone from benzaldehyde (no αH , a rather unreactive aldehyde) and propanone gives an extended conjugated system; the process is so easy that, in the presence of alkali, it cannot be prevented. At equilibrium the amount of hydroxyketone would be low, but the reaction is pulled through by the irreversible dehydration. *The overall outcome of 1e is remarkable; in an alkaline mixture of ethanal and benzophenone, the ethanal would self-condense rather reacting with the unreactive ketone. Thus an 'unnatural' condensation has been realised.

The condensations in Re2 (named after Claisen, 1851-1930, another illustrious organic chemist) are also reversible. Two points are to be stressed. Generally the species formed is the anion of the product not the product itself. The second is the corollary: at least one mol of the base is required to form one mol of the anion. Thus in 2a the driving force is the formation of a stable anion. The product is the best known 1,3-ketoester, and is used for example as in 7.3Pr5. Self-condensation of ethyl 2-methylpropanoate(2b) does not lead to a stable anion. Sodium ethoxide is therefore not effective; a very strong base is required, as shown. (There is a difficulty here. Some of the early very strong bases have been superseded, and to report their uses would be unprofitable. It is tempting to record, for example, LDA as the base in 2b, but in fact the reaction has not been carried with LDA. In such cases the base is therefore not specified: a chemist wishing to repeat the reaction would choose a base by consulting modern procedures for similar reactions.) Of the four possible products in 2c pentane-2,4-dione has the most acidic H; its anion is the one formed by thermodynamic control under basic conditions. Some successful cross Claised condensations are shown in the margin. They meet the requirement for differently disposed components.

It is convenient but not historically accurate to group all the material in Re3 as Knoevenagel condensations (pronounced No-ven-ah-gel, with a hard 'g' as in 'get'). The reactions proceed well under mild conditions. They are therefore applicable to both aldehydes and ketones. Examples 3a,b and c are in the margin. The products are $\alpha\beta$ -unsaturated esters or ketones. In these the behaviour of the double bond is markedly different from that of an isolated double bond(5Ge1). The tendency for electrophilic addition is decreased but nucleophilic addition occurs readily. (#This is the Michael addition, a valuable means of building up more complex molecules.) Re3d is a convenient, direct and very efficient method for converting an aromatic aldehyde into an acid which has two more C atoms.

8 Carboxylic acids and derivatives

This chapter is divided into sections:

Section 8.1 Trends in general reactions

Section 8.2 Carboxylic acids

Section 8.3 Acyl chlorides and acid anhydrides

Section 8.4 Esters

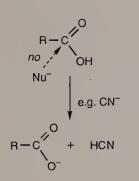
Section 8.5 Amides

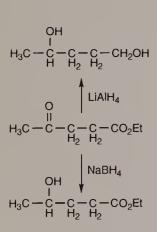
8.1 Trends in general reactions

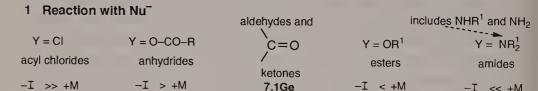
8 1G

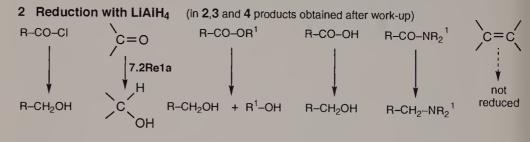
$$R = C \xrightarrow{\text{addition}} R = C \xrightarrow{\text{Invariants}} R = C \xrightarrow{\text{Nu}} R = C$$

in 1,2,3 and 4 reactivity increases in direction of arrow

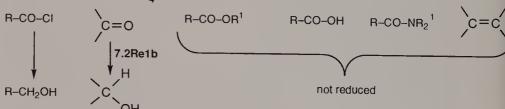








3 Reduction with NaBH₄



4 Reduction with B2H6

The chemistry of carboxylic acids and their derivatives is mostly concerned with attack by nucleophiles (8.1Ge1). The acids themselves do not react in this way because they alone have an acidic H. Even CN⁻, a very strong nucleophile (1.11Ge), acts as a base and removes the acidic H (margin). However after protonation the acids are prone to nucleophilic attack (8.2Re3a).

The general reaction shown at the top of **8.1Ge** consists of two steps, addition then elimination. A stereoelectronic factor favours approach of the nucleophile at 90° to the carbonyl group (**7.1Ge**) and this minimises steric repulsion. A possible alternative, direct S_N2 displacement of Y^- (scheme), is less favourable on both counts. The overall rate of the reaction is determined by the rate of the addition, the rate-determining step: the leaving group tendency of Y^- in the fast step is not important. Consideration of the inductive and mesomeric effects of Y in the series explains the relative reactivity (scheme). Acid chlorides, -I dominant, have a very positive carbonyl C and are the most reactive. Amides, +M dominant, have an approximately neutral carbonyl C and are the least reactive.

LiAlH₄(Re2) and NaBH₄(Re3) are *nucleophilic* reducing agents (7.2Re1a,b). LiAlH₄ is much the more powerful reagent. The order of reactivity and the identities of the groups which are not reduced are largely as expected. However there is an apparently surprising difference in the nature of the products from esters and amides with LiAlH₄. The crucial point (scheme) is that RO⁻ is a much better L⁻ than R₂N⁻(1.11Ge). Loss of some sort of Al-O group from the amides' intermediate is preferable to loss of R₂N⁻. This occurs in the fast step and, therefore, does not affect the rate. Selective reduction of the keto group in a keto-ester (margin) by NaBH₄ is a key stage in many syntheses.

Diborane(Re4) is an *electrophilic* reducing agent. So then, the order of reactivity is roughly the reverse of that in 1, 2 and 3. The remarkable feature is the very fast reduction of acids; regrettably, detailed mechanisms for diborane reductions have not been established.

8.2 Carboxylic acids

1 R-M 3Re2i

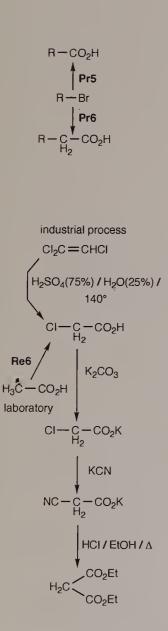
2 R-CH₂OH 7.2Re2a

3 RHC=0 7.2Re2b

4 R-CO-CH₃ 7.5Re1a(margin)

6 Malonic ester Diethyl propanedioate

7 R-CO-CI ——→ R-CH₂-CO₂H 8.3Re3



Of the preparations not covered earlier Pr5 and 6 are the important ones. Nitriles are readily obtained from alkyl halides; hydrolysis gives acids in high

yield. The general sequence in 6, based on malonic ester, recalls that in which ketones are prepared from acetoacetic ester(7.3Pr5).

The reactions of carboxylic acids are collected in 8.2Re.

8.2Re

1 As acids

$$R - C$$
 OH
 OH

2 Decarboxylation some types decarboxylate on heating:

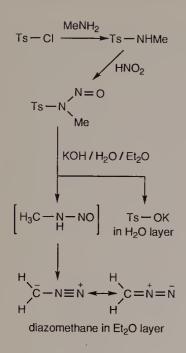
$$W = \text{electron withdrawing atom or group}$$
 $W = \text{electron withdrawing atom or group}$
 $W = \text{electron withdrawing atom or group}$

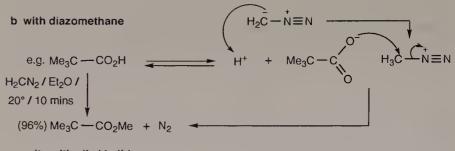
20° HO-CO-OH CI-CO-OH
$$H_2N$$
-CO-OH (these cannot be isolated)

O H
II I
$$R-C-C-CO_2H$$
 (can be isolated at low temperature) cyclic ts **7.3Pr5**

a with
$$R^1$$
-OH R -CO₂H + R^1 -OH (excess) R -CO₂R + H₂O R -CO₂R + H₂O R -CO₂R + H₂O

stable at 200° impossible





c salts with alkyl halides R-CO-OAg R^1 -Br / Et_2O/Δ R-CO-OR 1 + AgBr 2.1Re1c best primary group d with alkenes

e.g.
$$R-CO-OH$$
 $\frac{sq H_2SO_4}{Me_2C=CH_2/sealed\ apparatus/0^\circ/24\ hours}{R-CO-O-CMe_3}$ $R-C$ $\frac{CMe_3}{R-C}$ $R-C$ $\frac{O-CMe_3}{R-C}$ $R-C$ $\frac{O-CMe_3}{R-C}$ $R-C$

4 Pr of acyl chlorides

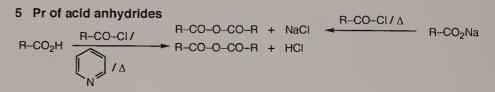
$$R-CO_{2}H \xrightarrow{O O O calyl chloride} \text{ ethanedicyl dichloride}$$

$$R-CO_{2}H \xrightarrow{CI-C-C-CI/C_{6}H_{6}/\Delta} R-CO-CI+CO+CO_{2}+HCI$$

$$R-CO_{2}Na \xrightarrow{R-CO-CI+CO+CO_{2}+NaCI}$$

$$SOCI_{2}/\Delta \xrightarrow{PCI_{5}/C_{6}H_{6}/warm} R-CO-CI+POCI_{3}+HCI$$

$$R-CO-CI+SO_{2}+HCI$$



6 Bromination (and chlorination) at
$$\alpha$$
C

Hell-Volhard-Zelinsky

 $R - C - C$
 $R -$

R-CO₂H $\frac{1 \text{ sq H}_2\text{SO}_4 / \text{HN}_3 \text{ (hydrazoic acid) } / \text{CHCl}_3 / \Delta}{\text{loss of CO}_2}$ R-NH₂ R-NH-CO-OH

7 Conversion to amines

$$R-C \equiv 0$$
 $R-C = 0$
 $R-C \equiv 0$
 $R-NH-CO-OH$
 $R-NH-CO-O$

Methanoic acid (formic acid), $H-CO_2H$, is unique among the acids in being a reducing agent. (An example of its use is in 11Pr4.) The others are very resistant to oxidation. The acidity of $R-CO_2H$ stems from the stability of the derived anion(8.2Re1). A species whose canonicals are of equal energy, as here, may be regarded as having maximum resonance stabilisation. Methanoic acid is the strongest acid (scheme). Beyond propanoic (propionic) acid there is little change in pK_a . Substitution of Hal at the αC markedly increases acid strength. The trends can be rationalised in terms of the inductive effects of the groups attached to the carboxyl C but many other factors are involved.

Old direct methods for decarboxylating simple acids (e.g. heating with 'soda-lime') give very low yields and should be disregarded. The presence of an electron withdrawing group, attached to the $\mathrm{CO_2H}$ or the $\alpha\mathrm{C}$, facilitates decarboxylation(Re2). Cyclic transition states are favoured by some types of acid (scheme). For example simple 1,3-ketoacids lose $\mathrm{CO_2}$ very easily. This depends on formation of an enol as the initial product: if the enol is geometrically impossible (margin) the acid is thermally stable.

Acid catalyzed esterification of acids($\mathbf{Re3a}$) is reversible. Formation of the ester is effected by using an excess of R^1OH (if cheap) or by removing the H_2O formed. An acid, acting as a very weak base, may be protonated at either oxygen to give the oxonium cations P and Q. Of these Q (two canonicals) is the more stable and is prone to nucleophilic attack. (Compare $\mathbf{8.1Ge}$.) The intermediates en route to the ester have tetrahedral geometry: if R or R^1 is bulky there is repulsion between it and the other groups on the central C. Thus the esterification fails with tertiary R^1OH and with tertiary R^-CO_2H .

Diazomethane(**Re3b**) reacts rapidly with all acids, even tertiary R-CO₂H, giving methyl esters in high yield. This reagent is a yellow poisonous gas (b.p.-24°). Never attempt to isolate diazomethane: it is an explosive compound which has caused serious accidents. A solution in ether, which is fairly safe, is used on a small scale in the fume cupboard. Protonation of diazomethane is followed by S_N2 reaction with the O⁻ of the carboxylate anion. The carbonyl C is not involved (compare **3a**). So although a bulky R inhibits attack at the carbonyl C it has little effect at the more distant O⁻. Other diazoalkanes, RHCN₂, give the higher esters but these reagents are less readily prepared.

Although the Ag salt method(3c) is expensive it is useful when neutral conditions are required. Reaction 3d involves a carbocation intermediate(Section 1.9); it is effective, therefore, only for preparing esters of tertiary R¹–OH.

Re4 and 5 are the standard preparations of acyl chlorides and acid anhydrides. If the acid contains a CC double bond it is necessary to avoid generating HCl. Oxalyl chloride with the Na salt of the acid is then the method of choice. Although Re6 is not a very important reaction it has the distinction of a triple barrelled name. The reaction depends on a difference between acids and acyl halides with regard to enolisation. Enols of acids have not been detected: if they exist they are extremely unstable. Enolisation does occur with acyl halides and, as expected(7.5Re1), the enol is rapidly halogenated.

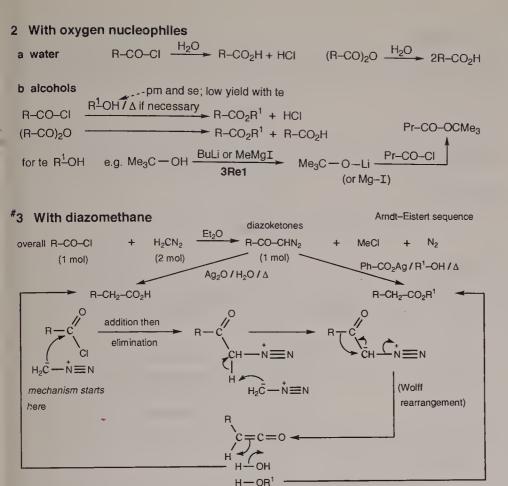
The Schmidt reaction(Re7) is restricted to acids in which the R group is stable to strong mineral acid. Isocyanates are intermediates here and in related reactions of esters and amides coming later. They add H₂O very easily to give carbamic acids, unstable compounds(Re2) which decompose to amines.

8.3 Acyl chlorides and acid anhydrides

The preparations are in 8.2Re4 and 5. The chloride and anhydride of methanoic acid, H-CO-Cl and (H-CO)₂O, are unstable: they are not available as reagents in syntheses. Acyl chlorides are so reactive towards nucleophiles that the even more reactive bromides and iodides are rarely used. Acid anhydrides, somewhat less reactive, are more convenient to handle. 'Mixed' anhydides, R groups different, can be generated and used at temperatures below about 25°, but at higher temperatures they decompose to mixtures of the more stable simple anhydrides. A mixed anhydride (margin) serves as (H–CO)₂O in reactions requiring only low temperature.

8.3Re

1 With nitrogen nucleophiles

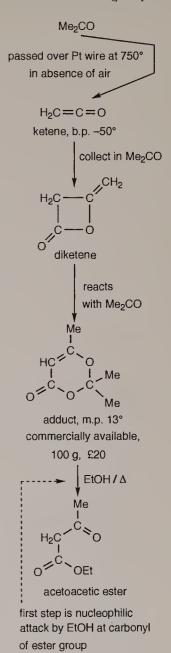


Reactions(8.3Re) are shown for chlorides; in those specified the anhydrides may be used. To obtain the amide(Re1a) in high yield requires the presence of pyridine (scheme) or, in the alternative Schotten-Baumann procedure, NaOH. Without this extra base the yield is only 50% because the proton formed in the reaction removes R¹-NH₂ as its salt. Pyridine competes with R¹-NH₂ for this proton and, in effect, frees all the R¹-NH₂ for conversion to the amide.

With tertiary amines (1b) an amide cannot be formed. Here, a slower reaction (R_3N) acting as a base) leads to ketenes, compounds having a CC double bond ditectly attached to a CO double bond. Only dialkyl ketenes (shown) can be isolated: those with one or two hydrogens form dimers. A product from the parent ketene (margin) is used to produce acetoacetic ester.

Reactions of chlorides and anhydrides with other nitrogen nucleophiles (e.g. hydrazine) have been omitted because the products are more conveniently obtained from esters(8.4Re). Oxygen nucleophiles(Re2) behave as expected. Esters of tertiary R¹-OH are prepared from the lithium alkoxides rather the alcohols.

*Reaction 3 is very useful for converting an acid to the next higher homologue in high yield (see scheme). The Ag⁺ is not essential in all cases: some diazoketones can be rearranged photochemically or, in lower yield, by heat alone.



8.4 **Esters**

Scheme 8.4Pr gives references to the preparations; 8.4Re shows the reactions.

8.4Pr

1 R-CO₂H 8.2Re3

2 R-CO-Cl, (R-CO)₂O 8.3Re2b,3

3 R₂CO 7.4Re1e

8.4Re

1 Hydrolysis

$$R-CO_2K + R^1OH \xrightarrow{KOH/} R-CO_2R^1 \xrightarrow{H_2SO_4 \text{ (or HCl)}/} R-CO_2H + R^1OH$$

Ac (acyl-O) bond

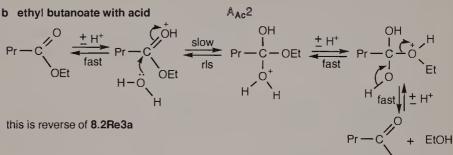
A = slow step (rds or rls) involves ester

B = slow step involves conjugate acid of ester subscript Ac or Al, which bond is broken 1 or 2, slow step is uni- or bi-molecular

Examples to illustrate commonest mechanisms:

a methyl benzoate with alkali

B_{Ac}2



c t-butyl butanoate with acid
$$A_{AI}^{\uparrow}$$

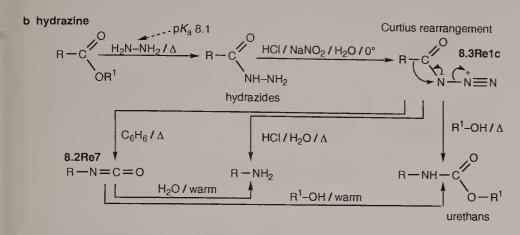
$$Pr - C \xrightarrow{fast} Pr - C \xrightarrow{fast} Pr - C \xrightarrow{rls} Pr - C \xrightarrow{rls} Pr - C \xrightarrow{h} Me_3C^+ \xrightarrow{h} Bu^t - OH + Me_2C = CH_2$$

2 With nitrogen nucleophiles

a ammonia, pm and se amines

not generally useful

$$R-C$$
 OR^1
 $H-N$
 $R-C$
 N



3 With base in Claisen condensation 7.6Re2

See margin for intramolecular version, the Dieckmann reaction

#4 With Na, the acyloin reaction

R-CO-OMe
$$\frac{1 \text{ Na (finely dispersed) / Me}_3\text{SiCl / PhMe /}}{\text{vigorous stirring under N}_2/\Delta 2 \text{ AcOH / H}_2\text{O}} = R-\text{CO-CHOH-R}$$

R-CO-OMe $\frac{0}{\text{CO-C}} = R - C + \frac{0}{\text{CO-C}} = \frac$

The mechanism of hydrolysis (8.4Re1) has been studied intensively; the results are expressed in a special terminology. The terms A and B are generally stated to mean acid— and base—catalysed hydrolysis. This is not strictly true. The correct attributions of A and B, and the meanings of the other terms are summarised in the scheme. Of the eight possibilities (2 x 2 x 2) two, $A_{Al}2$ and $B_{Ac}1$, are unknown. Three common mechanisms are illustrated.

Reaction Re2a, generally slow, is a poor method for preparing amides. Compared with amines, hydrazine(2b) is weaker as a base but stronger as a nucleophile (reason unknown). The sequence ester to hydrazide to acyl azide and Curtius rearrangement gives primary amines in high yield.

The trimethylsilyl chloride in **Re4** traps the product as a silyl ether. It also removes the MeO⁻ which, if left free, leads to undesired by-products. Lack of space has precluded much about bifunctional compounds in this

2-methoxycarbonylcyclopentanone

book. However **Re3** and **Re4** with the esters of dibasic acids are so important in carbocyclic chemistry that examples are included (margin). **Re3** is limited to the formation of five— and six—membered rings, but **Re4** (the acyloin reaction) gives high yields of rings ranging from small (four—membered) to large (twenty—membered).

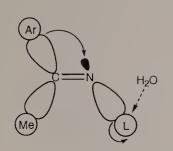
8.5 Amides

8.5Pr

1 R-CO-CI, (R-CO)₂O 8.3Re1a

2 RCN
$$R-C = N \qquad \frac{H_2O_2/NaOH/H_2O(dilute solution)}{H_2O_2/NaOH/H_2O(dilute solution)} \qquad R-CO-NH_2$$

$$R-C = N \qquad H_2O \qquad R-C = NH \qquad H-O = O$$
OH



Reaction **8.5Pr1** is the most important preparative method. In the hydrolysis of nitriles(**8.2Pr5**), which requires vigorous conditions, amides are formed but hydrolyzed further to acids. Reaction **8.5Pr2**, designed to stop at the amide stage, is based on an unexpected feature: although H_2O_2 (p K_a12) is a stronger acid than H_2O (p $K_a15.7$) the anion O-O is a stronger nucleophile—than OH^- . The stereochemistry of **Re3** is discussed a little later. The example shown is unusually 'clean'. Formation of the oxime gives almost entirely the (E) diasteroisomer. Rearrangement affords one amide in high yield.

1 As very weak acid and very weak base

2 Hydrolysis and dehydration

R-CO-N/
8.2Pr5
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3 Bromine (or chlorine) and alkali

Hofmann

Amides are neutral compounds with only feeble acidic and basic properties(8.5Re1). They do not behave as ketones or as amines. P and Q are the canonicals. Q, the major contributor, lacks the partial positive on carbon characteristic of ketones and the lone pair on nitrogen characteristic of amines. The point is nicely illustrated (margin) by an amide in which the +M effect is inhibited.

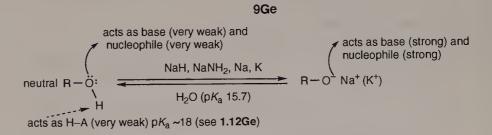
There is a close resemblance between rearrangements **8.2Re7**(Schmidt), **8.4Re2b**(Curtius) and **8.5Re3**(Hofmann). Two more, **8.3Re3**(Wolff) and **8.5Pr3**(Beckmann), are of the same type. They share a common feature: one group migrates as an incipient carbanion and displaces a second (as a leaving group) which is in a trans orientation. This is illustrated (margin) for the Beckmann rearrangement. The basis is the general stereoelectronic effect of **5Ge2**. In the transition state the groups are in an antiperiplanar arrangement.

behaves as a ketone and an amine

9 Alcohols

10 Ethers

The general tendencies are in **9Ge**. Most of the preparations and reactions have been encountered previously: references are in schemes **9Pr** and **9Re**.



9Pr

1 R-Hal 2.1Re1a

- 2 R-M 3Re1b,2a,2b,2c
- 3 Reduction of R(H)-CO-R 7.2Re1a,1b,1c R-CO-Cl 8.1Ge2,3

$$4 \quad C = C \quad 5Re1b,3a,3b$$

9Re

1 With R-M 3Re1

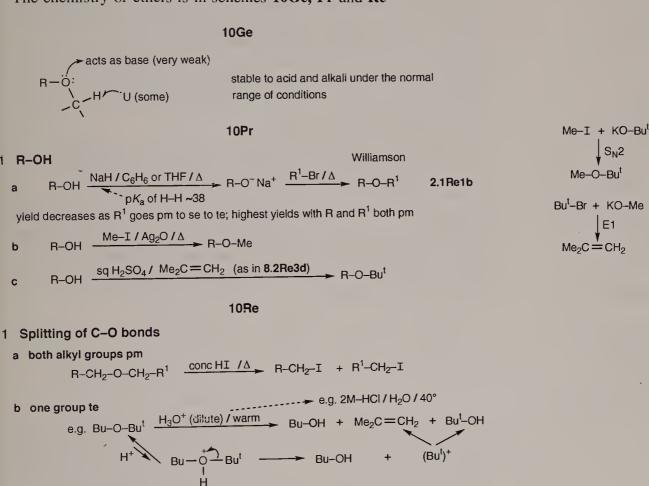
- 2 Oxidation 7.2Re2a,2b,3,4,5,6
- 3 With R-CO₂H 8.2Re3a R-CO-Cl 8.2Re4 (R-CO)₂O 8.2Re5
- 4 Pr of R-O-R¹ 10Pr1

NaIO₄ and Pb(OAc)₄ oxidations:

OH
Pb(OAc)₄
OHC-(CH₂)₃-CHO
Pb(OAc)₄
OH
$$k_{cis} > 3000 k_{trans}$$
OH

Reaction **9Re5** is concerned with sodium periodate and lead tetraacetate, very useful reagents which have similar oxidising properties. To dissolve NaIO₄, a salt, an aqueous medium is required. Pb(OAc)₄, a covalent compound, is soluble in some organic solvents and is decomposed by water. Thus the choice of reagent is determined by the solubility of the substrate. With both reagents a cyclic intermediate is formed in the first stage. This explains the difference in rates (margin) between cis cyclopentane–1,2–diol (easy ring formation) and the trans isomer (ring formation very difficult). The reagents cause fission of several other systems having 1,2–oxygen–containing groups (margin). NaIO₄ is involved in the method(**5Re3d**) for cleaving CC double bonds.

The chemistry of ethers is in schemes 10Ge, Pr and Re



2 Acetals 7.4Re2b(ii)

OR

$$H_3O^+ \text{ (dilute) / warm}$$
 $C=O + 2ROH$

3 Enol ethers

6Re

e.g.
$$Me\ddot{O}$$
 CH_2 H_3O^+ (dilute) / warm

Me OH CH_3 CH_3 CH_3 CH_4 CH_5 CH_5 CH_6 CH_7 CH_8 $CH_$

$$O = {}^{18}O$$

$$Me$$

$$C - C$$

$$Me - H_2$$

$$HO$$

$$NaOH / H_2O$$

$$Me$$

$$C - CH_2$$

$$Me$$

$$O + H_3O^+ / H_2O$$

$$H_2O + H_2O$$

$$Me$$

$$O + H_2O$$

$$Me$$

$$O + H_2O$$

$$O + H_2$$

Ethers (10Ge) are ideal solvents for many reactions but only special types of ethers can be regarded as reagents. Atmospheric O_2 reacts slowly with ethers by a radical mechanism to give highly explosive peroxides. This applies particularly to ethers containing secondary R groups. Peroxides must be removed from ethers before they are heated; distillation of 'old' $(Me_2HC)_2O$, $(disopropyl\ ether)$, has resulted in serious accidents.

The reaction used most frequently for preparing ethers is 10Pr1a. Dependence of the yield on the structure of R and R¹ is as expected from the discussion of 2.1Ge2a. Two pairs of starting materials which could, formally, lead to 2-methoxy-2,2-dimethylethane are shown in the margin; the yield is high with the first pair but the main product from the second pair is the alkene. Method 1b has been widely used in carbohydrate chemistry for methylating primary and secondary alcohols. Preparation 1c is restricted to ethers containing a tertiary R group.

Splitting simple ethers, two primary alkyl groups, requires vigorous treatment with the strongly nucleophilic hydroiodic acid (10Re1a). Four special types are shown in Re1b, 2, 3 and 4. These undergo useful transformations under *mild acid conditions*. The first three are stable to alkali. Ring opening of the fourth, the oxirans(Re4), occurs with acid and alkali; the same diol is formed but by different mechanisms (margin). Nucleophilic attack occurs at the C which is less hindered and less negative. However, as expected from the earlier discussion(5Re2), the alternative ring opening occurs with the protonated oxiran.

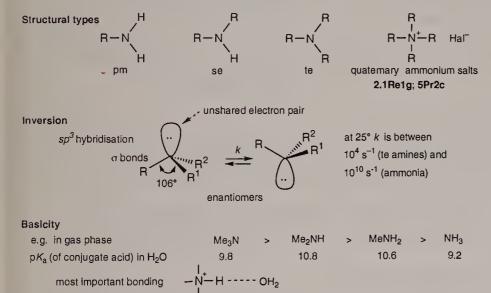
11 Amines

Aromatic amines are covered in another Primer (M Sainsbury, 'Aromatic Chemistry'). They differ in some important respects from the aliphatic members, the subject of the present chapter.

General tendencies are in scheme 11Ge.

11Ge

[many formulae for amines etc in the schemes have 2 or more R groups; these may be identical or different]



With the previous functional groups (e.g. Hal, OH) the terms primary, secondary and tertiary refer to the degree of substitution of the αC . The amino group is different. Here primary, secondary and tertiary signify the number of alkyl groups on the functional group itself (scheme). When the nitrogen is attached to three different atoms or groups the amine is, at any particular instant, a chiral molecule. However amines do not exhibit optical activity. The rapid inversion about the nitrogen interconverts enantiomers and is in effect a fast racemisation. In the gas phase the basicity of amines follows the order expected from the -I effect of the R groups (scheme). In water there is a second important feature, stabilisation of the cations by the hydrogen bonding shown. This works in the opposite sense: NH_4^+ should be the most stable cation and hence NH_3 the strongest base. The outcome is

$$CI - C - C - N - CH_3$$

2-chloro-N-methylethanamine

$$HO-C-C-NH_2$$
 H_2
 H_2
2-aminoethanol

H₂N-(CH₂)₆-NH₂ hexane-1,6-diamine that, in water, ammonia and the three methylamines do not differ much in basicity. Dimethylamine (N-methylmethanamine) happens to be the strongest base.

11Pr

1 R-CO₂H and derivatives, rearrangement with loss of C

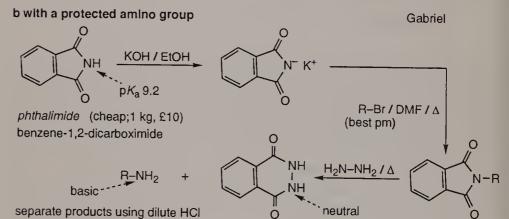
2 Reduction of functional groups containing nitrogen

a R-CO-NH₂ LiAlH₄ R-CH₂-NH₂
$$R^1$$
-CO-Cl R-CH₂-NH-CO-R¹ R -CH₂-NH-CO-R¹ R -CH₂-NH-CH₂-R¹ R -CH₂-NH₂ R -CH₂-NH

3 R-Hal

a with NH₃ 2.1Re1f may react with R-Br $R-Br \xrightarrow{NH_3} R-NH_2 \longrightarrow R_2NH \longrightarrow R_3N \longrightarrow R_4N^+Br^-$ NH₃ + R-Br (excess) high yield R₄N+Br high yield R₃N + R-Br

but NH₃ (excess) + R-Br ····· R-NH₂ yield variable, mixture of products



Amines, reductive alkylation

overall
$$C = O + H - N$$
 reduction with Ni / H₂ or $H - CO_2H$ or Na⁺H₃ $\overline{B} - CN$ H

$$Bu^{t} \xrightarrow{C} H_{2} \longrightarrow H_{2}O + (Bu^{t})^{+} \stackrel{\sim}{N} \equiv C - R \longrightarrow Bu^{t} - \stackrel{\sim}{N} \equiv C - R \longrightarrow N = C$$
or $Me_{2}C = CH_{2}$

Ritter

Of the preparations in scheme 11.Pr the first, Pr1, gives primary amines in high yield. Reduction of amides(Pr2a) can be manipulated as shown to produce primary, secondary and tertiary amines. Reduction of other groups (2b,c,d,e) is restricted to primary amines.

Reaction of R-Hal with NH₃(3a) is bedevilled by the possibility of further reactions (scheme). It does not provide a good general route to primary amines. Protection of the amine group(3b) circumvents this difficulty: the alkyl halide gives a substituted phthalimide which does not react further. Hydrolysis of the phthalimide by acid or base is difficult. Treatment with hydrazine liberates the amine which is easily separated from the other product.

Reductive alkylation(Re4) in various guises leads efficiently to a range of amines. An excess of methanal with methanoic acid as reducing agent (Eschweiler reaction, 4a) replaces N-H by N-Me. Methylation of a primary amine cannot be stopped at the mono N-methyl stage. In the Leuckart reaction(4b) one N-H of a primary amine is replaced by the C skeleton of the ketone, and the secondary amine is trapped as the amide. (The reaction can be used for converting a secondary to a tertiary amine.) Thus N-methylcyclopentanamine is readily prepared by 4b but not by 4a. The common feature is the formation of iminium ions which are reduced by the methanoate anion. #Reaction 5 gives primary amines having tertiary alkyl groups. These could not be prepared by, for example, 3b: a tertiary alkyl halide would undergo elimination.

Aromatic systems are easily nitrated, and the products are usefully converted to other functional groups directly attached to aromatic rings. Aliphatic nitro compounds, which are less readily accessible, are not so important. Nevertheless the simplest member, nitromethane, is a cheap commercial product (2kg, £36) and is involved in several synthetic sequences. Its reactions are summarised at the start of scheme 11Re, the rest of which deals with the reactions of amines.

11Re

(digression) Nitromethane Pr in 4.2Re2

aci tautomer
$$pK_a$$
 H_2C
 H_2C

2 With R¹-CO-Ci 8.3Re1a,b

3 With HNO₂

b secondary amines

$$R_2N-H$$
 $\xrightarrow{HNO_2}$ $R_2N-N=O$ nitrosamines; yellow oils, so weakly basic that are insoluble in dilute HCl

c tertiary amines $$\rm R_3N$ react very slowly with $\rm HNO_2$ to give a complex mixture of products

4 Oxidation 5Re3c

$$R_3N \xrightarrow{Ar-CO_3H/HCCl_3} R_3N \xrightarrow{R_3} O + Ar-CO_2H$$
amine oxides
$$R_3N \xrightarrow{\delta^+} O + Ar-CO_2H$$

$$R_3N \xrightarrow{\delta^+} O + Ar-CO_2H$$

5 With dichlorocarbene

cycloheptanone

(overall yield 42%)

#6 With methanal and an enolisable ketone
overall e.g.
$$Me_2NH_2$$
 $Cl^- + CH_2O + Ph-CO-CH_3$ $Ph-CO-CH_2-CH_2-N$
 Me_2N-H $as in 11Pr4$ $Me_2N = CH_2$ $H_2C = C$
 Ph
 CO_2H

NMe

 CO_2H
 CO_2H

Nitromethane gives a stable anion(Re1) which serves as a component of aldol condensations. Acidification leads to the less stable aci tautomer. The remarkable feature is that reversion to the nitro form is *slow*. Thus by careful work it is possible to generate the aci form in high concentration; a few aci tautomers have been isolated.

The reactions of nitrous acid with amines(Re2) are notoriously dirty; simple amines give a plethora of products (scheme). Although the nitrosonium ion is shown as the electrophile other species (e.g. N₂O₃) may also be involved. The sequence of reactions leads to unstable carbocations. These are so reactive that there is little selectivity in their reactions. This accords with the general principle(4.2Re1c). Paradoxically, with certain more complex amines the reaction is clean and preparatively useful. An example is given later.

Oxidation of amines, an extremely complicated subject, is famous in the annals of organic chemistry. In 1856 W H Perkin (then aged 18) oxidised impure aniline (phenylamine) and obtained mauveine, the first synthetic dye. This spawned a huge chemical dyestuff industry. Only one reaction is included here, the preparation of amine oxides from tertiary amines(**Re4**).

Although the yields in **Re5** are usually modest this route to isonitriles is better than the alternative of treating alkyl halides with silver cyanide.

*The Mannich reaction(**Re6**) generally involves a secondary amine, methanal or a few other reactive aldehydes, and a ketone which can enolise. The yield increases in line with the enol content of the ketone, as would be expected from the mechanism shown. A striking example is the synthesis of tropinone, an alkaloid derivative, by Robinson in 1917.

Nitromethane is the key component in the ring expansion of cyclohexanone (margin). The nitrous acid reaction probably does not go so far as a carbocation. Loss of nitrogen from the diazonium ion is accompanied by migration of a C–C bond; this migration is assisted by loss of a proton and formation of a carbonyl group. At first sight the overall yield does not seem impressive. However there are in effect four or five stages, and each of them must proceed in a yield higher than 80%.

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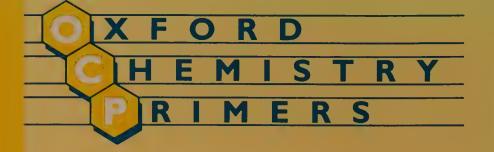
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