# Organic Structures Spectra

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#### SIXTH EDITION

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## **PREFACE**

This is the Sixth Edition of the text "Organic Structures from Spectra". The original text, published in 1986 by J R Kalman and S Sternhell, was a remarkable instructive text at a time where spectroscopic analysis, particularly NMR spectroscopy, was becoming widespread and routinely available in many chemical laboratories. The original text was founded on the premise that the best way to learn to obtain "structures from spectra" is to build up skills by practising on simple problems. Editions two through five of the text have been published at about five-yearly intervals and each revision has taken account of new developments in spectroscopy as well as dropping out techniques that have become less important or obsolete over time. The collection has grown substantially and we are deeply indebted to Dr John Kalman and to Emeritus Professor Sev Sternhell for their commitment and contribution to all of the previous editions of "Organic Structures from Spectra".

Edition Six of the text has been expanded to include a new selection of problems and many of the problems now incorporate 2D NMR spectra (COSY, TOCSY, NOESY, C-H Correlation spectroscopy or HMBC).

The overarching philosophy remains the same as in previous editions of the text:

- a. Theoretical exposition is kept to a minimum, consistent with gaining an understanding of those aspects of the various spectroscopic techniques which are actually used in solving problems. Experience tells us that both mathematical detail and in-depth theoretical description of advanced techniques merely confuse or overwhelm the average student.
- b. The learning of data is kept to a minimum. There are now many sources of spectroscopic data available online. It is much more important to learn to use a range of generalised data well, rather than to achieve a superficial acquaintance with extensive sets of data. This book contains summary tables of essential spectroscopic data and these tables become critical reference material, particularly in the early stages of gaining experience in solving problems. ix
- c. We emphasise the concept of identifying "structural elements or fragments" and building the logical thought processes needed to produce a structure out of the structural elements.

The derivation of structural information from spectroscopic data is now an integral part of Organic Chemistry courses at all universities. At the undergraduate level, the principal aim is to teach students to solve simple structural problems efficiently by using combinations of the major spectroscopic techniques (UV, IR, NMR and MS). We have evolved courses both at the University of New South Wales and at the University of Sydney which achieve this aim quickly and painlessly. The text is tailored specifically to the needs and approach of these courses.

The courses have been taught in the second and third years of undergraduate chemistry, at which stage students have usually completed an elementary course of Organic Chemistry in their first year and students have also been exposed to elementary spectroscopic theory, but are, in general, unable to relate the theory to actually solving spectroscopic problems.

We have delivered courses of about 9 lectures outlining the basic theory, instrumentation and the structure-spectra correlations of the major spectroscopic techniques. The treatment is highly condensed and elementary and, not surprisingly, the students do initially have great difficulties in solving even the simplest problems. The lectures are followed by a series of problem solving workshops (about 2 hours each) with a focus on 5 to 6 problems per session. The students are permitted to work either individually or in groups and may use any additional resource material that they can find. At the conclusion of the course, the great majority of the class is quite proficient and has achieved a satisfactory level of understanding of all methods used. Clearly, most of the real teaching is done during the hands-on problem seminars. At the end of the course, there is an examination usually consisting essentially of 3 or 4 problems from the book and the results are generally very satisfactory. The students have always found this a rewarding course since the practical skills acquired are obvious to them. Solving these real puzzles is also addictive – there is a real sense of achievement, understanding and satisfaction,

since the challenge in solving the graded problems builds confidence even though the more difficult examples are quite demanding.

Problems 1–19 are introductory questions designed to develop the understanding of molecular symmetry, the analysis of simple spin systems as well as how to navigate the common 2D NMR experiments.

Problems 20–294 are of the standard "structures from spectra" type and are arranged roughly in order of increasing difficulty. A number of problems deal with related compounds (sets of isomers) which differ mainly in symmetry or the connectivity of the structural elements and are ideally set together. The sets of related examples include Problems 33 and 34; 35 and 36; 40–43; 52 and 53; 57–61; 66–71; 72 and 73; 74–77; 82 and 83; 84–86; 92–94; 95 and 96; 101 and 102; 106 and 107; 113 and 114; 118–121; 126 and 127; 129–132; 133 and 134; 137–139; 140–142; 154 and 155; 157–164; 165–169; 176–180; 185–190; 199–200; 205–206; 208–209; 211–212; 245–247; 262–264; and 289–290.

A number of problems (218, 219, 220, 221, 242, 273, 278, 279, 280, 285, 286 and 287) exemplify complexities arising from the presence of chiral centres, and some problems illustrate restricted rotation about amide bonds (191, 275 and 281). There are a number of problems dealing with the structures of compounds of biological, environmental or industrial significance (41, 49, 64, 91, 92, 93, 94, 98, 146, 151, 152, 160, 179, 180, 191, 198, 219, 225, 231, 235, 236, 269, 285, 277, 278, 279, 284, 286 and 287).

Problems 295–300 are again structures from spectra, but with the data presented in a textual form such as might be encountered when reading the experimental section of a paper or report.

Problems 301–309 deal with the use of NMR spectroscopy for quantitative analysis and for the analysis of mixtures of compounds.

In <u>Chapter 9</u>, there are also three worked solutions (to problems 117, 146 and 77) as an illustration of a logical approach to solving problems. However, with the exception that we insist that students perform all routine measurements first, we do not recommend a mechanical attitude to problem solving – intuition has an important place in solving structures from spectra as it has elsewhere in chemistry.

**Bona fide** instructors may obtain a list of solutions (at no charge) by writing to the authors or EMAIL: L.Field@unsw.edu.au

We wish to thank the many graduate students and research associates who, over the years, have supplied us with many of the compounds used in the problems.

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Figure 8.1

Figure 8.2

Schematic NMR Spectra of Two Exchanging Nuclei

<sup>1</sup>H NMR Spectrum of the Aliphatic Region of Cysteine

## 1 INTRODUCTION

## 1.1 GENERAL PRINCIPLES OF ABSORPTION SPECTROSCOPY

Spectroscopy involves resolving electromagnetic radiation into its component wavelengths (or frequencies) and absorption spectroscopy is the absorption of electromagnetic radiation by matter as a function of wavelength.

In Organic Chemistry, we typically deal with molecular spectroscopy, *i.e.* the spectroscopy of atoms that are bound together in molecules rather than absorption by individual atoms or ions.

An absorption spectrum is a plot or graph of the absorption of energy (radiation) as a function of its wavelength ( $\lambda$ ) or frequency ( $\nu$ ). A schematic absorption spectrum is given in Figure 1.1.

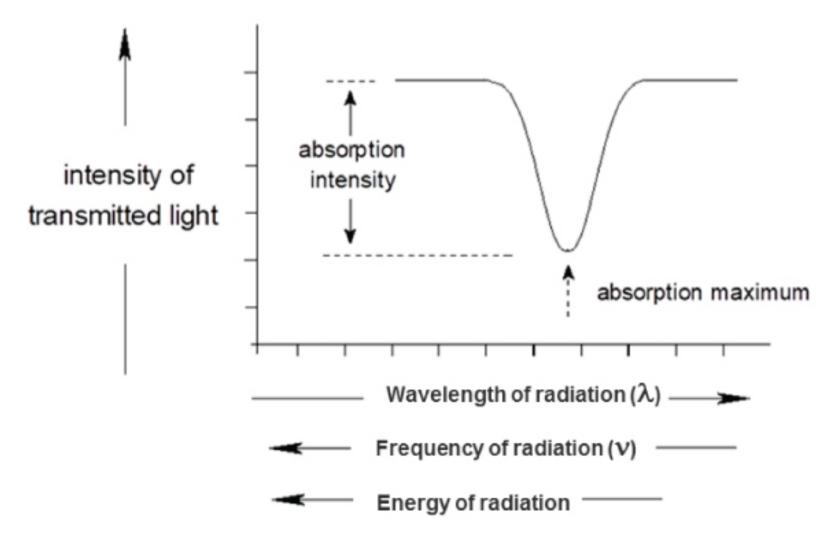


Figure 1.1 Schematic Absorption Spectrum

It follows that the x-axis in Figure 1.1 is an energy scale, since the frequency, wavelength and energy (E) of electromagnetic radiation are interrelated by the Planck-Einstein relation:

$$E = h.v$$
and  $v.\lambda = c$ 

where v is the frequency of the electromagnetic radiation,  $\lambda$  is the wavelength of the electromagnetic radiation, and c is the velocity of light.

An absorption band can be characterised primarily by two parameters:

a. the wavelength (or frequency) at which maximum absorption occurs

b. the intensity of absorption at this wavelength compared to base-line (or background) absorption

A spectroscopic transition takes a molecule from one energy state to a state of higher energy. For any spectroscopic transition between energy states (e.g.  $E_1$  and  $E_2$  in Figure 1.2), the change in energy ( $\Delta E$ ) is given by:

$$\Delta E = hv$$

where h is Planck's constant and v is the frequency of the electromagnetic energy absorbed.

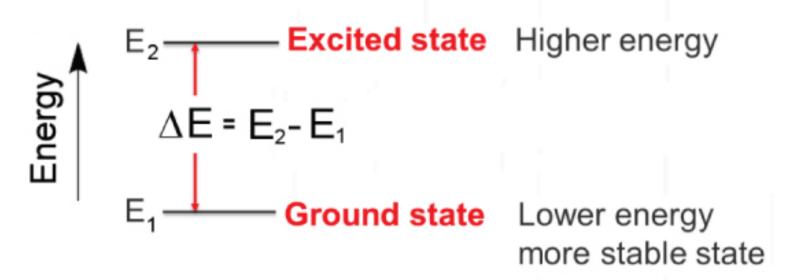


Figure 1.2 Definition of a Spectroscopic Transition

It follows that  $\Delta E \propto v$  and that  $\Delta E \propto 1/\lambda$ ; i.e. the larger  $\Delta E$ , the higher the frequency of radiation required for absorption to take place or the shorter the wavelength of radiation required for absorption to take place.

The y-axis in Figure 1.1 measures the intensity of the absorption band and this depends on the number of molecules observed (the Beer-Lambert Law) and the probability of the transition between the energy levels.

A spectrum consists of distinct bands or transitions because the absorption (or emission) of energy is quantised. The energy gap for a transition (and hence the absorption frequency) is a *molecular property* and it is *characteristic of molecular structure*. The absorption intensity is also a molecular property and both the frequency and the intensity of a transition can provide structural information.

#### 1.2 CHROMOPHORES

In general, any spectral feature, *i.e.* a band or group of bands, is due not to the whole molecule, but to an identifiable part of the molecule, which we loosely call a *chromophore*.

A chromophore may correspond to a functional group (e.g. a hydroxyl group or the double bond in a carbonyl group). However, it may equally well correspond to a single atom within a molecule or to a group of atoms (e.g. a methyl group) that is not normally associated with chemical functionality.

The detection of a chromophore permits us to deduce the presence of a *structural fragment* or a *structural element* in the molecule. The fact that it is the chromophores and not the molecule as a whole that give rise to spectral features is fortunate because it permits complete molecular structures to be built up piece-by-piece from the molecular fragments.

#### 1.3 DEGREE OF UNSATURATION

Traditionally, the molecular formula of a compound was derived from elemental analysis and its molecular weight, and these were determined independently. The concept of the **degree of unsaturation** of an organic

compound derives simply from the tetravalency of carbon. For a non-cyclic hydrocarbon (*i.e.* an alkane) the number of hydrogen atoms must be twice the number of carbon atoms plus two, any "deficiency" in the number of hydrogens must be due to the presence of unsaturation, *i.e.* double bonds, triple bonds or rings in the structure.

The degree of unsaturation can be calculated from the molecular formula for all compounds containing C, H, N, O, S or the halogens. There are three basic steps in calculating the degree of unsaturation:

- Step 1 take the molecular formula and replace all halogens by hydrogens
- Step 2 omit all of the sulfur or oxygen atoms
- Step 3 for each nitrogen, omit the nitrogen and omit one hydrogen

After these three steps, the molecular formula is reduced to  $C_nH_m$  and the degree of unsaturation is given by:

Degree of Unsaturation = 
$$n - \frac{m}{2} + 1$$

The degree of unsaturation indicates the number of  $\pi$  bonds or rings that the compound contains. For example, a compound whose molecular formula is  $C_4H_9NO_2$  is reduced to  $C_4H_8$ , which gives a degree of unsaturation of 1. This indicates that the molecule must have one  $\pi$  bond or one ring. Note that a triple bond (e.g. the  $-C\equiv C$ - bond in an alkyne or the  $-C\equiv N$  bond in a nitrile) contributes two units of unsaturation (two  $\pi$  bonds). Note also that any compound that contains an aromatic ring always has a degree of unsaturation greater than or equal to 4, since the aromatic ring contains a ring plus three  $\pi$  bonds. Similarly, if a compound has a degree of unsaturation greater than or equal to 4, one should suspect the possibility that the structure contains an aromatic ring.

#### 1.4 CONNECTIVITY

Even if it were possible to identify sufficient structural elements in a molecule to account for the molecular formula, it may not be possible to deduce the structural formula from a knowledge of the structural elements alone. For example, it could be demonstrated that a substance of molecular formula  $C_3H_5OCI$  contains the structural elements:

$$-CH_3$$
 $-CI$ 
 $C=0$ 
 $-CH_2-$ 

and this leaves two possible structures:

Not only the presence of various structural elements, but also their juxtaposition, must be determined to establish the structure of a molecule. Fortunately, spectroscopy often gives valuable information concerning the connectivity of structural elements and in the above example it would be very easy to determine whether there is a ketonic carbonyl group (as in  $\mathbf{1}$ ) or an acid chloride (as in  $\mathbf{2}$ ). In addition, it is possible to determine independently whether the methyl (-CH<sub>3</sub>) and methylene (-CH<sub>2</sub>-) groups are separated (as in  $\mathbf{1}$ ) or adjacent (as in  $\mathbf{2}$ ).

#### 1.5 SENSITIVITY

Sensitivity is generally taken to signify the limits of detectability of a chromophore. Some methods (e.g. <sup>1</sup>H NMR spectroscopy) detect all chromophores accessible to them with equal sensitivity while in other techniques (e.g. UV spectroscopy) the range of sensitivity towards different chromophores spans many orders of magnitude. Mass spectroscopy is the most sensitive of the common spectroscopic techniques and requires only very small amounts of sample ( $< 10^{-10} \, \mathrm{g}$ ) whereas <sup>13</sup>C NMR typically requires tens of milligrams of sample. In terms of overall sensitivity:

$$MS > UV > IR > {}^{1}H NMR > {}^{13}C NMR$$

but the relative sensitivity of different spectroscopic techniques often depends on the specific chromophores present in a molecule.

#### 1.6 PRACTICAL CONSIDERATIONS

The five major spectroscopic methods (MS, UV, IR,  $^{1}$ H NMR and  $^{13}$ C NMR) have become established as the principal tools for the determination of the structures of organic compounds because, between them, they detect a wide variety of structural elements.

The instrumentation and skills involved in the use of all five major spectroscopic methods are now widely spread, but the ease of obtaining and interpreting the data from each method under real laboratory conditions varies.

In very general terms:

- a. While the cost of each type of instrumentation differs greatly (NMR instruments cost between \$50,000 and several million dollars), as an overall guide, MS and NMR instruments are much more costly than UV and IR spectrometers. With increasing cost comes increasing difficulty in maintenance and the required operator expertise, thus compounding the total outlay.
- b. In terms of ease of usage for routine operation, most UV and IR instruments are comparatively straightforward bench-top laboratory instruments. NMR spectrometers are also common as "hands-on" instruments in most chemistry laboratories and the users require routine training and a degree of basic computer literacy. Similarly some mass spectrometers are now designed to be used by researchers as "hands-on" routine instruments. However, the more advanced NMR spectrometers and most mass spectrometers are still sophisticated instruments that are usually operated and maintained by specialists.
- c. The scope of each spectroscopic method can be defined as the amount of useful information it provides. This is a function of the total amount of information obtainable and also how difficult the data are to

interpret. The scope of each method varies from problem to problem, and each method has its aficionados and specialists, but the overall utility undoubtedly decreases in the order:

with the combination of  $^1\mathrm{H}$  and  $^{13}\mathrm{C}$  NMR spectroscopy providing the most useful information.

d. The theoretical background needed for each method varies with the nature of the experiment, but the minimum overall amount of theory needed decreases in the order:

$$NMR >> MS > UV \approx IR$$

## **ULTRAVIOLET (UV) SPECTROSCOPY**

#### 2.1 THE NATURE OF ULTRAVIOLET SPECTROSCOPY

The term "UV spectroscopy" generally refers to the excitation of electronic transitions by absorption of energy in the ultraviolet region of the electromagnetic spectrum ( $\lambda$  in the range approximately 200–380 nm) accessible to standard UV spectrometers.

Electronic transitions are also responsible for absorption in the visible region of the spectrum (approximately 380–800 nm) which is easily accessible instrumentally but of less importance when solving structural problems because most organic compounds are colourless. An extensive region at wavelengths shorter than ~200 nm ("vacuum ultraviolet") also corresponds to electronic transitions, but this region is not readily accessible with standard instruments. UV spectra used for determination of structures are invariably obtained in solution.

#### 2.2 BASIC INSTRUMENTATION

Basic instrumentation for both UV and IR spectroscopies consists of an energy *source*, a *dispersing device* (prism or grating), a *sample cell* and a *detector*, arranged as schematically shown in Figure 2.1.

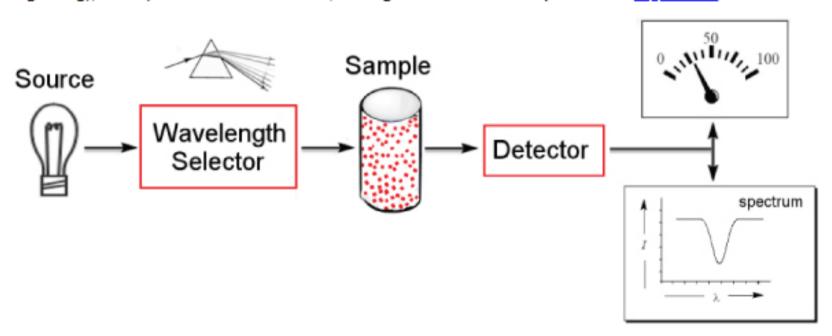


Figure 2.1 Schematic Representation of an IR or UV Spectrometer

The dispersing device scans through the range of wavelengths produced by the source and these pass through the sample. The drive of the dispersing device is synchronised with the x-axis of the recorder or fed directly to a computer, so that the x-axis tracks the wavelength of radiation reaching the detector. The signal from the detector is transmitted to the y-axis of the recorder or to a computer and this records how much radiation is absorbed by the sample at any particular wavelength.

In practice, almost all instruments are *double-beam* spectrometers and in this type of instrument, the beam is split and part of the beam goes through a *reference cell*, containing only solvent, and part of the beam goes through the sample. The absorbance of the reference cell is subtracted from the absorbance of the sample cell. Double-beam instruments eliminate any absorbance from the solvent and also cancel out absorption resulting from the atmosphere in the optical path (Figure 2.2).

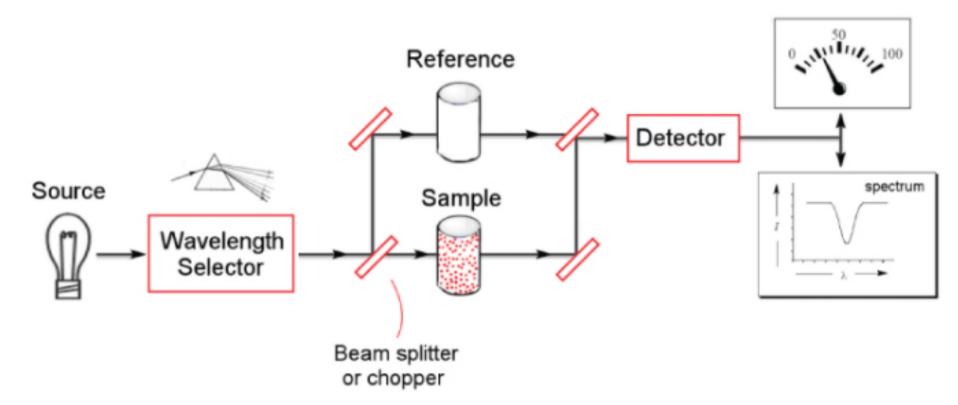


Figure 2.2 Schematic Representation of a Double-Beam Absorption Spectrometer

The energy source must be appropriate for the wavelengths of radiation being scanned. For UV spectroscopy the source is usually a deuterium lamp in which an electrical discharge through a lamp filled with deuterium gas produces a broad spectrum of light across the UV range in the electromagnetic spectrum.

The samples for UV spectroscopy are typically dissolved in solution and contained in small cells (cuvettes). The cells and optical components must be as transparent as possible to wavelengths being scanned and are typically made of quartz or fused silica. Note that conventional glass and most plastics absorb UV radiation very strongly so these materials are not used in cells for UV spectroscopy. Ethanol, hexane, water or dioxane are usually chosen as solvents as these have minimal absorption in the UV region of the spectrum.

## 2.3 QUANTITATIVE ASPECTS OF ULTRAVIOLET SPECTROSCOPY

The y-axis of a UV spectrum may be calibrated in terms of the intensity of transmitted light (i.e. the percentage of transmission or absorption) or it may be calibrated on a logarithmic scale, i.e. in terms of absorbance (A) (Figure 2.3).

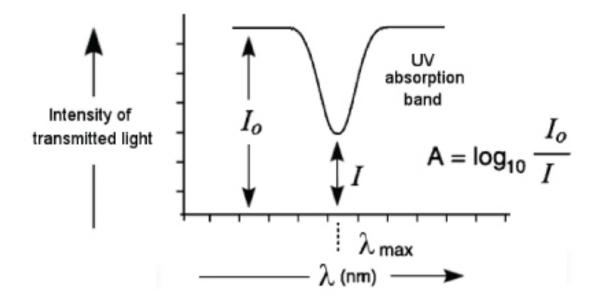


Figure 2.3 Definition of Absorbance (A)

Absorbance is proportional to concentration and path length (the Beer-Lambert Law). The intensity of absorption is usually expressed in terms of molar absorbance or the molar extinction coefficient (s) given by:

$$\varepsilon = \frac{\text{M V}}{\text{M V}}$$

where M is the molecular weight, C the concentration (in grams per litre) and /is the path length through the sample in centimetres.

UV absorption bands (Figure 2.3) are characterised by the wavelength of the absorption maximum ( $\lambda_{max}$ ) and  $\epsilon$ .

The values of associated with commonly encountered chromophores vary between 10 and  $10^5$ . For convenience, extinction coefficients are usually tabulated as  $log_{10}(\epsilon)$  as this gives numerical values that are easier to manage. The fact that some species may have very large extinction coefficients means that care must be taken in the preparation of samples because the presence of small amounts of strongly absorbing impurities may lead to errors in the interpretation of UV data.

## 2.4 CLASSIFICATION OF UV ABSORPTION BANDS

UV absorption bands have fine structure because of the presence of vibrational sub-levels, but this is rarely observed in solution due to collisional broadening. As the transitions are associated with changes of electron orbitals, they are often described in terms of the orbitals involved, e.g.

$\sigma \rightarrow \sigma^*$	where <i>n</i> denotes a non-bonding orbital,
$\pi \longrightarrow \pi^*$	the asterisk denotes an antibonding orbital
$n \rightarrow \pi^*$	and $\sigma$ and $\pi$ have the usual meaning in
$n \rightarrow \sigma^*$	terms of bonding categories.

Another method of classification uses the symbols:

В	(for benzenoid)
E	(for ethylenic)
R	(for radical-like)
K	(for conjugated - from the German "konjugierte")

A molecule may give rise to more than one band in its UV spectrum, either because it contains more than one chromophore or because more than one transition of a single chromophore is observed. However, UV spectra typically contain far fewer features (bands) than IR, MS or NMR spectra and therefore have a lower information content. The ultraviolet spectrum of acetophenone in ethanol contains three easily observed bands (Table 2.1).

Table 2.1 Observable UV Absorption Bands for Acetophenone

	λ <sub>max</sub> (nm)	8	log <sub>10</sub> (ε)	Assignment	
O CH <sub>3</sub>					
acetophenone	244	12,600	4.1	$\pi \rightarrow \pi^*$	К
	280	1,600	3.2	$\pi \rightarrow \pi^*$	В
	60	317	1.8	$n \rightarrow \pi^*$	R

## 2.5 SPECIAL TERMS IN UV SPECTROSCOPY

Auxochromes (auxiliary chromophores) are groups that have little UV absorption by themselves, but which often have significant effects on the absorption (both  $\lambda_{max}$  and  $\epsilon$ ) of a chromophore to which they are attached. Generally, auxochromes contain atoms with one or more lone pairs, e.g. -OH, -OR, -NR<sub>2</sub>, -halogen.

If a structural change, such as the attachment of an auxochrome, leads to the absorption maximum being shifted to a longer wavelength, the phenomenon is termed a *bathochromic shift*. A shift towards shorter wavelength is called a *hypsochromic shift*.

#### 2.6 IMPORTANT UV CHROMOPHORES

Most of the reliable and useful data are due to relatively strongly absorbing chromophores ( $\epsilon$  > 200) that are mainly indicative of conjugated or aromatic systems. The examples listed below encompass most of the commonly encountered effects.

#### 2.6.1 DIENES AND POLYENES

Extension of conjugation in a carbon chain is always associated with a pronounced shift towards longer wavelength, and usually towards greater absorption intensity (<u>Table 2.2</u>).

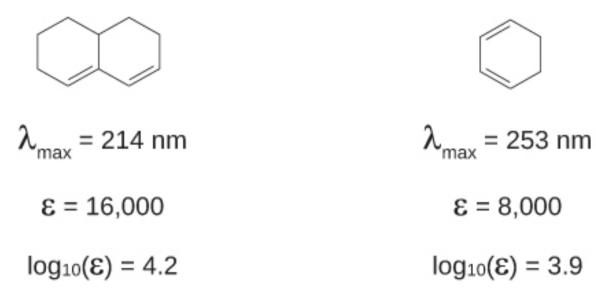
Table 2.2 The Effect of Extended Conjugation on UV Absorption

Alkene	λ <sub>max</sub> (nm)	ε	log <sub>10</sub> (ε)
CH <sub>2</sub> =CH <sub>2</sub>	165	10,000	4.0
CH <sub>3</sub> -CH <sub>2</sub> -CH=CH-CH <sub>2</sub> - CH <sub>3</sub> (trans)	184	10,000	4.0
CH <sub>2</sub> =CH-CH=CH <sub>2</sub>	217	20,000	4.3
${ m CH_3-CH=CH-CH=CH_2}$ ( $t$	224	23,000	4.4
CH <sub>2</sub> =CH-CH=CH-CH=C H <sub>2</sub> (trans)	263	53,000	4.7
CH <sub>3</sub> -(CH=CH) <sub>5</sub> -CH <sub>3</sub> (tra ns)	341	126,000	5.1

When there are more than eight conjugated double bonds, the absorption maximum of polyenes is further shifted such that they absorb light strongly in the visible region of the spectrum.

There are empirical rules (Woodward's Rules) of good predictive value and these allow the estimation of the positions of the absorption maxima in conjugated alkenes and conjugated carbonyl compounds.

The stereochemistry and the presence of substituents also influence UV absorption by the diene chromophore. For example:



#### 2.6.2 CARBONYL COMPOUNDS

All carbonyl derivatives exhibit weak ( $\epsilon$  < 100) absorption between 250 and 350 nm, and this is only of marginal use in determining structure. However, conjugated carbonyl derivatives always exhibit strong UV absorption (Table 2.3).

Table 2.3 UV Absorption Bands in Common Carbonyl Compounds

Compound	Structure	λ <sub>max</sub> (nm)	3	log <sub>10</sub> (ε)
Acetaldehyde	CH <sub>3</sub> C O H	293 (hexane solutio n)	12	1.1
Acetone	CH <sub>3</sub> C CH <sub>3</sub>	279 (hexane solutio n)	15	1.2
Propenal	CH <sub>2</sub> C C O	207 328 (ethanol solutio n)	12,000 20	4.1 1.3
(E)-Pent-3-en-2-one	CH <sub>3</sub> \C C C O	221 312 (ethanol solutio	12,000 40	4.1 1.6
4-Methylpent-3-en- 2-one	CH <sub>3</sub> C C C C C C C C C C C C C C C C C C C	238 316 (ethanol solutio n)	12,000 60	4.1 1.8
Cyclohex-2-en-1-on e	<u> </u>	225	7,950	3.9
Benzoquinone	o= <u></u> =o	247 292 363	12,600 1,000 250	4.1 3.0 2.4

#### 2.6.3 BENZENE DERIVATIVES

Benzene derivatives exhibit medium to strong absorption in the UV region. Bands usually have characteristic fine structure and the intensity of the absorption is strongly influenced by substituents. Examples listed in <u>Table 2.4</u> include weak auxochromes ( $-CH_3$ , -Cl,  $-OCH_3$ ), groups which increase conjugation ( $-CH=CH_2$ , -C(=O)-R,  $-NO_2$ ) and auxochromes whose absorption is pH dependent ( $-NH_2$  and -OH).

Table 2.4 UV Absorption Bands in Common Benzene Derivatives

Compound	Structure	λ <sub>max</sub> (nm)	ε	log <sub>10</sub> (ε)
		404	40.000	4.0
Benzene		184	60,000	4.8
		204	7,900	3.9
		256	200	2.3
	CH <sub>3</sub>			
Toluene		208	8,000	3.9
		261	300	2.5
	CI CI			
Chlorobenzene		216	8,000	3.9
		265	240	2.4
	OCH <sub>3</sub>			
Anisole		220	8,000	3.9
		272	1,500	3.2
	CH=CH <sub>2</sub>			
Styrene		244	12,000	4.1
		282	450	2.7
Acetophenone		244	12,600	4.1
		280	1,600	3.2
	NO <sub>2</sub>			
Nitrobenzene		251	9,000	4.0
		280	1,000	3.0
		330	130	2.1
Aniline	NH <sub>2</sub>	230	8,000	3.9
Ailline		281	1,500	3.2
Anilinium ion		201	1,500	5.2
Allillidillidil	—NH₃	203	8,000	3.9

		254	160	2.2
Phenol	<u></u> ОН	211	6,300	3.8
		270	1,500	3.2
Phenoxide ion	<u> </u>	235	9,500	4.0
		287	2,500	3.4

Aniline and phenoxide ion have strong UV absorptions resulting from the overlap of the lone pair on the nitrogen (or oxygen) with the  $\pi$ -system of the benzene ring. This may be visualised in the usual Valence Bond terms:

The striking changes in the ultraviolet spectra accompanying protonation of aniline and phenoxide ion are because of the loss (or substantial reduction) of the overlap between the lone pairs and the benzene ring.

#### 2.7 THE EFFECT OF SOLVENTS

Solvent polarity may affect the absorption characteristics, in particular  $\lambda_{max}$ , since the polarity of a molecule usually changes when an electron is moved from one orbital to another. Solvent effects of up to 20 nm may be observed with carbonyl compounds. Thus the  $n \to \pi^*$  absorption of acetone occurs at 279 nm in n-hexane, 270 nm in ethanol and at 265 nm in water.

## INFRARED (IR) SPECTROSCOPY

#### 3.1 ABSORPTION RANGE AND THE NATURE OF IR ABSORPTION

Infrared spectroscopy generally refers to absorption of energy in the infrared region of the electromagnetic spectrum ( $\lambda$  in the range approximately 2.5 to 15  $\mu$ m) Infrared absorption spectra are calibrated in units of wavelength expressed in micrometers:

$$1 \, \mu \text{m} = 10^{-6} \, \text{m}$$

or in frequency-related wave numbers ( $cm^{-1}$ ) which are the reciprocals of wavelengths:

wave number 
$$\bar{v}$$
 (cm<sup>-1</sup>) =  $\frac{1 \times 10^4}{\text{wavelength (in } \mu\text{m)}}$ 

The range accessible for standard IR instrumentation is usually:

$$\bar{v} = 4000 \text{ to } 666 \text{ cm}^{-1}, \text{ or } \lambda = 2.5 \text{ to } 15 \text{ } \mu\text{m}$$

Infrared absorption intensities are rarely described quantitatively, except for the general classifications of s (strong), m (medium) or w (weak).

The transitions responsible for IR bands are due to *molecular vibrations*, *i.e.* due to periodic motions involving stretching or bending of bonds. Polar bonds are associated with strong IR absorption while symmetrical bonds with no dipole moment may not absorb at all.

Every bond in a molecule can stretch or bend and each type of bond has a characteristic frequency in the IR range of the electromagnetic spectrum. The vibrational frequency, i.e. the position of the IR bands in the spectrum, depends on the nature of the bond. Shorter and stronger bonds have their stretching vibrations at the higher energy end (i.e. at higher frequency or shorter wavelength) of the IR spectrum than the longer and weaker bonds. Similarly, bonds to lighter atoms (e.g. bonds to hydrogen), always vibrate at higher energy than bonds between heavier atoms.

IR bands often have rotational sub-structure, but this is normally resolved only in spectra taken in the gas phase.

#### 3.2 EXPERIMENTAL ASPECTS OF INFRARED SPECTROSCOPY

The basic layout of a simple dispersive IR spectrometer is the same as for an UV spectrometer (<u>Figure 2.1</u>), except that all components must now match the different energy range of electromagnetic radiation.

For IR spectroscopy, the source is usually a heated bar or filament that is heated with an electric current to produce a broad spectrum of radiation across the infrared range in the electromagnetic spectrum.

The optical components of IR spectrometers must be as transparent as possible to the wavelengths being scanned; these components are typically made of solid sodium chloride or potassium bromide.

The samples for IR spectroscopy can be solids, liquids, gases or solutions. In solution, samples are contained in small cells constructed with IR-transparent windows. Solution spectra are generally obtained in chloroform or carbon tetrachloride as solvents but this does lead to loss of information at longer wavelengths where there is considerable absorption of energy by the solvent. Neat liquids are most conveniently studied by sandwiching a film of the liquid between two NaCl plates. IR spectra for solids can be recorded as a mull where a sample is powdered and mixed into a thick suspension in a supporting fluid (typically Nujol®, which is a heavy paraffin oil). Solid samples are often mixed with KBr, ground to a powder and then compressed to a thin disk. IR spectra on solids can also be recorded by reflectance.

Many modern infrared spectrometers are more sophisticated Fourier Transform Infrared (FTIR) instruments, which record an infrared interference pattern generated by a moving mirror. The interference pattern is then transformed by a computer into an infrared spectrum. From the perspective of characterising compounds by IR spectroscopy, simple dispersive IR instruments and FTIR instruments produce IR spectra which are identical. FTIR instruments have the advantage that spectra can be obtained relatively quickly (in seconds) so many spectra can be accumulated and added together to improve sensitivity.

To a first approximation, the absorption frequencies due to the important IR chromophores are the same in solid and liquid states and in solution.

#### 3.3 GENERAL FEATURES OF INFRARED SPECTRA

Almost all organic compounds contain C-H bonds and this means that there is invariably an absorption band in the IR spectrum between 2900 and 3100 cm $^{-1}$  at the C-H stretching frequency.

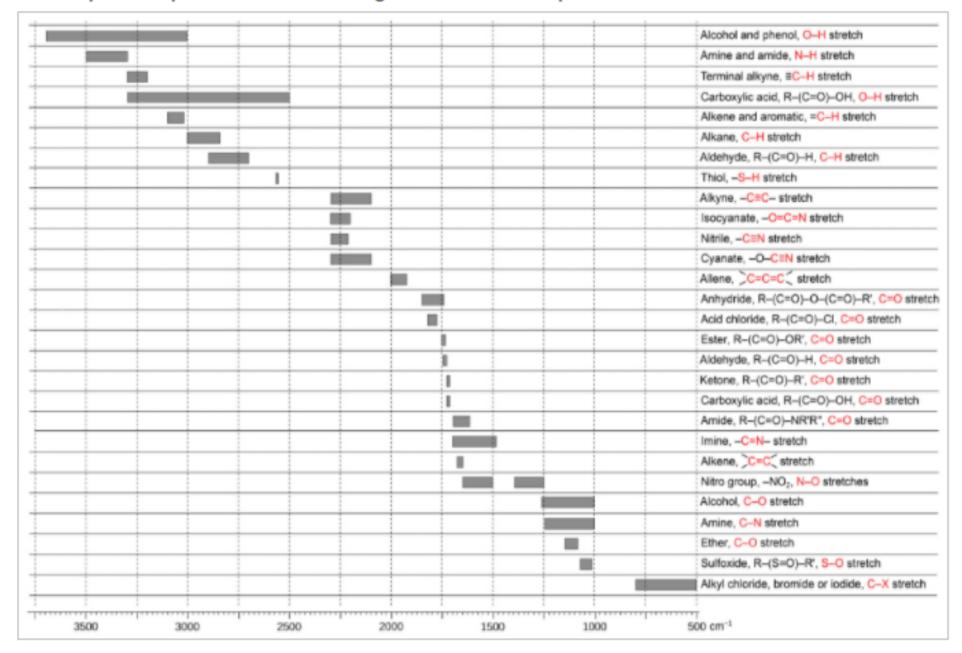
Molecules generally have a large number of bonds and each bond may have several IR-active vibrational modes. As well as individual bond vibrations, there are also composite vibrations where different parts of a molecule may vibrate or bend in a concerted fashion. IR spectra are complex and there are many overlapping absorption bands. IR spectra are sufficiently complex that the spectrum for each compound is unique – this makes IR spectra very useful for identifying compounds by direct comparison with spectra from authentic samples ("fingerprinting").

Characteristic IR vibrations are influenced strongly by small changes in molecular structure thus making it difficult to identify structural fragments from IR data alone. However, there are some groups of atoms that are readily recognised from IR spectra. IR chromophores are most useful for the determination of structure if:

- a. The chromophore does not absorb in the most crowded region of the spectrum (600–1400 cm<sup>-1</sup>) where strong overlapping stretching absorptions from C–X single bonds (X = O, N, S, P and halogens) make assignment difficult.
- b. The chromophores absorb strongly since this avoids confusion with weak harmonics. However, in otherwise empty regions e.g. 1800–2500 cm<sup>-1</sup>, even weak absorptions can be assigned with confidence.
- c. The absorption frequency must be structure dependent in an interpretable manner. This is particularly true of the very important bands due to the C=O stretching vibrations, which generally occur between 1630 and 1850 cm<sup>-1</sup>.

<u>Table 3.1</u> summarises the regions of the IR spectrum where there are characteristic absorptions for different functional groups.

#### IR Absorption Frequencies for Common Organic Functional Groups



#### 3.4 IMPORTANT IR CHROMOPHORES

#### 3.4.1 -O-H AND -N-H STRETCHING VIBRATIONS

-OH and -NH stretching vibrations are observed in the region from ca. 3000 cm<sup>-1</sup> up to ca. 3600 cm<sup>-1</sup>. This region is relatively isolated from the rest of the IR spectrum and the presence of a strong absorption above 3000 cm<sup>-1</sup> is a strong indication of the presence of an -OH or -NH group in the molecule (and equally as importantly, the absence of a strong absorption above 3000 cm<sup>-1</sup> is a strong indication of the absence of any -OH or -NH group in the molecule).

Non-hydrogen-bonded ("free") alcohols typically have a strong absorption near 3600 cm<sup>-1</sup> whereas hydrogenbonded alcohols have absorption frequencies in the range 3100–3200 cm<sup>-1</sup>; the difference between hydrogenbonded and free O–H frequencies is clearly related to the weakening of the O–H bond as a consequence of hydrogen bonding.

Carboxylic acids also have an -O-H group and usually display a strong but very broad absorption in the range 2500–3300 cm<sup>-1</sup>. The broadness of the -O-H stretch for carboxylic acids and the shift to lower frequencies is attributed to strong hydrogen bonding and the formation of hydrogen-bonded dimers.

The N-H stretch of amines and amides typically appears in the range  $3300-3500 \, \mathrm{cm}^{-1}$ . Compared to the -O-H stretch of alcohols, the -N-H stretches are typically sharper and less intense. Primary amines (R-NH<sub>2</sub>) and primary amides (R-(C=O)-NH<sub>2</sub>) often have two bands in the NH stretching region (due to symmetric and asymmetric stretches) whereas secondary amines (R<sup>1</sup>R<sup>2</sup>-NH) and secondary amides (R<sup>1</sup>-(C=O)-NH-R<sup>2</sup>) typically give only one stretch.

#### 3.4.2 C-H STRETCHING VIBRATIONS

C-H stretching vibrations are observed in the region from ca. 2700 cm<sup>-1</sup> up to ca. 3100 cm<sup>-1</sup>. Almost all organic compounds contain C-H bonds so there is always a strong absorption in this region from multiple C-H bonds. Table 3.2 gives the characteristic stretching frequencies for C-H bonds in different functional groups. Whilst this region has strong overlapping signals, some C-H stretching frequencies, such as those for a terminal alkyne or for an aldehyde, are often separated from the remaining C-H absorptions and often provide confirmatory evidence for the presence (or absence) of these functional groups.

Table 3.2 C-H IR Absorption Frequencies in Common Functional Groups

C-H group	Structure	ν̄ (cm <sup>-1</sup> )
alkane	R—C—H	2850-2960
alkene	_c=c H	3020-3100
terminal alkyne	—C≡C—H	3200-3300
aldehyde	R—C	2700-2900

#### 3.4.3 - C = N AND - C = C - STRETCHING VIBRATIONS

C=N and -C=C- stretching vibrations as well as vibrations for cumulenes (C=C=C), isonitriles (R $^+$ N=C $^-$ ), azides (R $^-$ N= $^+$ N=N $^-$ ) and isocyanates (R $^-$ N=C=O) are observed in the region from ca. 1900 cm $^{-1}$  up to ca. 2400 cm $^{-1}$  (Table 3.3). These absorptions are typically quite sharp but can be weak, particularly for internal alkynes where the dipole moment is small.

Table 3.3 C=N and C=C Absorption Frequencies in Common Functional Groups

Functional group	Structure	_ν (cm <sup>-1</sup> )	Intensity
alkyne	—C≡C—	2100-2300	weak to medium
nitrile	—C <u>≡</u> N	2215-2280	medium
cyanate	—O—C≡N	2130-2270	strong
thiocyanate	—S—C <u>≡</u> N	2130-2175	medium
isocyanate	_N=C=O	2200-2300	strong broad
isothiocyanate	_N=C=S	2000-2200	strong
allene	c=c=c	1900-2000	strong

#### 3.4.4 CARBONYL GROUPS

Carbonyl groups always give rise to a **strong** absorption between 1630 and 1850 cm $^{-1}$  as a result of C=O stretching vibrations. When present, the carbonyl absorption is often the strongest absorption in an IR spectrum. Moreover, carbonyl groups in different functional groups are associated with well-defined regions of IR absorption ( $\underline{\text{Table 3.4}}$ ). Esters have an absorption at the high frequency end of the carbonyl range (typically between 1735 and 1750 cm $^{-1}$ ) whilst amides absorb typically between 1630 and 1690 cm $^{-1}$ .

<u>Table 3.4</u> C=O IR Absorption Frequencies in Common Functional Groups

Carbonyl group	Structure	(cm <sup>-1</sup> )
Ketones	R-C-R'	1700-1725
Aldehydes	R-C-H O	1720-1740
Aryl aldehydes or ketones, α,β-unsa turated aldehydes or ketones	Ar-C-R' $R$ $C-R'$ $R' = alkyl, aryl, or H$	1660-1720
Cyclopentanones	<u></u> -o	1740-1750
Cyclobutanones	<b>○</b> =0	1760-1780
Carboxylic acids <sup>±</sup>	R-C-OH O	1700-1725
$\alpha$ ,β-unsaturated and aryl carboxylic acids $^{\pm}$	Ar-C-OH R C OH	1680-1715
Esters §	R-C-OR'	1735-1750
Phenolic esters <sup>§</sup>	R-C-OAr O	1760-1800
Aryl or α,β-unsaturated esters §	R C-OR' Ar-C-OR' O	1715-1730
δ-Lactones <sup>§</sup>	o o	1735-1750
γ-Lactones <sup>§</sup>	_°>=0	1760-1780
Amides	R-C-NR'R" O	1630-1690
Acid chlorides Acid anhydrides (two bands)	R-C-CI 0 R-C-O-C-R	1770-1815 1740-1850

	ÖÖ	
Carboxylates	R−C<0-0	1550-1610 1300-1450

<sup>&</sup>lt;sup>±</sup>Carboxylic acids also exhibit an O-H stretch near 3000 cm<sup>-1</sup>

§ Esters and lactones also exhibit a strong C−O stretch in the range 1100–1300 cm<sup>-1</sup>

There is a characteristic shift toward lower frequency associated with the introduction of  $\alpha$ ,  $\beta$ -unsaturation or conjugation with an aromatic ring. The effect of conjugation can be rationalised by considering the Valence Bond description of an enone:

The additional structure **C**, which cannot be drawn for an unconjugated carbonyl derivative, implies that the carbonyl bond in an enone has more single bond character than in an unconjugated carbonyl and is therefore weaker and its absorption frequency will be lower. The involvement of a carbonyl group in hydrogen bonding also reduces the frequency of the carbonyl stretching vibration by about 10 cm<sup>-1</sup>.

Even though the ranges for individual types of carbonyls often overlap, it is often possible to make a definite identification of the functional group from information derived from other regions of the IR spectrum. Esters also exhibit strong C-O stretching absorption between 1100 and 1300 cm<sup>-1</sup> while carboxylic acids exhibit an additional O-H stretching absorption near 3000 cm<sup>-1</sup>.

#### 3.4.5 OTHER POLAR FUNCTIONAL GROUPS

Many other functional groups have characteristic IR absorptions and these are summarised in Table 3.5.

Carbon-carbon double bonds in unconjugated alkenes usually exhibit weak to moderate absorptions due to C=C stretching in the range 1660-1640 cm<sup>-1</sup>. Disubstituted, trisubstituted and tetrasubstituted alkenes usually absorb near 1670 cm<sup>-1</sup>. The more polar carbon-carbon double bonds in enol ethers and enones usually absorb strongly between 1600 and 1700 cm<sup>-1</sup>. Alkenes conjugated with an aromatic ring absorb strongly near 1625 cm<sup>-1</sup>.

#### 3.4.6 THE FINGERPRINT REGION

The region of the IR spectrum below about 1500 cm<sup>-1</sup> is typically a crowded region of the spectrum with "composite vibrations" arising from the interaction of many groups with each other. Because it is a complex set of vibrations, every molecule is different, so this becomes a region of the spectrum which is a unique molecular signature.

For a known compound, once this region of the spectrum has been recorded into a database, the compound can always be identified again by searching for its unique infrared signature. Most IR instruments now come preloaded with compound libraries which can be routinely searched to assist with compound identification.

<u>Table 3.5</u> Characteristic IR Absorption Frequencies for Functional Groups

Functional group	Structure	_ν (cm <sup>-1</sup> )	Intensity
Amine	N—H	3300-3500	strong
Thiols	c_s_	2550-2560	weak
Imines	C—N	1480-1690	strong
Enol ethers	C=CO-R	1600-1660	strong
Alkenes	R <sub>1</sub> C=C R <sub>3</sub> R <sub>4</sub>	1640-1680	weak to medium
Nitro groups	— <u></u>	1500-1650 1250-1400	strong medium
Epoxides	c—c	1250 810-950	strong
Sulfoxides	S=0	1010-1070	strong
Sulfones	o=s=o	1300-1350 1100-1150	strong strong
Sulfonamides and Sulfonat e esters		1140-1180 1300-1370	strong strong
Alcohols		3000-3600 1000-1260	strong strong

Ethers	c_o_R	1085-1150	strong
Alkyl fluorides	C—F	1000-1400	strong
Alkyl chlorides	c_cl	580-780	strong
Alkyl bromides	C_Br	560-800	strong
Alkyl iodides		500-600	strong

## 4

#### MASS SPECTROMETRY

Mass spectrometry is an analytical method for measuring the mass of molecules (or fragments of molecules) in a sample. Mass spectrometry provides the molecular weight of a compound (and the molecular masses of fragments of a molecule). Molecules are ionised in the gas phase and then injected into a mass spectrometer which separates the ions and determines the masses of individual ions. Strictly speaking, it is only possible to measure their mass/charge ratio (m/e), but as multi-charged ions are very much less abundant than those with a single electronic charge (e = 1), m/e is for all practical purposes equal to the mass of the ion, m. The principal experimental problems in mass spectrometry are firstly to volatilise the substrate (which implies high vacuum) and secondly to ionise the neutral molecules to charged species.

#### 4.1 IONISATION PROCESSES

The most common method of ionisation involves *Electron Impact* (EI) and there are two general courses of events following a collision of a molecule M with an electron e. By far the most probable event involves electron ejection which yields an odd-electron positively charged *cation radical* [M]<sup>+</sup> of the same mass as the initial molecule M.

$$M + e^{-} \rightarrow [M]^{+} + 2e^{-}$$
neutral cation
molecule radical

The cation radical produced is known as the *molecular ion* and its mass gives a direct measure of the molecular weight of a substance. An alternative, far less probable process, also takes place and it involves the capture of an electron to give a negative *anion radical*, [M]<sup>-1</sup>.

Electron impact mass spectrometers are generally set up to detect only positive ions, but negative-ion mass spectrometry is also possible.

The energy of the electron responsible for the ionisation process can be varied. It must be sufficient to knock out an electron and this threshold, typically about  $10-12 \, \text{eV}$ , is known as the appearance potential ( $1 \, \text{eV} = 95 \, \text{kJ}$  mol<sup>-1</sup>). In practice much higher energies (~70 eV) are used and this large excess energy causes further fragmentation of the molecular ion.

The two important types of fragmentation are:

As only species bearing a positive charge will be detected in a mass spectrometer, the mass spectrum will show signals due not only to  $[M]^{+}$  but also due to  $A^{+}$ ,  $C^{+}$  and to fragment ions resulting from subsequent fragmentation of  $A^{+}$  and  $C^{+}$ .

As any species may fragment in a variety of ways, the typical mass spectrum consists of many signals. The mass spectrum (Figure 4.1) consists of a plot of the masses of ions against their relative abundance. The strongest peak in the spectrum (the base peak) is assigned a relative intensity of 100%. The peak at highest mass in the spectrum will be the molecular ion and then there will be multiple fragment ions resulting from fragmentation of the molecular ion. In some case, the molecular ion may be weak if there are very favourable fragmentation processes.

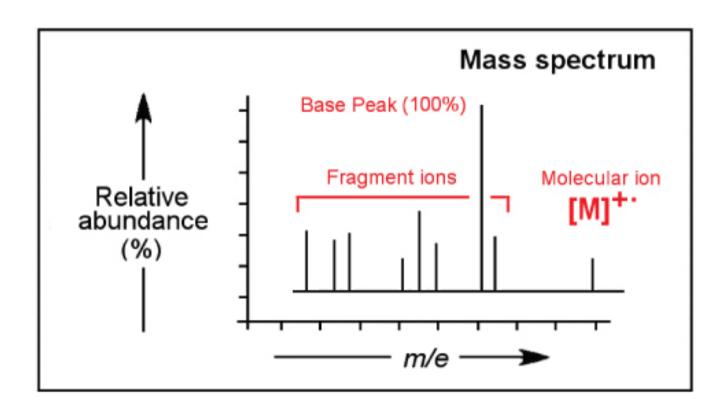


Figure 4.1 Schematic Mass Spectrum

There are a number of other methods for ionising the sample in a mass spectrometer. The most important alternative ionisation method to electron impact is *Chemical Ionisation* (CI). In CI mass spectrometry, an intermediate substance (generally methane or ammonia) is introduced at a higher concentration than that of the substance being investigated. The carrier gas is ionised by electron impact and the substrate is then ionised by collisions with the ionised carrier gas. CI is a much gentler method of ionisation than EI and consequently leads to less fragmentation of the molecular ion.

Another common method of ionisation is *Electrospray Ionisation* (ESI). In this method, the sample is dissolved in a polar, volatile solvent and pumped through a fine metal nozzle, the tip of which is charged with a high voltage. The metal nozzle produces charged droplets from which the solvent rapidly evaporates to leave naked ions which pass into the mass spectrometer. ESI is also a relatively mild form of ionisation and is suitable for biological samples that are usually quite soluble in polar solvents but are relatively difficult to vaporise in the solid state. Electrospray ionisation also tends to lead to far less fragmentation of the molecular ion than EI.

Matrix-Assisted Laser Desorption Ionisation (MALDI) uses a pulse of laser light to bring about ionisation. The sample is usually mixed with a highly absorbing compound that acts as a supporting matrix. The laser pulse

ionises and vaporises both the matrix and the sample to give ions that pass into the mass spectrometer. Again MALDI is a relatively mild form of ionisation which tends to give less fragmentation of the molecular ion than El.

# 4.2 INSTRUMENTATION

In a magnetic sector mass spectrometer (Figure 4.2), the positively charged ions of mass, m, and charge, e (generally e = 1) are subjected to an accelerating voltage V to increase their speed and then they pass through a magnetic field H. A charged particle moving through a magnetic field will be deflected into a curved path of radius r. The amount of deflection depends on the velocity of the ion, the strength of the magnetic field and mass of the ion. The quantities are connected by the relationship:

$$\frac{m}{e} = \frac{H^2 r^2}{2V}$$

The values of H and V are known, r is determined experimentally and e is assumed to be unity thus permitting us to determine the mass m. In practice the magnetic field is scanned so that streams of ions of different mass pass sequentially to the detecting system (ion collector). The whole system (Figure 4.2) is under high vacuum (less than  $10^{-6}$  Torr) to permit the volatilisation of the sample and so that the passage of ions is not impeded. The introduction of the sample into the ion chamber at high vacuum requires a relatively complex sample inlet system.

The magnetic scan is synchronised with the x-axis of a recorder and calibrated to appear as mass number (strictly m/e). The amplified current from the ion collector gives the relative abundance of ions on the y-axis. The signals are usually pre-processed by a computer that assigns a relative abundance of 100% to the strongest peak ( $base\ peak$ ).

Many modern mass spectrometers do not use a magnet to bend the ion beam to separate ions but rather use the "time of flight" (TOF) of an ion over a fixed distance to measure its mass. In these spectrometers, ions are generated (usually using a very short laser pulse) then accelerated to constant energy in an electric field. Lighter ions have a higher velocity as they leave the accelerating field and their time of flight over a fixed distance will vary depending on the speed that they are travelling. Time of flight mass spectrometers have the advantage that they do not require large, high-precision magnets to bend and disperse the ion beam so they tend to be much smaller, less expensive, more compact and less complex (desktop size) instruments.

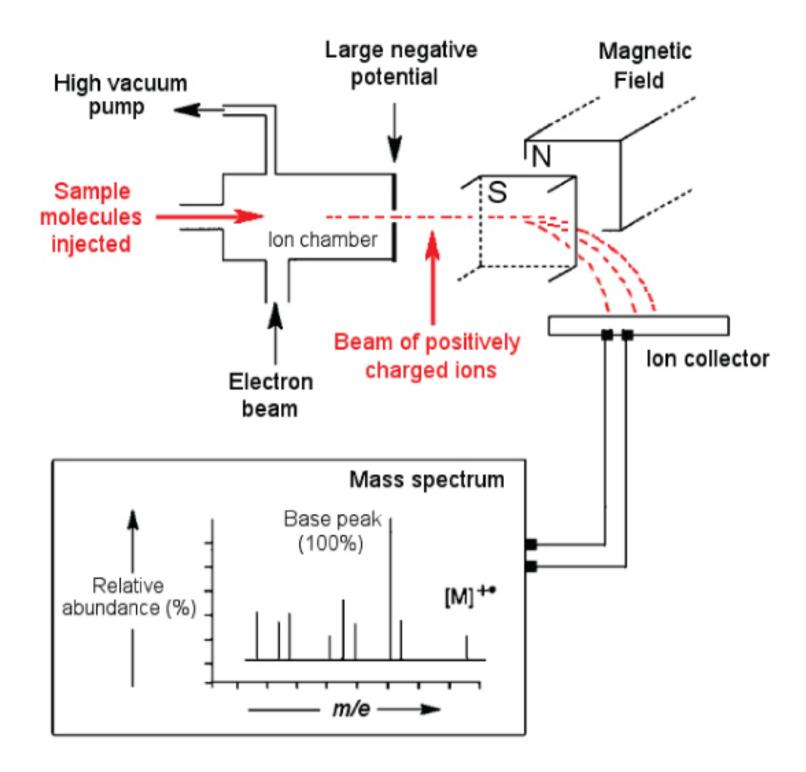


Figure 4.2 Schematic Diagram of an Electron-Impact Magnetic Sector Mass Spectrometer

# 4.3 MASS SPECTRAL DATA

As well as giving the molecular weight of a substance, the molecular ion of a compound may provide additional information. The "nitrogen rule" states that a molecule with an even molecular weight must contain no nitrogen atoms or an even number of nitrogen atoms. This means that a molecule with an odd molecular weight must contain an odd number of nitrogen atoms.

### 4.3.1 HIGH RESOLUTION MASS SPECTRA

The **mass of an ion** is routinely determined to the nearest unit value. Thus the mass of  $[M]^+$  gives a direct measure of molecular weight. It is not usually possible to assign a molecular formula to a compound on the basis of the integer m/e value of its parent ion. For example, a parent ion at m/e 72 could be due to a compound whose molecular formula is  $C_4H_8O$  or one with a molecular formula  $C_3H_4O_2$  or one with a molecular formula  $C_3H_8O_2$ .

However, using a *double-focussing* mass spectrometer or a *time-of-flight* mass spectrometer, the mass of an ion or any fragment can be determined to an accuracy of approximately ±0.00001 of a mass unit (a high resolution

mass spectrum). Since the masses of the atoms of each element are known to high accuracy, molecules that have the same mass when measured only to the nearest integer mass unit, can be cleanly distinguished when the mass is measured with high precision. Based on the accurate masses of  $^{12}$ C,  $^{16}$ O,  $^{14}$ N and  $^{1}$ H ( $^{12}$ ble 4.1) ions with the formulas  $C_4H_8O^+$ ,  $C_3H_4O_2^+$  or  $C_3H_8N_2^+$  have accurate masses of 72.0575, 72.0211 and 72.0688, respectively, so these could easily be distinguished by high resolution mass spectrometry. In general, if the mass of any fragment in the mass spectrum can be accurately determined, there is usually only one combination of elements that can give rise to that signal since there are only a limited number of elements and their masses are accurately known. By examining a mass spectrum at sufficiently high resolution, one can unambiguously obtain the exact composition of each ion in a mass spectrum. Most importantly, determining the accurate mass of [M] $^+$  gives the molecular formula of the compound.

Table 4.1 Accurate Masses of Selected Isotopes

Isotope	Natural Abundance (%)	Mass
¹H	99.98	1.00783
<sup>2</sup> H	0.016	2.01410
<sup>12</sup> C	98.9	12.0000
<sup>13</sup> C	1.1	13.00336
<sup>14</sup> N	99.6	14.0031
15 <sub>N</sub>	0.37	15.0001
<sup>16</sup> O	99.8	15.9949
<sup>17</sup> O	0.037	16.9991
<sup>18</sup> O	0.20	17.9992
19 <sub>F</sub>	100	18.99840
<sup>28</sup> Si	92.28	27.9769
<sup>29</sup> Si	4.7	28.9765
<sup>30</sup> Si	3.02	29.9738
31 <sub>P</sub>	100	30.97376
<sup>32</sup> S	95.0	31.9721
<sup>33</sup> S	0.75	32.9715
<sup>34</sup> S	4.2	33.9679
<sup>35</sup> CI	75.8	34.9689
<sup>37</sup> CI	24.2	36.9659
<sup>79</sup> Br	50.7	78.9183
<sup>81</sup> Br	49.3	80.9163
127 <sub> </sub>	100	126.9045

In addition to the molecular ion peak, the mass spectrum (see <u>Figure 4.1</u>) consists of a number of peaks at lower mass numbers and these result from fragmentation of the molecular ion. The **fragmentation pattern** is a molecular fingerprint. The principles determining the mode of fragmentation are reasonably well understood, and it is possible to derive structural information from the fragmentation pattern in several ways.

- a. The appearance of prominent peaks at certain mass numbers can be correlated empirically with certain structural elements ( $\underline{\text{Table 4.2}}$ ), e.g. a prominent peak at m/e = 43 is a strong indication of the presence of a  $CH_3-CO-$  group in the molecule.
- b. Information can also be obtained from differences between the masses of two peaks. Thus a prominent fragment ion that occurs 15 mass numbers below the molecular ion, suggests strongly the loss of a -CH<sub>3</sub> group and therefore that a methyl group was present in the substance examined.
- c. The knowledge of the principles governing the mode of fragmentation of ions makes it possible to confirm the structure assigned to a compound and, quite often, to determine the juxtaposition of structural fragments and to distinguish between isomeric substances. For example, the mass spectrum of benzyl methyl ketone, Ph-CH<sub>2</sub>-CO-CH<sub>3</sub> contains a strong peak at m/e = 91 resulting from the stable ion Ph-CH<sub>2</sub>+ but this ion is absent in the mass spectrum of the isomeric propiophenone Ph-CO-CH<sub>2</sub>-CH<sub>3</sub> where the structural elements Ph- and -CH<sub>2</sub>- are separated. Instead, a prominent peak occurs at m/e = 105 due to the stable ion Ph-C≡O+.

Electronic databases of the mass spectral fragmentation patterns of known molecules can be rapidly searched by computer. The pattern and intensity of fragments in the mass spectrum are characteristic of an individual compound so comparison of the experimental mass spectrum of a compound with those in a library can be used to positively identify it, if its spectrum has been recorded previously.

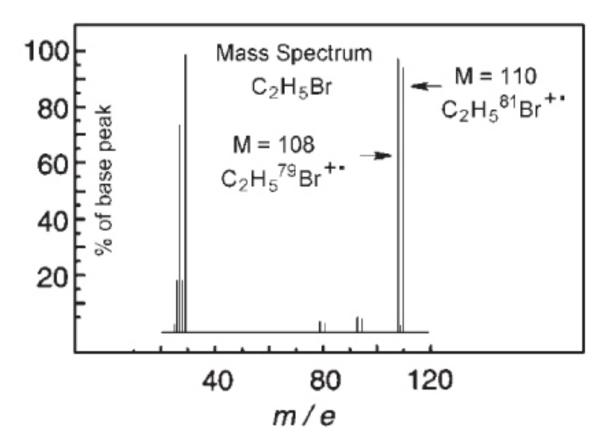
<u>Table 4.2</u> Common Fragments and their Masses

Fragment	Mass	Fragment	Mass	Fragment	Mass
				O = C—	
CH <sub>3</sub> —	15	CH <sub>3</sub> CH <sub>2</sub> -	29	H	29
NO	30	—CH₂OH	31	CH <sub>2</sub> =CH-CH <sub>2</sub> -	41
CH <sub>3</sub> C-	43	HOC_	45	-NO <sub>2</sub>	46
C₄H <sub>7</sub> —	55	C <sub>4</sub> H <sub>9</sub> —	57	CH <sub>3</sub> CH <sub>2</sub> O	57
H₂C=C OH	60	C <sub>5</sub> H <sub>5</sub> —	65	C <sub>6</sub> H <sub>5</sub>	77
C <sub>7</sub> H <sub>7</sub> CH <sub>2</sub> -	91	$N$ $CH_2$ $C_6H_6N$	92		105
CH <sub>3</sub>	119	ı—	127		

### 4.3.3 ISOTOPE RATIOS

For some elements (most notably bromine and chlorine), there is more than one isotope of high natural abundance, e.g. bromine has two abundant isotopes –  $^{79}$ Br 51% and  $^{81}$ Br 49%; chlorine also has two abundant isotopes –  $^{35}$ Cl 75% and  $^{37}$ Cl 25% (Table 4.1). The presence of Br or Cl, or other elements that contain significant proportions ( $\geq$  1%) of minor isotopes, is often obvious simply by inspection of ions near the molecular ion.

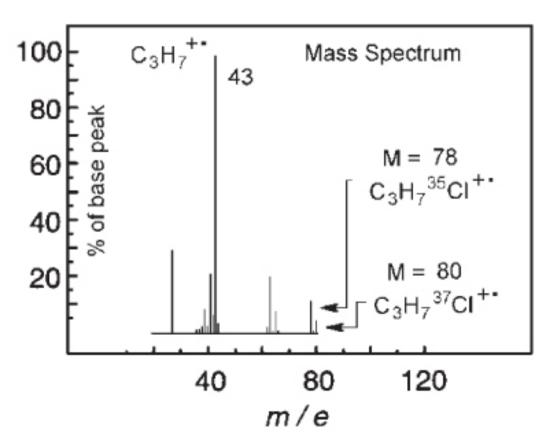
The relative intensities of the  $[M]^{+}$ ,  $[M+1]^{+}$  and  $[M+2]^{+}$  ions exhibit a characteristic pattern depending on the specific isotopes that make up the ion. For any molecular ion (or fragment) that contains one bromine atom, the mass spectrum will contain two peaks separated by two m/e units, one for the ions that contain  $^{79}Br$  and one for the ions that contain  $^{81}Br$ . For bromine-containing fragments, the relative intensities of the two ions will be approximately the same, since the natural abundances of  $^{79}Br$  and  $^{81}Br$  are approximately equal.



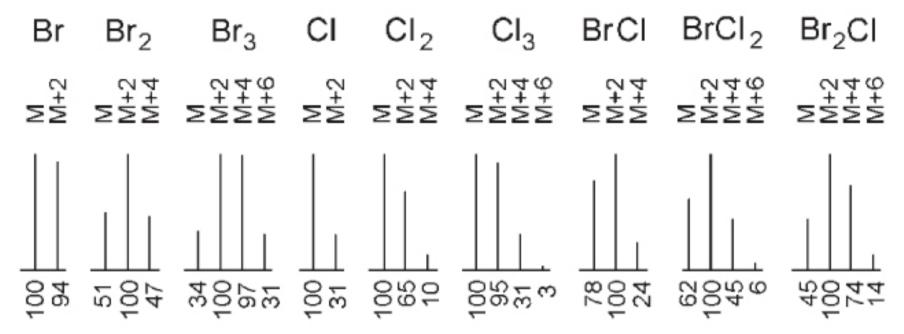
In the mass spectrum of 1-bromoethane, there are two molecular ions of almost equal intensity. The peak at m/e 108 corresponds to the molecular ions in the sample that contain  $^{79}$ Br; the peak at m/e 110 corresponds to the molecular ions in the sample that contain  $^{81}$ Br.

Similarly, for any molecule (or fragment of a molecule) that contains one chlorine atom, the mass spectrum will contain two fragments separated by two m/e units, one for the ions that contain  $^{35}$ Cl and one for the ions that contain  $^{37}$ Cl. For chlorine-containing ions, the relative intensities of the two ions will be approximately 3:1 since this reflects the natural abundances of  $^{35}$ Cl and  $^{37}$ Cl.

In the mass spectrum of 2-chloropropane, there are two molecular ions at 78 and 80 with intensities approximately in the ratio 3:1. The peak at m/e 78 corresponds to the molecular ions in the sample that contain  $^{35}$ Cl; the peak at m/e 80 corresponds to the molecular ions in the sample that contain  $^{37}$ Cl. Note that the base peak at m/e 43 is only a single ion so this ion must contain no chlorine. The pair of ions at m/e 63 and 65 clearly corresponds to a fragment that still contains a chlorine atom.



Any molecular ion (or fragment) that contains two bromine atoms will have a pattern of ions M:M+2:M+4 with signals in the ratio 1:2:1 and any molecular ion (or fragment) that contains two chlorine atoms will have a pattern of M:M+2:M+4 with signals in the ratio 10:6.5:1 (Figure 4.3).



<u>Figure 4.3</u> Relative Intensities of the Cluster of Molecular Ions for Molecules Containing Combinations of Bromine and Chlorine Atoms

#### 4.3.4 CHROMATOGRAPHY COUPLED WITH MASS SPECTROMETRY

It is now common to couple an instrument for separating a mixture of organic compounds, e.g. using gas chromatography (GC) or high performance liquid chromatography (HPLC), directly to the input of a mass spectrometer. In this way, as each individual compound is separated from the mixture, its mass spectrum can be recorded and compared automatically with the library of known compounds and identified immediately if it is a known compound.

### 4.3.5 METASTABLE PEAKS

Metastable peaks in a mass spectrum arise if the fragmentation process

takes place within the ion-accelerating region of the mass spectrometer (Figure 4.2). Ion peaks corresponding to the masses of [a]<sup>+</sup> and to [b]<sup>+</sup> ( $m_a$  and  $m_b$ ) may be accompanied by a broader peak at mass  $m_b$ , such that:

$$m^* = \frac{m_b^2}{m_a}$$

The presence of metastable peaks in a mass spectrum often permits positive identification of a particular fragmentation path.

# 4.4 REPRESENTATION OF FRAGMENTATION PROCESSES

As fragmentation reactions in a mass spectrometer involve the breaking of bonds, they can be represented by the standard "arrow notation" used in organic chemistry. For some purposes a radical cation (e.g. a generalised ion of the molecular ion) can be represented without attempting to localise the missing electron:

$$[M]^{+}$$
 or  $[CH_3-CH_2-O-R]^{+}$ 

However, to show a fragmentation process, it is generally necessary to speculate reasonably "from where the electron is missing". In the case of the molecular ion corresponding to an alkyl ethyl ether, it can be reasonably inferred that the missing electron resided on the oxygen. The application of standard arrow notation permits us to represent a commonly observed process, e.g. the loss of a methyl fragment from the  $[H_3C-CH_2-O-R]^{+1}$  molecular ion:

$$CH_3-CH_2-\overset{\leftarrow}{O}-R$$
  $\xrightarrow{}$   $\dot{C}H_3+CH_2=\overset{+}{O}-R$   $\xrightarrow{}$   $\dot{C}H_2-\overset{-}{O}-R$ 

### 4.5 FACTORS GOVERNING FRAGMENTATION PROCESSES

Three factors dominate the fragmentation processes:

- a. Weak bonds tend to be broken most easily;
- Stable fragments (not only ions, but also the accompanying radicals and molecules) tend to be formed most readily;
- c. Some fragmentation processes depend on the ability of molecules to assume cyclic transition states.

Favourable fragmentation processes naturally occur more often and ions thus formed give rise to strong peaks in the mass spectrum.

## 4.6 EXAMPLES OF COMMON TYPES OF FRAGMENTATION

There are a number of common types of cleavage that are characteristic of various classes of organic compounds. These result in the loss of well-defined fragments that are characteristic of certain functional groups or structural elements.

#### 4.6.1 CLEAVAGE AT BRANCH POINTS

Cleavage of aliphatic carbon skeletons at branch points is favoured as it leads to more substituted (and hence more stable) carbocations. The mass spectrum of 2,2-dimethylpentane shows strong peaks at m/e = 85 and m/e = 57 where cleavage leads to the formation of stable tertiary carbocations.

$$CH_3$$
  $CH_3$   $CH_3$ 

### 4.6.2β-CLEAVAGE

Chain cleavage tends to occur  $\beta$  to heteroatoms, double bonds and aromatic rings because relatively stable, delocalised carbocations result in each case.

(a)
$$-\frac{1}{C} - \frac{1}{C} -$$

carbocation

Cleavage tends to occur  $\alpha$  to carbonyl groups to give stable acylium cations. R may be an alkyl, -OH or -OR group.

### 4.6.4 CLEAVAGE α TO HETEROATOMS

Cleavage of chains may also occur to heteroatoms, e.g. in the case of ethers:

### 4.6.5 RETRO DIELS-ALDER REACTION

After ionisation, cyclohexene derivatives often undergo a retro Diels-Alder reaction to give either the diene cation radical and a neutral alkene and/or an alkene cation radical and a neutral diene:

### 4.6.6 THE McLAFFERTY REARRANGEMENT

Compounds where the molecular ion can assume the appropriate six-membered cyclic transition state usually undergo a cyclic fragmentation, known as the **McLafferty rearrangement**. This rearrangement involves the transfer of a  $\gamma$  hydrogen atom to an oxygen atom and breaks the carbon-carbon bond between the  $\alpha$ - and  $\beta$ - carbons. The McLafferty rearrangement is a common fragmentation for ketones, acids and esters:

With carboxylic acids that are unsubstituted at the  $\alpha$ -carbon, R-CH<sub>2</sub>-COOH, the McLafferty rearrangement leads to a characteristic peak at m/e = 60 for  $[C_2H_4O_2]^{+1}$ .

With esters, two types of McLafferty rearrangements may be observed and ions resulting from either fragmentation pathway are commonly observed in the mass spectrum:

resonance stabilised cation radical

resonance stabilised cation radical

# <sup>1</sup>H NUCLEAR MAGNETIC RESONANCE (NMR) SPECTROSCOPY

NMR Spectroscopy involves the absorption of radiofrequency radiation by the nuclei of some atoms in a molecule when they are placed in a magnetic field. NMR spectroscopy provides information about the number of different environments in a molecule, the functional groups in a molecule and the relationship (connectivity) between different groups in a molecule. NMR is one of the most powerful spectroscopic methods for unravelling molecular structure.

## 5.1 THE PHYSICS OF NUCLEAR SPINS AND NMR INSTRUMENTS

### 5.1.1 THE LARMOR EQUATION AND NUCLEAR MAGNETIC RESONANCE

All nuclei have charge because they contain protons and some of them also behave as if they spin. Any nucleus that has an odd number of protons and/or neutrons has a property called "nuclear spin" and at least in principle, these nuclei can be observed by Nuclear Magnetic Resonance (NMR) spectroscopy. A spinning charge generates a magnetic dipole and is associated with a small magnetic field H (Figure 5.1). Such nuclear magnetic dipoles are characterised by nuclear magnetic spin quantum numbers which are designated by the letter I and can take up values equal to  $0, \frac{3}{2}$  ... etc.



Figure 5.1 A Spinning Positive Charge Generates a Magnetic Field and Behaves like a Small Magnet

It is useful to consider three types of nuclei:

Type 1: Nuclei with I = 0. These nuclei are "NMR-silent". They do not interact with the applied magnetic field and they are not NMR chromophores. These nuclei cannot be observed by NMR spectroscopy. Nuclei with I = 0 have an even number of protons and an even number of neutrons. Note that nuclear spin is a property characteristic of certain isotopes rather than of certain elements. The most prominent examples of nuclei with I = 0 are  $I^{12}$ C and  $I^{16}$ O, and while  $I^{12}$ C and  $I^{16}$ O are NMR-silent, we can observe NMR spectra for the less abundant isotopes of carbon and oxygen,  $I^{13}$ C and  $I^{16}$ O.

*Type 2*: Nuclei with  $I = \frac{1}{2}$ . These nuclei have a non-zero magnetic moment and they are NMR visible. The two most important nuclei for NMR spectroscopy belong to this category:  ${}^{1}$ H (ordinary hydrogen) and  ${}^{13}$ C (a non-radioactive isotope of carbon occurring to the extent of 1.06% at natural abundance). Also, two other commonly observed nuclei  ${}^{19}$ F and  ${}^{31}$ P have  $I = \frac{1}{2}$ . Together, NMR data for  ${}^{1}$ H and  ${}^{13}$ C account for well over 90% of all NMR observations in the literature.

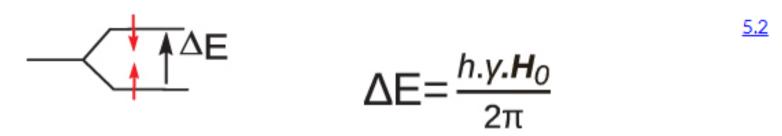
*Type 3*: Nuclei with  $I > \frac{1}{2}$ . These nuclei have both a magnetic moment and they also have an electric quadrupole. These nuclei are NMR-visible but they are generally more difficult to observe and the spectra are generally very broad. This group includes some common important isotopes e.g.  $^2H$ ,  $^{14}N$  and  $^{11}B$ .

Each nucleus with a non-zero spin I has a magnetic moment  $\mu$ , and the magnetic moment is proportional to the nuclear spin (Equation 5.1).

$$\mu = \gamma$$
. I  $\underline{5.1}$ 

The constant of proportionality,  $\gamma$ , is known as the magnetogyric ratio and  $\gamma$  is unique for each NMR-active isotope. Table 5.1 provides a summary of the nuclear spins and magnetogyric ratios of some of the common NMR-active nuclei.

The most important consequence of nuclear spin is that if the nucleus is placed in a strong uniform magnetic field ( $H_0$ ), a nucleus of spin I may assume 2I + 1 different orientations. For nuclei with I = ½, like  $^1$ H or  $^{13}$ C, there are two possible orientations (since  $2 \times \frac{1}{2} + 1 = 2$ ) and these can be viewed as having the nuclear magnet aligned either parallel or antiparallel to the applied field. These two orientations are of unequal energy, and the energy gap ( $\Delta$ E) is proportional to the strength of the applied magnetic field ( $H_0$ ) according to Equation 5.2:



where h is Planck's constant.

Table 5.1 Nuclear Spins and Magnetogyric Ratios for Common NMR-Active Nuclei

Nucleus	Nuclear Spin I	Natural Abundance (%)	Magnetogyric Ratio ( $\gamma \times 1$ 0 <sup>7</sup> rad/T/s)
¹H	1/2	99.98	26.75
<sup>2</sup> H	1	0.015	4.11
10 <sub>B</sub>	3	19.58	2.87
11 <sub>B</sub>	3/2	80.42	8.58
<sup>13</sup> C	1/2	1.108	6.73
14 <sub>N</sub>	1	99.63	1.93
15 <sub>N</sub>	1/2	0.37	-2.71
<sup>17</sup> O	5/2	0.037	-3.63
<sup>19</sup> F	1/2	100.0	25.17
<sup>29</sup> Si	1/2	4.7	-5.31
31 <sub>P</sub>	1/2	100.0	10.83

Nuclei in a lower energy orientation can be excited to the higher energy orientation by a radiofrequency (Rf) pulse of the correct frequency (v) according to Equation 5.3:

$$v = \Delta E/h$$
 5.3

$$\mathbf{v} = (\mathbf{y}.\mathbf{H}_0)/2\pi$$

Note that the frequency required to excite an NMR-active nucleus is proportional to the strength of the magnetic field and proportional to the magnetogyric ratio of the nucleus being observed. For magnetic fields that are currently accessible routinely for NMR spectroscopy (up to about 23.5 T), the frequencies required to observe most common NMR active nuclei fall in the Rf range of the electromagnetic spectrum (up to about 1 GHz).

Unlike other forms of spectroscopy, in NMR the frequency of the absorbed electromagnetic radiation is not an absolute value for any particular transition, but has a different value depending on the strength of the applied magnetic field. For every value of  $H_0$ , there is a matching value of v corresponding to the condition of resonance according to Equation 5.4. Thus for  $^1H$  and  $^{13}C$ , resonance frequencies corresponding to magnitudes of applied magnetic field ( $H_0$ ) commonly found in commercial instruments are given in Table 5.2.

Table 5.2 Resonance Frequencies of <sup>1</sup>H and <sup>13</sup>C Nuclei in Magnetic Fields of Different Strengths

H <sub>o</sub> (Tesla)	<sup>1</sup> H (MHz)	<sup>13</sup> C (MHz)
1.4093	60.0	15.087
2.1139	90.0	22.629
2.3488	100.0	25.144
4.6975	200.0	50.288
7.0462	300.0	75.432
9.3950	400.0	100.577
11.744	500.0	125.720
14.0923	600.0	150.864
17.616	750.0	188.580
21.128	900.0	226.296
23.488	1000.0	250.288

In common jargon, NMR spectrometers are commonly known by the frequency they use to observe <sup>1</sup>H, *i.e.* as "60 MHz", "200 MHz" or "400 MHz" instruments, even if the spectrometer is set to observe a nucleus other than <sup>1</sup>H.

# 5.2 BASIC NMR INSTRUMENTATION AND THE NMR EXPERIMENT

### 5.2.1 CONTINUOUS WAVE AND PULSED NMR SPECTROMETERS

Samples for NMR spectroscopy are typically liquids (solutions) or solids. In order to observe Nuclear Magnetic Resonance, the sample must be placed in a strong, very uniform, magnetic field.

There are essentially two different types of NMR instruments – Continuous Wave (CW) and pulsed spectrometers. In a CW NMR spectrometer, the transmitter scans across a range of frequencies and the receiver records the frequencies where there is absorption of radiation (Figure 5.2). A complete CW spectrum typically takes several minutes to record.

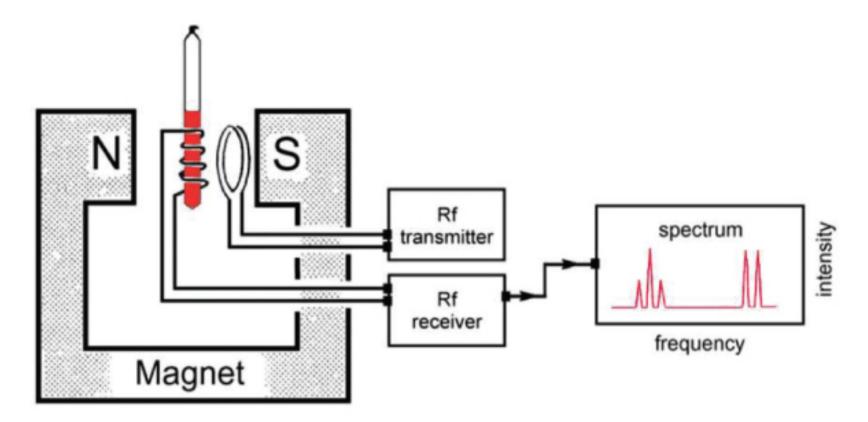


Figure 5.2 Schematic Representation of a CW NMR Spectrometer

In a pulsed NMR spectrometer, the transmitter provides intense short pulses of Rf radiation which excite all nuclei near the frequency of the pulse. The receiver records the signals from all excited nuclei simultaneously and a computer performs a Fourier Transformation to extract the frequencies in the form of a conventional spectrum (Figure 5.3).

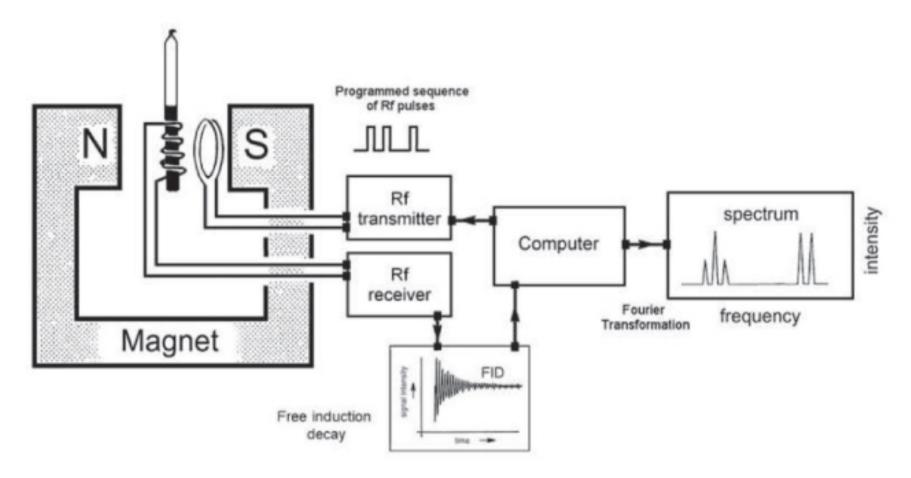


Figure 5.3 Schematic Representation of a Pulsed NMR Spectrometer

In a pulsed NMR spectrometer, a short burst or pulse of radiofrequency radiation will simultaneously excite all of the nuclei whose resonance frequencies are close to the frequency of the pulse. If a sample placed in a magnetic field of 9.395 T contains <sup>1</sup>H nuclei, then a pulse whose frequency is close to 400 MHz will excite all of the <sup>1</sup>H nuclei in the sample (see <u>Table 5.2</u>). Typically, the excitation pulse is very short in duration (microseconds)

and once the pulse is switched off, the magnetism which builds up in the sample begins to decay exponentially with time. A pulsed NMR spectrometer measures the decrease in sample magnetisation following a pulse as a function of time, and records the *free-induction decay* (FID). The FID is a time-domain signal (*i.e.* a signal whose amplitude is a function of time), and the FID contains information for each resonance in the sample, superimposed on the information for all the other resonances. The FID is an interference pattern arising from many different frequencies and the signal may be transformed into a frequency-domain spectrum (*i.e.* a spectrum whose amplitude is a function of frequency), by a mathematical procedure known as *Fourier transformation* (FT) (Figure 5.4). The frequency-domain spectrum is the typical NMR spectrum that is used to provide information about chemical compounds. An NMR spectrum which contains intensity information as a function of one frequency domain is termed a *one-dimensional* (1D) NMR spectrum.

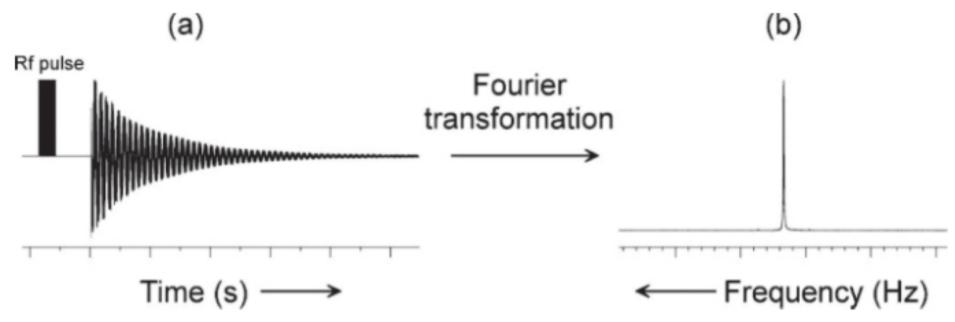


Figure 5.4 <sup>1</sup>H NMR Spectra: (a) Time Domain Spectrum (FID); (b) Frequency Domain Spectrum Obtained after Fourier Transformation of (a)

Almost all modern NMR spectrometers are pulsed Fourier transform spectrometers. Whilst pulsed spectrometers are generally more expensive and more complex to operate, spectra can be recorded rapidly (typically a few seconds) and the fact that the data is digitised into a computer means that it is much easier to store, visualise and add (or subtract) spectra.

Adding spectra is valuable since acquiring multiple spectra and adding them together improves the signal intensity of NMR spectra and this means that even weak samples can give good NMR spectra. The signal-to-noise ratio of the NMR spectrum is proportional to the square root of the number of scans which are added together, so the quality of NMR spectra is vastly improved as more scans are added. It is the ability to add spectra easily, brought about by the introduction of FT NMR spectroscopy, that has permitted the routine observation of nuclei whose natural abundance is low, e.g. <sup>13</sup>C.

Although it is possible to acquire many spectra in rapid succession using pulsed NMR methods, the speed with which multiple FIDs can be acquired is restricted by the fact that the nuclei in the sample need to relax between acquisitions (Section 5.2.2). If successive FIDs are acquired too rapidly, intensity information will be distorted because those nuclei which relax slowly will not be fully relaxed when subsequent scans are acquired and they will contribute less to the resulting signal. To ensure that the signal intensities are accurate, the repetition rate needs to be such that even any slowly relaxing nuclei in the sample are fully relaxed between scans.

In addition, the FID can be manipulated mathematically to enhance sensitivity (e.g. for routine  $^{13}$ C NMR) at the expense of resolution, or to enhance resolution (often important for  $^{1}$ H NMR) at the expense of sensitivity. It is also possible to devise **sequences** of Rf pulses to extract specific information from the sample, e.g. using two-dimensional NMR (see Chapter 7).

#### 5.2.2 NUCLEAR RELAXATION

All of the frequencies listed in <u>Table 5.2</u> correspond to the radio frequency region of the electromagnetic spectrum and inserting these values into <u>Equation 5.2</u> gives the size of the energy gap between the states in an NMR experiment. A resonance frequency of 100 MHz corresponds to an energy gap of approximately  $4 \times 10^{-5}$  kJ mol<sup>-1</sup>. Compared to other forms of spectroscopy, the energy gap for NMR spectroscopy is extremely small.

Any absorption signal observed in a spectroscopic experiment must originate from excess of the population in the lower energy state, the so called *Boltzmann excess*, which is equal to  $N_{\beta}$  –  $N_{\alpha}$ , where  $N_{\beta}$  and  $N_{\alpha}$  are the populations in the lower ( $\beta$ ) and upper ( $\alpha$ ) energy states.

For molar quantities, the general Boltzmann relation (Equation 5.5) shows that:

$$\frac{N_{\beta}}{N_{\alpha}} = e^{\frac{\Delta E}{RT}}$$

As the energy gap ( $\Delta E$ ) approaches zero, the right hand side of <u>Equation 5.5</u> approaches 1 and the Boltzmann excess becomes very small. For the NMR experiment, the population excess in the lower energy state is typically of the order of 1 in  $10^5$  which renders NMR spectroscopy an **inherently insensitive** spectroscopic technique.

<u>Equation 5.2</u> shows that the energy gap (and therefore ultimately the Boltzmann excess and sensitivity), increases with increasing applied magnetic field. Improving sensitivity is one of the reasons why NMR spectroscopists strive to use higher and higher magnetic fields in NMR spectrometers.

Even at the highest fields, the NMR experiment would not be practicable if mechanisms did not exist to restore the Boltzmann equilibrium that is perturbed as the result of the absorption of electromagnetic radiation in taking an NMR spectrum. These mechanisms are known by the general term of **relaxation**. The NMR experiment only works because there are processes that restore the system back to equilibrium once it has been excited by absorption of Rf energy.

If relaxation is too efficient (i.e. it takes a short time for the nuclear spins to relax after being excited in an NMR experiment) the lines observed in the NMR spectrum are very broad. If relaxation is too slow (i.e. it takes a long time for the nuclear spins to relax after being excited in an NMR experiment) then the populations of the upper and lower states equalise (i.e. the nuclei become saturated) and only a very weak signal can be observed.

The most important relaxation processes in NMR involve interactions with other nuclear spins that are in a state of random thermal motion. This is called spin-lattice relaxation and results in a simple exponential recovery process after the spins are disturbed in an NMR experiment. The exponential recovery is characterised by a time constant  $T_1$  that can be measured for different types of nuclei. For organic liquids and samples in solution,  $T_1$  is typically of the order of several seconds. In the presence of paramagnetic impurities or in very viscous solvents, relaxation of the spins can be very efficient and NMR spectra become broad.

Nuclei in solid samples typically relax very efficiently and give rise to very broad spectra. NMR spectra of solid samples can only be acquired using specialised spectroscopic equipment. This technique is known as solid state NMR spectroscopy and will not be discussed further.

### 5.2.3 MAGNETS FOR NMR SPECTROSCOPY

Magnets for NMR spectroscopy may be either permanent magnets or electromagnets. Most modern magnets are electromagnets based on superconducting solenoids, cooled to liquid helium temperature (4 degrees Kelvin). Irrespective of the type of magnet, for NMR spectroscopy, the magnetic field must be:

a. Strong. This is partly due to the fact that the sensitivity of the NMR experiment increases as the strength of the magnet increases, but more importantly it ensures adequate dispersion of signals, i.e. less overlap of signals.

- b. Extremely *homogeneous* or uniform so that all portions of the sample experience exactly the same magnetic field. Any inhomogeneity of the magnetic field will result in broadening and distortion of spectral bands. When determining the structure of organic compounds, the highest attainable degree of magnetic field homogeneity is desirable, because useful information may be lost if the width of the NMR spectral lines exceeds about 0.2 Hz. Clearly, 0.2 Hz in, say, 100 MHz implies a homogeneity of about 2 parts in 10<sup>9</sup>, and this is a very stringent requirement for homogeneity over the whole volume of an NMR sample.
- c. Very stable over time so that it does not drift during the acquisition of the spectrum. This also means that there is a requirement for NMR instruments to be relatively isolated from sources of magnetic interference such as the movement of large metal objects (e.g. trucks, trains, metal cylinders, heavy machinery, elevators, etc).

#### 5.2.4 THE NMR SPECTRUM

An NMR spectrum is effectively a graph of the intensity of absorption of Rf radiation (y-axis) against the frequency of the Rf radiation (x-axis). Since frequency and magnetic field strength are linked by the Larmor equation, the x-axis could also be calibrated in units of magnetic field strength.

The frequency axis of the spectrum is usually calibrated in dimensionless units called "parts per million" (abbreviated to ppm) although the horizontal scale is a frequency scale, the units are usually converted to ppm by dividing the observed frequencies by the frequency of the spectrometer so that the scale has the same numbers irrespective of the strength of the magnetic field in which the measurement was made.

The frequency scale in ppm, termed the  $\delta$  scale, is usually referenced to the resonance of some standard substance whose frequency is chosen as 0.0 ppm. Tetramethylsilane, (CH<sub>3</sub>)<sub>4</sub>Si, (abbreviated commonly as TMS) is the usual reference compound chosen for both  $^{1}$ H and  $^{13}$ C NMR and it is normally added directly to the solution of the substance to be examined.

The frequency difference between the resonance of a nucleus and the resonance of the reference compound is termed the **chemical shift**.

chemical shift ( $\delta$ ) in ppm =  $\frac{\text{frequency difference from TMS in Hz}}{\text{spectrometer frequency in MHz}}$ 

By convention, the  $\delta$  scale runs (with increasing values) from right-to-left. Note that for a spectrometer operating at 200 MHz, 1 ppm corresponds to 200 Hz, *i.e.* for a spectrometer operating at x MHz, 1.00 ppm corresponds to exactly x Hz. A sample  $^{1}$ H NMR spectrum is given in <u>Figure 5.5</u>.

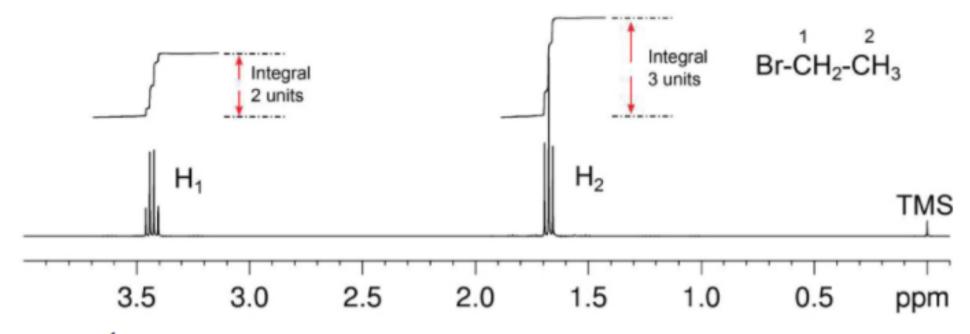


Figure 5.5 <sup>1</sup>H NMR Spectrum of Bromoethane (400 MHz, CDCl<sub>3</sub>)

TMS has the following advantages as a reference compound:

- a. it is a relatively inert, low boiling (b.p. 26.5°C) liquid which can be easily removed after use;
- b. it gives a sharp single signal in both <sup>1</sup>H and <sup>13</sup>C because the compound has only one type of hydrogen and one type of carbon;
- c. the chemical environment of both carbon and hydrogen in TMS is unusual due to the presence of silicon and hence the TMS signal occurs outside the normal range observed for organic compounds so the reference signal is unlikely to overlap with the signal from the substance examined;
- d. the chemical shift of TMS is not substantially affected by complexation or solvent effects because the molecule doesn't contain any polar groups.

NMR spectroscopy is a quantitative technique and <sup>1</sup>H NMR spectra are usually recorded with an **integral** which indicates the relative areas of the absorption peaks in the spectrum. The area of a peak is proportional to the number of protons which give rise to the signal. In most NMR spectrometers, the integral is represented as a horizontal line plotted over the spectrum (see <u>Figure 5.5</u>). Whenever a peak is encountered, the vertical displacement of the integral line is proportional to the area of the peak. <sup>1</sup>H NMR spectroscopy is an excellent tool for the analysis of mixtures – if a sample contains more than one compound then the areas of the signals belonging to each species in the NMR spectrum will reflect the relative concentrations of the species in the mixture.

# 5.3 CHEMICAL SHIFTS IN 1H NMR SPECTROSCOPY

The real utility of NMR spectroscopy in chemistry is based on the *chemical shift* and on *spin-spin coupling* between nuclei and, to a lesser extent, on effects related to the *time-scale* of the NMR experiment.

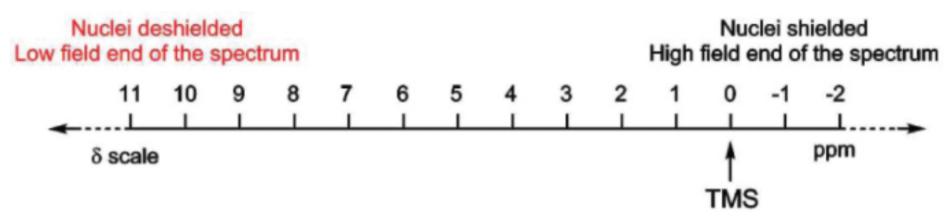
For the majority of organic compounds, the chemical shift range for  $^{1}$ H covers approximately the range 0–10 ppm (from TMS) and for  $^{13}$ C covers approximately the range 0–220 ppm (from TMS).

All <sup>1</sup>H nuclei in a sample are not necessarily equivalent, and the chemical environment that each <sup>1</sup>H finds itself in within the structure of the molecule determines its exact resonance frequency. Each nucleus is **screened or shielded** from the applied magnetic field by the electrons that surround it. Unless two <sup>1</sup>H environments are precisely identical (by symmetry) their resonance frequencies must be slightly different. When two nuclei have identical molecular environments and hence the same chemical shift, they are termed **chemically equivalent nuclei** or *isochronous* nuclei. Non-equivalent nuclei that coincidentally have chemical shifts that are so close that their signals are indistinguishable are termed **accidentally equivalent nuclei**.

Nuclei that are close to strongly electron-withdrawing functional groups have the local electronic environment distorted and may have less electron density to screen or shield them from the magnetic field. These nuclei are said to be **deshielded** and these nuclei resonate at higher chemical shift values (*i.e.* towards the left hand or low-field end of an NMR spectrum). Nuclei that are in electron-rich sections of a molecule have more electron density to screen or shield them from the magnetic field. These nuclei are said to be **shielded** and these nuclei resonate at lower chemical shift values (*i.e.* towards the right hand or high-field end of an NMR spectrum).

Any effect which alters the density or spatial distribution of electrons around a  $^{1}$ H nucleus will alter the degree of shielding and hence its chemical shift.  $^{1}$ H chemical shifts are sensitive to both the hybridisation of the atom to which the  $^{1}$ H nucleus is attached ( $sp^{2}$ ,  $sp^{3}$ , etc.) and to electronic effects (the presence of neighbouring electronegative/electropositive groups).

The chemical shift of a nucleus reflects its local environment in a molecular structure and this makes NMR spectroscopy a powerful tool for obtaining structural information. **Every hydrogen and carbon atom in an organic molecule is a "chromophore"** for NMR spectroscopy.



Electron withdrawing substituents (-OH, -OCOR, -OR, -NO<sub>2</sub>, halogen) attached to an aliphatic carbon chain cause a **downfield shift** of 2-4 ppm when present at  $C_{\alpha}$  and have less than half of this effect when present at  $C_{\beta}$ .

When  $sp^2$  hybridised carbon atoms (carbonyl groups, olefinic fragments, aromatic rings) are present in an aliphatic carbon chain they cause a downfield shift of 1–2 ppm when present at  $C_{\alpha}$ . When present at  $C_{\beta}$ ,  $sp^2$  hybridised carbons have less than half of this effect.

<u>Tables 5.3</u> and <u>5.4</u> give characteristic shifts for <sup>1</sup>H nuclei in some representative organic compounds. <u>Table 5.5</u> gives characteristic chemical shifts for protons in common alkyl derivatives. <u>Table 5.6</u> provides approximate ranges for proton chemical shifts in organic compounds.

Table 5.3 Typical <sup>1</sup>H Chemical Shift Values (δ) in Selected Organic Compounds

Compound	<sup>1</sup> H (ppm from TMS)	
CH <sub>4</sub>	0.23	
CH <sub>3</sub> -CI	3.05	
CH <sub>2</sub> Cl <sub>2</sub>	5.33	
CHCI <sub>3</sub>	7.27	
CH <sub>3</sub> -CH <sub>3</sub>	0.86	
CH <sub>2</sub> =CH <sub>2</sub>	5.25	
C <sub>6</sub> H <sub>6</sub> (benzene)	7.26	
CH₃—C—H O	2.20 (-CH <sub>3</sub> ), 9.80 (-CHO)	
CH <sub>3</sub> -CH <sub>2</sub> -CH <sub>2</sub> -CI	1.06 (-CH <sub>3</sub> ), 1.81 (-CH <sub>2</sub> -), 3.47 (-CH <sub>2</sub> -CI)	

Table 5.4 Typical <sup>1</sup>H Chemical Shift Values (δ) of Selected Protons\*

Group	<sup>1</sup> H (ppm from TMS)
Si(CH <sub>3</sub> ) <sub>4</sub> tetramethylsilane (TMS)	0.0
$CH_3$ – attached to $sp^3$ -hybridised carbon	0.8-1.2
-CH $_2$ - attached to $sp^3$ -hybridised carbon	1.0-1.5
C−H <sub>attached to sp<sup>3</sup>-hybridised carbon</sub>	1.2-1.8
—C≡C−Hattached to <i>sp</i> -hybridised carbon	2.0-3.5
C=C Hattached to sp <sup>2</sup> -hybridised carbon	5-8
protons attached to aromatic and heterocyclic rings	6-9
R—C	
Hattached to sp <sup>2</sup> -hybridised aldehyde carbon	9-10

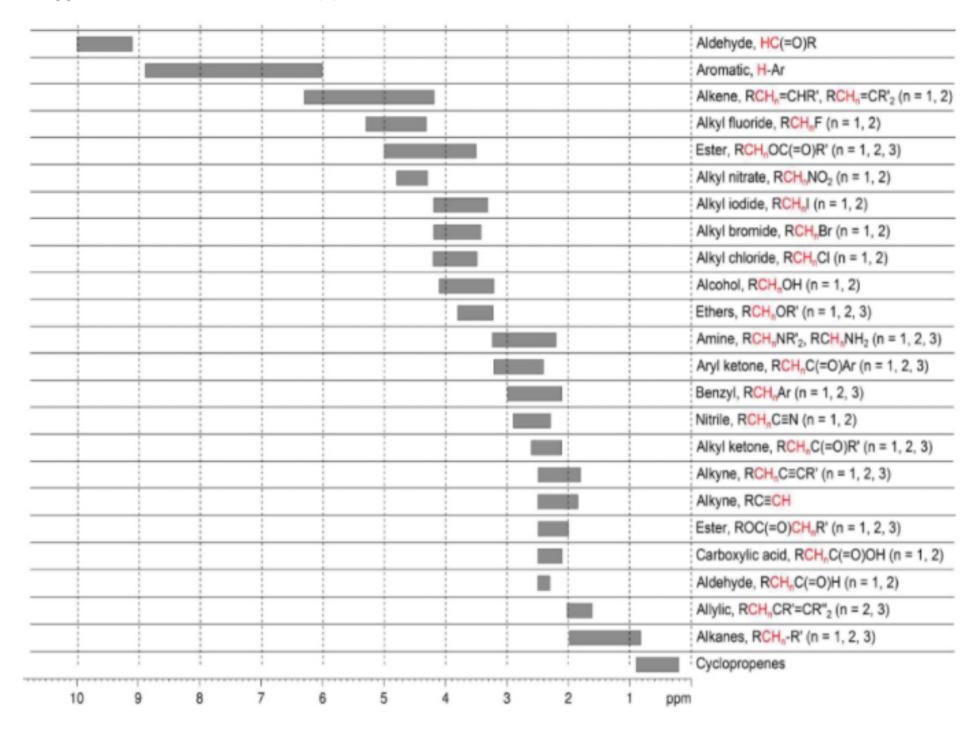
 $<sup>^*</sup>$  -OH protons in alcohols, phenols or carboxylic acids; -SH protons in thiols; -NH protons in amines or amides do not have reliable chemical shift ranges (see Section 5.8).

Table 5.5 <sup>1</sup>H Chemical Shift Values (δ) for Protons in Common Alkyl Derivatives

	CH <sub>3</sub> -X	CH <sub>3</sub> -CH <sub>2</sub> -X		(CH <sub>3</sub> ) <sub>2</sub>	CH-X
X	CH <sub>3</sub> -	CH <sub>3</sub> -	-CH <sub>2</sub> -	CH <sub>3</sub> -	CH-
-H	0.23	0.86	0.86	0.91	1.33
-CH=CH <sub>2</sub>	1.71	1.00	2.00	0.91	2.50
-Ph	2.35	1.21	2.63	1.25	2.89
-CI	3.06	1.33	3.47	1.55	4.14
-Br	2.69	1.66	3.37	1.73	4.21
-1	2.16	1.88	3.16	1.89	4.24
-OH	3.39	1.18	3.59	1.16	3.94
-OCH <sub>3</sub>	3.24	1.15	3.37	1.08	3.55
-O-Ph	3.73	1.38	3.98	1.31	4.51
-OCO-CH <sub>3</sub>	3.67	1.21	4.05	1.22	4.94
-OCO-Ph	3.89	1.38	4.37	1.36	5.30
-CO-CH <sub>3</sub>	2.09	1.05	2.47	1.08	2.54
-CO-Ph	2.55	1.18	2.92	1.22	3.58
-CO-OCH <sub>3</sub>	2.01	1.12	2.28	1.15	2.48
-NH <sub>2</sub>	2.47	1.10	2.74	1.03	3.07
-NH-COCH <sub>3</sub>	2.71	1.12	3.21	1.13	4.01
-C≡N	1.98	1.31	2.35	1.35	2.67
-NO <sub>2</sub>	4.29	1.58	4.37	1.53	4.44

<sup>&</sup>lt;sup>1</sup>H NMR Spectroscopy

# Approximate <sup>1</sup>H Chemical Shifts (δ) for Olefinic Protons



<u>Table 5.7</u> gives characteristic chemical shifts for the olefinic protons in common substituted alkenes. To a first approximation, the shifts induced by substituents attached to an alkene are additive. So, for example, an olefinic proton which is trans to a -CN group and has a geminal alkyl group will have a chemical shift of approximately 6.25 ppm [5.25 + 0.55(trans-CN) + 0.45(gem-alkyl)].

Table 5.7 Approximate <sup>1</sup>H Chemical Shifts (δ) for Olefinic Protons

$\delta_{C=C-H} = 5.25 + \sigma_{gem} + \sigma_{cis} + \sigma_{trans}$		X <sub>trans</sub> C=C X <sub>gem</sub>	
X	σ <sub>gem</sub>	σ <sub>cis</sub>	σ <sub>trans</sub>
-H	0.0	0.0	0.0
-alkyl	0.45	-0.22	-0.28
-aryl	1.38	0.36	-0.07
-CH=CH <sub>2</sub>	1.00	-0.09	-0.23
-CH=CH-conjugated	1.24	0.02	-0.05
-C≡C-H	0.47	0.38	0.12
-CO-R	1.10	1.12	0.87
-CO-OH	0.80	0.98	0.32
-CO-OR	0.78	1.01	0.46
-C≡N	0.27	0.75	0.55
-CI	1.08	0.18	0.13
-Br	1.07	0.45	0.55
-OR	1.22	-1.07	-1.21
-NR <sub>2</sub>	0.80	-1.26	-1.21

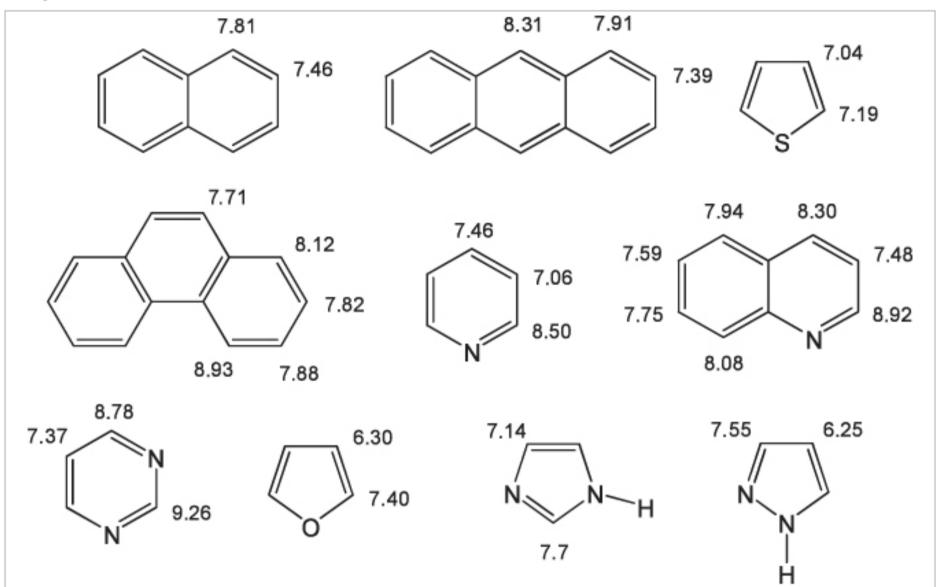
<u>Table 5.8</u> gives characteristic  $^{1}$ H chemical shifts for the aromatic protons in benzene derivatives. To a first approximation, the shifts induced by substituents are additive. So, for example, an aromatic proton which has a - NO<sub>2</sub> group in the *para* position and a -Br group in the *ortho* position will appear at approximately 7.82 ppm [(7.26 + 0.38(p-NO<sub>2</sub>) + 0.18(o-Br))].

 $\underline{\text{Table 5.9}}$  gives characteristic chemical shifts for  $^1\text{H}$  nuclei in some polynuclear aromatic compounds and heteroaromatic compounds.

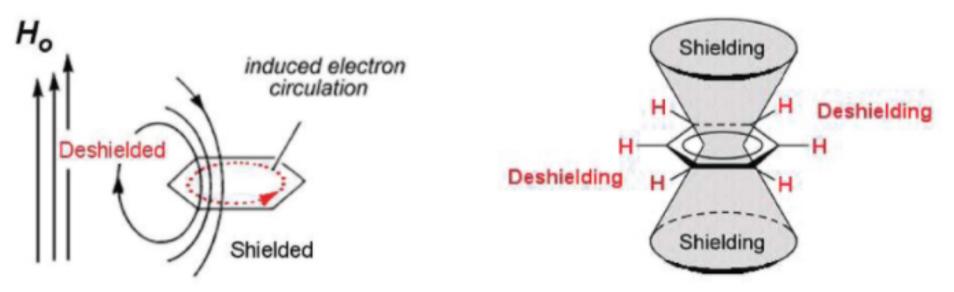
Table 5.8 Approximate  $^1$ H Chemical Shifts ( $\delta$ ) for Aromatic Protons in Benzene Derivatives Ph–X in ppm Relative to Benzene at  $\delta$  7.26 ppm (positive sign denotes a downfield shift)

X	ortho	meta	para
-Н	0.0	0.0	0.0
-CH <sub>3</sub>	-0.20	-0.12	-0.22
-C(CH <sub>3</sub> ) <sub>3</sub>	-0.03	-0.08	0.20
-CH=CH <sub>2</sub>	0.06	-0.03	-0.10
-C≡C-H	0.16	-0.04	-0.02
-CO-OR	0.71	0.11	0.21
-CO-R	0.62	0.14	0.21
-OCO-R	-0.25	0.03	-0.13
-OCH <sub>3</sub>	-0.48	-0.09	-0.44
-OH	-0.56	-0.12	-0.45
-CI	0.03	-0.02	-0.09
-Br	0.18	-0.08	-0.04
-C≡N	0.36	0.18	0.28
-NO <sub>2</sub>	0.95	0.26	0.38
-NR <sub>2</sub>	-0.66	-0.18	-0.67
-NH <sub>2</sub>	-0.75	-0.25	-0.65

Table 5.9 <sup>1</sup>H Chemical Shifts (δ) for Protons in some Polynuclear Aromatic Compounds and Heteroaromatic Compounds



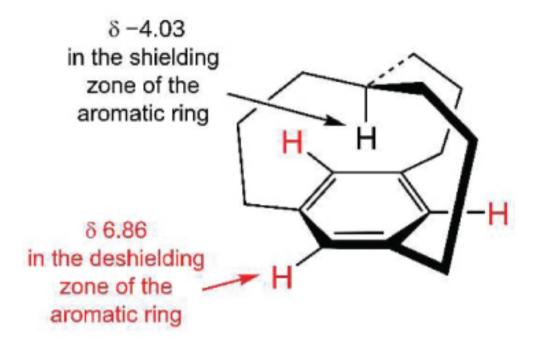
The chemical shift of a nucleus may also be affected by the presence in its vicinity of a magnetically anisotropic group (e.g. an aromatic ring or carbonyl group). In an aromatic ring, the "circulation" of electrons effectively forms a current loop which gives rise to an induced magnetic field. This is called the **ring current effect** and the induced field opposes the applied magnetic field of the spectrometer ( $H_0$ ) inside the loop and enhances the field outside the loop. The resonance of a nucleus which is located close to the face of an aromatic ring will be shifted to high field (towards TMS) because it experiences the effect of both the main spectrometer magnetic field but also the magnetic field from the ring current effect of the aromatic ring. Conversely a proton which is in the plane of an aromatic ring is deshielded by the ring current effect.

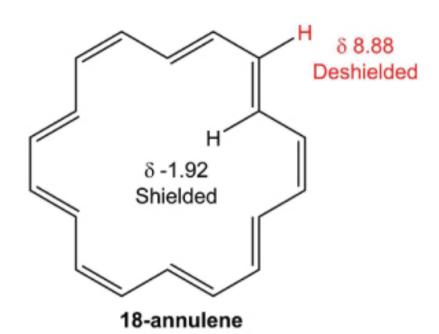


The ring current effect is the main reason that protons attached to aromatic rings typically appear at the low field end of the  $^1\text{H}$  NMR spectrum (near 7 ppm from TMS) since they are in the deshielded zone of the aromatic

#### ring.

Shielding and deshielding by an aromatic ring is clearly illustrated by the compound on the right, in which the aromatic protons are deshielded, and resonate at 6.86 ppm, while the central bridge-head proton, which is constrained in the shielding zone of the aromatic ring, resonates at -4.03 ppm, well upfield of most other proton signals.





The compound 18-annulene ( $C_{18}H_{18}$ ) is aromatic. The structure is planar with 12 hydrogens on the outside of the ring (in the deshielding zone of the aromatic system) and 6 hydrogens on the inside of the ring (in the shielding zone of the aromatic ring). The protons in the shielding zone resonate at -1.92 ppm, well upfield of most other proton signals.<sup>2</sup>

There are also a number of common non-aromatic organic functional groups which are magnetically anisotropic and influence the magnetic field experienced by nearby nuclei. The greatest influence comes from multiple bonds and in particular, the  $C \equiv C$  group, the  $C \equiv N$  group, and C = C, N = O and C = O groups have strong magnetic anisotropies. Figure 5.6 depicts the shielding and deshielding zones around common non-aromatic functional groups.

Shielding effects diminish with distance but are useful qualitative indicators of what groups are close by and also their geometric relationship in the three-dimensional structure of the molecule.

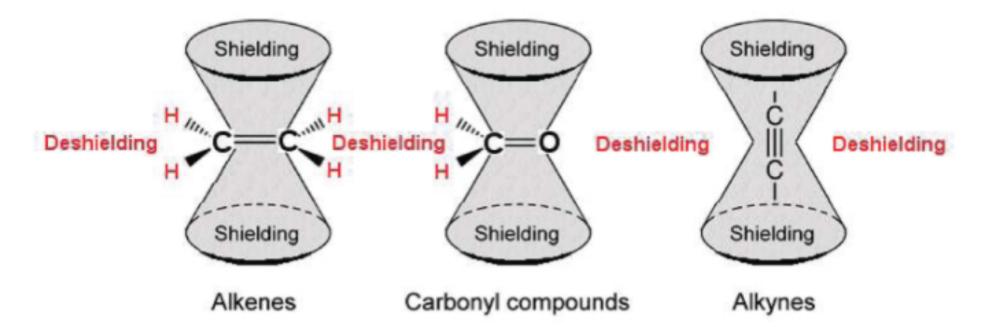


Figure 5.6 Shielding/deshielding Zones for Common Non-aromatic Functional Groups

The tricyclic alkene, [4.3.1] propella-2,4-diene, has two protons attached to a three-membered ring. One proton  $(\delta - 0.40)$  is constrained in the shielding zone of the alkene double bonds. The other proton is not. The proton in the shielding zone is shifted about 1.8 ppm to higher field due to the shielding influence of the alkenes.

$$\begin{array}{c} \delta \text{ -0.40} \\ \text{in the shielding zone} \\ \text{of the alkenes} \end{array}$$

In the structure of 4-ethynylphenanthrene, the proton at position 5 ( $\delta$  10.38) is constrained in the deshielding zone of the alkyne triple bond. Compared to the phenanthrene without the alkyne substituent, the proton in the alkyne shielding zone resonates 1.7 ppm to lower field due to the deshielding influence of the triple bond.

# 5.4 SPIN-SPIN COUPLING IN 1H NMR SPECTROSCOPY

A typical organic molecule contains more than one magnetic nucleus (e.g. more than one <sup>1</sup>H, or <sup>1</sup>H and <sup>31</sup>P etc.) and splitting or multiplicity arises when one nucleus can sense the presence of other magnetic nuclei **through the bonds of the molecule**. Signal splitting is due to spin-spin coupling and arises because a magnetic nucleus will have different energy depending on the spin state of nuclei that it can sense.

The fine structure caused by spin-spin coupling is not only the principal cause of difficulty in interpreting  ${}^{1}$ H NMR spectra, but also provides valuable structural information when correctly interpreted. The **coupling constant** (related to the size of the splittings in the multiplet) is given the symbol J and is measured in Hz. By convention, a superscript before the symbol "J" represents the number of intervening bonds between the coupled nuclei. Labels identifying the coupled nuclei are usually indicated as subscripts after the symbol "J", e.g.  ${}^{2}J_{ab}$  = 2.7 Hz would indicate a coupling of 2.7 Hz between nuclei a and b that are separated by two bonds.

Because J is a property of the bonding in a molecule, it is **independent of the applied magnetic field**. The magnitude of J provides valuable structural information.

Two important observations that relate to <sup>1</sup>H-<sup>1</sup>H spin-spin coupling:

- a. No inter-molecular spin-spin coupling is observed. Spin-spin coupling is transmitted through the bonds of a molecule and doesn't occur between nuclei in different molecules.
- b. The effect of coupling falls off as the number of bonds between the coupled nuclei increases.  $^{1}H^{-1}H$  coupling is generally unobservable across more than three intervening bonds. Unexpectedly large couplings across many bonds (long-range coupling) may occur if there is a particularly favourable bonding pathway, e.g. extended  $\pi$ -conjugation or a rigid  $\sigma$ -bonding skeleton (Table 5.10).

Table 5.10 Typical <sup>1</sup>H-<sup>1</sup>H Coupling Constants

GroupJ(Hz)	
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	<sup>2</sup> J <sub>HH</sub> = −16
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	<sup>3</sup> J <sub>HH</sub> = 7.2
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	<sup>4</sup> J <sub>HH</sub> = 0.3
CH <sub>2</sub> =C=C=CH <sub>2</sub>	<sup>5</sup> J <sub>HH</sub> = 7
CH <sub>2</sub> =CH-CH=CH <sub>2</sub>	<sup>5</sup> J <sub>HH</sub> = 1.3
H	<sup>4</sup> J <sub>HH</sub> = 1.5
H	<sup>4</sup> J <sub>HH</sub> = 7.0

### 5.4.1 SIGNAL MULTIPLICITY – THE N+1 RULE.

Spin-spin coupling gives rise to multiplet splittings in  ${}^{1}$ H NMR spectra. The NMR signal of a nucleus coupled to n equivalent hydrogens will be split into a multiplet with (n + 1) lines (see for example Figure 5.7).

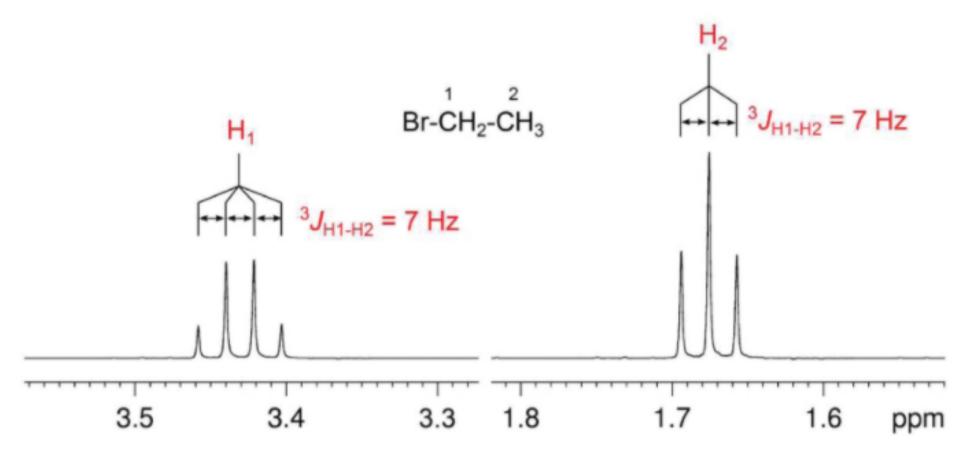


Figure 5.7 <sup>1</sup>H NMR Spectrum of Bromoethane (400 MHz, CDCl<sub>3</sub>) Showing the Multiplicity of the Two <sup>1</sup>H Signals

For simple multiplets, the spacing between the lines (in Hz) is the coupling constant. The relative intensity of the lines in multiplets is given by the binomial coefficients of order "n" (Table 5.11).

<u>Table 5.11</u> Relative Line Intensities for Simple Multiplets

n	Multiplicity n+1	Relative line intensities	Multiplet name
0	1	1	singlet
1	2	1:1	doublet
2	3	1:2:1	triplet
3	4	1:3:3:1	quartet
4	5	1:4:6:4:1	quintet
5	6	1:5:10:10:5:1	sextet
6	7	1:6:15:20:15:6:1	septet
7	8	1:7:21:35:35:21:7:1	octet
8	9	1:8:28:56:70:56:28: 8:1	nonet

These simple multiplet patterns give rise to characteristic "fingerprints" for common fragments of organic structures. A methyl group,  $-CH_3$ , (isolated from coupling to other protons in the molecule) will always occur as a singlet. A  $CH_3-CH_2-$  group, (isolated from coupling to other protons in the molecule) will appear as a quartet  $(-CH_2-)$  and a triplet  $(CH_3-)$ . Figure 5.8 shows the schematic appearance of the NMR spectra of various common molecular fragments encountered in organic molecules.

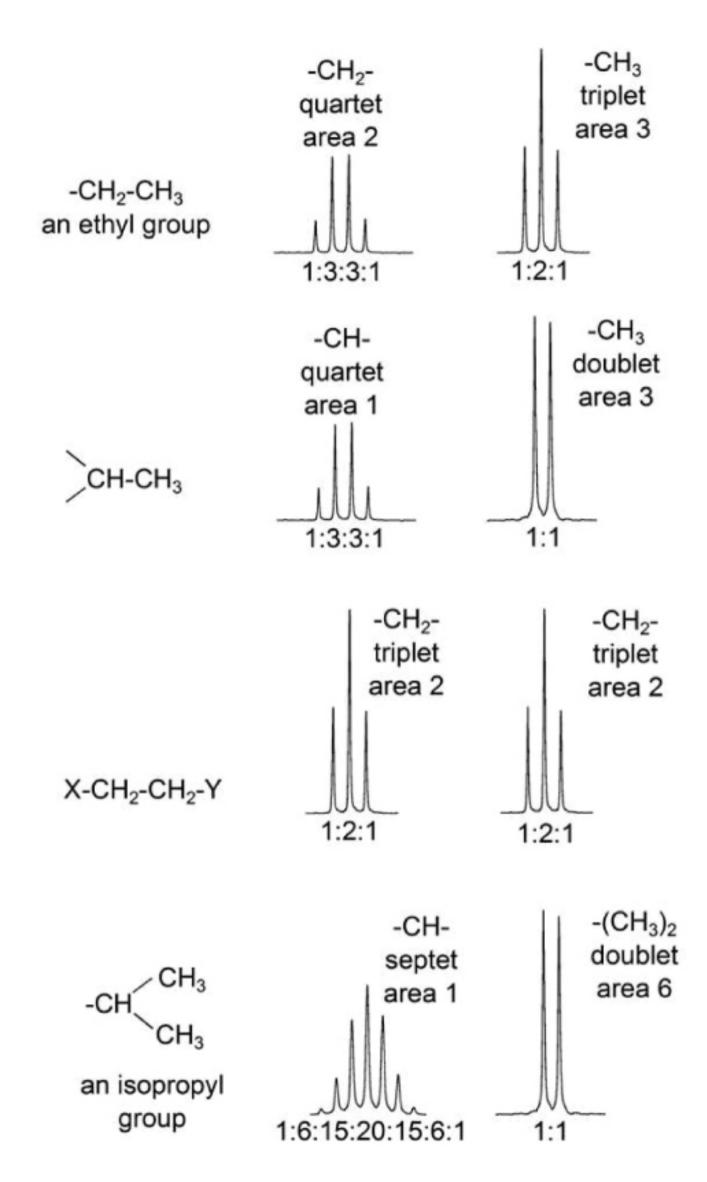


Figure 5.8 Characteristic Multiplet Patterns for Common Organic Fragments

# 5.5 ANALYSIS OF 1H NMR SPECTRA

To obtain structurally useful information from NMR spectra, one must solve two separate problems. Firstly, the spectrum must be **analysed** to obtain the NMR parameters (chemical shifts and coupling constants) for all the protons and, secondly, the values of the chemical shifts and coupling constants must be **interpreted** in terms of established relationships between the parameters and structure.

### 5.5.1 SPIN SYSTEMS

A spin system is defined as a group of coupled protons. Clearly, a spin system cannot extend beyond the bounds of a molecule, but it might not include a whole molecule. For example, isopropyl propionate comprises two separate and isolated proton spin systems, a seven-proton system for the isopropyl residue and a five-proton system for the propionate residue. The ester group effectively provides an "insulating barrier" across which there is no (or very small) H–H coupling and therefore isolates the two different spin systems. Any molecule may contain a number of different spin systems.

### 5.5.2 STRONGLY AND WEAKLY COUPLED SPIN SYSTEMS

The terms "strongly or weakly coupled" protons refers to the **ratio** of the separation of chemical shifts expressed in Hz ( $\Delta v$ ) to the coupling constant J between them. For most purposes, if  $\Delta v/J$  is larger than ~3, the spin system is termed *weakly coupled*. When this ratio is smaller than ~3, the spins are termed *strongly coupled*.

In weakly coupled systems, multiplets are typically well separated and the line intensities in the multiplets approximately follow the binomial intensities given in <u>Table 5.11</u>. Weakly coupled systems are also termed "first-order" spin systems and these can always be analysed by inspection, i.e. the coupling constants and chemical shifts can be measured directly from the spectra.

#### Note the following:

a. The chemical shifts of the nuclei (expressed in Hz) are proportional to the strength of the magnetic field (see Section 5.1). Nuclei move further apart (in Hz) from each other as the magnetic field strength increases, i.e. there is better dispersion in the spectrum. However, spin-spin coupling is a molecular property that is independent of the magnetic field of the spectrometer. So the splittings in an NMR spectrum due to spin-spin coupling, remain constant, irrespective of the magnetic field strength of the spectrometer.

Spin systems become progressively more weakly coupled as the spectrometer frequency increases. Since weakly coupled spin systems are much easier to analyse than strongly coupled spin systems, spectrometers operating at higher frequencies (and therefore at higher applied magnetic fields) will yield spectra which are more easily analysed and interpreted. <sup>1</sup>H NMR spectra of 2-bromotoluene are given in Figure 5.9 where spectra on the same sample have been recorded using three different NMR spectrometers each operating at a different magnetic field strength.

There are four different aromatic proton resonances. At the lowest field (4.7 Tesla), there is significant overlap of the resonances. The resonances for  $H_5$  and  $H_6$  are severely distorted and this is not a first-order spectrum. At 14.1 Tesla (600 MHz) dispersion is much better and the spectrum is a first-order spectrum that could be analysed by first-order rules.

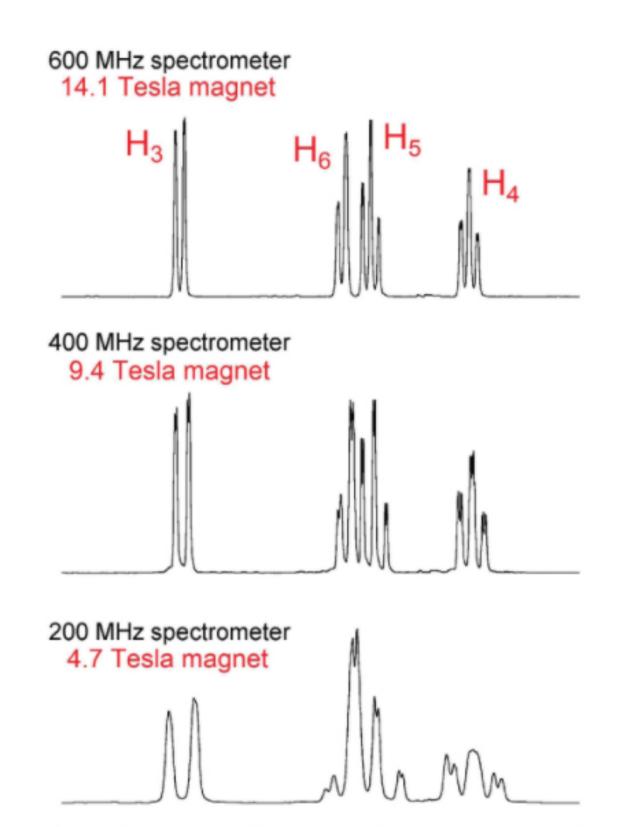


Figure 5.9 Aromatic Region of the  $^1$ H NMR Spectrum of 2-Bromotoluene (acetone- $d_6$  solution) in Three Different Magnetic Field Strengths

7.4

7.2

7.0 ppm

7.6

 $CH_3$ 

Br

 $H_3$ 

b. A spin system comprising just two protons is always exceptionally easy to analyse because, independent of the value of the ratio of Δv/J, the spectrum always consists of just four lines with each pair of lines separated by the coupling constant J. As Δv decreases, the main distortion from the first-order pattern consists of the gradual reduction of intensities of the outer lines in favour of the inner lines, a characteristic "sloping" or "tenting" towards the coupling partner. A series of simulated spectra of 2-spin systems are shown in Figure 5.10.

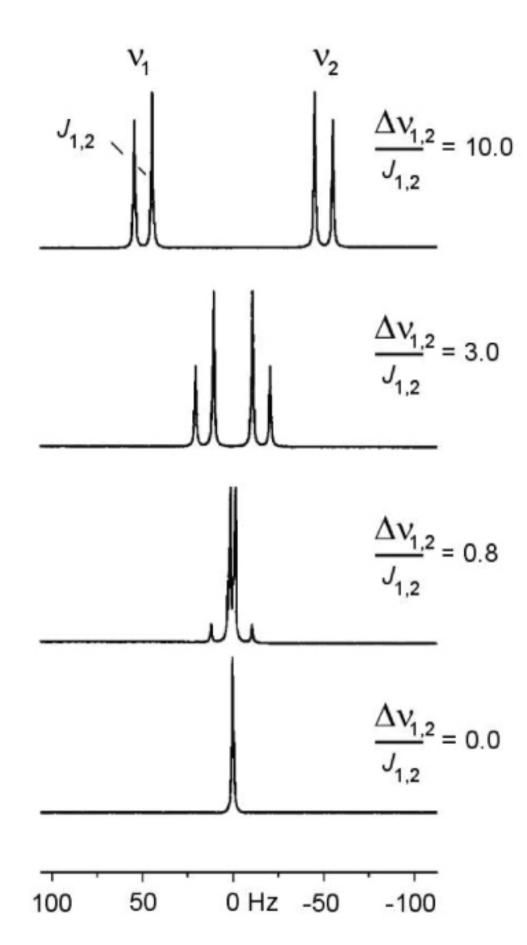


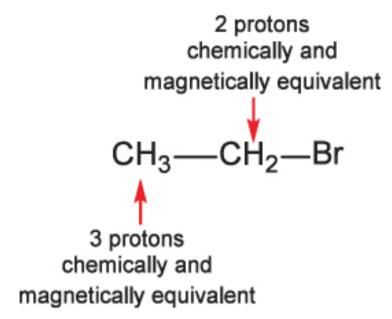
Figure 5.10 Simulated  $^1$ H NMR Spectra of a 2-Spin System as the Ratio  $\Delta v/J$  is Systematically Decreased from 10.0 to 0.0

c. Within a spin system, some pairs of nuclei or groups of nuclei may be strongly coupled and others weakly coupled. It is possible for a spin-system to be partially strongly coupled.

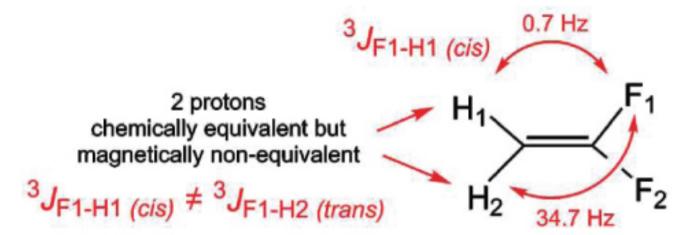
# 5.5.3 MAGNETIC EQUIVALENCE

A group of nuclei are *chemically equivalent* when they have identical chemical shifts. A group of nuclei are *magnetically equivalent* when they have the same chemical shift but they also have identical spin-spin coupling to each individual nucleus **outside** the group.

In bromoethane, all three protons in the  $-CH_3$  group are chemically equivalent. Similarly, the two protons of the  $-CH_2$ - group in bromoethane are chemically equivalent. The  $-CH_3$  protons are also magnetically equivalent because from the perspective of the  $-CH_2$ -group all three of the  $-CH_3$  protons have the same coupling so a proton in the  $-CH_2$ - group cannot distinguish between the three protons in the  $-CH_3$  group. The  $-CH_2$ - protons are chemically and magnetically equivalent. The  $-CH_3$  protons are also chemically and magnetically equivalent.



In 1,1-difluoroethylene, the two protons are chemically equivalent. Similarly, the two fluorines are chemically equivalent. However, from the perspective of  $F_1$ , the two protons appear to be quite different.  $H_1$  is coupled to  $F_1$  with a coupling of 0.7 Hz whereas  $H_2$  is coupled to  $F_1$  with a coupling of 34.7 Hz.  $F_1$  can clearly distinguish  $H_1$  and  $H_2$ . So while  $H_1$  and  $H_2$  in 1,1-difluoroethylene have the same chemical shift (chemically equivalent), they are magnetically non-equivalent.



When a spin system contains nuclei that are chemically equivalent but magnetically non-equivalent, the spectrum will be complex and the spectrum no longer follows first order rules – none of the multiplets will appear as doublets, triplets, quartets, etc. The spin-spin coupling constants cannot be extracted by simple measurement of splittings in the spectrum. Spectra containing nuclei that are chemically equivalent but magnetically non-equivalent can be analysed but this typically requires computer simulation of the spectrum and iteratively fitting the computed spectrum to the experimental spectrum to extract the coupling constants in the spin system.

#### 5.5.4 CONVENTIONS FOR NAMING SPIN SYSTEMS

Consecutive letters of the alphabet (e.g. A, B, C, D, .....) are used to describe groups of protons which are strongly coupled, i.e. their chemical shifts are relatively close together. Subscripts are used to give the number of protons that are magnetically equivalent. Primes are used to denote protons that are chemically equivalent but not magnetically equivalent. A break in the alphabet indicates weakly coupled groups, i.e. their chemical shifts are relatively far apart. For example:

ABC denotes a strongly coupled 3-spin system

AMX denotes a weakly coupled 3-spin system

ABX denotes a partially strongly coupled 3-spin system

A<sub>3</sub>BMXY denotes a 7-spin system in which the three magnetically equivalent A nuclei are strongly coupled to the B nucleus, but weakly coupled to the M, X and Y nuclei. The nucleus X is strongly coupled to the nucleus Y but weakly coupled to all the other nuclei. The nucleus M is weakly coupled to all the other 6 nuclei.

AA'XX' is a 4-spin system described by two chemical shift parameters (for the nuclei A and X) but where  $J_{A,X'} \neq J_{A,X'}$ . A and A' (as well as X and X') are pairs of nuclei which are chemically equivalent but magnetically non-equivalent.

The process of deriving the NMR parameters (chemical shifts and coupling constants) from a set of multiplets in a spin system is known as *spectral analysis of the NMR spectrum*. In principle, **any** spectrum arising from a spin system, no matter how complicated, can be analysed but some will require calculations or simulations performed by a computer.

#### 5.5.5 SPECTRAL ANALYSIS OF FIRST-ORDER NMR SPECTRA

**Rule 1** A group of n magnetically equivalent protons will split a resonance of an interacting group of protons into n+1 lines. For example, the resonance due to the A protons in an  $A_nX_m$  system will be split into m+1 lines, while the resonance due to the X protons will be split into n+1 lines. More generally, splitting by n nuclei of spin quantum number I, results in 2n+1 lines. This simply reduces to n+1 for protons where n+1 in n+1 lines.

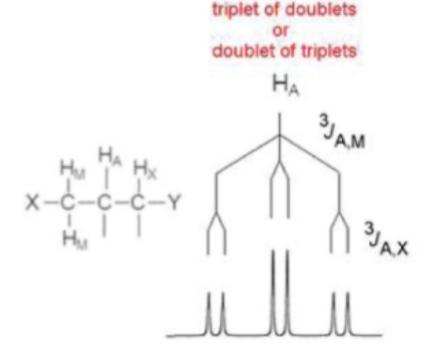
Rule 2 The spacing (measured in Hz) of the lines in the multiplet is equal to the coupling constant.

**Rule 3** The true chemical shift of each group of interacting protons lies in the centre of the (always symmetrical) multiplet.

**Rule 4** The relative intensities of the lines within each multiplet will be in the ratio of the binomial coefficients (<u>Table 5.11</u>). Note that, in the case of higher multiplets, the outside components of multiplets are relatively weak and may be lost in the instrumental noise, *e.g.* a septet may appear as a quintet if the outer lines are not clearly visible.

Rule 5 When a group of magnetically equivalent protons interacts with more than one group of protons, its resonance will take the form of a *multiplet of multiplets*. For example, the resonance due to the A protons in a system  $A_n M_p X_m$  will have the multiplicity of (p+1)(m+1). The multiplet patterns are chained, *e.g.* a proton coupled to two different protons will be split to a doublet by coupling to the first proton then each of the component of the doublet will be split further by coupling to the second proton resulting in a symmetrical multiplet with four lines (a doublet of doublets). A proton coupled to two equivalent protons as well as a different proton will be split into a triplet by coupling to the two equivalent protons then each of the component of the triplet will be split further by coupling to the third proton resulting in a symmetrical multiplet with six lines (a doublet of triplets).

# X-C-C-C-Y Again and a second completes Again and a second comple



The appropriate coupling constants will control splitting and relative intensities will obey rule 4.

**Rule 6** Protons that are magnetically equivalent do not split each other. Any system  $A_n$  will give rise to a singlet.

**Rule 7** Spin systems that contain groups of chemically equivalent protons that are not magnetically equivalent **cannot be analysed by first-order methods** and these spin systems can only be analysed by computer simulation.

**Rule 8** If  $\Delta v_{A,B}/J_{A,B}$  is less than ~3, for **any** pair of nuclei A and B in the spin system, the spectra become distorted from the expected ideal multiplet patterns. Such spectra **cannot be analysed by first-order methods** and these spin systems can only be analysed by computer simulation.

## 5.5.6 SPLITTING DIAGRAMS

The knowledge of the rules listed above permits the development of a simple procedure for the analysis of any spectrum that is suspected of being first-order. The first step consists of drawing a *splitting diagram*, from which the line spacings can be measured and splittings can be identified (Figure 5.11).

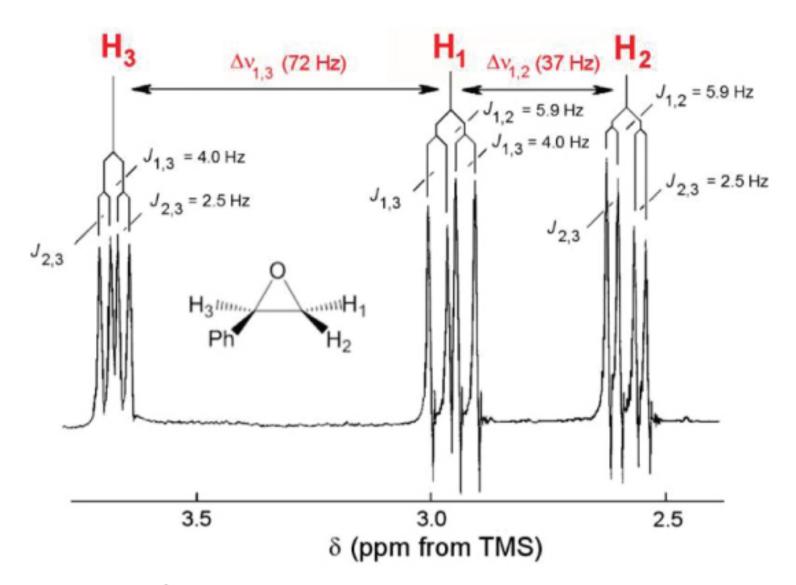


Figure 5.11 A Portion of the <sup>1</sup>H NMR Spectrum of Styrene Epoxide (100 MHz as a 5% solution in CCl<sub>4</sub>)

The section of the spectrum of styrene epoxide (Figure 5.11) clearly contains the signals from three separate protons (identified as  $H_1$ ,  $H_2$  and  $H_3$ ) with  $H_1$  at  $\delta$  2.95,  $H_2$  at  $\delta$  2.58 and  $H_3$  at  $\delta$  3.67 ppm. Each signal appears as a doublet of doublets and the chemical shift of each proton is simply obtained by locating the centre of each multiplet. The pair of nuclei giving rise to each splitting is clearly indicated by the splitting diagram above each multiplet with  $^2J_{H1-H2}$  = 5.9 Hz,  $^3J_{H1-H3}$  = 4.0 Hz and  $^3J_{H2-H3}$  = 2.5 Hz.

The validity of a first-order analysis can be verified by calculating the ratio  $\Delta v/J$  for each pair of nuclei and establishing that it is greater than 3.

From Figure 5.11

$$\frac{\Delta v_{1,2}}{J_{1,2}} = \frac{37}{5.9} = 6.3$$
  $\frac{\Delta v_{1,3}}{J_{1,3}} = \frac{72}{4.0} = 18.0$   $\frac{\Delta v_{2,3}}{J_{2,3}} = \frac{109}{2.5} = 43.6$ 

Each ratio is greater than 3 so a first order analysis is justified and the 100 MHz spectrum of the aliphatic protons of styrene epoxide is indeed a first order spectrum and could be labelled as an AMX spin system.

The 60 MHz  $^1$ H spectrum of a 4-spin AMX $_2$  system is given in Figure 5.12. This system contains three separate proton signals (in the intensity ratios 1:1:2, identified as  $H_A$ ,  $H_M$  and  $H_X$ ). The multiplicity of  $H_A$  is a triplet of doublets, the multiplicity of  $H_M$  is a triplet of doublets and the multiplicity of  $H_X$  is a doublet of doublets. Again, the nuclei giving rise to each splitting are clearly indicated by the splitting diagram above each multiplet and the chemical shifts of each multiplet are simply obtained by measuring the centres of each multiplet.

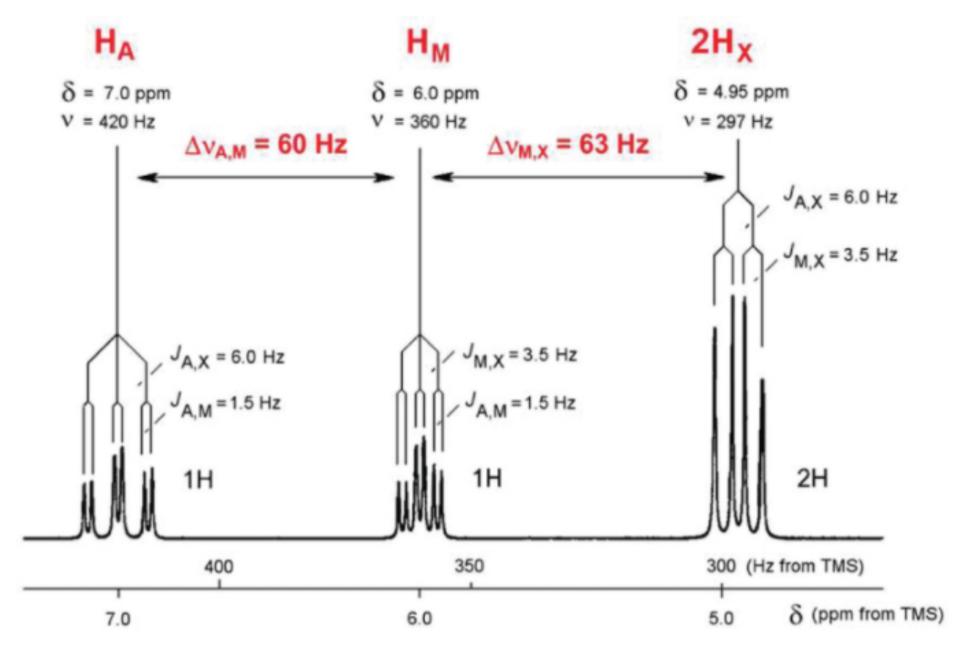
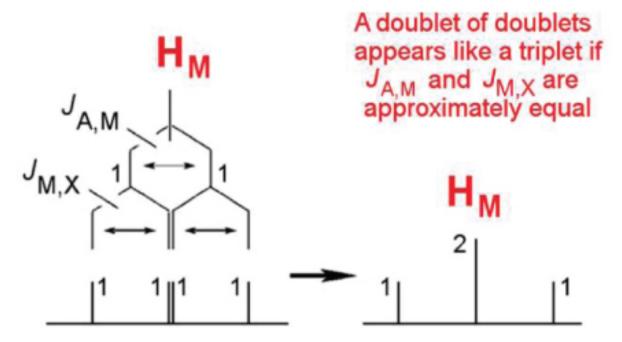


Figure 5.12 The 60 MHz <sup>1</sup>H NMR Spectrum of a 4-Spin AMX<sub>2</sub> Spin System

## Coincidentally Equivalent Coupling Constants.

An AMX spin system. First-order analysis rules predict that the resonance for  $H_M$  in an AMX spin system will be a doublet of doublets (four lines) since  $H_M$  will be split by coupling to  $H_A$  and to  $H_X$ . All lines of the multiplet will have equal intensity and the spacings in the multiplet will be  $J_{A,M}$  and  $J_{M,X}$ .

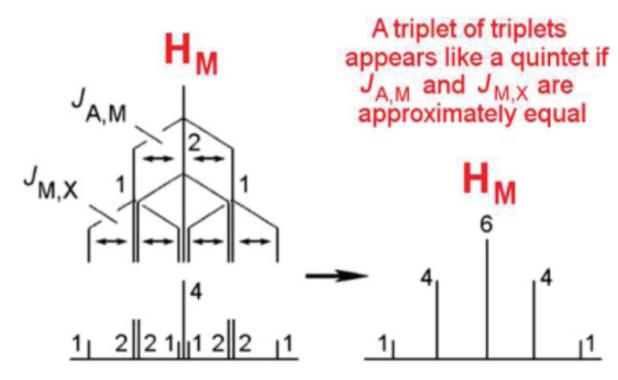
However, in the case where  $J_{A,M}$  and  $J_{M,X}$  are approximately equal, the central lines of the multiplet overlap to give what appears to be a single line whose intensity is twice as high as the outer lines. While the multiplet is technically a doublet of doublets, it appears as a triplet (three lines) with intensities in the ratio 1:2:1.



An  $A_2M_2X_2$ spin system. First-order analysis rules predict that the resonance for  $H_M$  in an  $A_2M_2X_2$  spin system will be a triplet of triplets (9 lines) since  $H_M$  will be split by coupling to  $2 \times H_A$  nuclei and to  $2 \times H_X$  nuclei. The relative intensities of the lines in the multiplet can be predicted easily using <u>Table 5.11</u>. The spacings in the multiplet will be equal to  $J_{AM}$  and  $J_{MX}$ .

However, in the case where  $J_{A,M}$  and  $J_{M,X}$  are approximately equal, there is overlap between the lines of the multiplet.

While the multiplet is technically a triplet of triplets, it appears as a quintet (five lines) with intensities in the ratio 1:4:6:4 1.



This is not an uncommon situation in flexible alkyl chains  $(X-CH_2-CH_2-CH_2-Y)$  since the three-bond vicinal coupling between protons on adjacent carbons typically falls within a narrow range of about 6–8 Hz.

#### 5.5.7 SPIN DECOUPLING

In the signal of a proton that is a multiplet due to spin-spin coupling, it is possible to remove the splitting effects by irradiating the sample with an additional Rf source at the exact resonance frequency of the proton giving rise to the splitting. The additional radiofrequency causes rapid flipping of the irradiated nuclei and as a consequence nuclei coupled to them cannot sense them as being in either a spin-up or spin-down state for long enough to cause splitting. The irradiated nuclei are said to be **decoupled** from other nuclei in the spin system.

Decoupling simplifies the appearance of complex multiplets by removing some of the splittings. In addition, decoupling is a powerful tool for assigning spectra because a skilled spectroscopist can use a series of decoupling experiments to sequentially identify which nuclei are coupled.

Figure 5.13 (a) shows the  $^1$ H NMR spectrum of bromoethane. The spectrum shows the characteristic quartet (for the  $^-$ CH $_2$ - group at C $_1$  and this is coupled to the  $^-$ CH $_3$  group) and a triplet (for the  $^-$ CH $_3$  group at C $_2$  and this is coupled to the  $^-$ CH $_2$ - group). The spectrum in Figure 5.13 (b) shows the result after irradiation at the  $^-$ CH $_2$ -, which causes the multiplet structure of the triplet for H $_2$  to collapse to a singlet. Figure 5.13 (c) shows the effect of irradiation at the frequency of the  $^-$ CH $_3$  group where the multiplet structure of the quartet for H $_1$  collapses to a singlet.

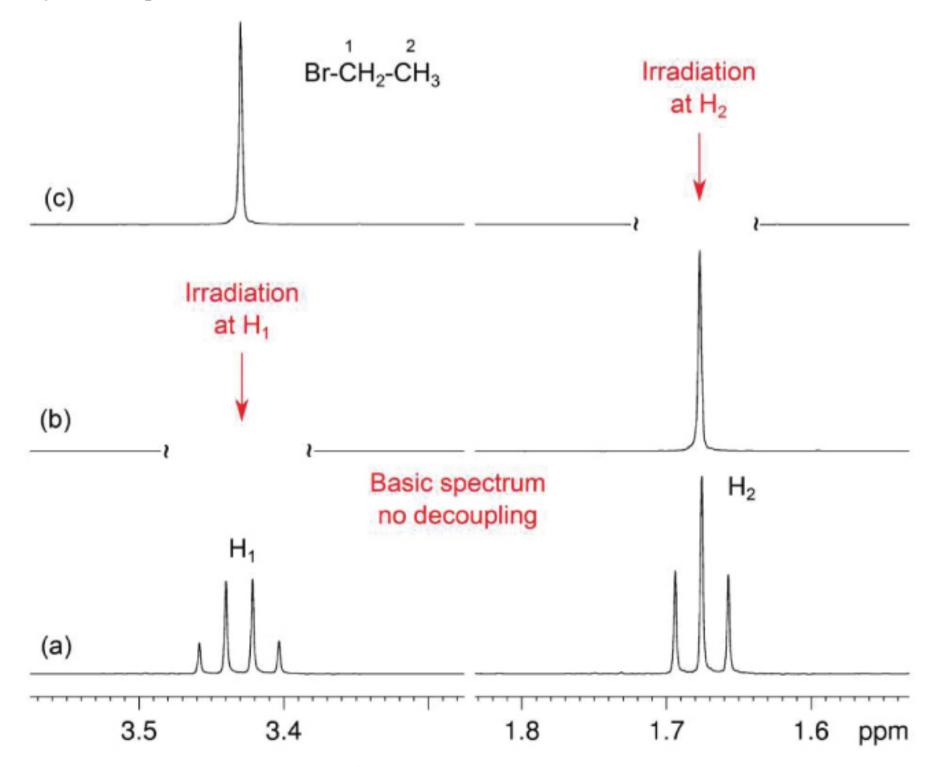


Figure 5.13 Selective Decoupling in the <sup>1</sup>H NMR Spectrum of Bromoethane

In a 4-spin  $AM_2X$  spin system, the signal for proton  $H_A$  would appear as a doublet of triplets (with the triplet splitting due to coupling to the 2 M protons and the doublet splitting due to coupling to the X proton). Irradiation at the frequency of  $H_X$  reduces the multiplicity of the A signal to a triplet (with the remaining splitting due to  $J_{A,M}$ ) and irradiation at the frequency of  $H_M$  reduces the multiplicity of the A signal to a doublet (with the remaining splitting due to  $J_{A,X}$ ) (Figure 5.14).

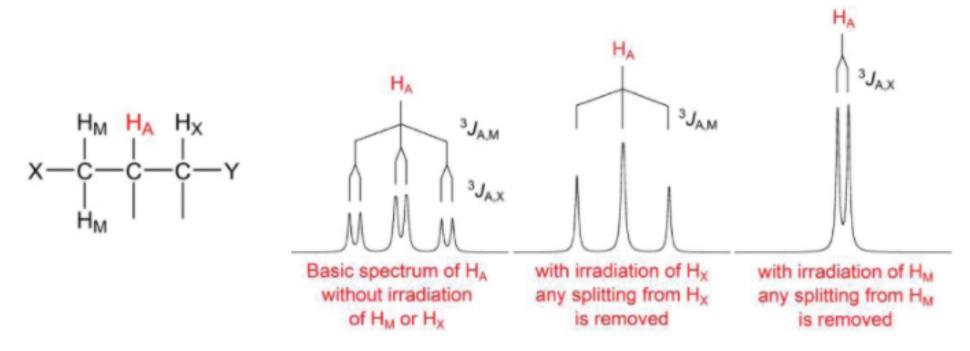


Figure 5.14 Selective Decoupling in a Simple 4-Spin System

## 5.6 CORRELATION OF 1H-1H COUPLING WITH STRUCTURE

Interproton spin-spin coupling constants are of real value in obtaining structural data about a molecule, in particular information about the connectivity of structural elements and the relative disposition of various protons.

#### 5.6.1 NON-AROMATIC SPIN SYSTEMS

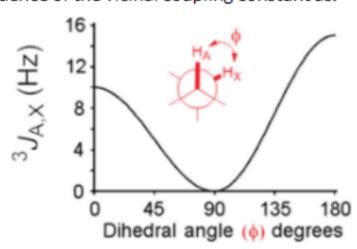
In saturated systems, the magnitude of the *geminal* coupling constant  ${}^2J_{H-C-H}$  (two protons attached to the same carbon atom) is typically between 10 and 16 Hz but values between 0 and 22 Hz have been recorded in some unusual structures.

$$R = C_{H_X}^{-M_A} = 10 - 16 \text{ Hz}$$

The *vicinal* coupling (protons on adjacent carbon atoms)  ${}^3J_{H-C-C-H}$  have values 0–16 Hz depending mainly on the dihedral angle  $\phi$ .

The Karplus relationship expresses, approximately, the angular dependence of the vicinal coupling constant as:

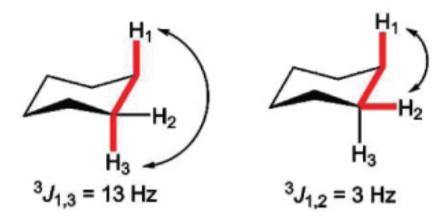
$${}^{3}J_{\text{H-C-C-H}} = 10 \cos^{2} \phi \text{ for } 0 < \phi < 90^{\circ}$$
  
and  
 ${}^{3}J_{\text{H-C-C-H}} = 15 \cos^{2} \phi \text{ for } 90 < \phi < 180^{\circ}$ 



It follows from these equations that if the dihedral angle φ between two vicinal protons is near 90° then the coupling constant will be very small and, conversely, if the dihedral angle φ between two vicinal protons is near 0° or 180° then the coupling constant will be relatively large. The Karplus relationship is particularly valuable in

determining the stereochemistry of organic molecules but must be treated with some caution because vicinal coupling constants also depend markedly on the nature of substituents. In systems that assume an average conformation, such as a flexible hydrocarbon chain,  ${}^3J_{H-H}$  generally lies between 6 and 8 Hz.

In conformationally rigid systems, such as substituted cyclohexanes, there can be pronounced differences in  ${}^3J_{\rm H-H}$ . The axial-axial coupling ( ${}^3J_{\rm H1-H3}$ ) between vicinal protons in cyclohexanes, where the dihedral angle is near 180°, is typically large (about 13 Hz). The axial-equatorial and equatorial-equatorial couplings where the dihedral angles are typically closer to 60°, are much smaller, typically in the range of 3-6 Hz.

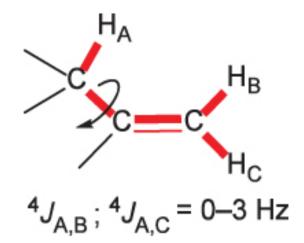


The coupling constants in unsaturated (olefinic) systems depend on the nature of the substituents attached to the C=C but for the vast majority of substituents, the ranges for  ${}^3J_{\text{H-C=C-H}(cis)}$  and  ${}^3J_{\text{H-C=C-H}(trans)}$  do not overlap. This means that the stereochemistry of a double bond can be determined by measuring the coupling constant between vinylic protons. Where the C=C bond is in a ring, the  ${}^3J_{\text{H-C=C-H}}$  coupling reflects the ring size.

$$H_{A}$$
 $C = C$ 
 $H_{B}$ 
 $^{3}J_{A,B \ (cis)} = 6 - 11 \ Hz$ 
 $^{3}J_{A,B \ (cis)} = 5 - 7 \ Hz$ 
 $H_{A}$ 
 $C = C$ 
 $H_{B}$ 
 $^{3}J_{A,C \ (trans)} = 12 - 19 \ Hz$ 
 $H_{A}$ 
 $^{3}J_{A,B \ (cis)} = 5 - 7 \ Hz$ 
 $H_{A}$ 
 $^{3}J_{A,B \ (cis)} = 9 - 11 \ Hz$ 
 $^{3}J_{A,B \ (cis)} = 9 - 11 \ Hz$ 

In alkyl-substituted alkenes, the long-range allylic couplings, ( ${}^4J_{A,B}$  and  ${}^4J_{A,C}$ ) are typically in the range 0–3 Hz.

In systems that are stereochemically constrained, the magnitude of the coupling is a function of the dihedral angle between the  $C-H_A$  bond and the plane of the double bond in a relationship reminiscent of the Karplus relation.



### 5.6.2 AROMATIC SPIN SYSTEMS

The coupling constant between protons attached to an aromatic ring is diagnostic of the relative position of the coupled protons, *i.e.* whether they are *ortho*, *meta* or *para*.

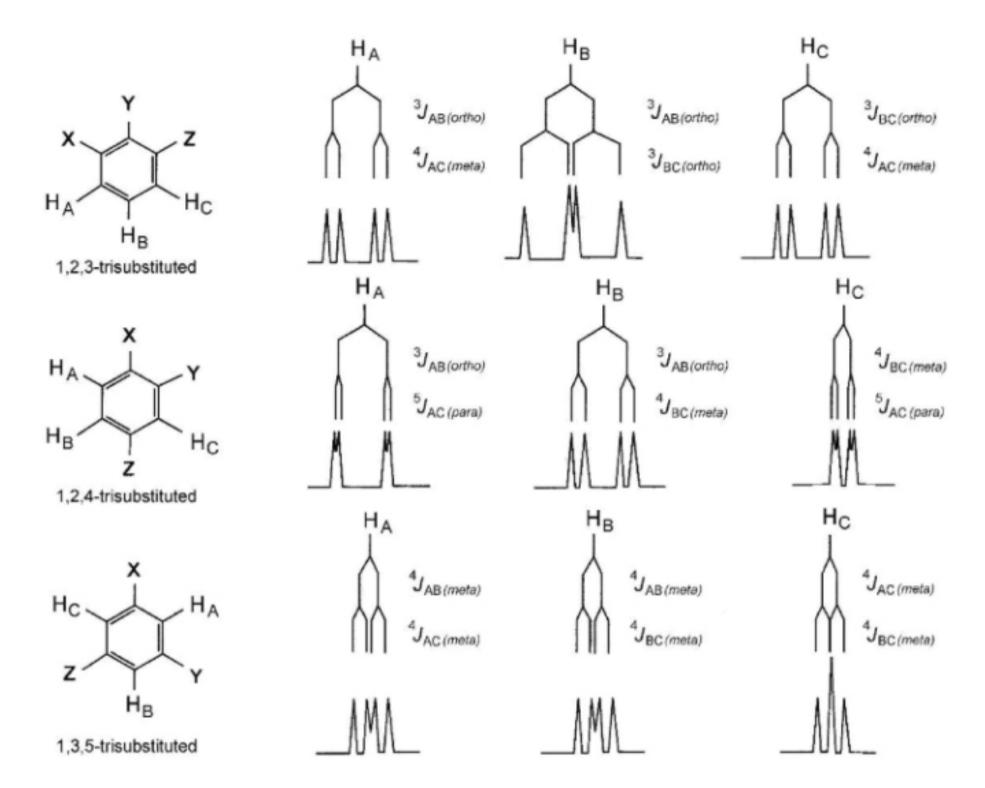
$$H_{A}$$
  $H_{B}$   $H_{A}$   $H_{A}$   $H_{B}$   $H_{A}$   $H_{A}$   $H_{B}$   $H_{A}$   $H_{A$ 

Similarly in condensed polynuclear aromatic compounds and heterocyclic compounds, the magnitude of the coupling constants between protons in the aromatic rings reflects the relative position of the coupled protons (Table 5.12).

Table 5.12 Proton-Proton Coupling Constants in Aromatic and Heteroaromatic Rings

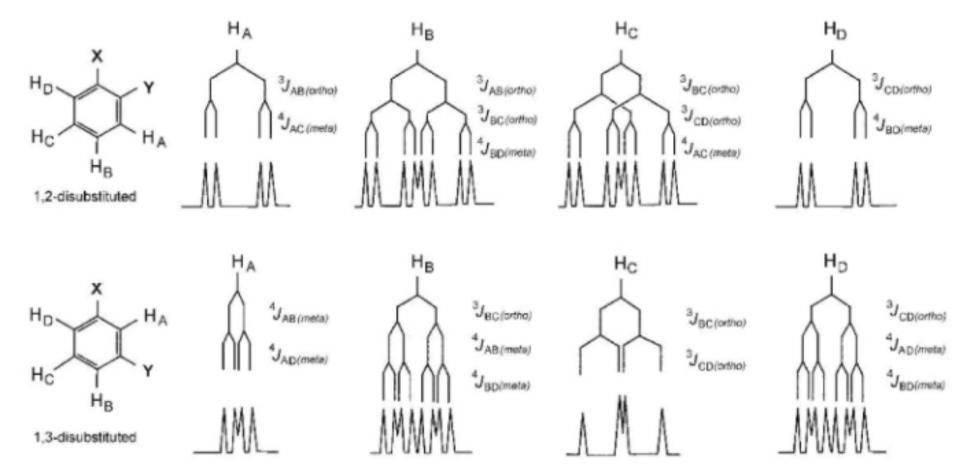
Table 5.12 Proton-Proton Coupling Constants in Aromatic and rieteroaromatic Kings					
5 4 3 2 1	5 4 3 6 N 2	5 S 2	5 4 2		
$^{3}J_{1,2}$ = 8.3-9.1 Hz	$^{3}J_{2,3}$ = 4.0-5.7 Hz	<sup>3</sup> J <sub>2,3</sub> = 4.7 Hz	<sup>3</sup> J <sub>2,3</sub> = 1.8 Hz		
<sup>3</sup> J <sub>2,3</sub> = 6.1-6.9 Hz	<sup>3</sup> J <sub>3,4</sub> = 6.8-9.1 Hz	<sup>3</sup> J <sub>3,4</sub> = 3.4 Hz	<sup>3</sup> J <sub>3,4</sub> = 3.5 Hz		
<sup>4</sup> J <sub>1,3</sub> = 1.2-1.6 Hz	$^4J_{2,4} = 0.0 - 2.5 \text{ Hz}$	<sup>4</sup> J <sub>2,4</sub> = 1.0 Hz	$^4J_{2,4}$ = 0.8 Hz		
$^{5}J_{1,4} = 0 - 1.0 \mathrm{Hz}$	<sup>4</sup> J <sub>3,5</sub> = 0.5−1.8 Hz	$^4J_{2,5}$ = 2.9 Hz	<sup>4</sup> J <sub>2,5</sub> = 1.6 Hz		
$^{5}J_{1,5} = 0 - 1.5 \text{ Hz}$	$^4J_{2,6} = 0.0 - 0.6 \text{ Hz}$				
	$^{5}J_{2,5} = 0.0 - 2.3 \text{ Hz}$				
5 4 H—N—N 2	5 4 3 N N	5 N 2	6 5 4 3 2 N 2		
$^{4}J_{2,4}$ ; $^{4}J_{2,5}$ = 1.0 Hz	$^{3}J_{3,4}$ ; $^{3}J_{4,5}$ = 2.1 Hz	<sup>4</sup> J <sub>2,4</sub> ; <sup>4</sup> J <sub>2,6</sub> = 0 Hz	<sup>3</sup> J <sub>2,3</sub> = 4.3 Hz		
		<sup>5</sup> J <sub>2,5</sub> = 1.5 Hz	<sup>4</sup> J <sub>2,4</sub> = 1.8 Hz		
		$^{3}J_{4,5};^{3}J_{5,6} = 5.0 \mathrm{Hz}$	<sup>3</sup> J <sub>3,4</sub> = 8.3 Hz		
			<sup>5</sup> J <sub>4,8</sub> = 0.9 Hz		
			<sup>3</sup> J <sub>5,6</sub> = 8.2 Hz		
			<sup>4</sup> J <sub>5,7</sub> = 1.6 Hz		
			<sup>5</sup> J <sub>5,8</sub> = 0.3 Hz		
			<sup>3</sup> J <sub>6,7</sub> = 6.8 Hz		
			<sup>4</sup> J <sub>6,8</sub> = 1.1 Hz		
			<sup>3</sup> J <sub>7,8</sub> = 8.3 Hz		

The splitting patterns of the protons in the aromatic region of the  $^{1}$ H spectrum are frequently used to establish the substitution pattern of an aromatic ring. For example, a trisubstituted aromatic ring has three remaining protons and they can have relative positions 1,2,3-; 1,2,4-; or 1,3,5- and each substitution pattern has a characteristic arrangement of signals in the aromatic region of the spectrum (Figure 5.15).



<u>Figure 5.15</u> Characteristic Aromatic Splitting Patterns in the <sup>1</sup>H NMR Spectra for some Tri-substituted Benzenes

Likewise the 1,2-disubstituted and 1,3-disubstituted benzenes also have a characteristic four-proton splitting pattern (Figure 5.16).



<u>Figure 5.16</u> Characteristic Aromatic Splitting Patterns in the <sup>1</sup>H NMR Spectra for some Di-substituted Benzenes (ignoring the small *para* couplings)

## para-Disubstituted Benzenes

para-Disubstituted benzenes have characteristically "simple" and symmetrical  $^1$ H NMR spectra in the aromatic region. Superficially, the spectra of p-disubstituted benzenes always appear as two strong doublets with the line positions symmetrically disposed about a central frequency. The spectra are in fact far more complex (many lines make up the pattern for the NMR spectrum when it is analysed in detail) but the symmetry of the pattern of lines makes 1,4-disubstituted benzenes very easy to recognise from their  $^1$ H NMR spectra. The  $^1$ H NMR spectrum of p-nitrophenylacetylene is given in Figure 5.17. The expanded section shows the two strong prominent signals in the aromatic region, characteristic of 1,4-substitution on a benzene ring.

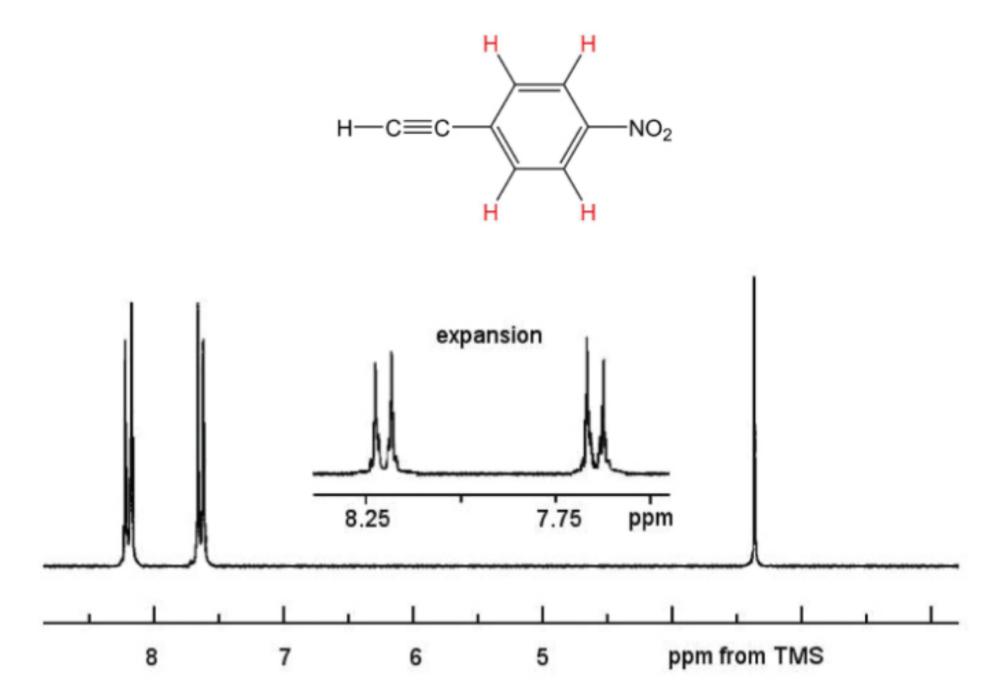


Figure 5.17 <sup>1</sup>H NMR Spectrum of p-Nitrophenylacetylene (200 MHz as a 10% solution in CDCl<sub>3</sub>)

# 5.7 THE NUCLEAR OVERHAUSER EFFECT (NOE) IN 1H NMR SPECTROSCOPY

Irradiation of one nucleus while observing the resonance of another may result in a change in the **amplitude** of the observed resonance *i.e.* an enhancement of the signal intensity. This is known as the *nuclear Overhauser* effect (NOE). The NOE is a "through space" effect and its magnitude is inversely proportional to the sixth power of the distance between the interacting nuclei. Because of the distance dependence of the NOE, it is an important method for establishing which groups are close together in space and, because the NOE can be measured quite accurately, it is a very powerful means for determining the three dimensional structure (and stereochemistry) of organic compounds.

Figure 5.18(i) shows the aromatic region of the  $^1$ H NMR spectrum of 2,4-dinitrotoluene. Figure 5.18(ii) shows the same spectrum but with irradiation of the  $^-$ CH $_3$  groups at about  $\delta$  2.7 ppm. There is an enhancement in the intensity of H $_6$  (by about 10%). The enhancements are often quite small and they are best visualised by difference spectroscopy. Figure 5.18(iii) is the difference spectrum with spectrum (ii) minus spectrum (i) and the enhancement of H $_6$  is clear. In this experiment, irradiation of the  $^-$ CH $_3$  group enhances the resonance of H $_6$ , which is the closest proton in space to the  $^-$ CH $_3$  group. Note that the effect drops off dramatically with distance and H $_3$  and H $_5$  show negligible enhancement.

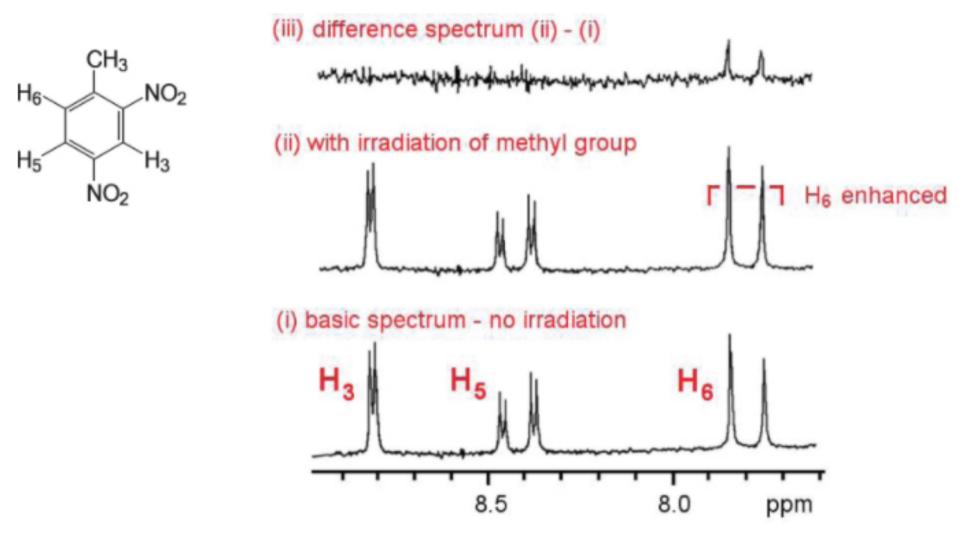


Figure 5.18 Aromatic Region of the  $^{1}$ H NMR Spectrum of 2,4-Dinitrotoluene. (i) Basic NMR Spectrum; (ii) NMR Spectrum with Irradiation of the  $^{-}$ CH $_{3}$  Group at  $_{\delta}$  2.7; (iii) Difference Spectrum: Spectrum (ii) minus Spectrum (i).

## 5.8 LABILE AND EXCHANGEABLE PROTONS

Protons in groups such as alcohols (R-OH) amines (R-NH-), carboxylic acids (R-COOH), thiols (R-SH) and to a lesser extent amides (R-CO-NH-) are classified as labile or readily exchangeable protons.

Labile protons frequently give rise to broadened resonances in the <sup>1</sup>H NMR spectrum and their chemical shifts are critically dependent on the solvent, concentration, and on temperature. *They do not have reliable characteristic chemical shift ranges*.

Labile protons exchange rapidly with each other and also with protons in water or with the deuterons in  $D_2O$ .

$$R = O = H + D_2O = R = O = D + HOD$$

Labile protons can always be positively identified by  $in \, situ \, \text{exchange} \, \text{with} \, D_2O$ . In practice, a normal  $^1H \, \text{NMR} \, \text{spectrum}$  is recorded then deuterium exchange of labile protons is achieved by simply adding a drop of deuterated water ( $D_2O$ ) to the NMR sample. Labile protons in -OH, -COOH,  $-NH_2$  and -SH groups exchange rapidly for deuterons in  $D_2O$  and the  $^1H \, \text{NMR}$  is recorded again. Since deuterium is invisible in the  $^1H \, \text{NMR}$  spectrum, labile protons disappear from the  $^1H \, \text{NMR}$  spectrum and can be readily identified by comparison of the spectra before and after addition of  $D_2O$ .

In Figure 5.19, the spectrum of 1-propanol shows the expected four signals. On addition of 1 drop of  $D_2O$ , one of the signals disappears from the spectrum, clearly identifying this as the -OH proton.

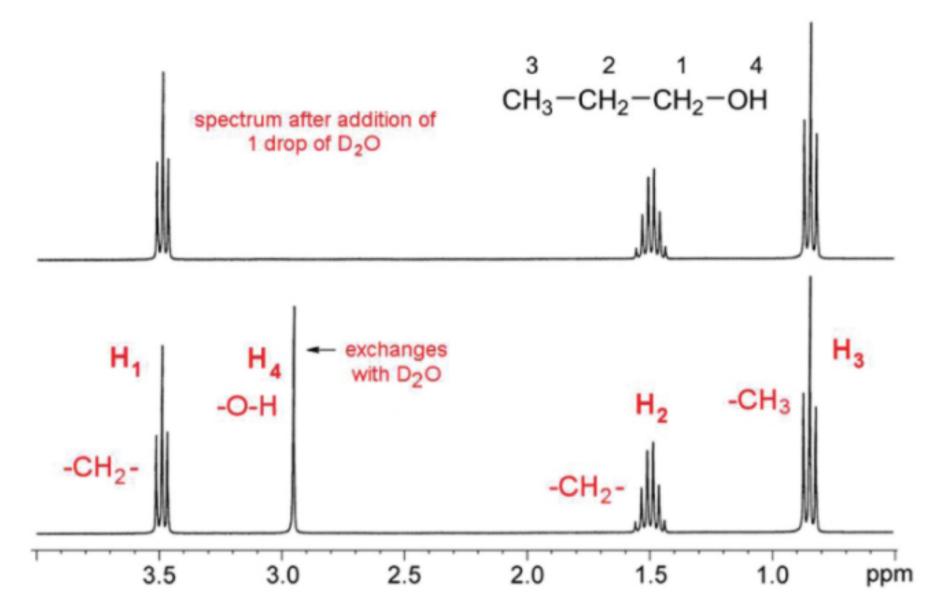


Figure 5.19 D<sub>2</sub>O Exchange in the <sup>1</sup>H NMR Spectrum of 1-Propanol (300 MHz, CDCl<sub>3</sub> solution)

The N-H protons of primary and secondary amides are slow to exchange and usually require heating or base catalysis; this is one way an amide functional group can be distinguished from other functional groups.

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# 6 <sup>13</sup>C NMR SPECTROSCOPY

The most abundant isotope of carbon ( $^{12}$ C) cannot be observed by NMR.  $^{13}$ C is a rare nucleus (1.1% natural abundance) and its low concentration, coupled with the fact that  $^{13}$ C has a relatively low resonance frequency, leads to its relative insensitivity as an NMR-active nucleus (about 1/6000 as sensitive as  $^{1}$ H). However, with pulsed FT NMR spectrometers, it is now common to acquire many spectra and add them together (Section 5.2.1), so  $^{13}$ C NMR spectra of good quality can be obtained readily.

# 6.1 COUPLING AND DECOUPLING IN <sup>13</sup>C NMR SPECTRA

Because the  $^{13}$ C nucleus is isotopically rare, it is extremely unlikely that any two adjacent carbon atoms in a molecule will both be  $^{13}$ C. As a consequence,  $^{13}$ C- $^{13}$ C coupling is not observed in  $^{13}$ C NMR spectra, *i.e.* there is no signal multiplicity or splitting in a  $^{13}$ C NMR spectrum due to  $^{13}$ C- $^{13}$ C coupling.  $^{13}$ C couples strongly to any protons that may be attached ( $^{1}$  $_{C-H}$  is typically about 125 Hz for saturated carbon atoms in organic molecules). It is the usual practice to irradiate the  $^{1}$ H nuclei during  $^{13}$ C acquisition so that all  $^{1}$ H spins are fully decoupled from the  $^{13}$ C nuclei (usually termed **broadband decoupling** or **noise decoupling**).  $^{13}$ C NMR spectra usually appear as a series of singlets (when  $^{1}$ H is fully decoupled) and each distinct  $^{13}$ C environment in the molecule gives rise to a separate signal.

If  ${}^{1}$ H is **not decoupled** from the  ${}^{13}$ C nuclei during acquisition, the signals in the  ${}^{13}$ C spectrum appear as multiplets where the major splittings are due to the  ${}^{1}J_{C-H}$  couplings (about 125 Hz for  $sp^{3}$  hybridised carbon atoms, about 160 Hz for  $sp^{2}$  hybridised carbon atoms, about 250 Hz for sp hybridised carbon atoms). CH $_{3}$ -signals appear as quartets,  $-CH_{2}$ -signals appear as triplets, -CH-groups appear as doublets and quaternary C (no attached H) appear as singlets. The **multiplicity information**, taken together with chemical shift data, is useful in identifying and assigning the  ${}^{13}$ C resonances.

In  $^{13}$ C spectra acquired without proton decoupling, there is usually much more "long-range" coupling information visible in the fine structure of each multiplet. The fine structure arises from coupling between the carbon and protons that are not directly bonded to it (e.g. from  $^2J_{C-C-H}$ ,  $^3J_{C-C-C-H}$ ). The magnitude of long range C-H coupling is typically <10 Hz and this is much less than  $^1J_{C-H}$ . Sometimes a more detailed analysis of the long-range C-H couplings can be used to provide additional information about the structure of the molecule.

# 6.2 THE NUCLEAR OVERHAUSER EFFECT (NOE) IN 13C NMR SPECTROSCOPY

In most  $^{13}$ C spectra,  $^{13}$ C nuclei that have directly attached protons receive a significant (but not easily predictable) signal enhancement when the protons are irradiated as a result of the nuclear Overhauser effect (see Section 5.7). The intensity of  $^{13}$ C resonances may be increased by up to 200% when  $^{1}$ H nuclei that are directly bonded to the carbon atom are irradiated. The efficiency of the proton/carbon NOE varies from carbon-to-carbon and, as a consequence, peak intensity in  $^{13}$ C NMR does not necessarily reflect the number of  $^{13}$ C nuclei giving rise to the signal.

This effect is very important in increasing the intensity of <sup>13</sup>C spectra when they are proton decoupled. While the intensity of protonated carbon atoms can be increased significantly by NOE, non-protonated carbons

(quaternary carbon atoms) receive little NOE and non-protonated carbons are usually the weakest signals in a  $^{13}$ C NMR spectrum.

It is not usually possible to integrate routine <sup>13</sup>C spectra directly unless specific precautions have been taken. However with proper controls, <sup>13</sup>C NMR spectroscopy can be used quantitatively and it is a valuable technique for the analysis of mixtures. To record <sup>13</sup>C NMR spectra where the relative signal intensity can be reliably determined, the spectra must be recorded with techniques to suppress the nuclear Overhauser effect and with a long delay between the acquisitions of successive spectra to ensure that all of the carbon atoms in the molecule are completely relaxed between spectral acquisitions.

## 6.3 DETERMINING 13C SIGNAL MULTIPLICITY USING DEPT

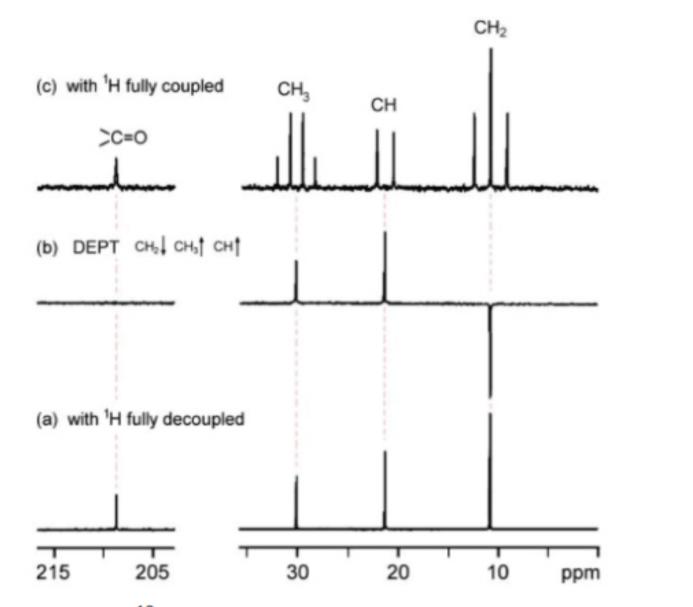
With most modern NMR instrumentation, the DEPT experiment (**D**istortionless **E**nhancement by **P**olarisation **T**ransfer) is the most commonly used method to determine the multiplicity of  $^{13}$ C signals. The DEPT experiment is a pulsed NMR experiment that requires a series of programmed Rf pulses to both the  $^{1}$ H and  $^{13}$ C nuclei in a sample. The resulting  $^{13}$ C DEPT spectrum contains only signals arising from protonated carbons (**non-protonated carbons do not give signals in the**  $^{13}$ C **DEPT spectrum**). The signals arising from carbons in CH<sub>3</sub> and CH groups (*i.e.* those with an odd number of protons) appear oppositely phased from those in CH<sub>2</sub> groups (*i.e.* those with an even number of protons). Signals from CH<sub>3</sub> and CH groups point upwards and signals from CH<sub>2</sub> groups point downwards (Figure 6.1b).

In more advanced applications, the  $^{13}$ C DEPT experiment can be used to separate the signals arising from carbons in CH $_3$ , CH $_2$  and CH groups. This is termed spectral editing and can be used to produce separate  $^{13}$ C sub-spectra of just the CH $_3$  carbons, just the CH $_2$  carbons or just the CH carbons.

<u>Figure 6.1</u> shows various  $^{13}$ C spectra of methyl cyclopropyl ketone. The  $^{13}$ C spectrum acquired with full proton decoupling (<u>Figure 6.1a</u>) shows four singlet peaks, one for each of the four different carbon environments in the molecule.

The DEPT spectrum (Figure 6.1b) shows only the three resonances for the protonated carbons. The carbon atoms that have an odd number of attached hydrogens (CH and CH<sub>3</sub> groups) point upwards and those with an even number of attached hydrogen atoms (the signals of CH<sub>2</sub> groups) point downwards. Note that the carbonyl carbon does not appear in the DEPT spectrum since it has no attached protons.

In the carbon spectrum with no proton decoupling (Figure 6.1c), all of the protonated carbons appear as multiplets and the multiplet structure is due to coupling to the attached protons. The  $CH_3$  (methyl) group appears as a quartet, the  $CH_2$  (methylene) groups appear as a triplet and the CH (methine) group appears as a doublet while the carbonyl carbon (with no attached protons) is a singlet. In Figure 6.1c, the  $^1J_{C-H}$  coupling constants could be measured directly from the spectrum.



CH<sub>2</sub>—CH CH<sub>3</sub>

Figure 6.1 <sup>13</sup>C NMR Spectra of Methyl Cyclopropyl Ketone (CDCl<sub>3</sub> solvent, 100 MHz). (a) with Broadband Decoupling of <sup>1</sup>H; (b) DEPT Spectrum (c) with no Decoupling of <sup>1</sup>H.

For the purpose of assigning a  $^{13}$ C spectrum, two different types of  $^{13}$ C spectra are usually obtained. Firstly, a spectrum with complete  $^{1}$ H decoupling to maximise the intensity of signals and provide sharp singlets to minimise any signal overlap. This is the best spectrum to count the number of resonances and accurately determine their chemical shifts. Secondly, a spectrum which is sensitive to the number of protons attached to each C to permit partial sorting of the  $^{13}$ C signals according to whether they are methyl, methylene, methine or quaternary carbon atoms. This could be a DEPT spectrum or a  $^{13}$ C spectrum with no proton decoupling.

The number of resonances visible in a <sup>13</sup>C NMR spectrum immediately indicates **the number of distinct** <sup>13</sup>C **environments in the molecule** (Table 6.1). If the number of <sup>13</sup>C environments is less than the number of carbons in the molecule, then the molecule must have some symmetry that dictates that some <sup>13</sup>C nuclei are in identical environments. This is particularly useful in establishing the **substitution pattern** (position where substituents are attached) in aromatic compounds.

Table 6.1 The Number of Aromatic <sup>13</sup>C Resonances in Benzenes with Different Substitution Patterns

Molecule	Number of aromatic <sup>13</sup> C r esonances	Molecule	Number of aromatic <sup>13</sup> C r esonances
	1	CI—()—CI	2
CICI	4	Br—CI	4
CI	3	CI	6
CI	4	CI Br	6

# 6.4 SHIELDING AND CHARACTERISTIC CHEMICAL SHIFTS IN 13C NMR SPECTRA

The general trends of  $^{13}$ C chemical shifts somewhat parallel those in  $^{1}$ H NMR spectra. However,  $^{13}$ C nuclei have access to a greater variety of hybridisation states (bonding geometries and electron distributions) than  $^{1}$ H nuclei and both hybridisation and changes in electron density have a significantly larger effect on  $^{13}$ C nuclei than  $^{1}$ H nuclei. As a consequence, the  $^{13}$ C chemical shift scale spans some 250 ppm, cf. the 10 ppm range commonly encountered for  $^{1}$ H chemical shifts ( $^{13}$ Description).

<u>Table 6.2</u> Typical <sup>13</sup>C Chemical Shift Values in Selected Organic Compounds

Compound	δ <sup>13</sup> C (ppm from TMS)		
CH <sub>4</sub>	-2.1		
CH <sub>3</sub> CH <sub>3</sub>	7.3		
CH <sub>3</sub> OH	50.2		
CH <sub>3</sub> CI	25.6		
CH <sub>2</sub> Cl <sub>2</sub>	52.9		
CHCI <sub>3</sub>	77.3		
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CI	11.5 (CH <sub>3</sub> ) 26.5 (-CH <sub>2</sub> -) 46.7 (-CH <sub>2</sub> -CI)		
CH <sub>2</sub> =CH <sub>2</sub>	123.3		
CH <sub>2</sub> =C=CH <sub>2</sub>	208.5 (=C=) 73.9 (=CH <sub>2</sub> )		
CH <sub>3</sub> CHO	31.2 (-CH <sub>3</sub> ) 200.5 (-CHO)		
CH₃COOH	20.6 (-CH <sub>3</sub> ) 178.1 (-COOH)		
CH <sub>3</sub> COCH <sub>3</sub>	30.7 (-CH <sub>3</sub> ) 206.7 (-CO-)		
	128.5		
3 2 4 N	149.8 (C-2) 123.7 (C-3) 135.9 (C4)		

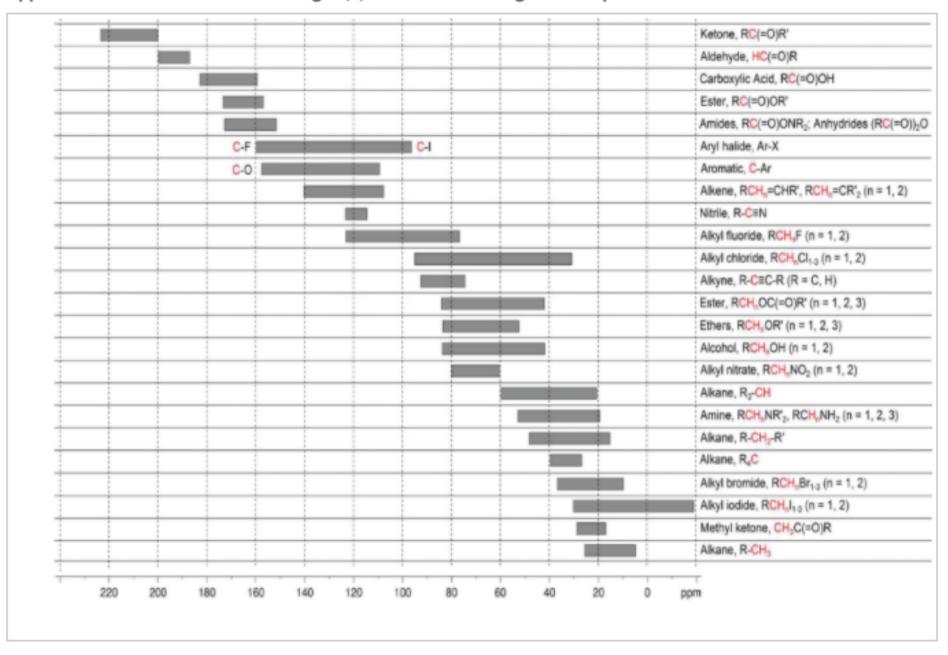
Table 6.3 Typical <sup>13</sup>C Chemical Shift Ranges in Organic Compounds

Group	<sup>13</sup> C shift (ppm)
TMS	0.0
-CH $_3$ (with only -H or -R at $C_\alpha$ and $C_\beta$ )	0-30
-CH $_2$ (with only -H or -R at $C_{\alpha}$ and $C_{\beta}$ )	20-45
–CH (with only –H or –R at $C_{\alpha}$ and $C_{\beta}$ )	30-60
C quaternary (with only –H or –R at $C_{\alpha}$ and $C_{\beta}$ )	30-50
O-CH <sub>3</sub>	50-60
N-CH <sub>3</sub>	15-45
C≡C	70-95
C=C	105-160
C (aromatic)	110-155
C (heteroaromatic)	105-165
-C≡N	115-125
C=O (acids, acyl halides, esters, amides)	155-185
C=O (aldehydes, ketones)	185-225

In  $^{13}$ C NMR spectroscopy, the  $^{13}$ C signal resulting from the carbon atom in CDCl $_3$  appears as a triplet centred at  $^{5}$ 77.0 with peak intensities in the ratio 1:1:1 (due to spin-spin coupling between  $^{13}$ C and  $^{2}$ H). This resonance serves as a convenient reference for the chemical shifts of  $^{13}$ C NMR spectra recorded in this solvent.

<u>Table 6.4</u> gives the typical chemical shift ranges for carbons in organic molecules. <u>Table 6.5</u> lists characteristic  $^{13}$ C chemical shifts for some  $sp^3$ -hybridised carbon atoms in common functional groups. <u>Table 6.6</u> gives characteristic  $^{13}$ C chemical shifts for some  $sp^2$ -hybridised carbon atoms in substituted alkenes and <u>Table 6.7</u> gives characteristic  $^{13}$ C chemical shifts for some sp-hybridised carbon atoms in alkynes.

# Approximate <sup>13</sup>C Chemical Shift Ranges (δ) for Carbons in Organic Compounds



<u>Table 6.5</u>  $^{13}$ C Chemical Shifts ( $\delta$ ) for  $sp^3$ -hybridised Carbons in Alkyl Derivatives

	CH <sub>3</sub> -X	CH <sub>3</sub> CH <sub>2</sub> -X		(CH <sub>3</sub> ) <sub>2</sub> CH-X	
x	-CH <sub>3</sub>	-CH <sub>3</sub>	-CH <sub>2</sub> -	-CH <sub>3</sub>	
-H	-2.3	7.3	7.3	15.4	15.9
-CH=CH <sub>2</sub>	18.7	13.4	27.4	22.1	32.3
-Ph	21.4	15.8	29.1	24.0	34.3
-CI	25.6	18.9	39.9	27.3	53.7
-OH	50.2	18.2	57.8	25.3	64.0
-OCH <sub>3</sub>	60.9	14.7	67.7	21.4	72.6
-OCO-CH <sub>3</sub>	51.5	14.4	60.4	21.9	67.5
-CO-CH <sub>3</sub>	30.7	7.0	35.2	18.2	41.6
-CO-OCH <sub>3</sub>	20.6	9.2	27.2	19.1	34.1
-NH <sub>2</sub>	28.3	19.0	36.9	26.5	43.0
-NH-COCH <sub>3</sub>	26.1	14.6	34.1	22.3	40.5
-C≡N	1.7	10.6	10.8	19.9	19.8
-NO <sub>2</sub>	61.2	12.3	70.8	20.8	78.8

Table 6.6  $^{13}$ C Chemical Shifts ( $\delta$ ) for  $sp^2$ -hybridised Carbons in Vinyl Derivatives: CH<sub>2</sub>=CH-X

×	CH <sub>2</sub> =	=CH-X
-H	123.3	123.3
-CH <sub>3</sub>	115.9	136.2
-C(CH <sub>3</sub> ) <sub>3</sub>	108.9	149.8
-Ph	112.3	135.8
-CH=CH <sub>2</sub>	116.3	136.9
-C≡C-H	129.2	117.3
-CO-CH <sub>3</sub>	128.0	137.1
-CO-OCH <sub>3</sub>	130.3	129.6
-CI	117.2	126.1
-OCH <sub>3</sub>	84.4	152.7
-OCO-CH <sub>3</sub>	96.6	141.7
-C≡N	137.5	108.2
-NO <sub>2</sub>	122.4	145.6
-N(CH <sub>3</sub> ) <sub>2</sub>	91.3	151.3

Table 6.7 <sup>13</sup>C Chemical Shifts (δ) for *sp*-hybridised Carbons in Alkynes: X–C C–Y

X	Y	X-C≡	≡C-Y
H-	-H	73.2	73.2
H-	-CH <sub>3</sub>	66.9	79.2
H-	-C(CH <sub>3</sub> ) <sub>3</sub>	67.0	92.3
H-	-CH=CH <sub>2</sub>	80.0	82.8
H-	-C≡C-H	66.3	67.3
H-	-Ph	77.1	83.4
H-	-COCH <sub>3</sub>	81.8	78.1
H-	-OCH <sub>2</sub> CH <sub>3</sub>	22.0	88.2
CH <sub>3</sub> -	-CH <sub>3</sub>	72.6	72.6
CH <sub>3</sub> -	-Ph	79.7	85.8
CH <sub>3</sub> -	-COCH <sub>3</sub>	97.4	87.0
Ph-	-Ph	89.4	89.4
-COOCH <sub>3</sub>	-COOCH <sub>3</sub>	74.6	74.6

 $\underline{\text{Table 6.8}}$  gives characteristic  $^{13}\text{C}$  chemical shifts for the aromatic carbons in benzene derivatives. To a first approximation, the shifts induced by substituents are additive. So, for example, an aromatic carbon which has a -

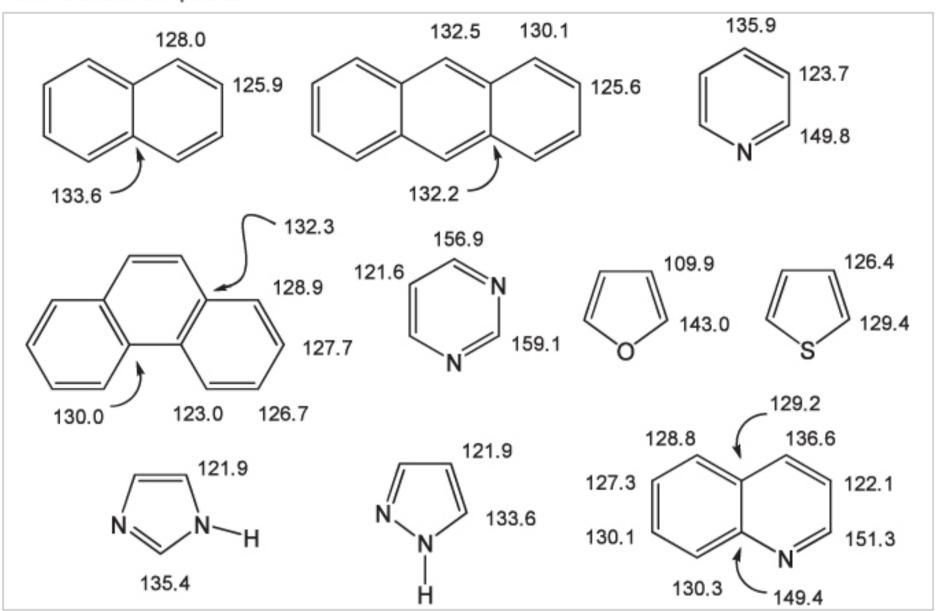
 $NO_2$  group in the para position and a -Br group in the ortho position will appear at approximately 137.9 ppm [(128.5 + 6.1(p-NO<sub>2</sub>) + 3.3(o-Br))].

<u>Table 6.8</u> Approximate  $^{13}$ C Chemical Shifts ( $\delta$ ) for Aromatic Carbons in Benzene Derivatives Ph–X in ppm Relative to Benzene at  $\delta$  128.5 ppm (a positive sign denotes a downfield shift)

X	ipso	ortho	meta	para
-H	0.0	0.0	0.0	0.0
-NO <sub>2</sub>	19.9	-4.9	0.9	6.1
-CO-OCH <sub>3</sub>	2.0	1.2	-0.1	4.3
-CO-NH <sub>2</sub>	5.0	-1.2	0.1	3.4
-CO-CH <sub>3</sub>	8.9	0.1	-0.1	4.4
-C≡N	-16.0	3.5	0.7	4.3
-Br	-5.4	3.3	2.2	-1.0
-CH=CH <sub>2</sub>	8.9	-2.3	-0.1	-0.8
-CI	5.3	0.4	1.4	-1.9
-CH <sub>3</sub>	9.2	0.7	-0.1	-3.0
-OCO-CH <sub>3</sub>	22.4	-7.1	0.4	-3.2
-OCH <sub>3</sub>	33.5	-14.4	1.0	-7.7
-NH <sub>2</sub>	18.2	-13.4	0.8	-10.0

 $\underline{\text{Table 6.9}} \text{ gives characteristic shifts for } ^{13}\text{C nuclei in some polynuclear aromatic compounds and heteroaromatic compounds.}$ 

<u>Table 6.9</u> Characteristic  $^{13}$ C Chemical Shifts ( $\delta$ ) in some Polynuclear Aromatic Compounds and Heteroaromatic Compounds

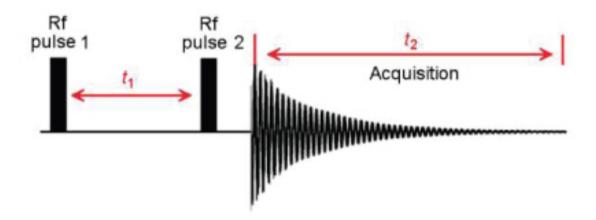


# 7

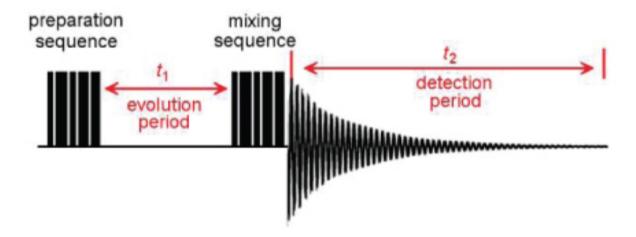
## 2-DIMENSIONAL NMR SPECTROSCOPY

Two-dimensional NMR spectra have two frequency axes rather than one.

A 2D spectrum is acquired using a pulse sequence which contains a delay period " $t_1$ ", which can be varied systematically as the experiment is repeated. The acquisition of a 2D NMR spectrum involves the use of two, or more, radiofrequency (Rf) pulses, separated by an intervening time period,  $t_1$ .



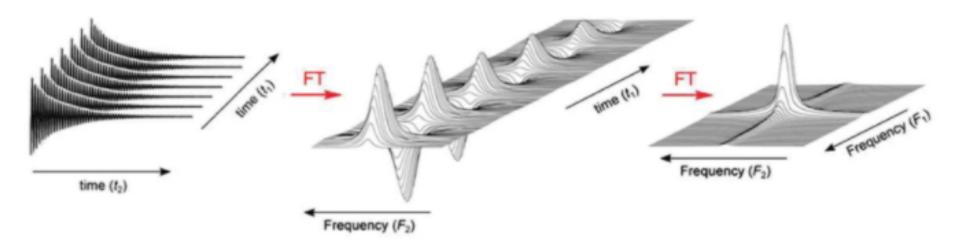
The first Rf pulse excites nuclei in the sample that interact with each other during  $t_1$  through spin-spin coupling, dipolar interactions or by a range of other mechanisms. After the last pulse is applied, the free induction decay (FID) is acquired. The value of  $t_1$  is then incremented, and the sequence is repeated to acquire a new FID. The experiment is repeated many times (typically 512 or 1024), with a different delay " $t_1$ " in the pulse sequence for each experiment. One FID is acquired for each experiment giving an array of "N" individual FIDs, each of which has been acquired with a slightly different pulse sequence.



In practice, most 2D NMR experiments use more than two pulses and some heteronuclear sequences apply pulses to both  $^{1}$ H and  $^{13}$ C nuclei in the sample. To generalise the 2D NMR experiment, there are four important periods in the pulse sequence: (i) the preparation period; (ii) the evolution period ( $t_1$ ); (iii) the mixing sequence; and (iv) the detection period ( $t_2$ ).

Each FID represents the variation of detected signal as a function of time and successive FIDs in the array differ as a function of the time variable  $t_1$  within the preparation period of the pulse sequence.

Fourier transformation of the two-dimensional array of data with respect to  $t_2$  affords a series of spectra which vary systematically as a function of  $t_1$ . A second Fourier transformation, this time with respect to  $t_1$ , gives a two-dimensional spectral array (which is a function of two frequency domains  $F_1$  and  $F_2$ ) (Figure 7.1).



<u>Figure 7.1</u> Acquisition of a 2D NMR spectrum: a series of individual FIDs are acquired; each individual FID is subjected to a Fourier transformation; a second Fourier transformation in the remaining time dimension gives the final 2D spectrum.

Two-dimensional spectra usually have the appearance of surfaces, generally with two frequency axes corresponding to chemical shift and the third (vertical) axis corresponding to peak intensity.

Two-dimensional spectra can be displayed in a number of formats. Firstly, the "stacked plot" (Figure 7.2a) is an aerial view across the 2D surface. This format provides a useful indication of the relative importance (size and positioning) of distinctive features on the 2D landscape. Secondly the "contour plot" (Figure 7.2b) provides a more convenient representation for making quantitative measurements or precise peak assignments.

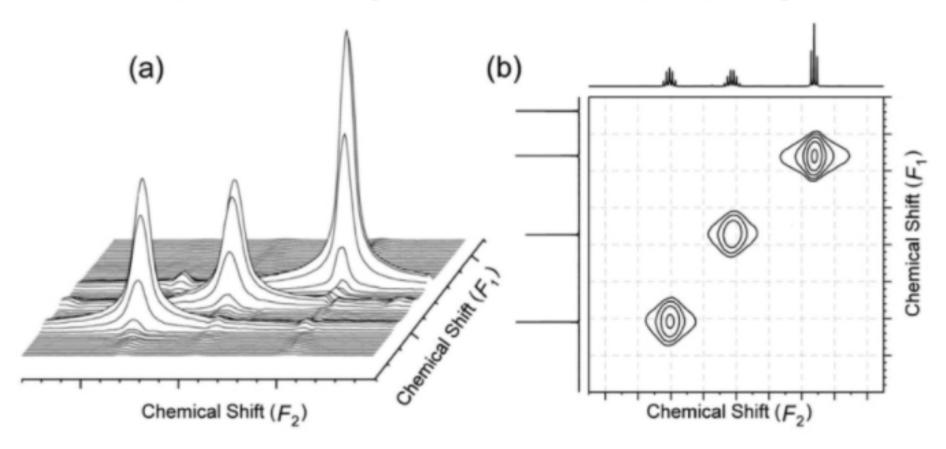


Figure 7.2 Representations of 2D NMR Spectra: (a) Stacked Plot; (b) Contour Plot

Two-dimensional NMR spectra may be acquired as either "magnitude" or as "phase-sensitive" spectra. Magnitude spectra are obtained by taking the absolute value of each point in the 2D spectrum so all of the intensities will be positive. Phase-sensitive spectra can contain both positive and negative signals and, for some 2D experiments, there is useful information in the relative phases of the peaks. In general, the peak widths of the peaks in phase-sensitive spectra are narrower and better resolved than in magnitude spectra. When phase-sensitive 2D NMR spectra are presented as contour plots, different colours are typically used to represent those peaks which are positive (usually black) and those which are negative (usually red) (Figure 7.3).

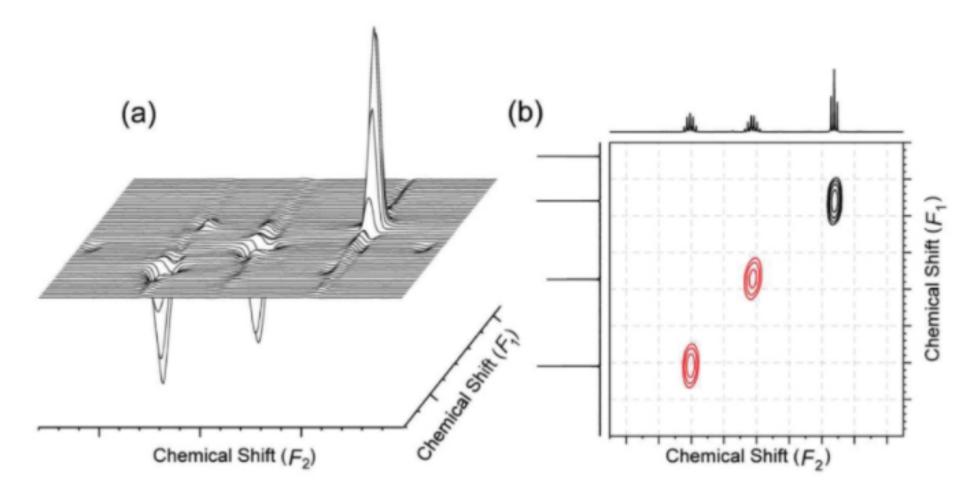


Figure 7.3 Representations of Phase-sensitive 2D NMR Spectra: (a) Stacked Plot; (b) Contour Plot

The number of possible two-dimensional experiments is essentially unlimited. Different pulse sequences in the preparation period give rise to different two-dimensional spectra which can be tailored to exhibit various properties of the sample.

The technical detail behind multi-dimensional NMR experiments, and the pulse sequences used to generate 2D spectra, is beyond the scope of this book. However, the most important two-dimensional NMR experiments for solving structural problems are COSY (COrrelation SpectroscopY), NOESY (Nuclear Overhauser Enhancement SpectroscopY), HSQC (Heteronuclear Single Quantum Correlation) or HSC (Heteronuclear Shift Correlation), HMBC (Heteronuclear Multiple Bond Correlation) and TOCSY (TOtal Correlation SpectroscopY). Most modern high-field NMR spectrometers have the capability to routinely and automatically acquire COSY, NOESY, HSQC, HMBC and TOCSY spectra.

## 7.1 PROTON-PROTON INTERACTIONS BY 2D NMR

# 7.1.1 COSY (CORRELATION SPECTROSCOPY)

The COSY spectrum shows which pairs of protons in a molecule are coupled to each other. The COSY spectrum is a symmetrical spectrum that has the  ${}^{1}H$  NMR spectrum of the substance as both of the chemical shift axes ( $F_{1}$  and  $F_{2}$ ).

It is usual to plot a normal (one-dimensional) NMR spectrum along each of the  $F_1$  and  $F_2$  axes to give reference spectra for the peaks that appear in the two-dimensional spectrum. A COSY spectrum of 1-iodobutane (CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>I) is given below (<u>Figure 7.4</u>).

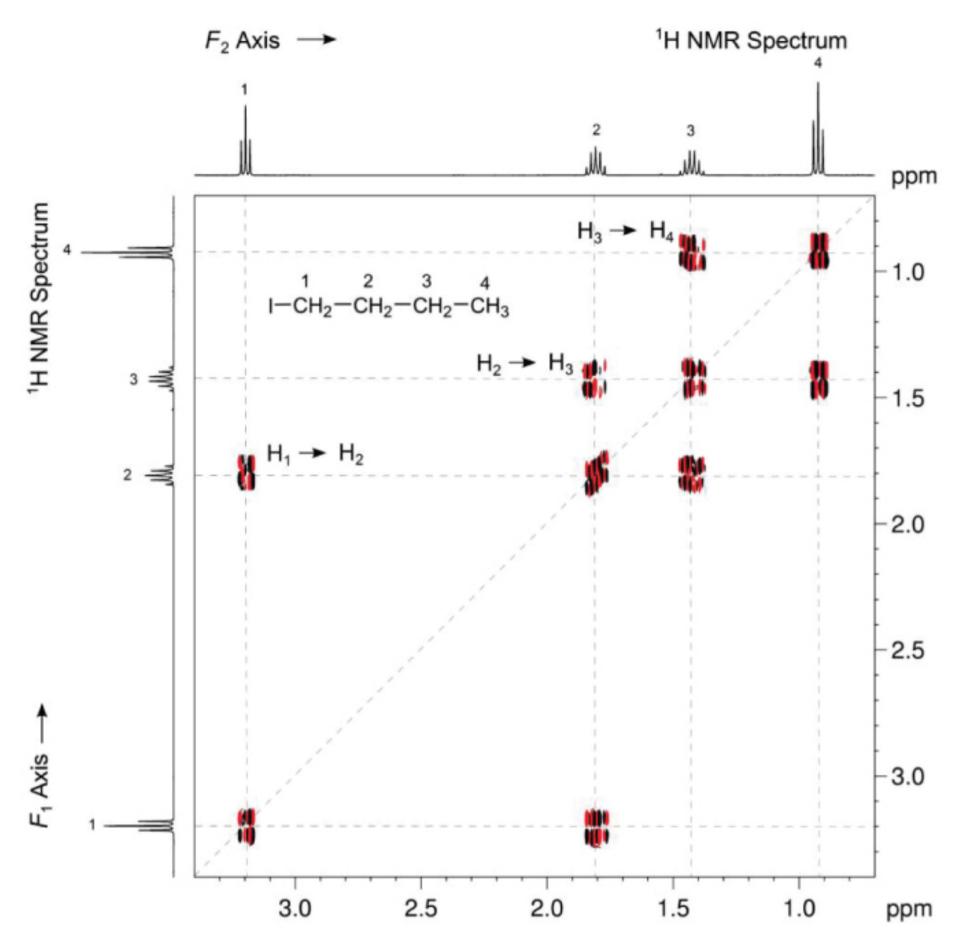
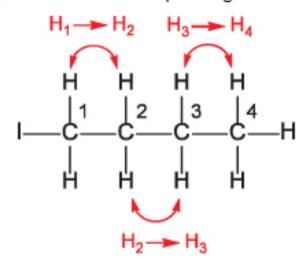


Figure 7.4 <sup>1</sup>H COSY Spectrum of 1-lodobutane (CDCl<sub>3</sub> solvent, 298K, 400 MHz)

The COSY spectrum of 1-iodobutane has a set of four peaks on the diagonal as well as peaks that are off the diagonal. The COSY spectrum is always symmetrical about the diagonal – the off-diagonal peaks above the diagonal are mirrored on the lower side of the diagonal. The off-diagonal peaks are the important signals, since these only occur at positions where there is coupling between a proton on the  $F_1$  axis and a proton on the  $F_2$  axis. The off-diagonal peaks are typically multiplets, reflecting the multiplet structure of the signal, with some components of the multiplet pointing upwards (positive signals, black contours) and some components pointing downwards (negative signals, red contours). The protons which are part of the  $-CH_2$ I group ( $H_1$ ) are easy to identify since the halogen substituent characteristically moves these to about  $\delta$  3.2 ppm. There are three off-diagonal peaks on each side of the diagonal – one indicates the coupling between  $H_1$  and  $H_2$ ; the second

indicates coupling between  $H_2$  and  $H_3$  and the third indicates coupling between  $H_3$  and  $H_4$ . If you can identify one of the proton signals, then you can identify the protons that are coupled to it and then work sequentially along a coupled network until all the protons that are coupled together in the spin system are identified.



In a single COSY spectrum all of the coupling pathways in a molecule can be identified.

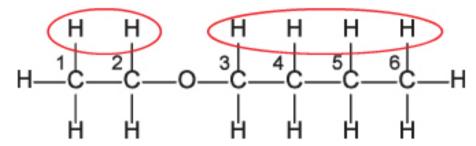
## 7.1.2 TOCSY (TOTAL CORRELATION SPECTROSCOPY)

The TOCSY spectrum is useful in identifying all of the protons that belong to the same isolated spin system. Like the COSY experiment, TOCSY relies on spin-spin coupling but rather than showing pairs of nuclei which are directly coupled together, the TOCSY shows a cross-peak (off-diagonal peak) for every nucleus which is part of the same coupled spin system – not just those that are directly coupled to each other.

Like the COSY spectrum, the TOCSY has peaks along a diagonal at the frequencies of all of the resonances in the spectrum. The TOCSY spectrum is also symmetrical around the diagonal and it is usual to plot a normal (one-dimensional) NMR spectrum along each of the  $F_1$  and  $F_2$  axes to give reference spectra for the peaks that appear in the two-dimensional spectrum.

A TOCSY spectrum of butyl ethyl ether ( $CH_3CH_2CH_2CH_2OCH_2CH_3$ ) is given in Figure 7.5.

Butyl ethyl ether has two separate spin systems – a butyl fragment and an ethyl fragment which are isolated (and insulated) from each other by the ether oxygen bridge. Butyl ethyl ether has six proton resonances and the TOCSY shows six peaks on the diagonal. There are seven off-diagonal peaks above the diagonal and a corresponding set of mirrored peaks below the diagonal.



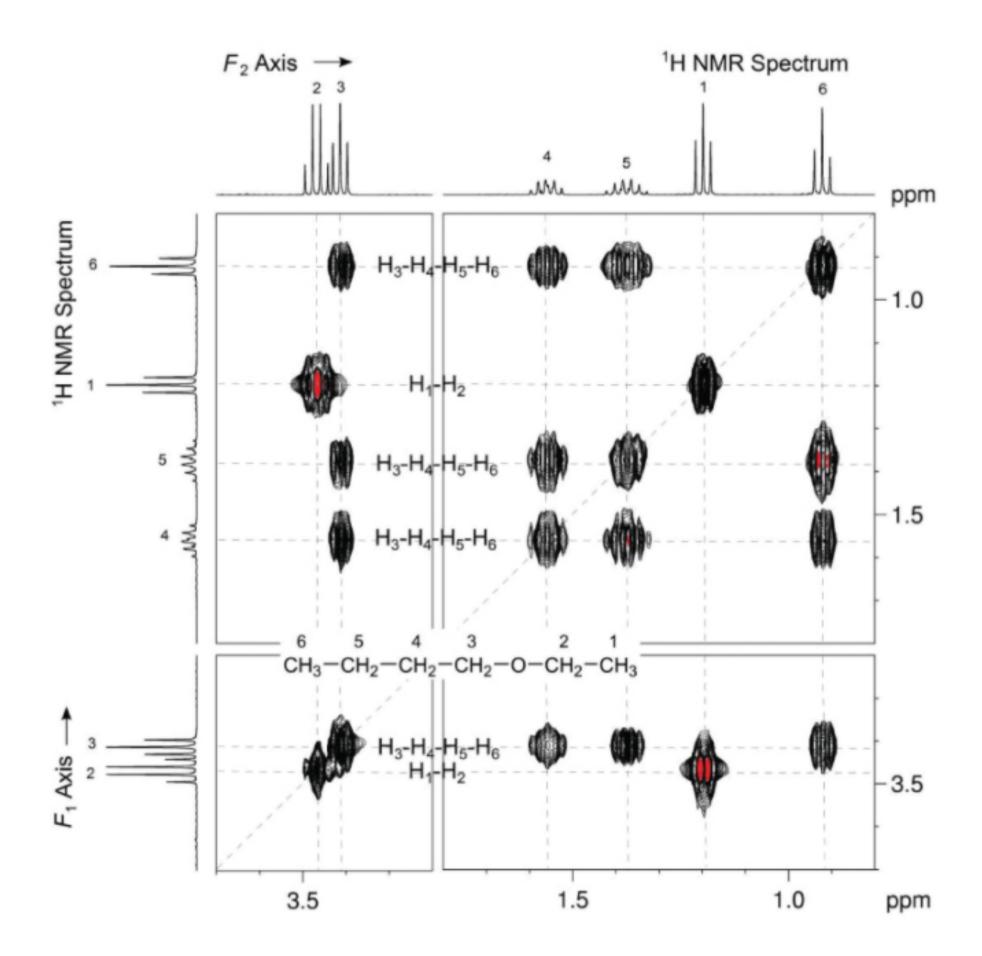


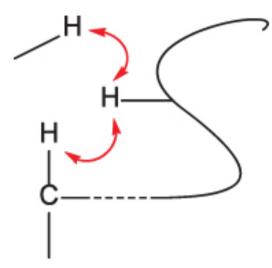
Figure 7.5 <sup>1</sup>H TOCSY Spectrum of Butyl Ethyl Ether (CDCl<sub>3</sub> solvent, 298K, 400 MHz)

At the frequency corresponding to each resonance in  $F_1$ , all of the nuclei in the same coupled spin system are identified by a series of peaks. At the frequency corresponding to  $H_6$  in the  $F_1$  dimension, a horizontal line will show peaks in the  $F_2$  dimension for  $H_3$ ,  $H_4$ ,  $H_5$  and  $H_6$  which are all the signals for the butyl fragment of butyl ethyl ether. At the frequency corresponding to  $H_1$  in the  $F_1$  dimension, a horizontal line will show peaks in the  $F_2$  dimension for  $H_1$  and  $H_2$  which are all the signals for the ethyl fragment of butyl ethyl ether. There is a significant amount of redundant information in a TOCSY spectrum.

The TOCSY spectrum is useful where there are a number of isolated spin systems in the sample, particularly if the spectra are heavily overlapped.

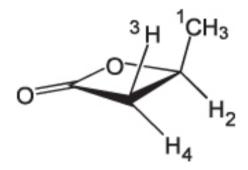
## 7.1.3 NOESY (NUCLEAR OVERHAUSER EFFECT SPECTROSCOPY)

The NOESY spectrum relies on the nuclear Overhauser effect (<u>Section 5.7</u>) and shows which pairs of nuclei in a molecule are close together in space.



The NOESY spectrum is very similar in appearance to a COSY spectrum. The NOESY spectrum is symmetrical about the diagonal and has the  $^1$ H NMR spectrum as both of the chemical shift axes ( $F_1$  and  $F_2$ ). Again, it is usual to plot a normal (one-dimensional) NMR spectrum along each of the axes to give reference spectra for the peaks that appear in the two-dimensional spectrum.

From the analysis of a NOESY spectrum, it is possible to determine the three dimensional structure of a molecule or parts of a molecule. The NOESY spectrum is particularly useful for establishing the stereochemistry (e.g. the cis/trans configuration of a double bond or a ring junction) of a molecule where more than one possible stereoisomer exists. A NOESY spectrum of β-butyrolactone is given in Figure 7.6.



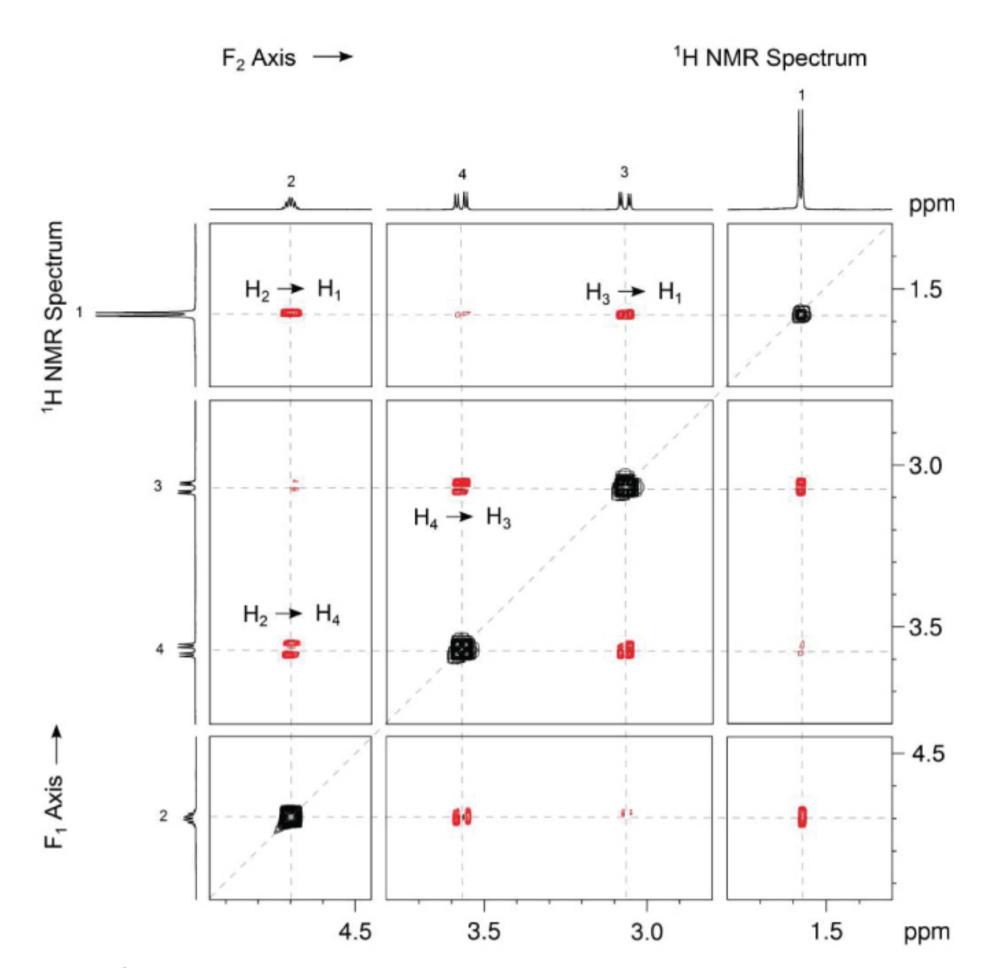
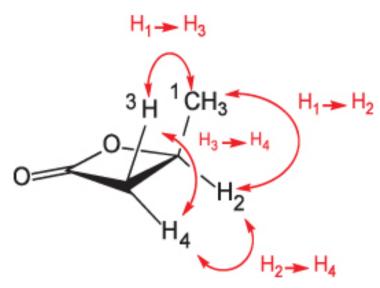


Figure 7.6 <sup>1</sup>H NOESY Spectrum of β-Butyrolactone (CDCl<sub>3</sub> solvent, 298K, 600 MHz)

β-Butyrolactone is a cyclic compound (four-membered ring) with a methyl group and three protons. The ring structure dictates that the methyl group ( $H_1$ ) and one of the protons ( $H_3$ ) are on one face of the molecule and two protons ( $H_2$  and  $H_4$ ) are on the other face. The NOESY spectrum contains strong cross-peaks between  $H_1$  and  $H_2$  (geminal groups, *i.e.* attached to the same carbon); strong cross-peaks between  $H_3$  and  $H_4$  (also geminal groups) as well as strong cros-speaks between  $H_2$  and  $H_4$  (same face of the molecule) and cross-peaks between  $H_1$  and  $H_3$  (same face of the molecule).

From the NOESY spectrum it is very clear which proton signal derives from  $H_3$  and which from  $H_4$ . The spectrum also shows weak cross-peaks between  $H_2$  and  $H_3$  indicating that these protons are still sufficiently

close in space to have a weak NOE interaction.



## 7.2 PROTON-CARBON INTERACTIONS BY 2D NMR

# 7.2.1 HETERONUCLEAR SINGLE BOND CORRELATION: THE HSQC (HETERONUCLEAR SINGLE QUANTUM CORRELATION) OR HSC (HETERONUCLEAR SHIFT CORRELATION) SPECTRUM

The HSQC (Heteronuclear Single Quantum Correlation) and the HMQC (Heteronuclear Multiple Quantum Correlation) experiments are the heteronuclear analogues of the COSY spectrum and these experiments identify which protons are directly attached to which carbons in a molecule. The HSQC is often also called the HSC (Heteronuclear Shift Correlation) or the HETCOR (Heteronuclear Correlation) experiment. While there are differences in the pulse sequences that an NMR spectrometer uses to acquire the HSQC and HMQC spectra, in practice, these experiments provide essentially the same information. The HSQC spectrum has the  $^{1}$ H NMR spectrum on one axis ( $F_{2}$ ) and the  $^{13}$ C spectrum (or the spectrum of some other nucleus) on the second axis ( $F_{1}$ ).

It is usual to plot a normal (one-dimensional)  $^1$ H NMR spectrum along the proton dimension (the  $F_2$  axis) and a normal (one-dimensional)  $^{13}$ C NMR spectrum along the  $^{13}$ C dimension (the  $F_1$  axis) to give reference spectra for the cross-peaks that appear in the two-dimensional spectrum. The HSQC spectrum does not have diagonal peaks. The peaks in an HSQC spectrum occur at positions where a proton in the spectrum on the  $F_2$  axis is directly coupled to a carbon in the spectrum on the  $F_1$  axis via a one-bond C-H coupling. Note that non-protonated carbons cannot have any cross-peaks in the HSQC spectrum.

The **multiplicity-edited HSQC** (me-**HSQC**) is a variation on the HSQC experiment that provides additional information about whether the signals arise from CH, CH<sub>2</sub> or CH<sub>3</sub> groups in much the same way that a DEPT experiment provides multiplicity information in one-dimensional  $^{13}$ C spectra (see Section 6.3). In the me-HSQC spectrum, the carbon atoms with an even number of attached protons (CH<sub>2</sub> carbons) usually point downwards (plotted in red) while the carbons with an odd number of protons (the CH and CH<sub>3</sub> carbons) point upwards (plotted in black).

If the proton spectrum of a molecule can be assigned using chemical shift information or spin-spin coupling information (e.g. using a COSY experiment) then the resonances in the  $^{13}$ C spectrum can be assigned using the HSQC experiment.

A me-HSQC spectrum of 1-iodobutane ( $CH_3CH_2CH_2CH_2I$ ) is given in Figure 7.7.

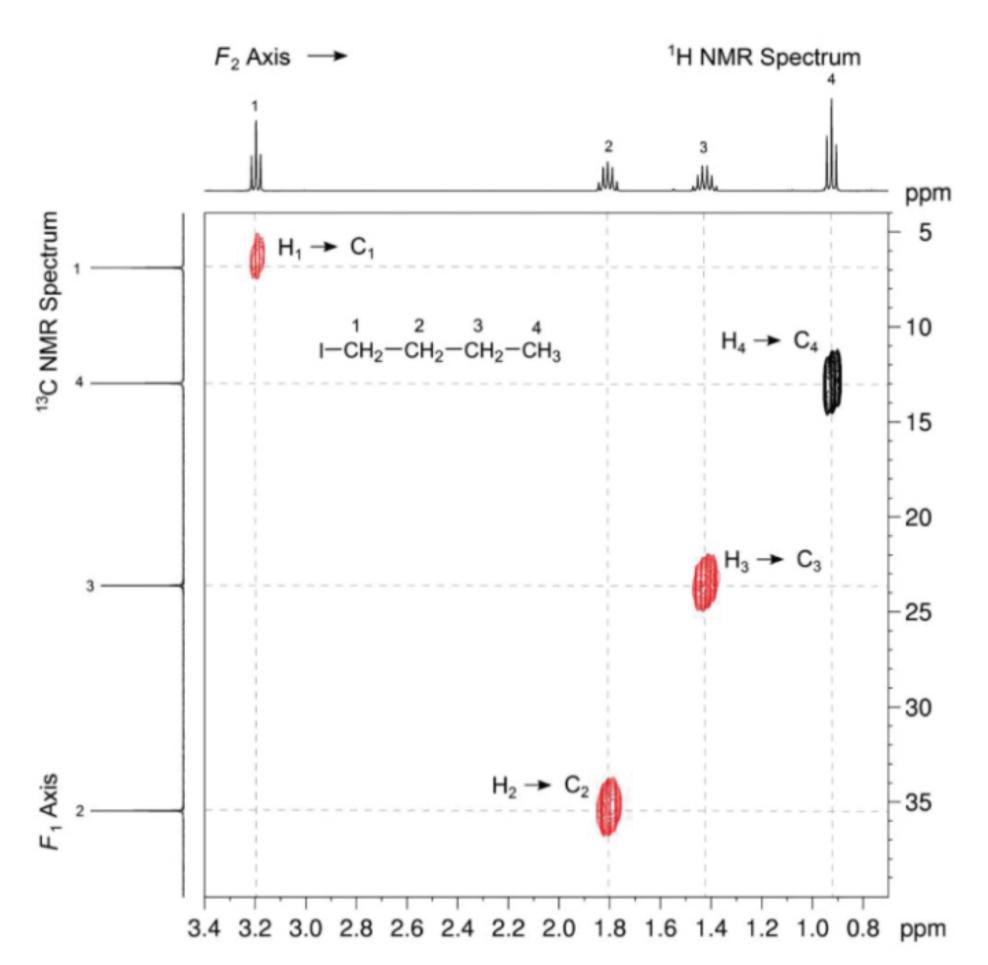


Figure 7.7 <sup>1</sup>H-<sup>13</sup>C me-HSQC Spectrum of 1-lodobutane (CDCI>3 solvent, 298K, <sup>1</sup>H 400 MHz, <sup>13</sup>C 100 MHz)

1-lodobutane has four carbon resonances and the *me*-HSQC spectrum shows four cross-peaks. Having assigned all of the resonances in the <sup>1</sup>H spectrum (see <u>Section 7.1.1</u>), then the resonances in the carbon spectrum can simply be assigned by the positions of the cross-peaks corresponding to each proton resonance.

Note that in the me-HSQC spectrum, there are three cross-peaks in red corresponding to the negative signals from the CH $_2$  groups and one cross-peak which is black corresponding to the positive signal from the CH $_3$  group.

#### 7.2.2 HMBC (<u>H</u>ETERONUCLEAR <u>M</u>ULTIPLE <u>B</u>OND <u>C</u>ORRELATION)

The HMBC spectrum correlates chemical shifts of hydrogen nuclei with carbon nuclei which are separated by two or more chemical bonds. The HMBC experiment is frequently used to assign quaternary and carbonyl carbons that don't have any directly bound protons, which makes them "invisible" in the HSQC experiment.

The HMBC experiment is designed to filter out correlations resulting from large C-H coupling constants (120– 160 Hz) resulting from protons directly bound to carbon and to select for smaller couplings (around 10 Hz), which are typical C-H couplings over two or three bonds.

The HMBC is a very powerful method for making the connection between two parts of a molecule that may be isolated from each other by a carbonyl, an ether, an ester, an amide or by some other functional group.

It is usual to plot a normal (one-dimensional)  $^1$ H NMR spectrum along the proton dimension (the  $F_2$  axis) and a normal (one-dimensional)  $^{13}$ C NMR spectrum along the  $^{13}$ C dimension (the  $F_1$  axis) to give reference spectra for the peaks that appear in the two-dimensional spectrum.

The HMBC spectrum does not have diagonal peaks. The peaks in an HMBC spectrum occur at positions where a proton in the spectrum on the  $F_2$  axis is coupled to a carbon in the spectrum on the  $F_1$  axis <u>via a two-bond or</u> <u>three-bond C-H coupling</u>.

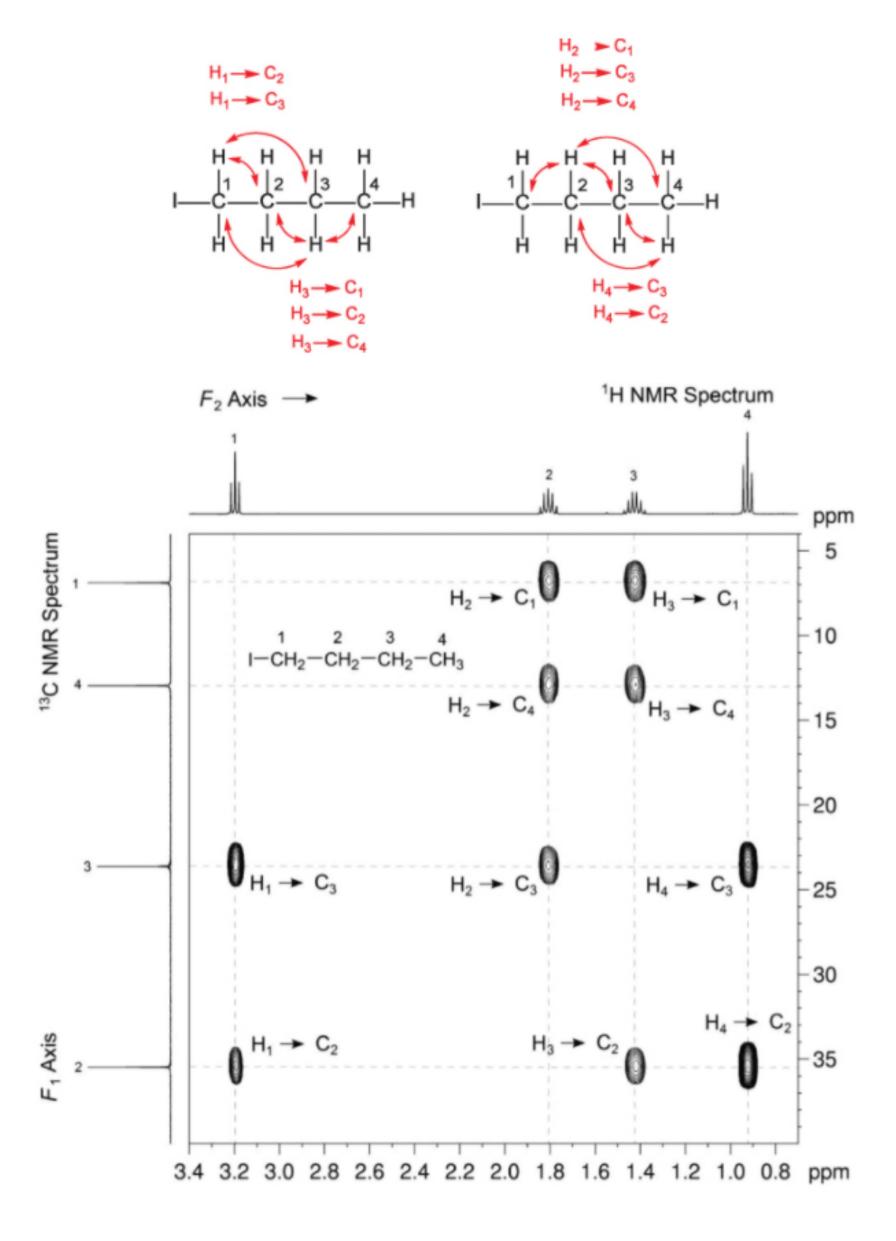


Figure 7.8 <sup>1</sup>H-<sup>13</sup>C HMBC Spectrum of 1-lodobutane (CDCl<sub>3</sub> solvent, 298K, <sup>1</sup>H 400 MHz, <sup>13</sup>C 100 MHz)

In aromatic systems, and in heteroaromatic systems, it is typically the three-bond (meta)  $J_{C-H}$  which is the largest of the long-range C-H couplings, so the HMBC cross-peaks between aromatic protons and the carbons which are three bonds away are typically the strongest.

The HMBC experiment is a powerful method for assigning the  $^{13}$ C chemical shifts of the substituted (*i.e.* non-protonated) carbons in an aromatic framework.

The HMBC spectrum showing the aromatic protons and carbons of 2-bromophenol is given in Figure 7.9.

2-Bromophenol is a disubstituted aromatic compound. It has four aromatic protons and six aromatic carbons. There are four protonated carbons and two substituted (*i.e.* unprotonated) carbons. The proton assignments are given in Figure 7.9.

The HMBC spectrum of 2-bromophenol shows eight strong correlations and all of the strong peaks correspond to three-bond meta couplings. H<sub>3</sub> correlates to C<sub>1</sub> and to C<sub>5</sub>; H<sub>4</sub> correlates to C<sub>2</sub> and to C<sub>6</sub>; H<sub>5</sub> correlates to C<sub>3</sub> and to C<sub>1</sub>; H<sub>6</sub> correlates to C<sub>2</sub> and to C<sub>4</sub>.

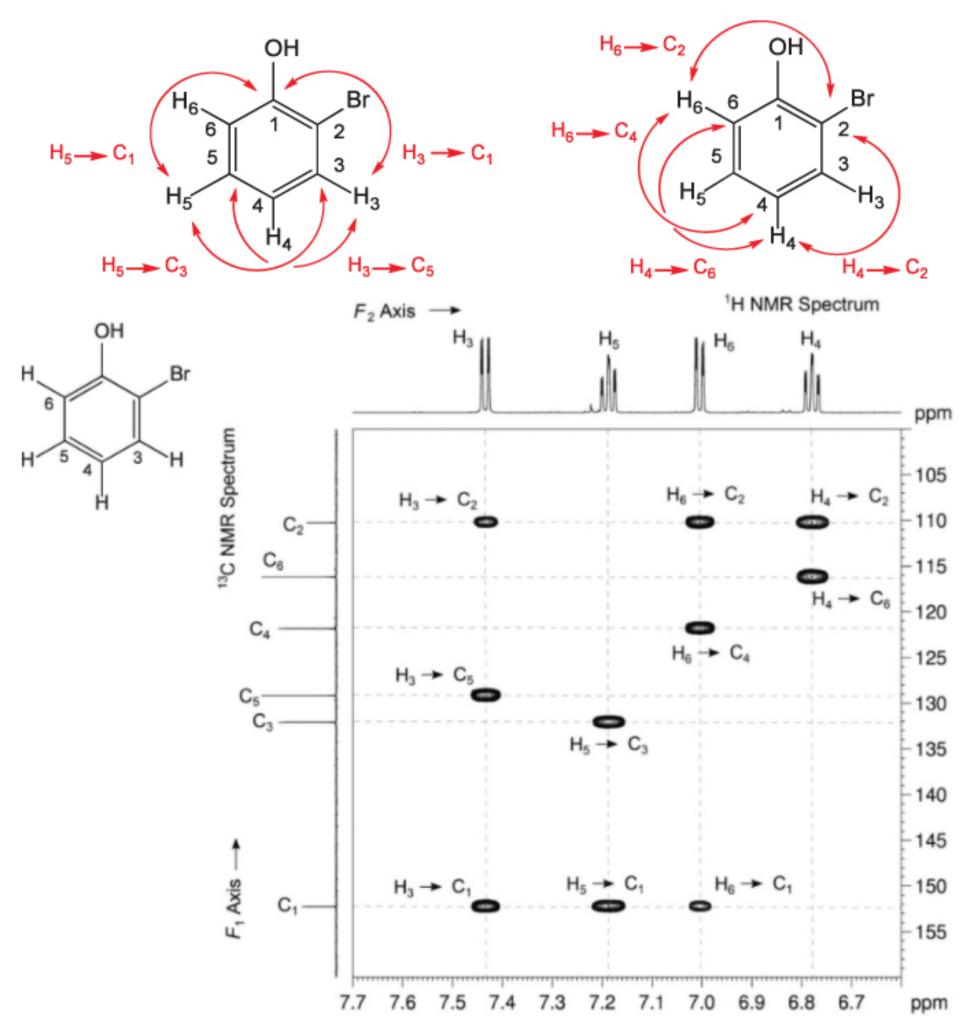
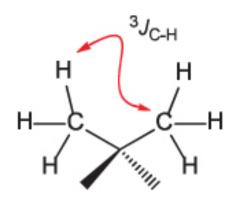


Figure 7.9 <sup>1</sup>H-<sup>13</sup>C HMBC Spectrum of 2-Bromophenol (CDCl<sup>3</sup> solvent, 298K, <sup>1</sup>H 400 MHz, <sup>13</sup>C 100 MHz)

In substituted aromatic systems where there is a carbon bound to the aromatic ring, the protons *ortho* to a substituent will show correlations to a carbon directly bonded to the aromatic ring. Conversely protons on a benzylic carbon will correlate to the ring carbon to which the substituent is attached and also to the carbons ortho to where the substituent is attached. This is an excellent method for identifying the positions where alkyl-, acyl-, vinyl- or alkynyl- substituents (or other substituents which have a carbon directly bound to the aromatic ring) are attached to an aromatic ring.

In the HMBC spectra of para-disubstituted benzenes and in monosubstituted benzenes, or in 1,3,5- or 1,3,4,5- tetrasubstituted benzenes where there is a mirror plane of symmetry through the aromatic ring, it is usual to see what appear to be 1-bond correlations for some of the aromatic carbons. These occur for those carbons which are symmetry related, i.e. for those carbons which have a chemically identical carbon across the mirror plane of the molecule and the correlations arise from the  ${}^3J_{\rm H-C}$  interaction of a proton with the carbon that is meta to it.

It is also common to observe apparent one-bond C-H correlations in the HMBC spectra of the methyl groups in t-butyl groups, isopropyl groups or in compounds with gem-dimethyl groups. Again while the correlation appears to be a one-bond correlation, the HMBC correlation arises from the  ${}^3J_{\rm H-C}$  interaction of the protons of one of the methyl groups with the chemically equivalent carbon that is three bonds away.



## 8

## MISCELLANEOUS TOPICS

#### 8.1 SOLVENTS FOR NMR SPECTROSCOPY

NMR spectra are almost invariably obtained in solution. The solvents of choice:

- a. should have adequate dissolving power.
- b. should not associate strongly with solute molecules as this is likely to produce appreciable effects on chemical shifts. This requirement must sometimes be sacrificed to achieve adequate solubility.
- c. should be essentially free of interfering signals. Thus for <sup>1</sup>H NMR, the best solvents are proton-free.
- d. should preferably contain deuterium, <sup>2</sup>H. Deuterium is an isotope of hydrogen which is relatively easy to obtain and incorporate into common solvents in place of hydrogen with insignificant changes to the properties of the solvent. Almost all NMR instruments use the deuterium resonance of the solvent as a convenient "locking" signal to stabilise the magnetic field of the NMR magnet and to provide a strong signal to tune and optimise the homogeneity of the NMR magnet to produce a high-quality spectrum.

The most commonly used organic solvent is **deuterochloroform**,  $CDCl_3$ , which is an excellent solvent and is only weakly associated with most organic substrates.  $CDCl_3$  contains no protons and has a deuterium atom. For ionic compounds or hydrophilic compounds, the most common solvent is deuterated water,  $D_2O$ .

Almost all deuterated solvents are not 100% deuterated and they typically contain a small residual protonated impurity. With the sensitivity of modern NMR instruments, the signal from residual protons in the deuterated solvent is usually visible in the <sup>1</sup>H NMR spectrum. <u>Table 8.1</u> provides the chemical shifts of the residual signals from solvents which are commonly used in NMR spectroscopy.

For many spectra, the signal from residual protons or the  $^{13}$ C signal from the solvent can be used as a reference signal (instead of adding TMS) since the chemical shifts of most common solvents are known accurately. In CDCl<sub>3</sub>, the residual CHCl<sub>3</sub> has a shift of 7.27 ppm in the  $^{1}$ H NMR spectrum and the  $^{13}$ C shift of CDCl<sub>3</sub> is 77.0 ppm. Solvents that are miscible with water (and are difficult to "dry" completely), e.g. CD<sub>3</sub>(CO)CD<sub>3</sub>, CD<sub>3</sub>(SO)CD<sub>3</sub> and D<sub>2</sub>O, also commonly contain a small amount of residual water. The residual water typically appears as a broad resonance in the region 2.5–5 ppm in the  $^{1}$ H NMR spectrum.

Table 8.1 1H and 13C Chemical Shifts for Common NMR solvents\*

Solvent	Formula	Residual <sup>1</sup> H signal(s) <sup>*</sup> (mul tiplicity)	<sup>13</sup> C signal(s) (multiplicity)
acetone-d <sub>6</sub>	CD <sub>3</sub> (C=O)CD <sub>3</sub>	2.05 (5)	29.9 (7); 206.7 (1)
acetonitrile-d <sub>3</sub>	CD <sub>3</sub> C≡N	1.94 (5)	1.4 (7); 118.7 (1)
benzene-d <sub>6</sub>	C <sub>6</sub> D <sub>6</sub>	7.16 (1)	128.4 (3)
chloroform-d <sub>1</sub>	CDCI <sub>3</sub>	7.27 (1)	77.0 (3)
cyclohexane-d <sub>12</sub>	C <sub>6</sub> D <sub>12</sub>	1.38 (3)	26.4 (5)
deuterium oxide-d <sub>2</sub>	D <sub>2</sub> O	4.81 (1)	_
dichloromethane-d <sub>2</sub>	CD <sub>2</sub> Cl <sub>2</sub>	5.32 (3)	53.8 (5)
dimethylsulfoxide-d <sub>6</sub>	CD <sub>3</sub> (S=O)CD <sub>3</sub>	2.50 (5)	39.5 (7)
1,4-dioxane-d <sub>6</sub>	C <sub>4</sub> D <sub>8</sub> O <sub>2</sub>	3.53 (3)	66.7 (5)
methanol-d <sub>4</sub>	CD <sub>3</sub> OD	4.87 (1); 3.31 (5)	49.2 (7)
pyridine-d <sub>5</sub>	C <sub>6</sub> D <sub>5</sub> N	8.74 (1); 7.58 (1); 7.22 (1)	150.4 (3); 135.9 (3); 123.9 (3)
toluene-d <sub>8</sub>	C <sub>6</sub> D <sub>5</sub> CD <sub>3</sub>	7.09 (1); 7.00 (1); 6.98 (1); 2.09 (5)	137.9 (1); 129.4 (3); 128.3 (3); 125.5 (3); 20.4 (7)

ppm from TMS

#### 8.2 SOLVENT-INDUCED SHIFTS

Generally solvents chosen for NMR spectroscopy do not associate with the solute. However, solvents which are capable of both association and inducing differential chemical shifts in the solute are sometimes deliberately used to remove accidental chemical equivalence. The most useful solvents for the purpose of inducing solvent-shifts are aromatic solvents, in particular hexadeuterobenzene ( $C_6D_6$ ), and the effect is called aromatic solvent-induced shift (ASIS). The numerical values of ASIS are usually of the order of 0.1–0.5 ppm and they vary with the molecule studied depending mainly on the geometry of the solvent/solute complexation.

## 8.3 DYNAMIC NMR SPECTROSCOPY – THE NMR TIME-SCALE

Two magnetic nuclei situated in different molecular environments must give rise to separate signals in the NMR spectrum, say  $\Delta v$  Hz apart (Figure 8.1a). However, if some process interchanges the environments of the two nuclei at a rate (k) much faster than  $\Delta v$  times per second, the two nuclei will be observed as a single signal at an averaged frequency (Figures 8.1d and 8.1e). When the rates (k) of the exchange process are comparable to  $\Delta v$ , exchange broadened spectra (e.g. Figures 8.1b, 8.1c and 8.1d) are observed. From the exchange broadened spectra, the rate constants for the exchange process (and hence the activation parameters  $\Delta G^{\neq}$ ,  $\Delta H^{\neq}$ ,  $\Delta S^{\neq}$ ) can be derived. At the point where signals coalesce (Figure 8.1c) from being two separate signals to a single averaged signal, the rate constant for the exchange can be approximated as  $k = \pi.\Delta v / \sqrt{2}$ .

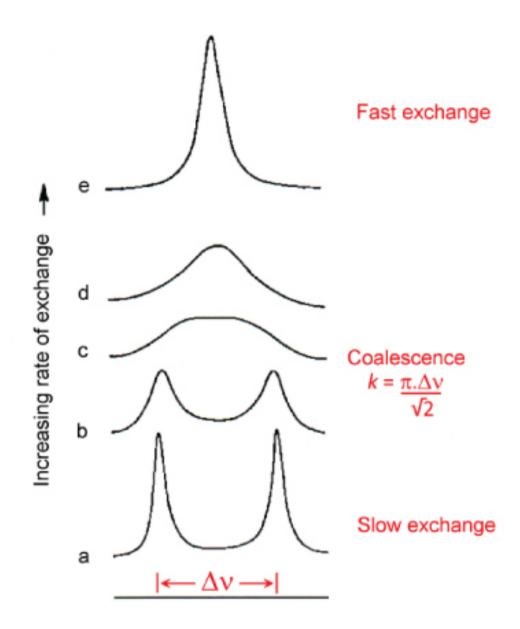


Figure 8.1 Schematic NMR Spectra of Two Exchanging Nuclei

In practice, a compound where an exchange process operates can give rise to a series of spectra of the type shown in Figure 8.1, if the NMR spectra are recorded at different temperatures. Changing the sample temperature alters the rate constant for the exchange (increasing the temperature increases the rate of an exchange process) and the spectra will have a different appearance depending on whether the rate constant, k (expressed in sec<sup>-1</sup>) is large or small compared to the chemical shift differences between exchanging nuclei ( $\Delta v$  expressed in Hz).

Molecules where there are exchange processes taking place may also give rise to different NMR spectra in different NMR spectrometers because  $\Delta v$  depends on the strength of the magnetic field. An NMR spectrum which shows evidence of exchange broadening will tend to give a slow exchange spectrum if the spectrum is rerun in a spectrometer with a higher magnetic field.

The averaging effects of exchange apply to any dynamic process that takes place in a molecule (or between molecules). However, many processes occur at rates that are too fast or too slow to give rise to visible broadening of NMR spectra. The **NMR time-scale** happens to coincide with the rates of a number of common chemical processes that give rise to variation of the appearance of NMR spectra with temperature.

#### 8.3.1 CONFORMATIONAL EXCHANGE PROCESSES

Conformational processes can give rise to exchange broadening in NMR spectra when a molecule exchanges between two or more conformations. Fortunately most conformational processes are so fast on the NMR timescale that normally only averaged spectra are observed. In particular, in molecules which are not unusually sterically bulky, the rotation about C-C single bonds is normally fast on the NMR time-scale so, for example, the three hydrogen atoms of a methyl group appear as a singlet as a result of averaging of the various rotational conformers.

In molecules where there are very bulky groups, steric hindrance can slow the rotation about single bonds and give rise to broadening in NMR spectra. In molecules containing rings, the exchange between various ring conformations (e.g. chair-boat-chair) can exchange nuclei.

$$H_1$$
 $H_2$ 
 $K$ 
 $H_2$ 
 $H_2$ 

For example, cyclohexane gives a single averaged resonance in the <sup>1</sup>H NMR at room temperature (fast exchange), but separate signals are seen for the axial and equatorial hydrogens when spectra are acquired at very low temperature (slow exchange).

#### 8.3.2 INTERMOLECULAR EXCHANGE OF LABILE PROTONS

Functional groups such as -OH, -COOH,  $-NH_2$  and -SH have labile protons which exchange with each other in solution. Depending on the rate of exchange, the -OH protons of a mixture of two different alcohols may give rise to either an averaged signal (fast exchange) or to distinct separate signals (slow exchange). The rate of exchange depends on many factors including temperature, the polarity of the solvent, the concentrations of the solutes and the presence of acids or bases which can catalyse the exchange process.

R—OH + R'—OH' 
$$\stackrel{k}{=}$$
 R—OH' + R'—OH

#### 8.3.3 ROTATION ABOUT PARTIAL DOUBLE BONDS

Exchange broadening is frequently observed in amides as a result of restricted rotation about the N-C bond of the amide group.

The restricted rotation about amide bonds often occurs at a rate that gives rise to observable broadening in NMR spectra. At higher temperatures amide protons may appear as a single averaged resonance (fast exchange) and at lower temperatures the amide protons may resolve to separate distinct resonances (slow exchange).

The restricted rotation in amide bonds results from the partial double bond character of the C-N bond as a result of delocalisation of the lone pair of electrons on N.

#### 8.4 THE EFFECT OF CHIRALITY

In an achiral solvent, enantiomers will give identical NMR spectra. However in a chiral solvent or in the presence of a chiral additive, enantiomers will have different spectra and this is frequently used to establish the

enantiomeric purity of compounds. The resonances of one enantiomer can be integrated against the resonances of the other to quantify the enantiomeric purity of a compound.

In molecules that contain a stereogenic centre, the NMR spectra can sometimes be more complex than would otherwise be expected. Groups such as  $-CH_2$ - groups (or any  $-CX_2$ - group such as  $-C(Me)_2$ - or  $-CR_2$ -) require particular attention in molecules that contain a stereogenic centre. The carbon atom of a  $-CX_2$ - group is termed a **prochiral carbon** if there is a stereogenic centre (a chiral centre) elsewhere in the molecule. A prochiral carbon atom is a carbon in a molecule that would be chiral if one of its substituents was replaced by a different substituent. From an NMR perspective, the important fact is that the presence of a stereogenic centre makes the substituents on a prochiral carbon atom **chemically non-equivalent**. So whereas the protons of a  $-CH_2$ - group in an acyclic aliphatic compound would normally be expected to be equivalent and resonate at the same frequency in the  $^1$ H NMR spectrum, if there is a stereogenic centre in the molecule, each of the protons of the  $-CH_2$ - group will appear at different chemical shifts. Also, since they are non-equivalent, the coupling between the protons will be visible as a splitting, typically with a large coupling of about 15 Hz.

The effect of chirality is particularly important in the spectra of natural products including amino acids, proteins or peptides. Many molecules derived from natural sources contain a stereogenic centre and they are typically obtained as a single pure enantiomer. In these molecules, the resonances for all of the methylene groups (*i.e.*  $-CH_2$ – groups) in the molecule will be complicated by the fact that the two protons of the methylene groups will be non-equivalent and there will be splittings due to the coupling between the methylene protons. Figure 8.2 shows the aliphatic protons in the  $^1$ H NMR spectrum of the amino acid cysteine (HSCH $_2$ CHNH $_3$ +COO $^-$ ). Cysteine has a stereogenic centre and the signals of the methylene group appear as separate signals at 3.18 and 2.92 ppm. Each of the methylene protons is split into a doublet of doublets due to coupling firstly to the other methylene proton and secondly to the proton on the  $\alpha$ -carbon (H $\alpha$ ).

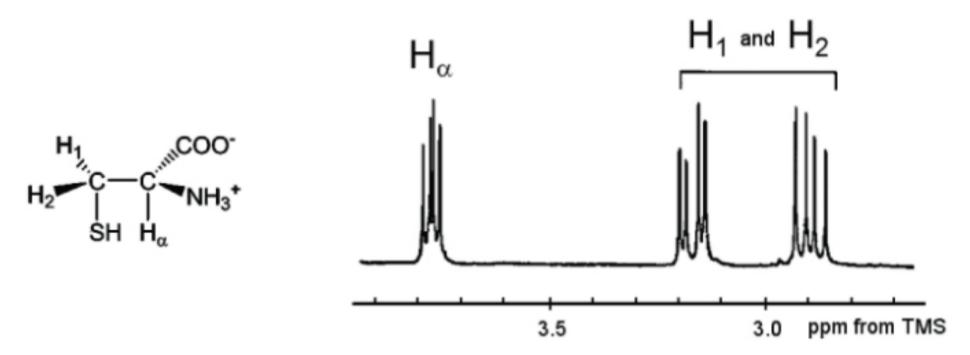


Figure 8.2 <sup>1</sup>H NMR Spectrum of the Aliphatic Region of Cysteine

## 8.5 THE NMR SPECTRA OF "OTHER NUCLEI"

<sup>1</sup>H and <sup>13</sup>C NMR spectroscopy accounts for the overwhelming proportion of all NMR observations. However, there are many other isotopes which are NMR observable and they include the common isotopes <sup>19</sup>F, <sup>31</sup>P and <sup>2</sup>H. The NMR spectroscopy of these "other nuclei" has had surprisingly little impact on the solution of structural problems in organic chemistry and will not be discussed here. It is however important to be alert for the presence of other magnetic nuclei in the molecule, because they often cause additional multiplicity in <sup>1</sup>H and <sup>13</sup>C NMR spectra due to spin–spin coupling.

# DETERMINING THE STRUCTURE OF ORGANIC COMPOUNDS FROM SPECTRA

The main purpose of this book is to present a collection of suitable problems to teach and train researchers in the most important methods of spectroscopy.

The problems are generally relatively straight forward and are arranged roughly in order of increasing complexity. No solutions to the problems are given. It is important to assign NMR spectra as completely as possible and to rationalise all numbered peaks in the mass spectrum as well as accounting for all of the significant features in the UV and IR spectra.

## Collection of Spectra

The spectra presented in the problems were obtained under conditions stated on the individual problem sheets. Mass spectra were obtained on an AEI MS-9 spectrometer, a Hewlett Packard MS-Engine mass spectrometer or a Thermo Scientific DSQII quadrupole mass spectrometer.  $100 \, \text{MHz}^{\,1}\text{H} \, \text{NMR}$  spectra were obtained on a Varian XL-100 spectrometer,  $200 \, \text{MHz}^{\,1}\text{H} \, \text{NMR}$  spectra and  $50 \, \text{MHz}^{\,13}\text{C} \, \text{NMR}$  spectra were obtained on a Bruker AC-200 spectrometer,  $400 \, \text{MHz}^{\,1}\text{H} \, \text{NMR}$  spectra and  $100 \, \text{MHz}^{\,13}\text{C} \, \text{NMR}$  spectra were obtained on Bruker AMX-400 or DRX-400 spectrometers, and  $500 \, \text{and} \, 600 \, \text{MHz}^{\,1}\text{H} \, \text{NMR}$  spectra were obtained on a Bruker DRX-500 or AMX-600 or DRX-600 spectrometers.

Ultraviolet spectra were recorded on a Perkin-Elmer 402 UV spectrophotometer or Hitachi 150-20 UV spectrophotometer and infrared spectra on Perkin-Elmer 710B, Perkin-Elmer 1600 series, Nicolet Avatar 360 or Nicolet iS50 FTIR spectrometers.

The following collections are useful sources of spectroscopic data on organic compounds and some of the data for literature compounds have been derived from these collections:

- a. <a href="http://riodb01.ibase.aist.go.jp/sdbs/cgi-bin/cre\_index.cgi?lang=eng">http://riodb01.ibase.aist.go.jp/sdbs/cgi-bin/cre\_index.cgi?lang=eng</a> website maintained by the National Institute of Advanced Industrial Science and Technology, Tsukuba, Ibaraki, Japan.
- b. <a href="http://webbook.nist.gov/chemistry/">http://webbook.nist.gov/chemistry/</a> website, which is the NIST Chemistry WebBook, NIST Standard Reference Database Number 69, June 2005, Eds. PJ Linstrom and WG Mallard.
- c. E Pretch, P Bühlmann and C Affolter, "Structure Determination of Organic Compounds, Tables of Spectral Data", 3<sup>rd</sup> edition, Springer, Berlin 2000.

## 9.1 SOLVING PROBLEMS

The only way to acquire expertise in obtaining "organic structures from spectra" is to practice, and some students have found the following **general approach to solving structural problems by a combination of spectroscopic methods** helpful:

- Perform all routine operations:
  - a. Determine the molecular weight from the Mass Spectrum.
  - b. Determine relative numbers of protons in different environments from the <sup>1</sup>H NMR spectrum.
  - c. Determine the number of carbons in different environments and the number of quaternary carbons, methine carbons, methylene carbons and methyl carbons from the <sup>13</sup>C NMR spectrum.

- d. Examine the problem for any additional data concerning composition and determine the molecular formula if possible. From the molecular formula, determine the degree of unsaturation.
- e. Determine the molar absorbance in the UV spectrum, if applicable.
- Examine each spectrum (IR, mass spectrum, UV, <sup>13</sup>C NMR, <sup>1</sup>H NMR) in turn for obvious structural elements:
  - a. Examine the IR spectrum for the presence or absence of groups with diagnostic absorption bands e.g. carbonyl groups, hydroxyl groups, NH groups, C≡C or C≡N, etc.
  - b. Examine the mass spectrum for typical fragments e.g. PhCH<sub>2</sub>-, CH<sub>3</sub>CO-, CH<sub>3</sub>- etc.
  - c. Examine the UV spectrum for evidence of conjugation, aromatic rings etc.
  - d. Examine the  $^{1}$ H NMR spectrum for CH $_{3}$  groups, CH $_{3}$ CH $_{2}$  groups, aromatic protons, -CH $_{n}$ X, exchangeable protons *etc*.
- Write down all structural elements you have determined. Note that some are monofunctional (i.e. must be end-groups, such as -CH<sub>3</sub>, -C≡N, -NO<sub>2</sub>) whereas some structural elements are bifunctional (e.g. -CO-, -CH<sub>2</sub>-, -COO-), or trifunctional (e.g. CH, N).
  - Add up the atoms of each structural element and compare the total with the molecular formula of the unknown. The difference (if any) may give a clue to the nature of the undetermined structural elements (e.g. an ether oxygen). At this stage, elements of symmetry may become apparent.
- 4. Try to assemble the structural elements. Note that there may be more than one way of fitting them together. Spin-spin coupling data or information about conjugation may enable you to make a definite choice between possibilities.
- Return to each spectrum (IR, UV, mass spectrum, <sup>13</sup>C NMR, <sup>1</sup>H NMR) in turn and rationalise all major features (especially all major fragments in the mass spectrum and all features of the NMR spectra) in terms of your proposed structure. Ensure that no spectral features are inconsistent with your proposed structure.

**Note on the use of data tables.** Tabulated data typically give characteristic absorptions or chemical shifts for representative compounds and these may not correlate *exactly* with those from an unknown compound. The data contained in data tables should always be used indicatively (not mechanically).

#### 9.2 WORKED EXAMPLES

This section works through three problems from the text to indicate a reasonable process for obtaining the structure of the unknown compound from the spectra provided. It should be emphasised that the logic used here is by no means the only way to arrive at the correct solution but it does provide a systematic approach to obtaining structures by assembling structural fragments identified by each type of spectroscopy.

#### PROBLEM 117

#### (1) Perform all Routine Operations

- a. From the molecular ion, the molecular weight is 198/200. The molecular ion has two peaks of equal intensity separated by two mass units. This is the characteristic pattern for a compound containing one bromine atom.
- b. The molecular formula is  $C_9H_{11}Br$  so one can determine the degree of unsaturation (see Section 1.3). Replace the Br by H to give an effective molecular formula of  $C_9H_{12}$  ( $C_nH_m$ ) which gives the degree of unsaturation as (n - m/2 + 1) = 9 - 6 + 1 = 4. The compound must contain the equivalent or  $4\pi$  bonds and/or

rings. This degree of unsaturation would be consistent with one aromatic ring (with no other elements of unsaturation).

c. The total integral <u>across all peaks</u> in the <sup>1</sup>H spectrum is 55 mm. From the molecular formula, there are 11 protons in the structure so this corresponds to 5 mm per proton. The relative numbers of protons in different environments:

δ <sup>1</sup> H (ppm)	Integral (mm)	Relative No. of hydrogens (rounde d)
~ 7.25	10	2 (2H)
~ 7.15	15	3 (3H)
~ 3.3	10	2 (2H)
~ 2.7	10	2 (2H)
~ 2.1	10	2 (2H)

Note that this analysis gives a total of 2+3+2+2+2=11 protons which is consistent with the molecular formula provided.

- d. From the  $^{13}$ C spectrum there are 7 carbon environments: 4 carbons are in the typical aromatic/olefinic chemical shift range and 3 carbons in the aliphatic chemical shift range. The molecular formula is  $C_9H_{11}Br$  so there must be an element (or elements) of symmetry to account for the 2 carbons not apparent in the  $^{13}$ C spectrum.
- e. From the  $^{13}$ C DEPT spectrum there are 3 CH resonances in the aromatic/olefinic chemical shift range and 3 CH $_2$  carbons in the aliphatic chemical shift range.
- f. The same information in (d) and (e) is obtained from the  $^{1}\text{H}-^{13}\text{C}$  me-HSQC spectrum where the 5-proton CH  $^{1}\text{H}$  resonances at  $\delta$  7.25 and 7.15 correlate to the 3  $^{13}\text{C}$  resonances at  $\delta$  126–128.5 and the 3 CH $_{2}$   $^{1}\text{H}$  resonances at  $\delta$  3.3, 2.7 and 2.1 correlate to the  $^{13}\text{C}$  resonances at  $\delta$  33–34. There is no correlation to the  $^{13}\text{C}$  signal at  $\delta$  140 indicating it is due to a quaternary carbon.
- g. Calculate the extinction coefficient from the UV spectrum:

$$\varepsilon_{255} = \frac{199 \times 0.95}{0.53 \times 1.0} = 357$$

## (2) Identify any Structural Elements

- a. There is no useful additional information from the infrared spectrum.
- b. In the mass spectrum there is a strong fragment at m/e = 91 and this indicates a possible Ph-CH<sub>2</sub>- group.
- c. The ultraviolet spectrum shows a typical benzenoid absorption without further conjugation or auxochromes. This would also be consistent with the Ph-CH<sub>2</sub>- group.
- d. From the  $^{13}$ C NMR spectrum, there is one resonance in the  $^{13}$ C( $^{1}$ H) spectrum which does not appear in the  $^{13}$ C DEPT spectrum. This indicates one quaternary (non-protonated) carbon. There are 4  $^{13}$ C resonances in the aromatic region, 3 CH and 1 quaternary carbon, which is typical of a monosubstituted benzene ring.
- e. From the  $^{1}$ H NMR spectrum, there are 5 protons near  $\delta \sim 7.2$  which strongly suggests a monosubstituted benzene ring, consistent with (b), (c) and (d). The Ph-CH<sub>2</sub>- group is confirmed.

The triplet at approximately  $\delta$  3.3 of intensity 2H suggests a -CH<sub>2</sub>- group. The downfield chemical shift suggests a -CH<sub>2</sub>-X group with X being an electron withdrawing group (probably bromine). The triplet splitting indicates that there must be another -CH<sub>2</sub>- as a neighbouring group. In the expanded proton spectrum 0.1 ppm = 6 mm and since this is a 400 MHz NMR spectrum, therefore 40 Hz = 6 mm. The triplet spacing is measured to be 1 mm *i.e.* 7 Hz and this is typical of vicinal coupling ( $^3J_{HH}$ ).

The triplet at approximately  $\delta$  2.8 of intensity 2H in the  $^{1}$ H NMR spectrum suggests a  $^{-}$ CH $_{2}^{-}$  with one  $^{-}$ CH $_{2}^{-}$  as a neighbour. The spacing of this triplet is almost identical with that observed for the triplet near  $\delta$  3.3.

The quintet at approximately  $\delta$  2.2 of intensity 2H has the same spacings as observed in the triplets near  $\delta$  2.8 and  $\delta$  3.3. This signal is consistent with a -CH<sub>2</sub>- group coupled to <u>two</u> flanking -CH<sub>2</sub>- groups. A sequence -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>- emerges in agreement with the <sup>13</sup>C data.

Thus the structural elements are:

- 1. Ph-CH2-
- 2. -CH2-CH2-CH2-
- 3. -Br

#### (3) Assemble the Structural Elements

Clearly there must be some common segments in these structural elements since the total number of C and H atoms adds to more than is indicated in the molecular formula. One of the  $CH_2$  groups in structural element (2) must be the benzylic  $CH_2$  group of structural element (1).

The structural elements can be assembled in only one way and this identifies the compound as 1-bromo-3phenylpropane.

## (4) Check that the answer is consistent with all spectra.

There are no additional strong fragments in the mass spectrum.

In the infrared spectrum there are two strong absorptions between 600 and  $800 \, \text{cm}^{-1}$  which are consistent with the C-Br stretch of alkyl bromide.

#### PROBLEM 146

## (1) Perform all Routine Operations

- a. The molecular formula is given as  $C_9H_{11}NO_2$ . The molecular ion in the mass spectrum gives the molecular weight as 165.
- b. From the molecular formula,  $C_9H_{11}NO_2$ , determine the degree of unsaturation (see <u>Section 1.3</u>). Ignore the O atoms and ignore the N and remove one H to give an effective molecular formula of  $C_9H_{10}$  ( $C_nH_m$ ) which gives the degree of unsaturation as (n m/2 + 1) = 9 5 + 1 = 5. The compound must contain the equivalent

or  $5 \pi$  bonds and/or rings. This degree of unsaturation would be consistent with one aromatic ring with one other ring or double bond.

c. The total integral across all peaks in the <sup>1</sup>H spectrum is 97 mm. From the molecular formula, there are 11 protons in the structure so this corresponds to 8.8 mm per proton.

The relative numbers of protons in different environments:

δ <sup>1</sup> H (ppm)	Integral (mm)	Relative No. of hydrogens (rounde d)
~ 7.9	17.5	2 (2H)
~ 6.6	17.5	2 (2H)
~ 4.3	18	2 (2H)
~ 4.0	17.5	2 (2H)
~ 1.4	26.5	3 (3H)

Note that this analysis gives a total of 2+2+2+2+3 = 11 protons which is consistent with the molecular formula provided.

- d. From the  $^{13}$ C spectrum there are 7 carbon environments: 4 carbons are in the typical aromatic/olefinic chemical shift range, 2 carbons in the aliphatic chemical shift range and 1 carbon at low field (167 ppm) characteristic of a carbonyl carbon. The molecular formula is given as  $C_9H_{11}NO_2$  so there must be an element (or elements) of symmetry to account for the 2 carbons not apparent in the  $^{13}$ C spectrum.
- e. From the  $^{13}$ C DEPT spectrum there are 2 × CH resonances in the aromatic/olefinic chemical shift range, 1 × -CH<sub>2</sub>- and 1 × -CH<sub>3</sub> carbon in the aliphatic chemical shift range.
- f. The same information in (d) and (e) is obtained from the  $^1\text{H}-^{13}\text{C}$  me-HSQC spectrum where the 2 CH  $^1\text{H}$  resonances at  $\delta$  7.9 and 6.6 correlate to  $^{13}\text{C}$  resonances at  $\delta$  131 and 113 respectively, the CH $_2$   $^1\text{H}$  resonance at  $\delta$  4.3 correlates to the  $^{13}\text{C}$  resonance at  $\delta$  60 and the CH $_3$   $^1\text{H}$  resonance at  $\delta$  1.4 correlates to the  $^{13}\text{C}$  resonance at  $\delta$  14. There are no correlations to the  $^{13}\text{C}$  signals at  $\delta$  120, 152 and 167 indicating these are quaternary carbons. Note that the broad  $^1\text{H}$  signal at  $\delta$  4.0 does not correlate to any  $^{13}\text{C}$  signal.
- g. Calculate the extinction coefficient from the UV spectrum:

$$\varepsilon_{292} = \frac{165 \times 0.90}{0.0172 \times 0.5} = 17,267$$

## (2) Identify the Structural Elements

- a. From the infrared spectrum, there is a strong absorption at 1680 cm<sup>-1</sup> and this is probably a C=O stretch at an unusually low frequency (such as an amide or strongly conjugated ketone).
- b. In the mass spectrum there are no obvious fragment peaks, but the difference between 165 (M) and 137 = 28 suggests loss of ethylene (CH<sub>2</sub>=CH<sub>2</sub>) or CO.
- c. In the UV spectrum, the presence of extensive conjugation is apparent from the large extinction coefficient (ε ≈ 17,000).
- d. In the <sup>1</sup>H NMR spectrum:

The appearance of a 4 proton symmetrical pattern in the aromatic region near  $\delta$  7.9 and 6.6 is <u>strongly</u> indicative of a para disubstituted benzene ring. This is confirmed by the presence of two quaternary <sup>13</sup>C resonances at  $\delta$  152 and 120 in the <sup>13</sup>C spectrum and two CH <sup>13</sup>C resonances at  $\delta$  131 and 113.

Note that the presence of a para disubstituted benzene ring also accounts for the element of symmetry identified above. The triplet of 3H intensity at approximately  $\delta \sim 1.4$  and the quartet of 2H intensity at approximately  $\delta \sim 4.3$  have the same spacings. On this 400 MHz NMR spectrum, 400 Hz (1 ppm) corresponds to 90 mm so the measured splitting of 1.5 mm corresponds to a coupling of about 6.7 Hz that is typical of a vicinal coupling constant. The triplet and quartet clearly correspond to an ethyl group and the downfield shift of the CH<sub>2</sub> resonance ( $\delta \sim 4.3$ ) indicates that it must be attached to a heteroatom so this is possibly an  $-O-CH_2-CH_3$  group.

## e. In the <sup>13</sup>C NMR spectrum:

The signals at  $\delta$  14 (-CH<sub>3</sub>) and  $\delta$  60 (-CH<sub>2</sub>-) in the <sup>13</sup>C NMR spectrum confirm the presence of the ethoxy group and the 4 resonances in the aromatic region (2 × CH and 2 × quaternary carbons) confirm the presence of a p-disubstituted benzene ring.

The quaternary carbon signal at  $\delta$  167 in the <sup>13</sup>C NMR spectrum indicates an ester or an amide carbonyl group.

The following structural elements have been identified so far:

In total this accounts for  $C_9H_9O_2$  and this differs from the given molecular formula only by  $NH_2$ . The presence of an  $-NH_2$  group is confirmed by the exchangeable signal at  $\delta$  4.0 in the  $^1H$  NMR spectrum and the characteristic N-H stretching vibrations at 3200-3350 cm $^{-1}$  in the IR spectrum.

f. The presence of one aromatic ring plus the double bond in the carbonyl group is consistent with the calculated degree of unsaturation – there can be no other rings or multiple bonds in the structure.

## (3) Assemble the Structural Elements

The structural elements:

can be assembled as either:

These possibilities can be distinguished because:

- a. The **amine**  $-NH_2$  group in (A) is "exchangeable with  $D_2O$ " as stated in the data but the **amide**  $-NH_2$  group in (B) would require heating or base catalysis.
- b. From Table 5.5, the  $^{1}$ H chemical shift of the -O-CH $_{2}$  group fits better to the ester structure in (A) than the phenoxy ether structure in (B) given the models:

$$δ$$
 1.38 4.37  $δ$  1.38 3.98 
$$CH_3-CH_2-O-C-C_6H_5 \qquad CH_3-CH_2-O-C_6H_5$$

- c. The  $^{13}$ C chemical shifts of the quaternary carbons in the aromatic ring are at approximately 152 and 119 ppm. From  $\underline{\text{Table 6.8}}$ , these shifts would be consistent with a  $-\text{NH}_2$  and an ester substituent on an aromatic ring (structure A) but for an -OEt substituent (as in structure B), the ipso carbon would be expected at much lower field (between 160 and 170 ppm). The  $^{13}$ C chemical shifts are consistent with structure (A).
- d. The fragmentation pattern in the mass spectrum shown below fits (A) but not (B). The key fragments at m/e 137, 120 and 92 can be rationalised only from (A). This is decisive and ethyl 4-aminobenzoate (A) must be the correct answer.

$$\begin{bmatrix} CH_2 \\ CH_2 \\ O \\ C \end{bmatrix} \xrightarrow{+ \cdot} \begin{bmatrix} O \\ C \\ -OCH_2CH_3 \end{bmatrix} \xrightarrow{- \cdot} CC + CC$$

$$NH_2$$

$$m/z = 165$$

$$m/z = 120$$

$$m/z = 92$$

#### PROBLEM 77

## (1) Perform all Routine Operations

- a. From the molecular formula,  $C_8H_{14}O_3$ , determine the degree of unsaturation. Ignore the O atoms to give an effective molecular formula of  $C_8H_{14}$  ( $C_nH_m$ ) which gives the degree of unsaturation as (n m/2 + 1) = 8 7 + 1 = 2. The compound must contain the equivalent of 2  $\pi$  bonds and/or rings.
- b. The total integral across all peaks in the <sup>1</sup>H spectrum is 60.5 mm. From the molecular formula, there are 14 protons in the structure so this corresponds to 4.3 mm per proton.

Determine the relative numbers of protons in different environments.

δ <sup>1</sup> H (ppm)	Integral (mm)	Relative No. of hydrogens (rounde d)
~ 4.6	8.5	2 (2H)

~ 2.2	12.5	2.9 (3H)
~ 1.3	39.5	9.2 (9H)

Note that this analysis gives a total of 2+3+9 = 14 protons which is consistent with the molecular formula provided.

- c. From the <sup>13</sup>C spectrum there are 6 carbon environments: 4 carbons are in the typical aliphatic chemical shift range and 2 carbons at low field (202 and 178 ppm) characteristic for carbonyl carbons.
- d. From the  $^{13}$ C DEPT spectrum there are 2 CH $_3$  and 1 CH $_2$  carbons in the aliphatic chemical shift range.
- e. The same information in (c) and (d) is obtained from the  $^{1}\text{H}-^{13}\text{C}$  me-HSQC spectrum where the 2-proton CH $_{2}$   $^{1}\text{H}$  resonance at  $\delta$  4.6 correlates to the  $^{13}\text{C}$  resonance at  $\delta$  68, the 3-proton CH $_{3}$   $^{1}\text{H}$  resonance at  $\delta$  2.2 correlates to the  $^{13}\text{C}$  resonance at  $\delta$  26 and the 9-proton CH $_{3}$   $^{1}\text{H}$  resonance at  $\delta$  1.3 correlates to the  $^{13}\text{C}$  resonance at  $\delta$  27. There are no correlations to the  $^{13}\text{C}$  signals at  $\delta$  202, 178 and 39, indicating they are due to quaternary carbons.

## (2) Identify any Structural Elements

- a. From the infrared spectrum, there is a strong absorption at  $1726 \text{ cm}^{-1}$  for a C=O stretch.
- b. From the  $^{13}$ C NMR spectrum, the quaternary carbon resonance at  $\delta$  202 indicates a ketone group while the quaternary carbon resonance at  $\delta$  178 indicates an ester group. The presence of both ketone and ester groups account for all three O atoms in the molecular formula and the 2 degrees of unsaturation.
- c. From the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra, the remaining quaternary carbon at  $\delta$  39 and the 9-proton singlet at  $\delta$  1.3 indicate a tert-butyl group ( $-\text{C}(\text{CH}_3)_3$ ). This can also be inferred from the  $^1\text{H}-^{13}\text{C}$  HMBC spectrum where the 9-proton  $^1\text{H}$  signal at  $\delta$  1.3 correlates to the quaternary carbon signal at  $\delta$  39. Note that the apparent 1-bond correlation of the  $^1\text{H}$  signal at  $\delta$  1.3 to the  $^{13}\text{C}$  signal at  $\delta$  27 in the HMBC spectrum arises from the  $^3J_{\text{HC}}$  interaction of the protons of one of the methyl groups with the chemically equivalent carbon that is three bonds away.
- d. From the  $^1\text{H}$  NMR spectrum, the downfield chemical shift for the CH $_2$  protons at  $\delta$  4.6 indicates it must be attached to an O atom. Note that the  $^1\text{H}$  signals at  $\delta$  4.6 and 2.2 are singlets which indicates the -OCH $_2$  and -CH $_3$  groups are isolated from one another.

Thus the structural elements are:

- 1. -OCH2-
- 2. -CH<sub>3</sub>
- -C(CH<sub>3</sub>)<sub>3</sub>
- 4. -C(=O)-
- 5. -C(=O)O-

## (3) Assemble the Structural Elements

Clearly there must be common segments in these structural elements since the total number of O atoms adds to more than is indicated in the molecular formula. The  $-OCH_2$ - group in structural element (1) must be part of the ester group of structural element (5).

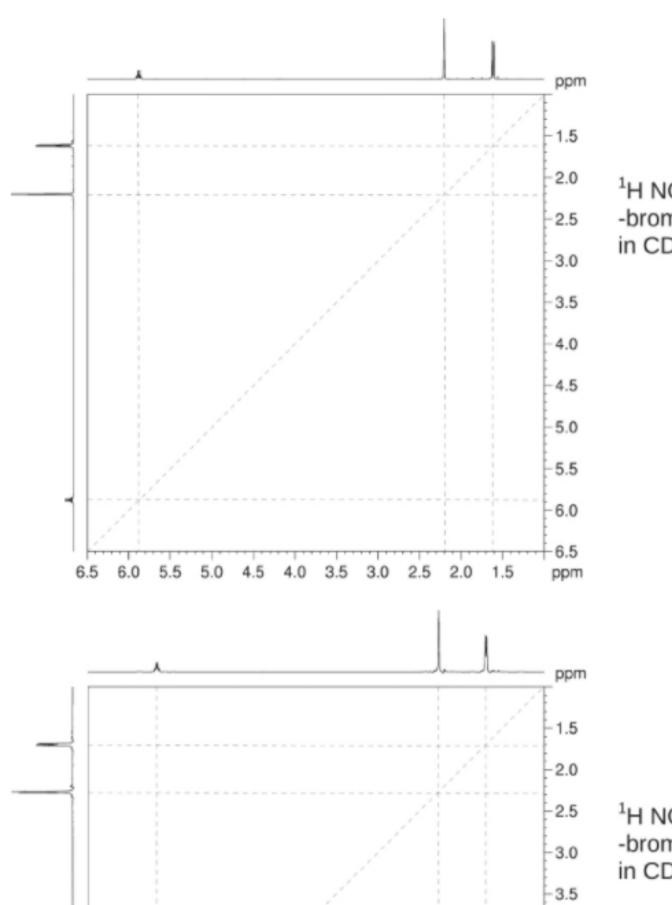
The structural elements can be assembled in three possible ways:

These possibilities can be distinguished by analysing the HMBC spectrum.

- a. The CH $_2$   $^1$ H signal at  $\delta$  4.6 has correlations to both the ester carbon signal at  $\delta$  178 and the ketone carbon signal at  $\delta$  202. There are also no correlations from the CH $_2$   $^1$ H signal to the carbon signals of the *tert*-butyl group which excludes structure  $\mathbf{C}$ . The carbonyl signals would also be expected to be lower in structure  $\mathbf{C}$  due to conjugation which is not the case here.
- b. The CH $_3$   $^1$ H signal at  $\delta$  2.2 has correlations to the CH $_2$  carbon signal at  $\delta$  68 and the ketone carbon signal at  $\delta$  202 which fits with structure B.
- c. The  $^1$ H signal of the CH $_3$  from the *tert*-butyl group at  $\delta$  1.3 has a correlation to the ester carbon signal at  $\delta$  178 which also fits with structure B.

## (4) Check that the answer is consistent with all spectra.

In the mass spectrum there is a strong fragment at m/e 57 which is consistent with both  $-C(CH_3)_3$  and  $CH_3COCH_2$ - groups.



5.5 5.0 4.5 4.0 3.5 3.0 2.5 2.0 1.5

<sup>1</sup>H NOESY spectrum of *(E)*-2 -bromo-2-butene (400 MHz in CDCl₃ solution)

<sup>1</sup>H NOESY spectrum of (Z)-2 -bromo-2-butene (400 MHz in CDCl₃ solution)

$$C = C$$
 $CH_3$ 
 $C = C$ 
 $CH_3$ 

4.0

-4.5

-5.0

-5.5

6.0

-6.5

ppm

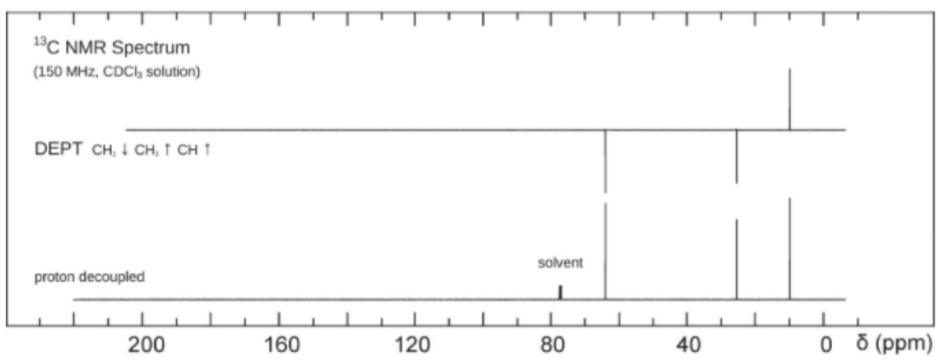
#### Problem 9

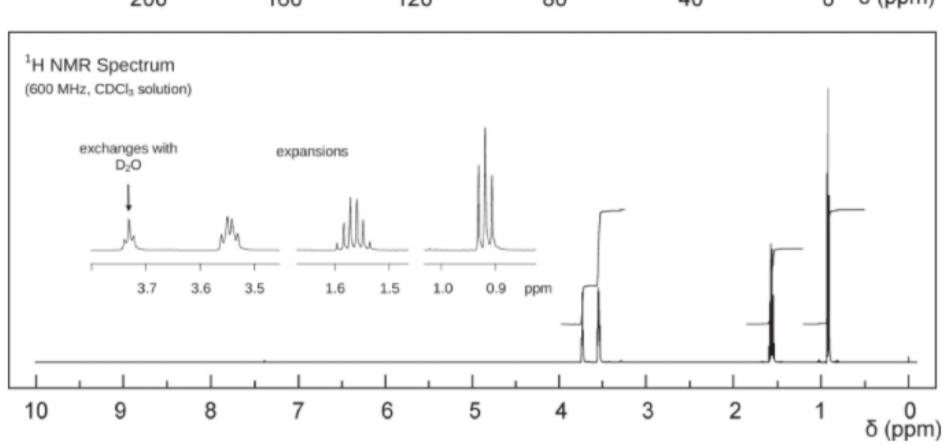
The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of 1-propanol ( $C_3H_8O$ ) recorded in CDCl $_3$  solution at 298 K and 600 MHz are given below. The  $^1$ H NMR spectrum has signals at  $\delta$  0.92, 1.56, 3.54 and 3.73 ppm. The  $^{13}$ C spectrum has signals at  $\delta$  10.0, 25.6 and 64.1 ppm.

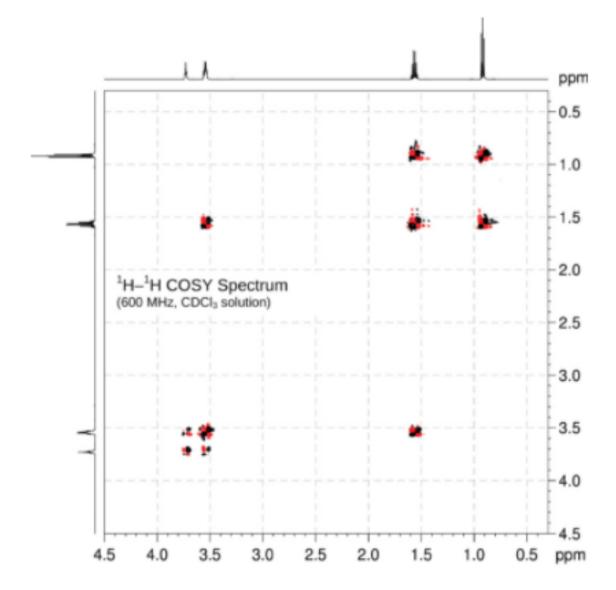
The 2-dimensional  $^{1}H^{-1}H$  COSY spectrum and the  $^{1}H^{-13}C$  me-HSQC correlation spectrum are given on the following page. From the COSY spectrum, assign the proton spectrum and then use the C-H correlation spectrum to assign the  $^{13}C$  spectrum, i.e. determine the chemical shift corresponding to each of the protons and each of the carbons in the molecule.

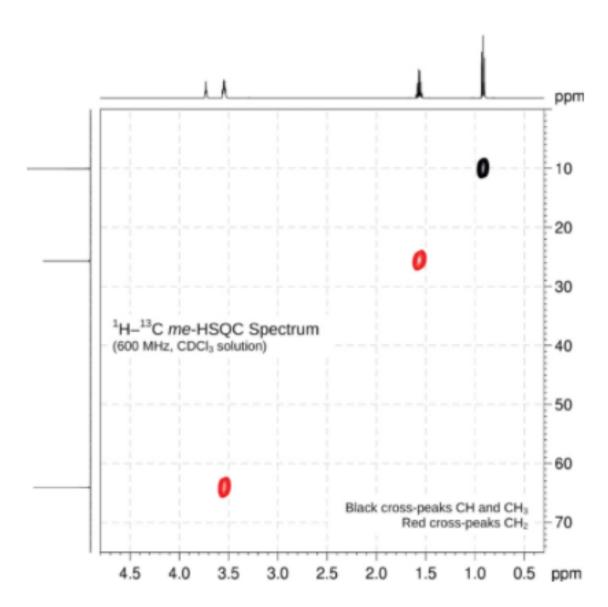
$${\overset{_{_{3}}}{\text{CH}_{3}}} - {\overset{_{_{2}}}{\text{CH}_{2}}} - {\overset{_{_{1}}}{\text{CH}_{2}}} - {\overset{_{_{4}}}{\text{OH}}}$$

Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
H1		C1	
H2		C2	
H3		C3	
H4			









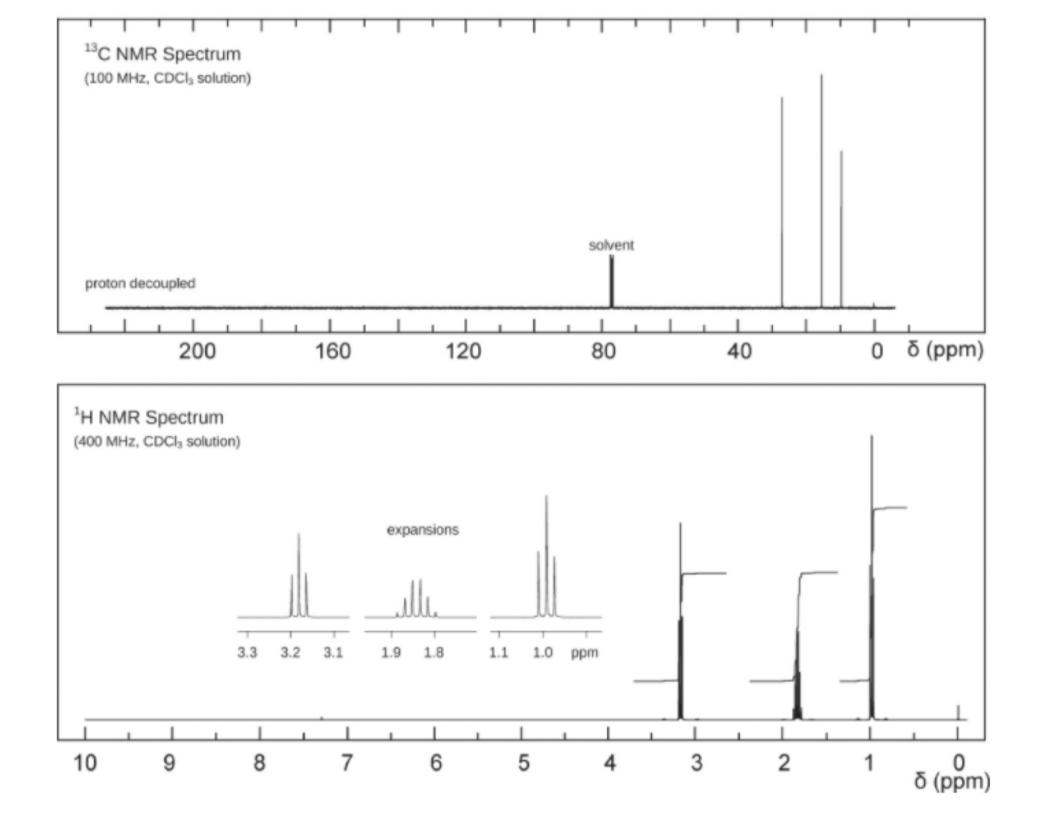
#### Problem 10

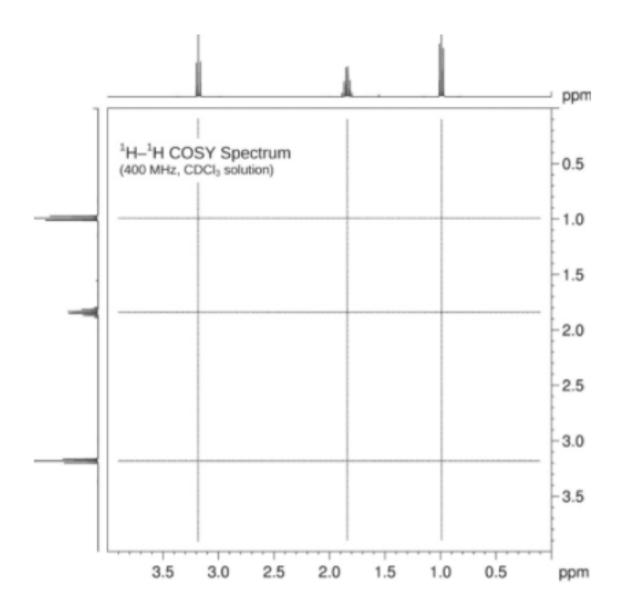
The  $^{1}$ H and proton-decoupled  $^{13}$ C NMR spectra of 1-iodopropane ( $C_{3}H_{7}I$ ) recorded in CDCl $_{3}$  solution at 298 K and 400 MHz are given below.

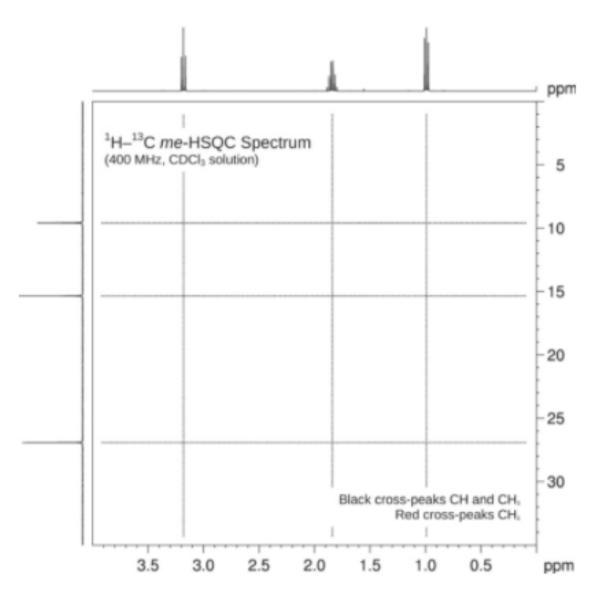
The  $^1$ H NMR spectrum has signals at  $\delta$  0.99 (H<sub>3</sub>), 1.84 (H<sub>2</sub>) and 3.18 (H<sub>1</sub>) ppm.

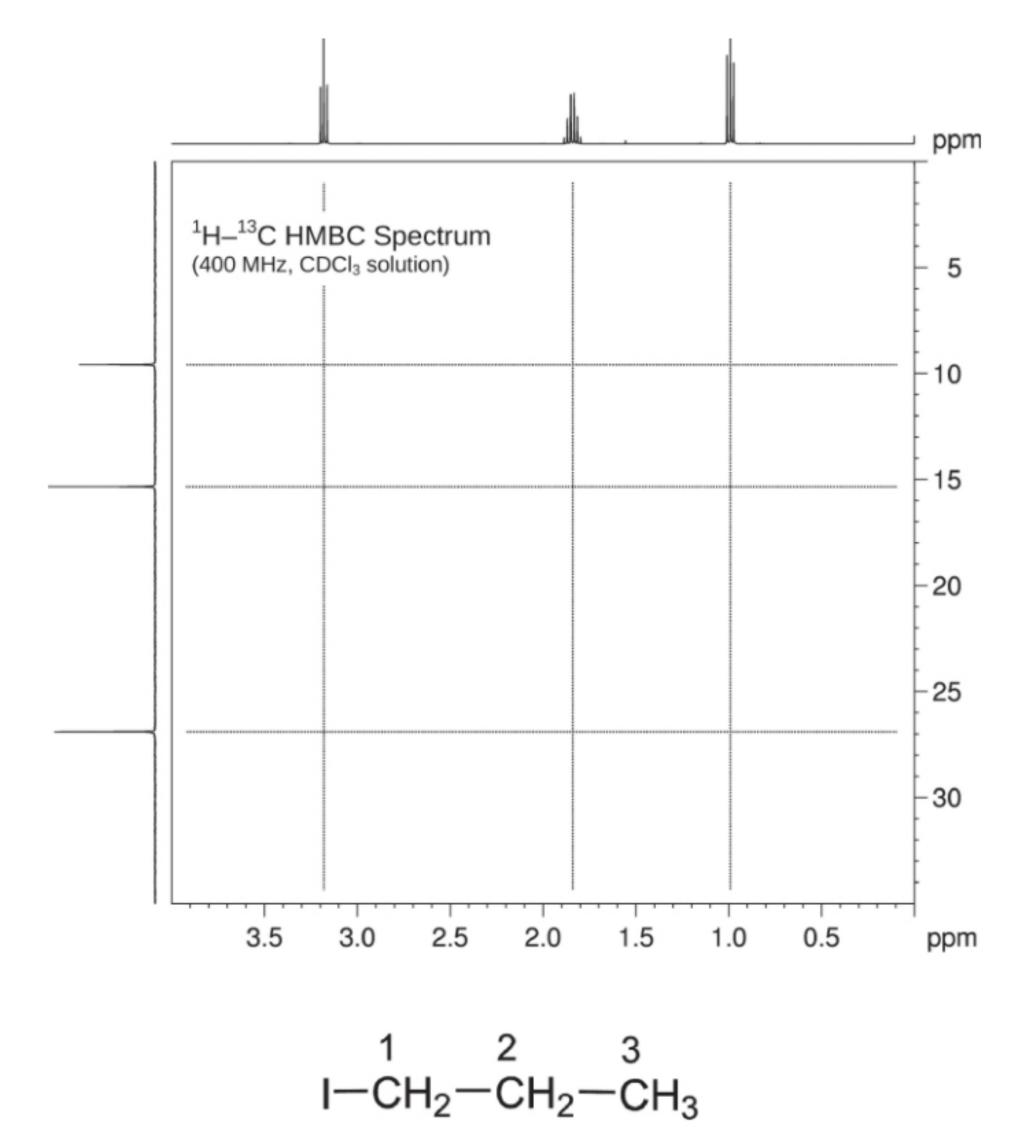
The  $^{13}\text{C NMR}$  spectrum has signals at  $\delta$  9.6 (C1), 15.3 (C3) and 26.9 (C2) ppm.

Use this information to produce schematic diagrams of the COSY, me-HSQC and HMBC spectra, showing where all of the cross-peaks and diagonal peaks would be.





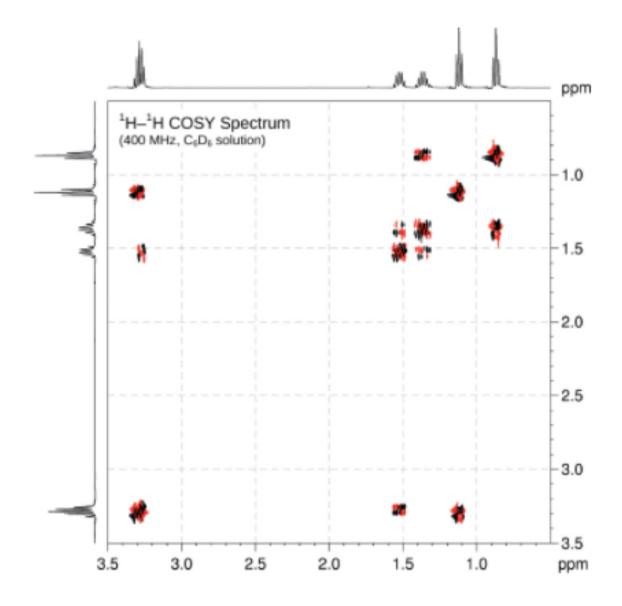


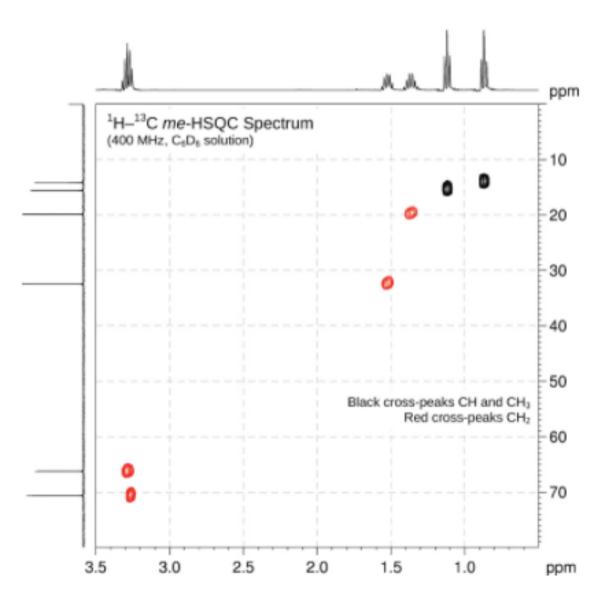


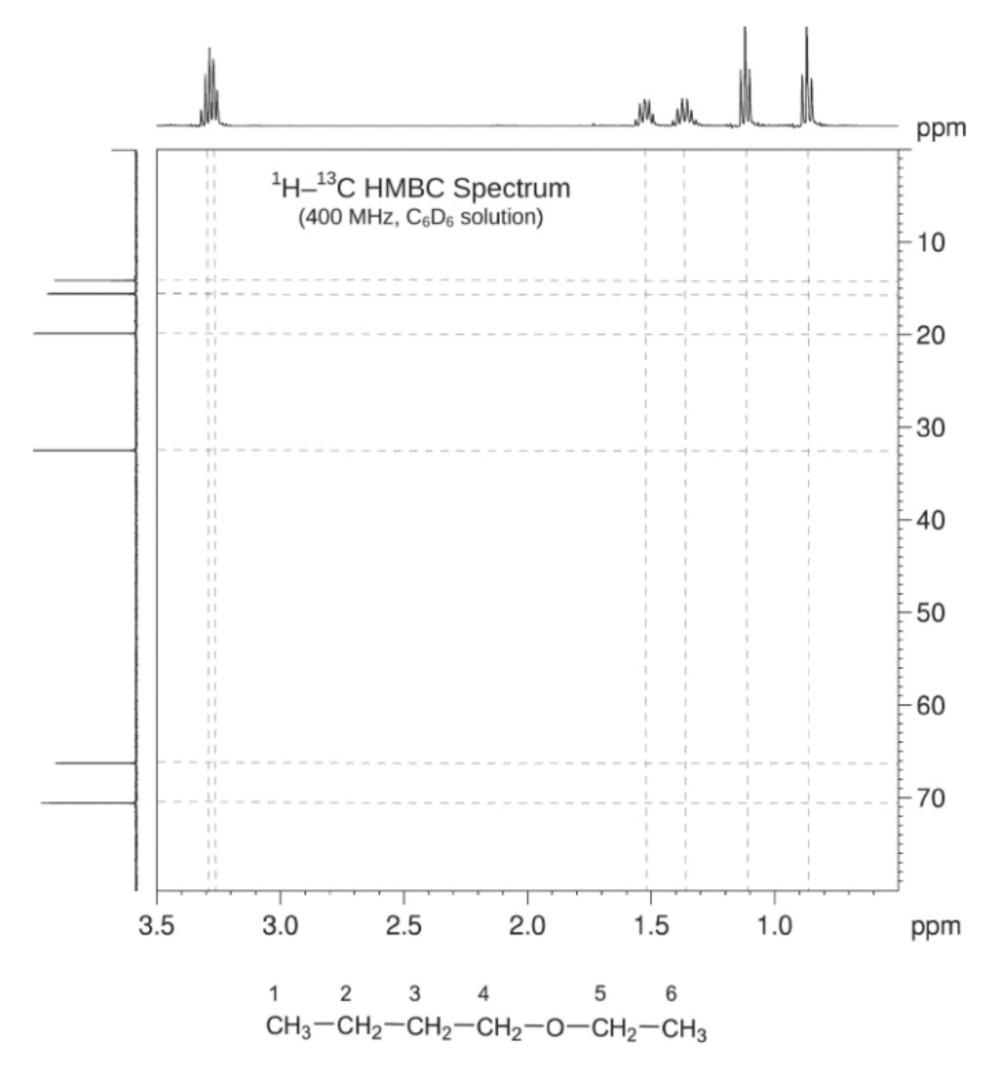
Problem 11

The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of butyl ethyl ether (C $_6$ H $_{14}$ O) recorded at 298K in CDCl $_3$  solution, are given below. The  $^1$ H spectrum has signals at  $\delta$  0.87, 1.12, 1.37, 1.53, 3.27 and 3.29 (partly overlapped) ppm. The  $^{13}$ C spectrum has signals at  $\delta$  14.1, 15.5, 19.9, 32.5, 66.2 and 70.6 ppm. The 2-dimensional  $^1$ H $^-$ 1H COSY spectrum and the *me*-HSQC spectrum are given on the facing page. From the COSY spectrum, assign the proton spectrum and use this information to assign the  $^{13}$ C spectrum and then draw in the strong peaks that you would expect to see in the schematic HMBC on the following page.

	Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
	H1		C1	
	H2		C2	
	H3		C3	
	H4		C4	
	H5		C5	
	H6		C6	
t (	<sup>3</sup> C NMR Spectrum 100 MHz, C <sub>6</sub> D <sub>6</sub> solution)	solvent		
	3.3 3.2 1.6 1.5	160 120  expansions  1.4 1.3 1.2 1.1 (	0.9 0.8	0 δ (ppm)





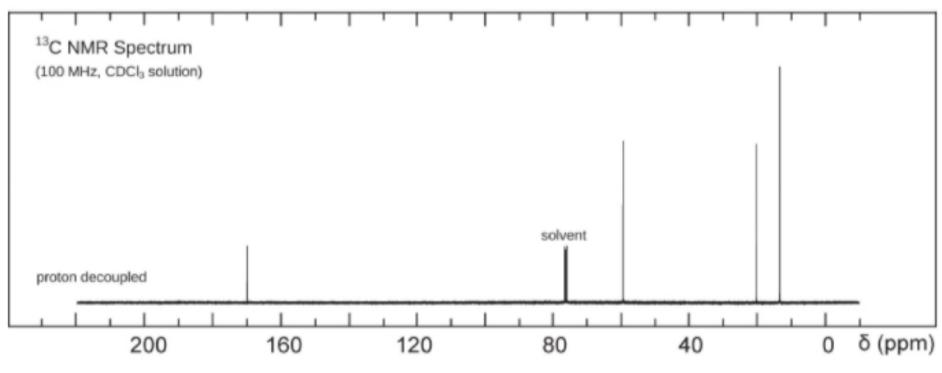


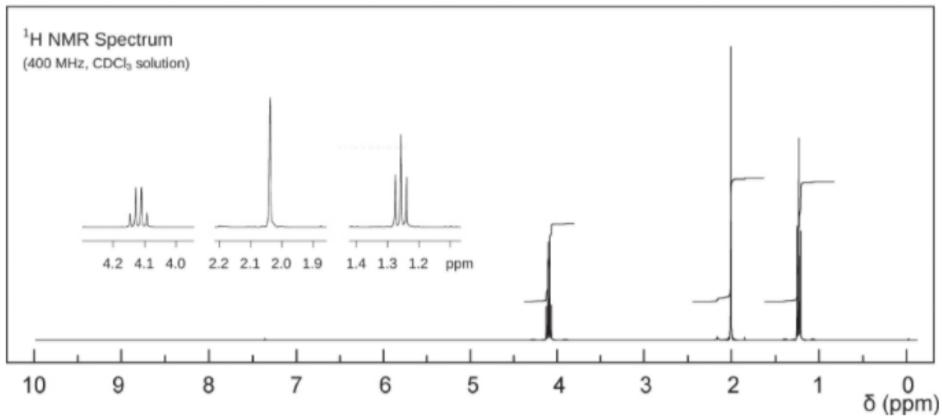
The  $^1\text{H}$  and proton-decoupled  $^{13}\text{C}$  NMR spectra of ethyl acetate ( $\text{C}_4\text{H}_8\text{O}_2$ ) recorded in CDCl $_3$  solution at 298 K and 400 MHz are given below.

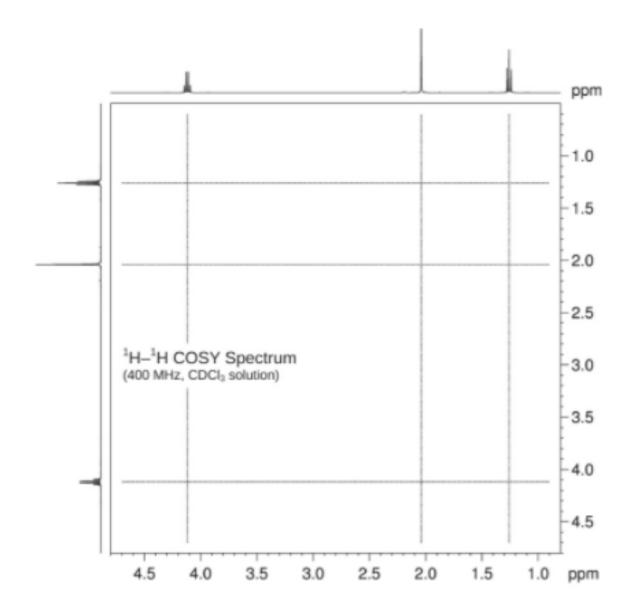
The  $^1$ H NMR spectrum has signals at  $\delta$  1.28 (H<sub>4</sub>), 2.04 (H<sub>1</sub>) and 4.12 (H<sub>3</sub>) ppm.

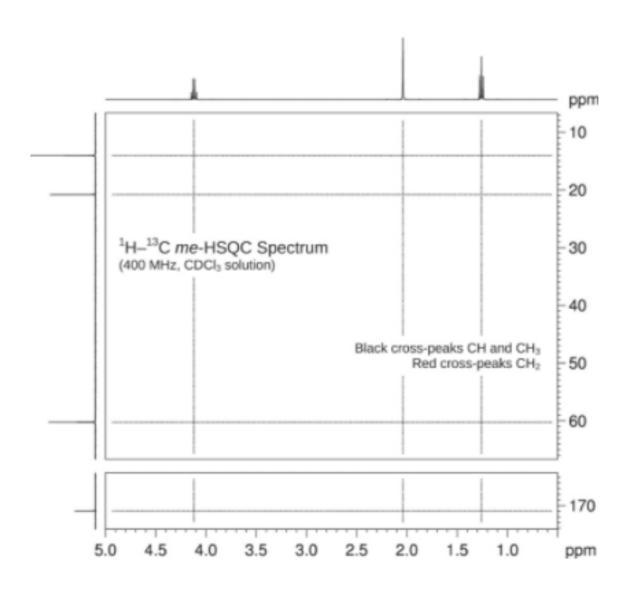
The  $^{13}$ C NMR spectrum has signals at  $\delta$  14.0 (C<sub>4</sub>), 20.8 (C<sub>1</sub>), 60.2 (C<sub>3</sub>) and 170.9 (C<sub>2</sub>) ppm.

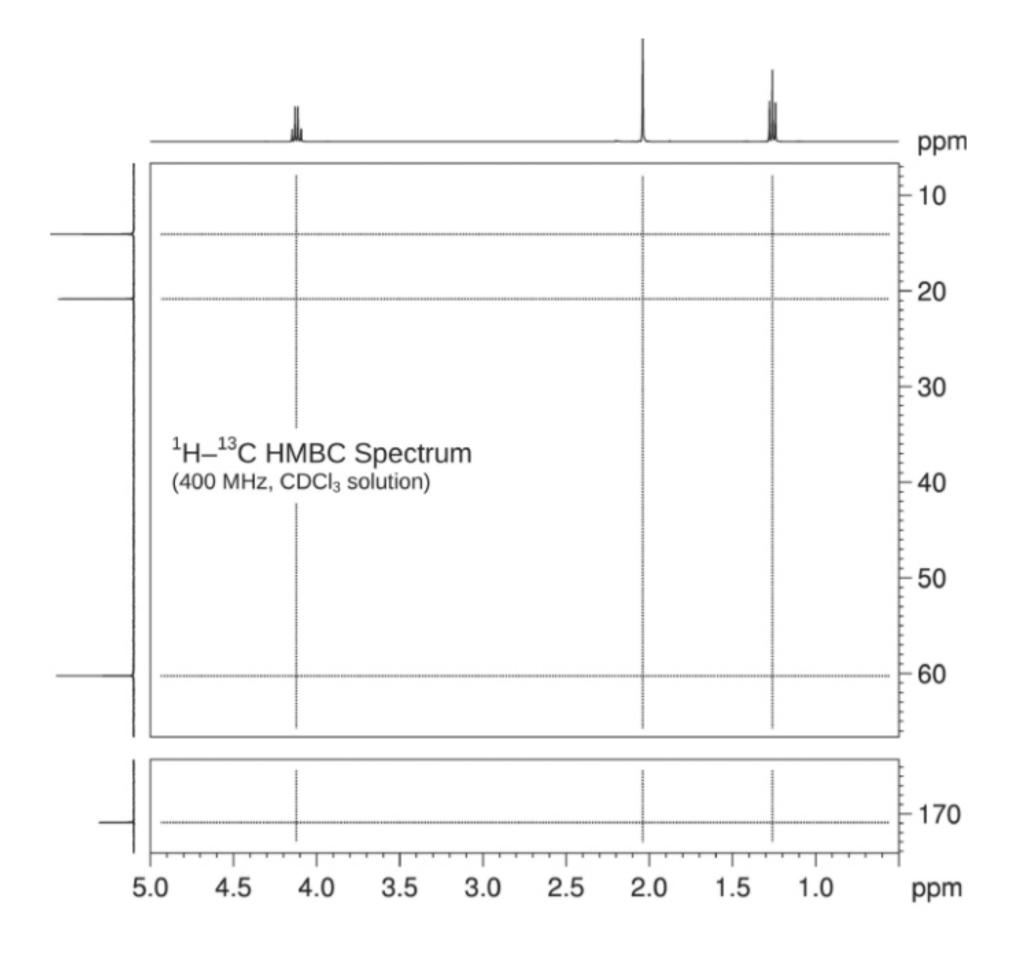
Use this information to produce schematic diagrams of the COSY, me-HSQC and HMBC spectra, showing where all of the cross-peaks and diagonal peaks would be.



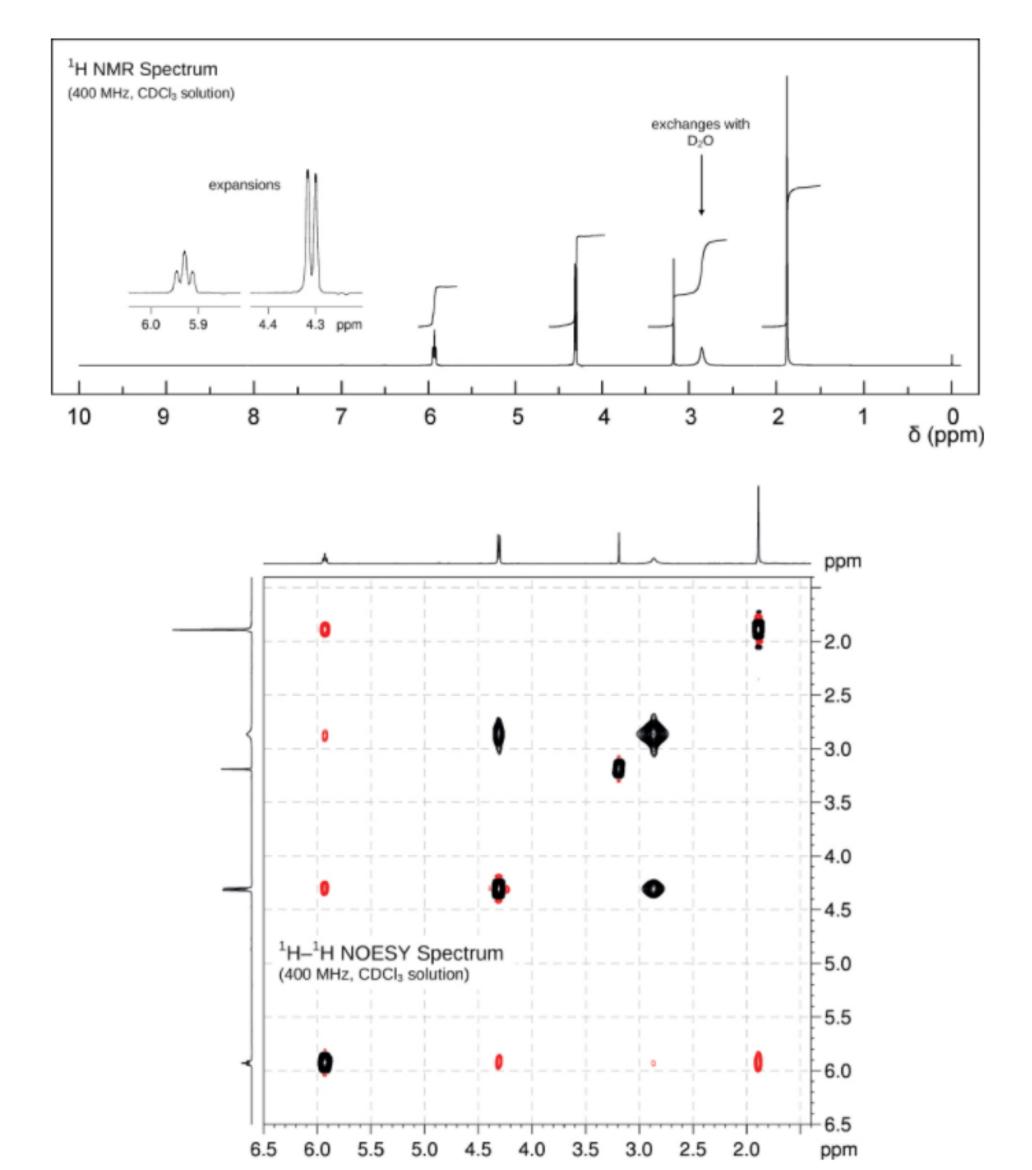








The  $^1$ H NMR spectrum of one stereoisomer of 3-methylpent-2-en-4-yn-1-ol (HC $\equiv$ C(CH $_3$ ) C=CHCH $_2$ OH) recorded in CDCl $_3$  solution at 298 K and 400 MHz is given below. The 2-dimensional  $^1$ H- $^1$ H NOESY spectrum is also given. Determine the stereochemistry of the compound and draw a structural formula for the compound indicating the stereochemistry.



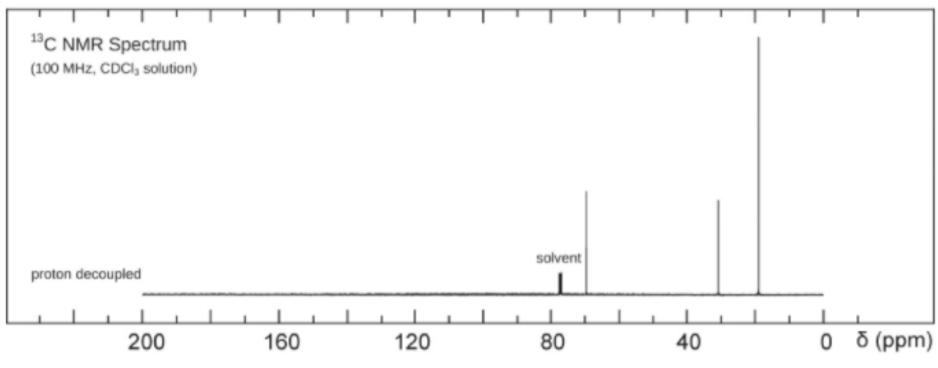
Problem 14

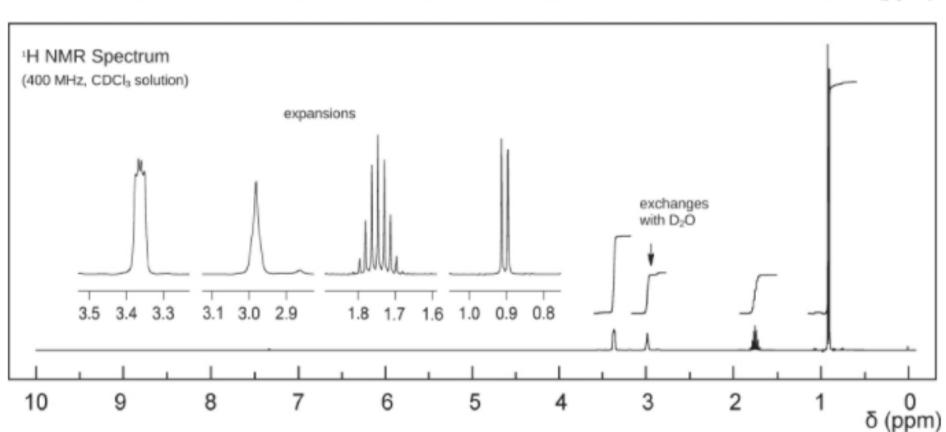
The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of isobutanol (2-methyl-1-propanol, C $_4$ H $_{10}$ O) recorded in CDCl $_3$  solution at 298K, are given below.

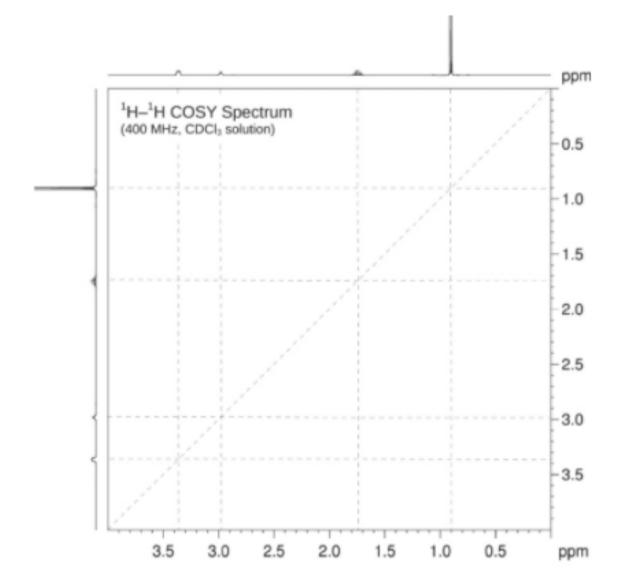
The  $^1\text{H}$  spectrum contains signals at  $\delta$  3.37 (H  $_1)$ , 2.98 (OH), 1.74 (H  $_2)$  and 0.90 (H  $_3)$  ppm.

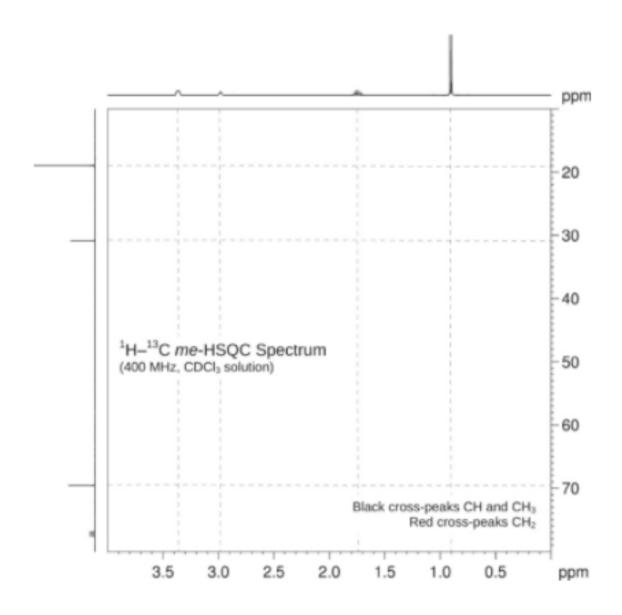
The  $^{13}\text{C}$  spectrum contains signals at  $\delta$  69.5 (C1), 30.9 (C2) and 19.0 (C3) ppm.

On the facing page, produce a schematic diagram of the COSY and the me-HSQC spectra for this molecule showing where all of the cross-peaks and diagonal peaks would be.



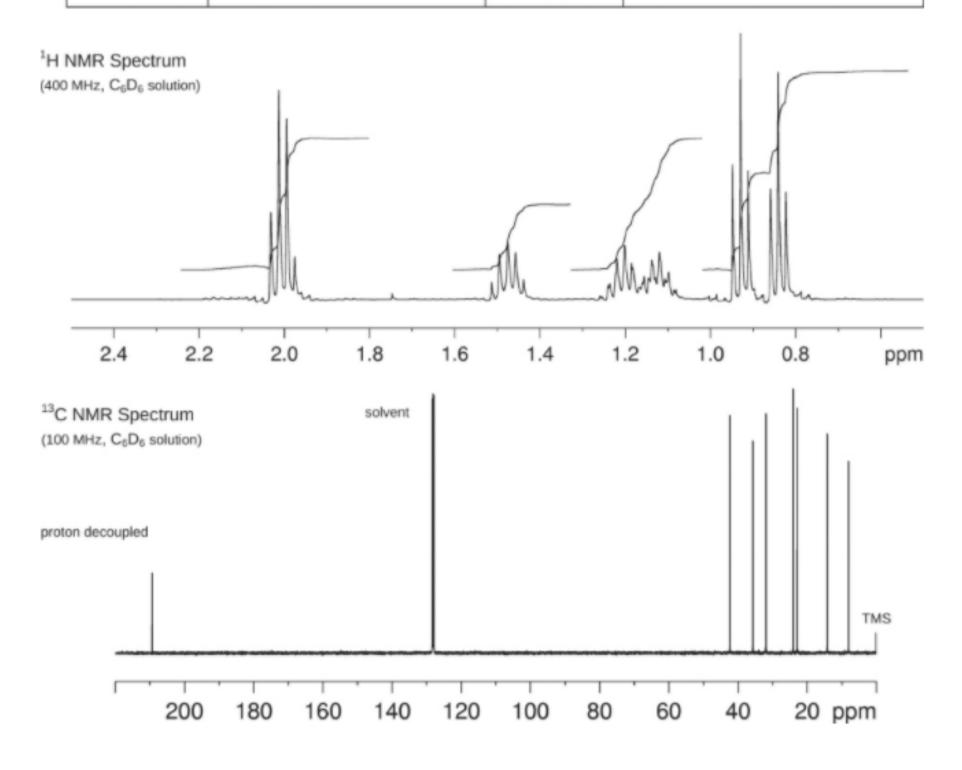


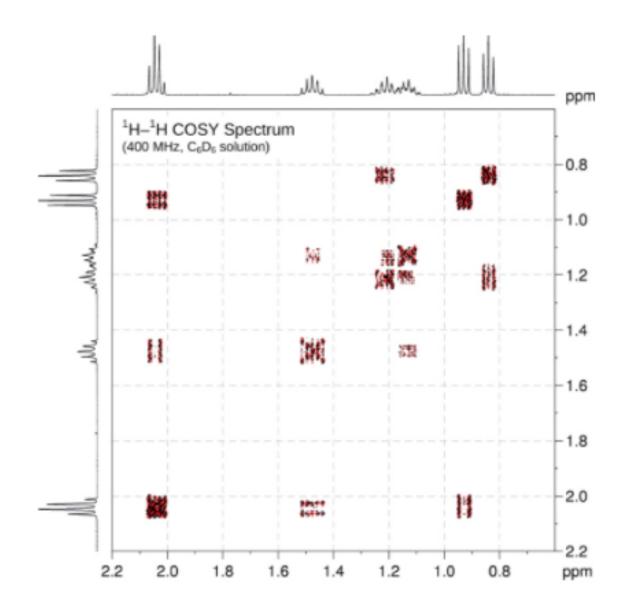


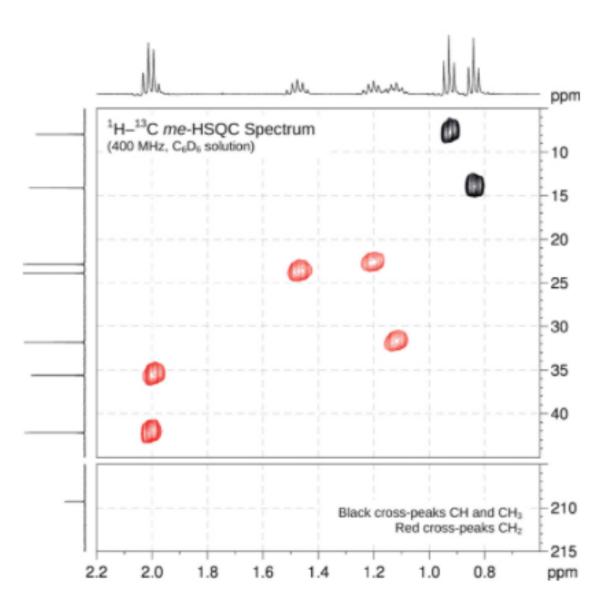


The  $^1$ H and proton-decoupled  $^{13}$ C spectra of 3-octanone ( $C_8H_{16}O$ ) recorded in  $C_6D_6$  solution at 298K at 400 MHz, are given below. The  $^1$ H spectrum has signals at  $\delta$  0.84, 0.93, 1.12, 1.20, 1.48, 1.99 and 2.00 (partly overlapped) ppm. The  $^{13}$ C spectrum has signals at  $\delta$  8.0, 14.2, 23.0, 23.9, 31.9, 35.6, 42.3 and 209.3 ppm. The 2-D  $^1$ H- $^1$ H COSY spectrum and the *me*-HSQC spectrum are given on the facing page. From the COSY spectrum, assign the proton spectrum and use this information to assign the  $^{13}$ C spectrum.

Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
H1		C1	
H2		C2	
		C3	
H4		C4	
H5		C5	
H6		C6	
H7		C7	
Н8		C8	



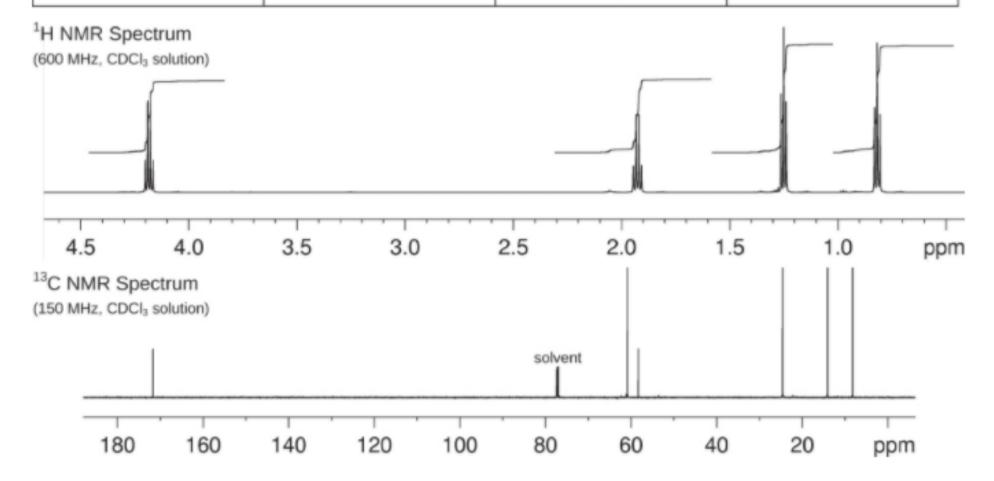


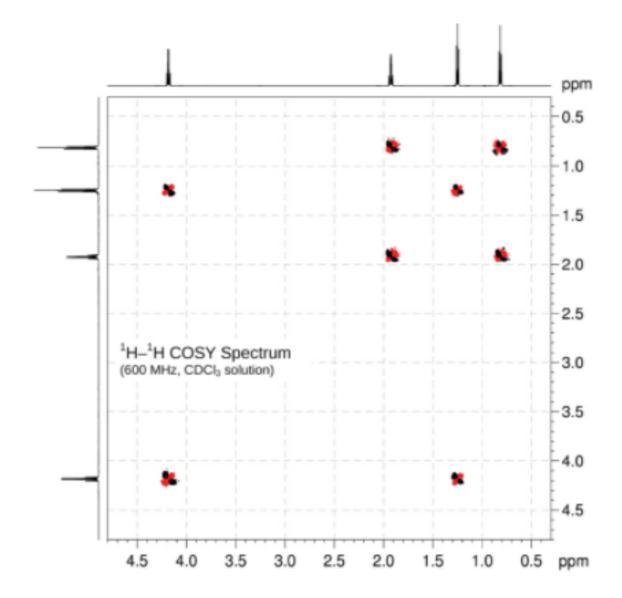


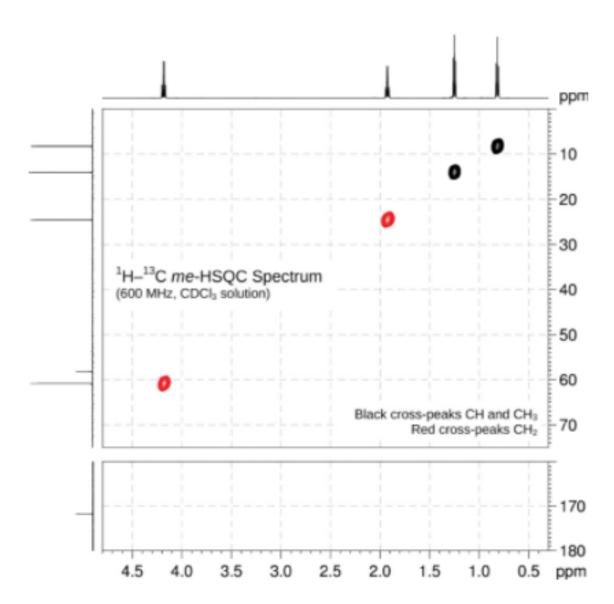
The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of diethyl diethylmalonate ( $C_{11}H_{20}O_4$ ) recorded in CDCl $_3$  solution at 298 K and 600 MHz are given below. The  $^1$ H NMR spectrum has signals at  $\delta$  0.82, 1.25, 1.92 and 4.18 ppm. The  $^{13}$ C spectrum has signals at  $\delta$  8.2, 14.0, 24.5, 58.3, 60.8 and 171.7 ppm.

The 2-dimensional  $^{1}H^{-1}H$  COSY spectrum and the  $^{1}H^{-13}C$  me-HSQC correlation spectrum are given on the following page. From the COSY spectrum, assign the proton spectrum and then use the me-HSQC spectrum to assign the  $^{13}C$  spectrum, i.e. determine the chemical shift corresponding to each of the protons and each of the carbons in the molecule.

Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
H1		C1	
H2		C2	
		C3	
H4		C4	
H5		C5	
		C6	
H7		C7	
H8		C8	
		C9	
H10		C10	
H11		C11	



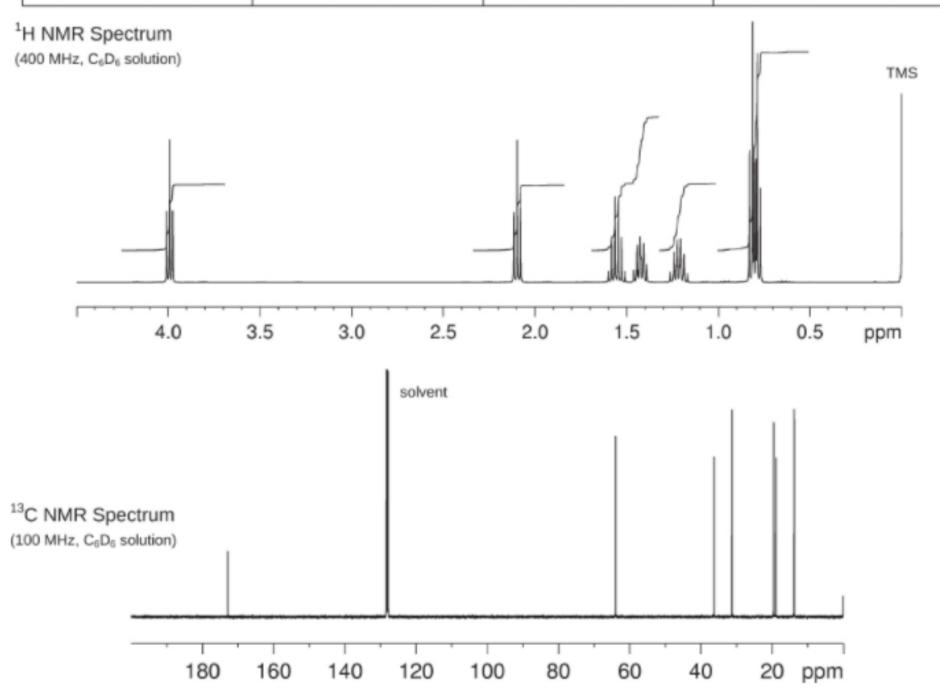


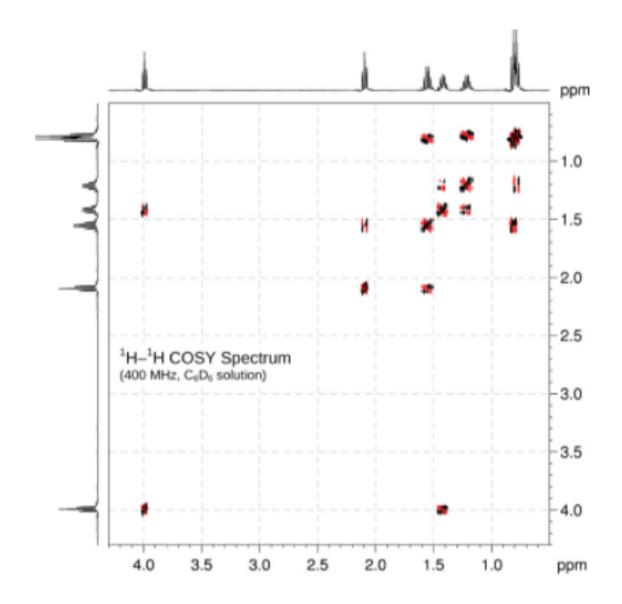


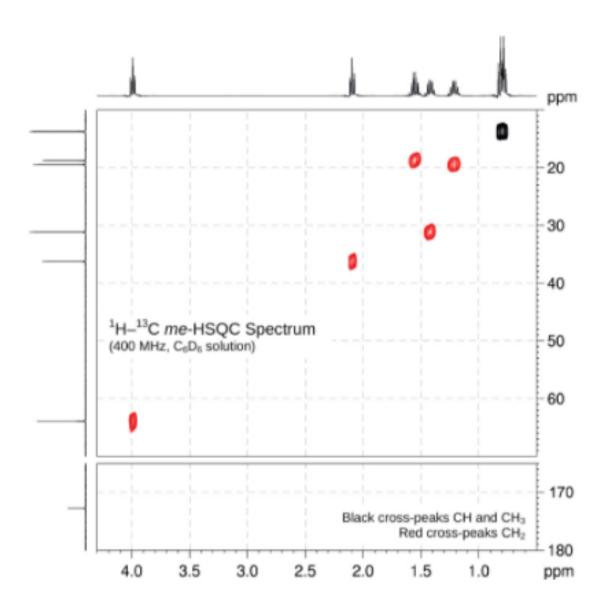
The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of butyl butyrate recorded in  $C_6D_6$  solution at 298K and 400 MHz are given below. The  $^1$ H NMR spectrum has signals at  $\delta$  0.79, 0.81, 1.22, 1.43, 1.55, 2.10 and 3.99 ppm. The  $^{13}$ C spectrum has signals at  $\delta$  13.7, 13.8, 18.8, 19.5, 31.2, 36.2, 63.9 and 172.8 ppm.

The 2-dimensional  $^{1}H^{-1}H$  COSY spectrum and the  $^{1}H^{-13}C$  me-HSQC correlation spectrum are given on the facing page. From the COSY spectrum, assign the proton spectrum and use the me-HSQC spectrum to assign the  $^{13}C$  spectrum, i.e. determine the chemical shift corresponding to each of the protons and each of the carbons in the molecule.

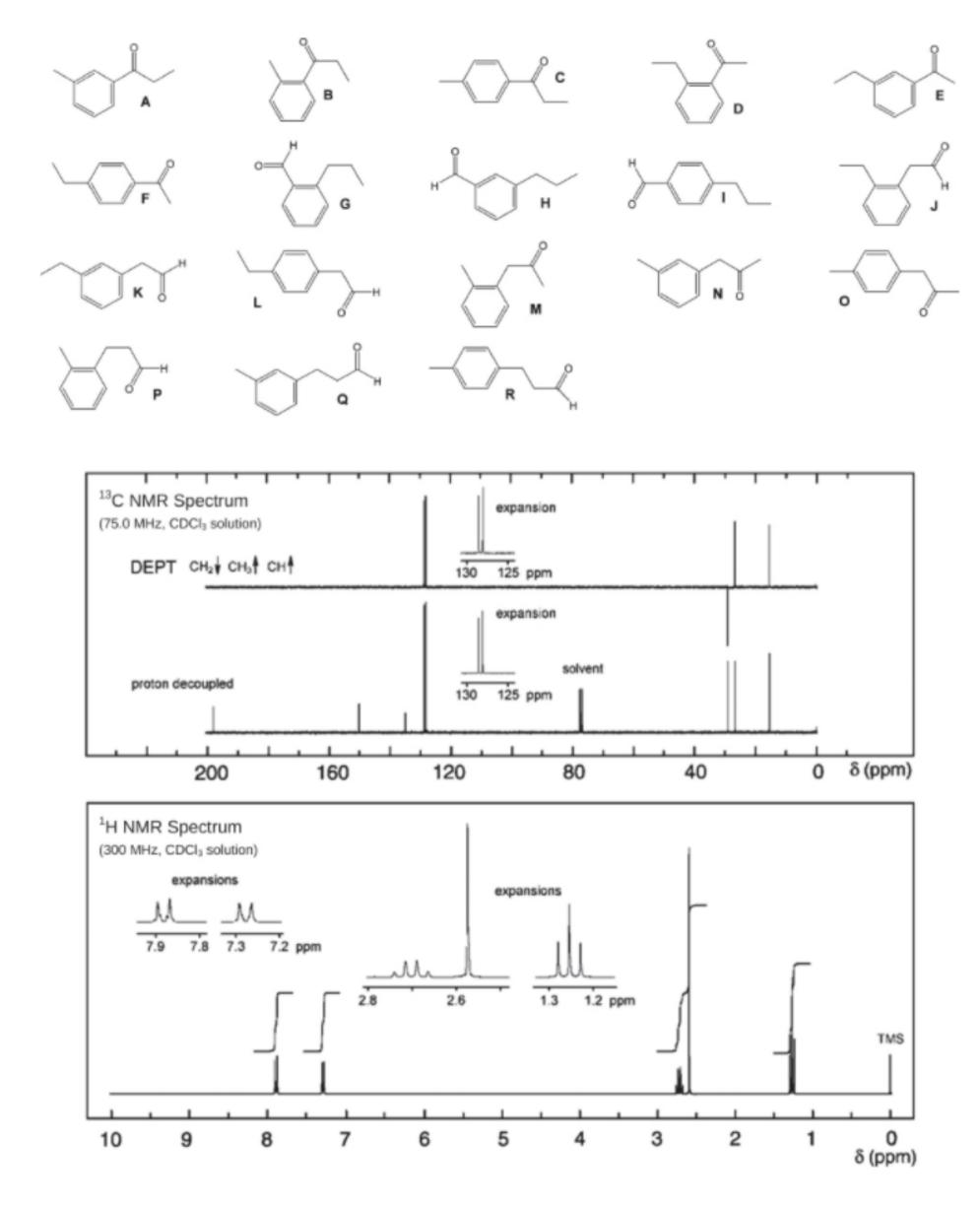
Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
H1		C1	
H2		C2	
H3		C3	
H4		C4	
		C5	
H6		C6	
H7		C7	
H8		C8	

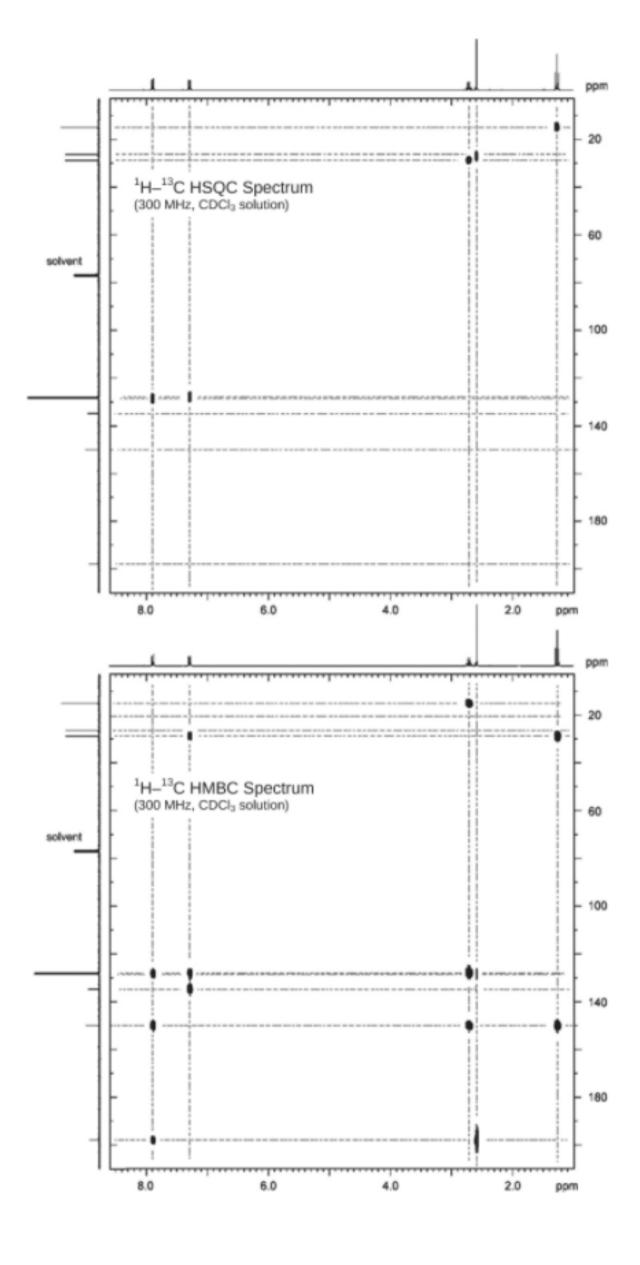






Given below are 18 aromatic carbonyl compounds which are isomers of  $C_{10}H_{12}O$ . The  $^1H$  and  $^{13}C$  NMR spectra of one of the isomers, recorded at 298K in  $CDCl_3$  solution, is given below. The 2-dimensional C-H correlation and HMBC spectra are given on the facing page. To which of these compounds do the spectra belong?



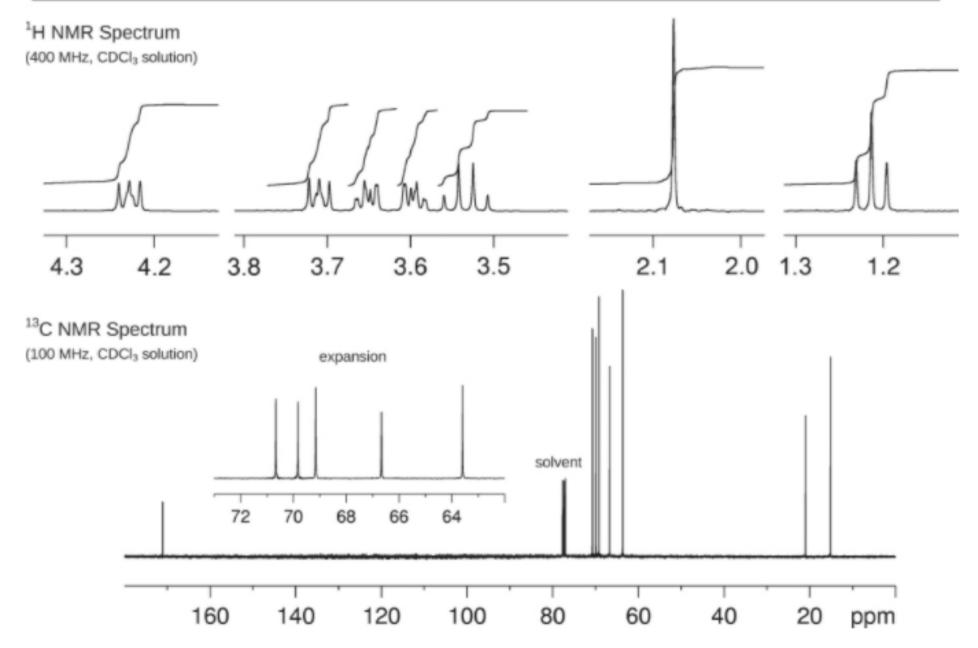


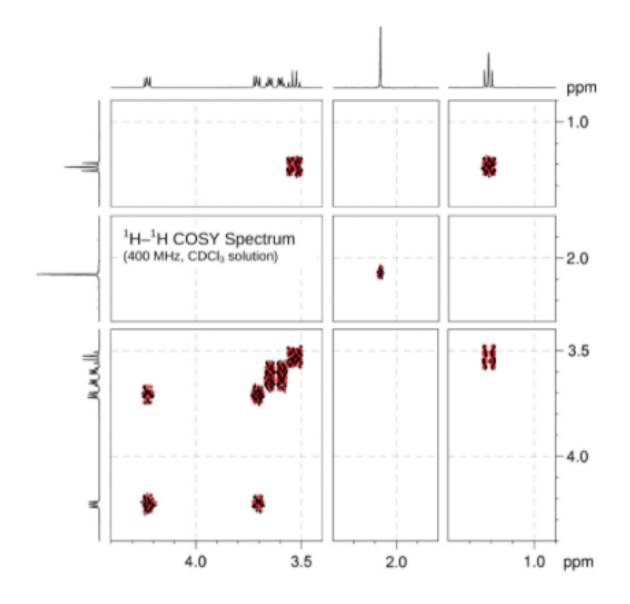
The  $^1$ H and proton-decoupled  $^{13}$ C NMR spectra of diethyleneglycol ethyl ether acetate ( $C_8H_{10}O_4$ ) recorded in CDCl $_3$  solution at 298K and 400 MHz are given below. The  $^1$ H NMR spectrum has signals at  $\delta$  4.23, 3.71, 3.65, 3.60, 3.53, 2.08 and 1.22 ppm. The  $^{13}$ C spectrum has signals at  $\delta$  171.0, 70.7, 69.8, 69.1, 66.7, 63.6, 20.9 and 15.2 ppm.

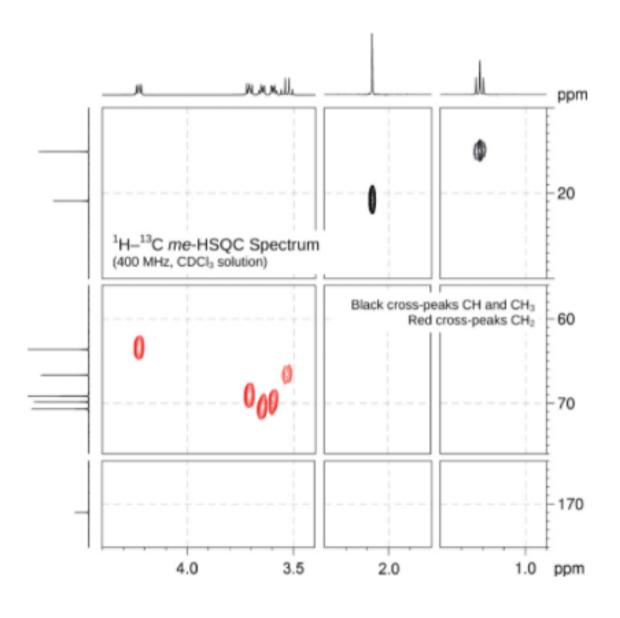
The 2-dimensional  $^{1}H^{-1}H$  COSY spectrum, the  $^{1}H^{-13}C$  me-HSQC correlation spectrum and the  $^{1}H^{-13}C$  HMBC spectrum are given on the following pages. Use the spectra to assign all of the  $^{1}H$  and  $^{13}C$  resonances in the spectrum, i.e. determine the chemical shift corresponding to each of the protons and each of the carbons in the molecule.

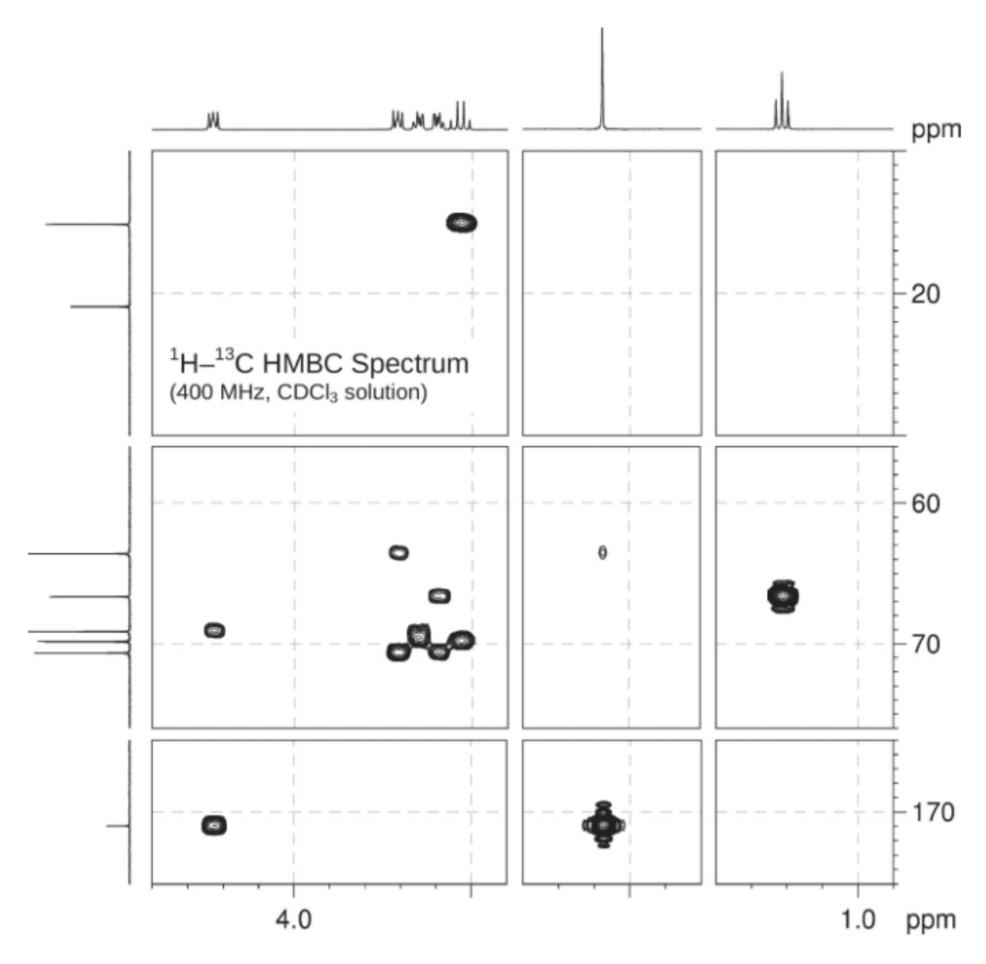
$$7$$
 $6$ 
 $5$ 
 $4$ 
 $1$ 

Proton	Chemical Shift (δ) in ppm	Carbon	Chemical Shift (δ) in ppm
H1		C1	
		C2	
H3		C3	
H4		C4	
H5		C5	
H6		C6	
H7		C7	
H8		C8	

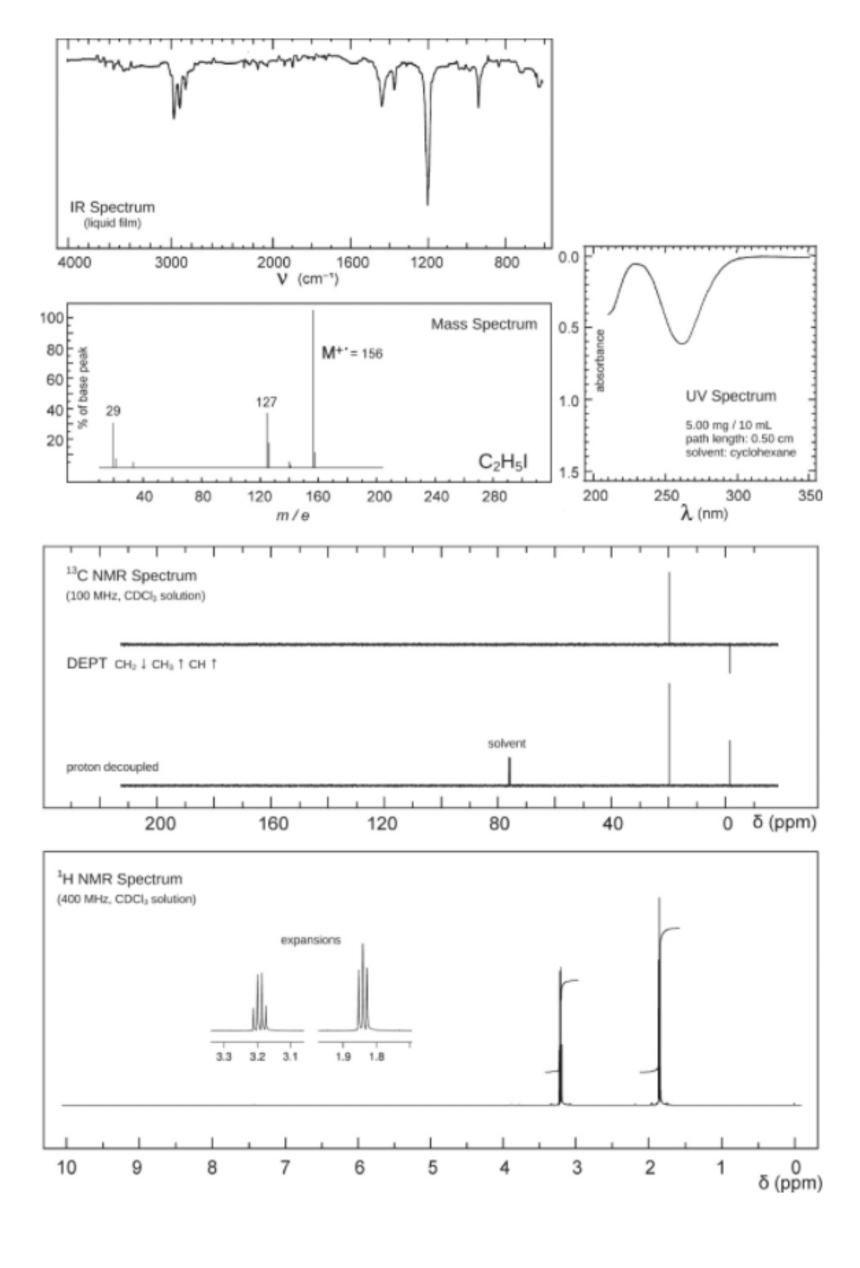


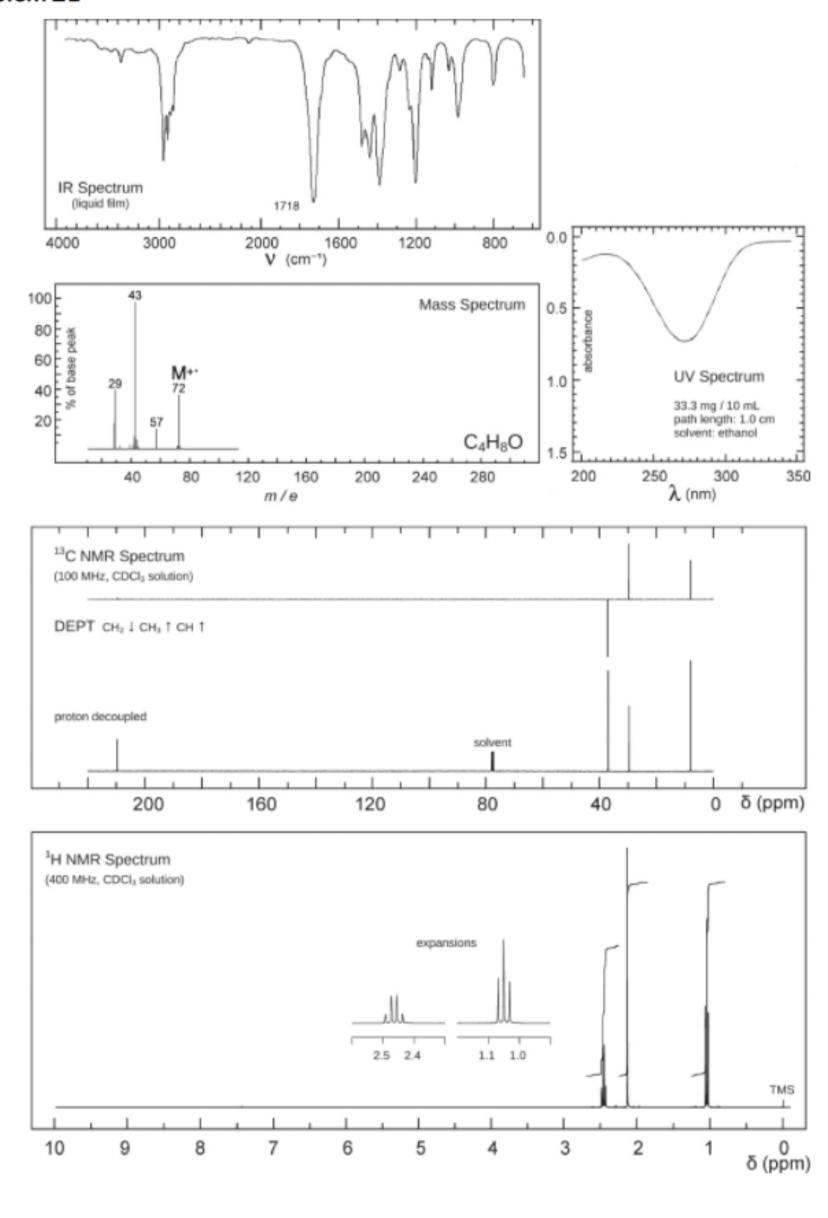


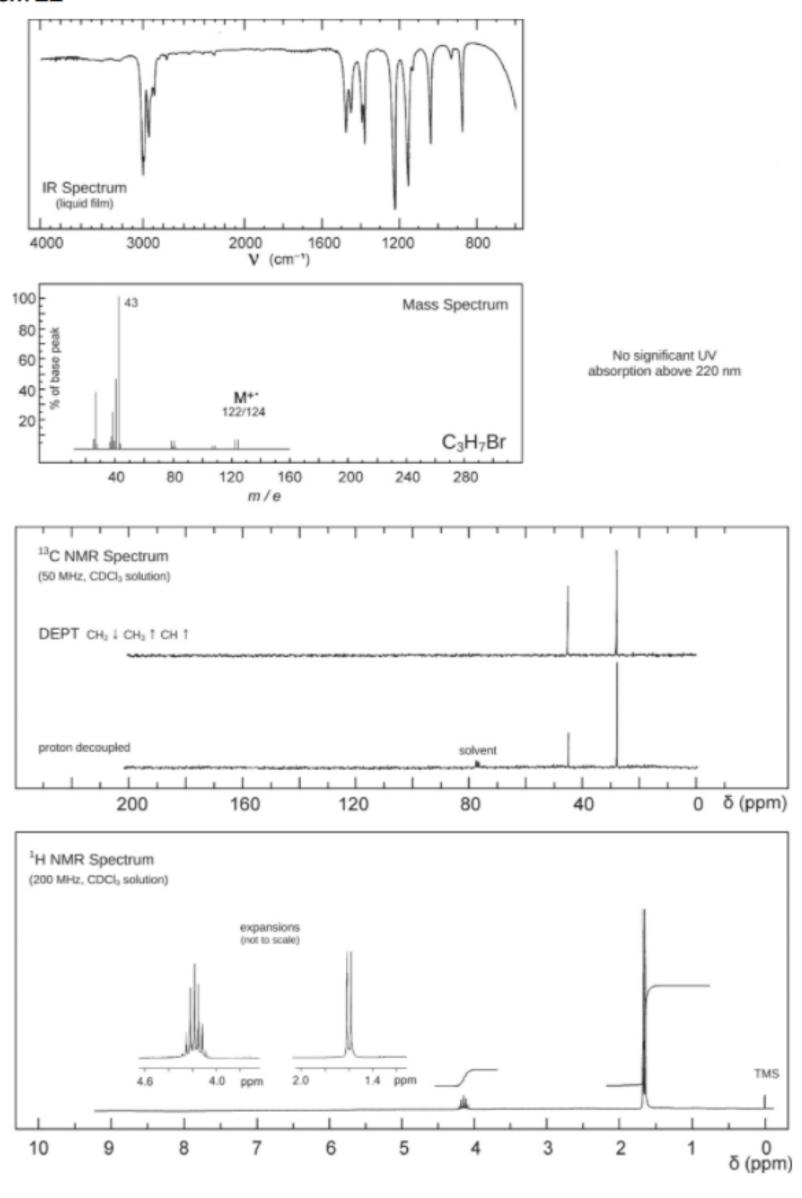


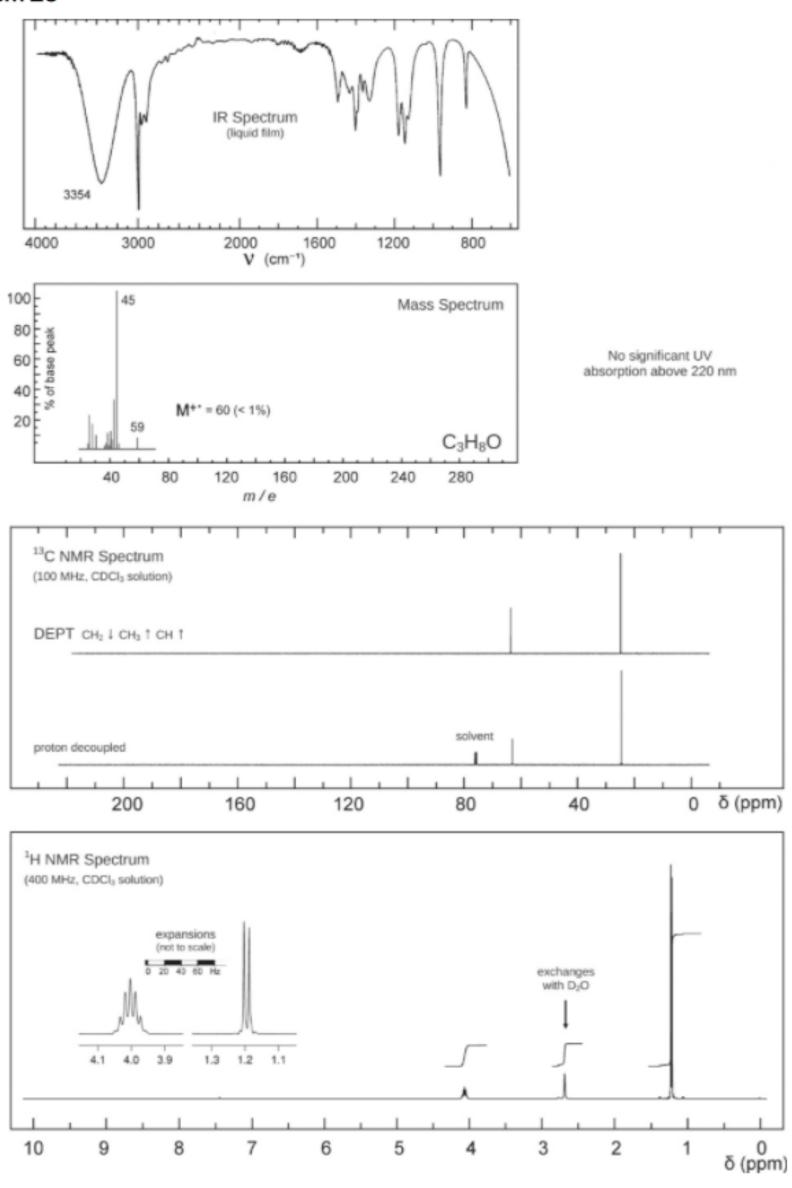


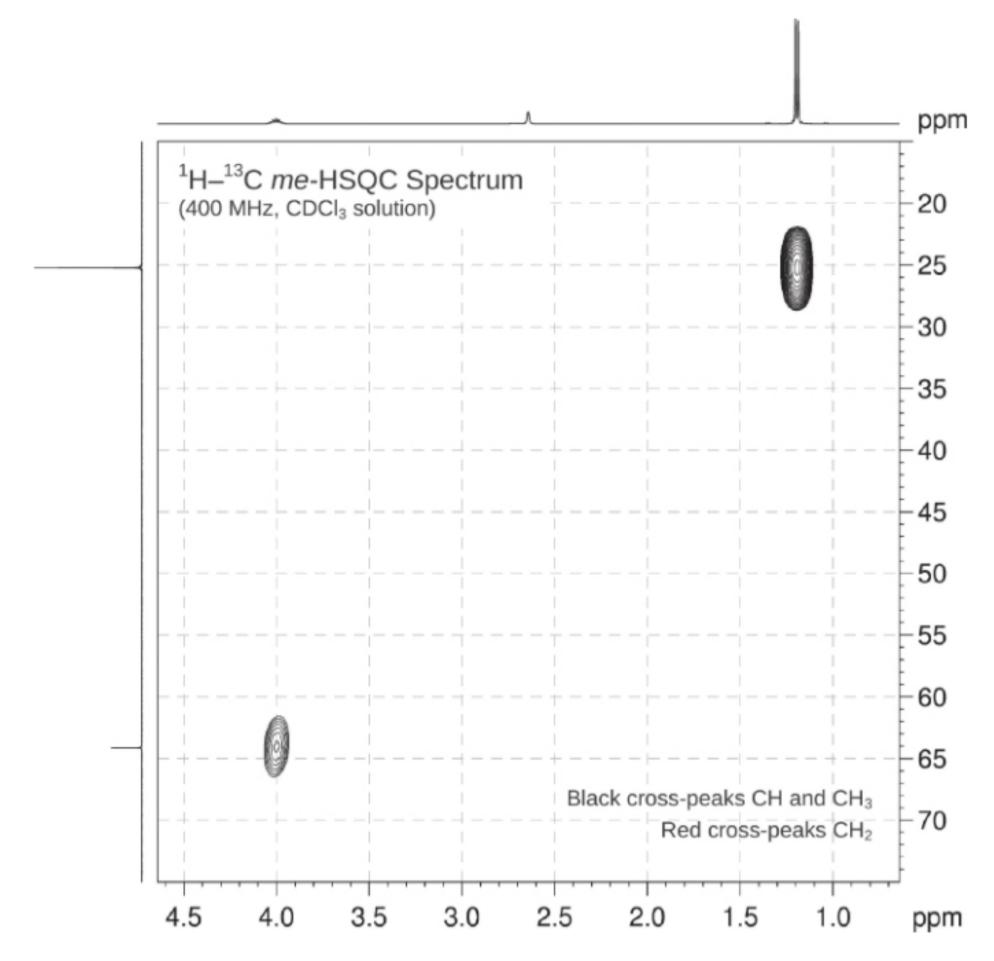
Problem 20



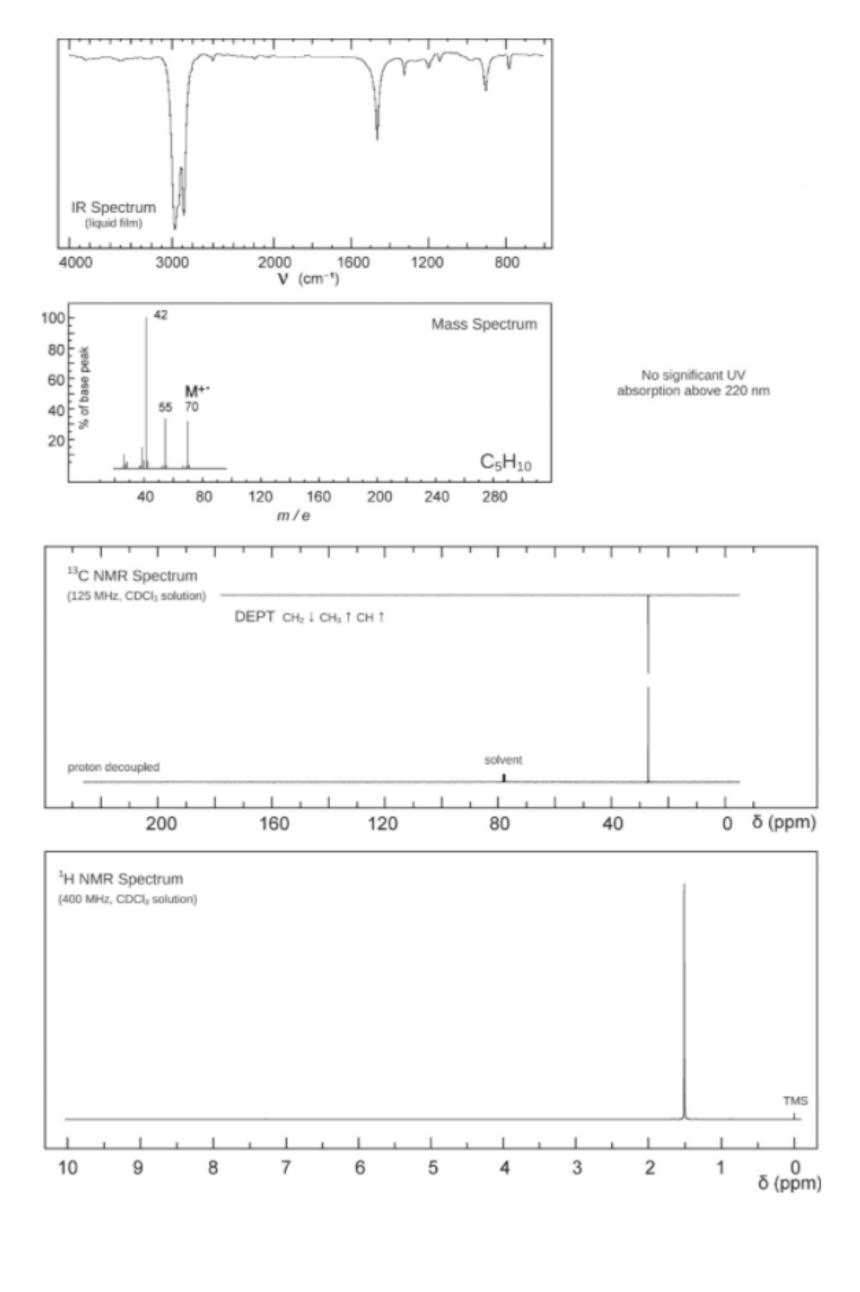


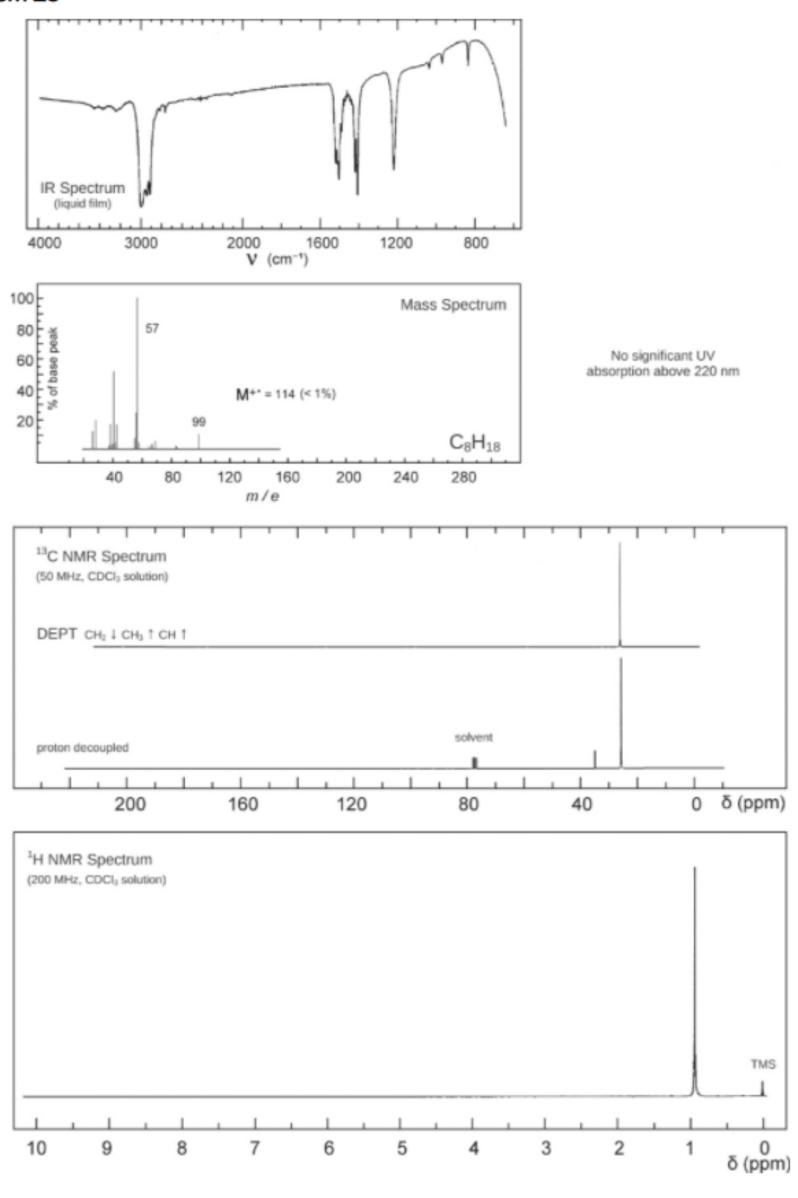


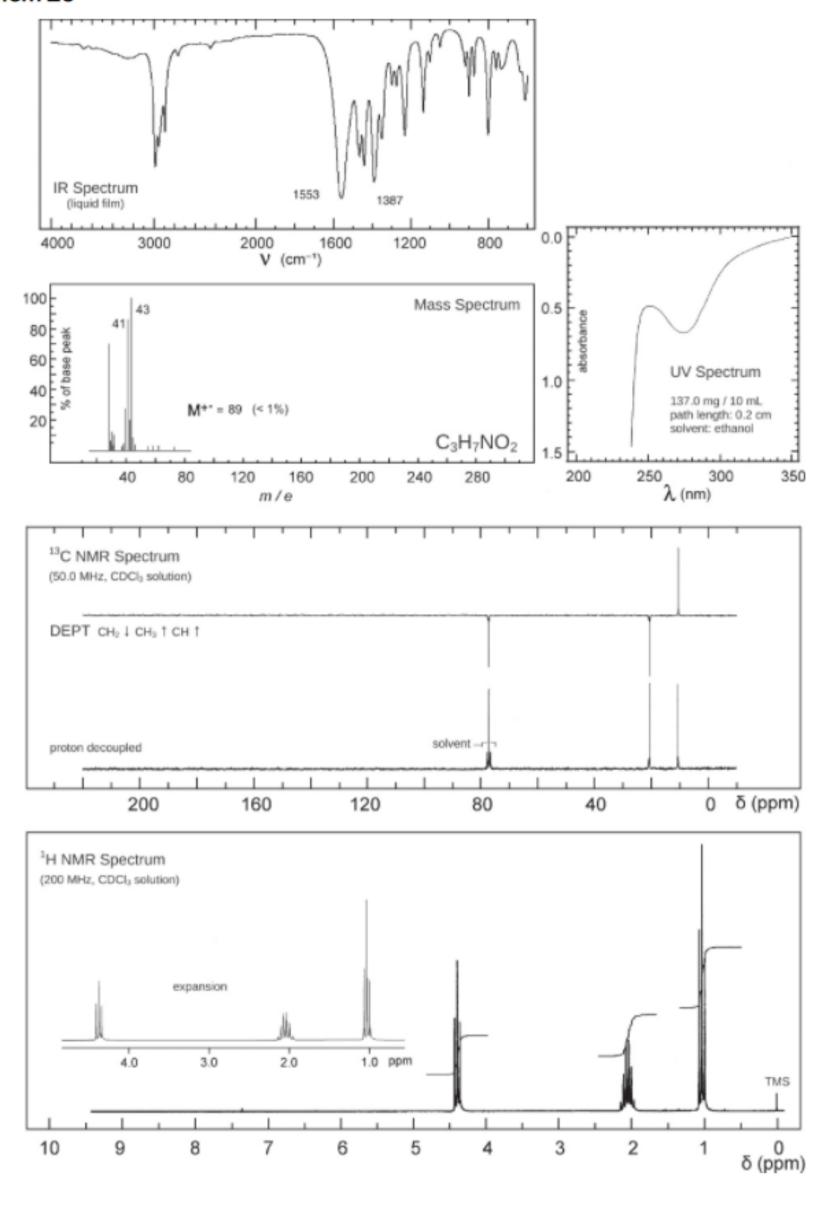


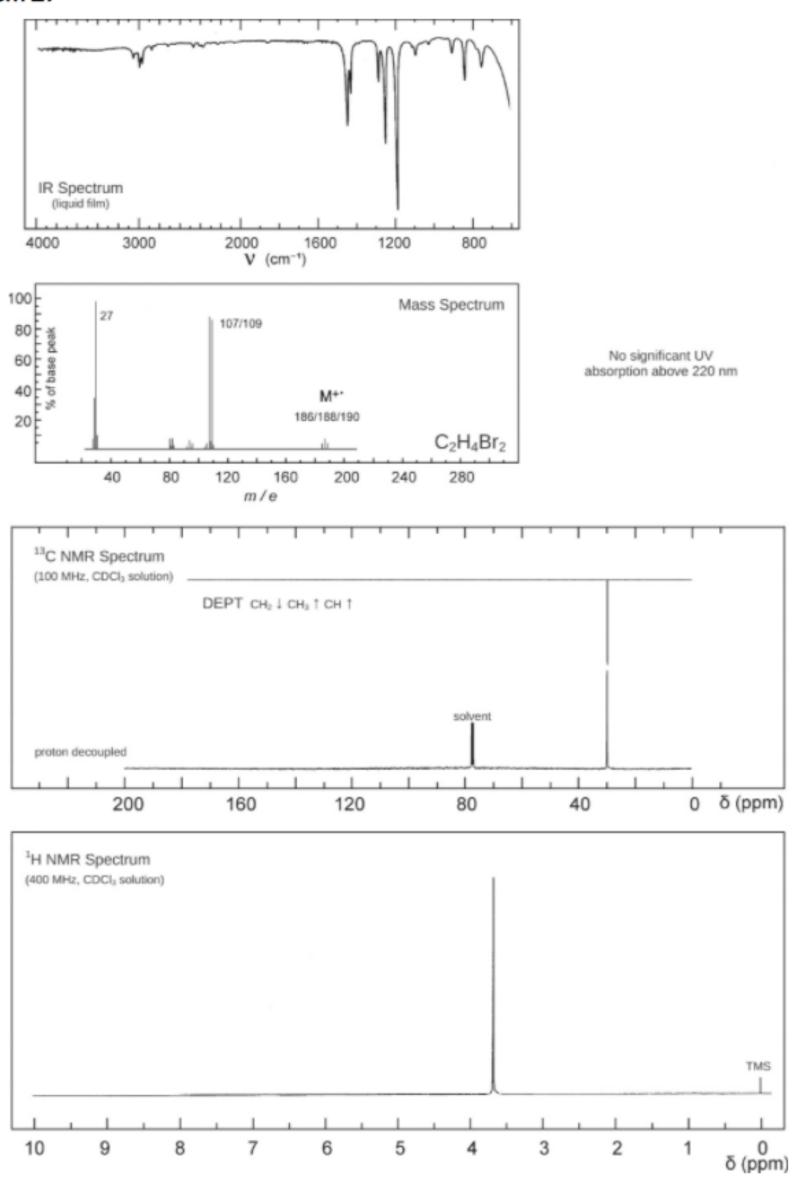


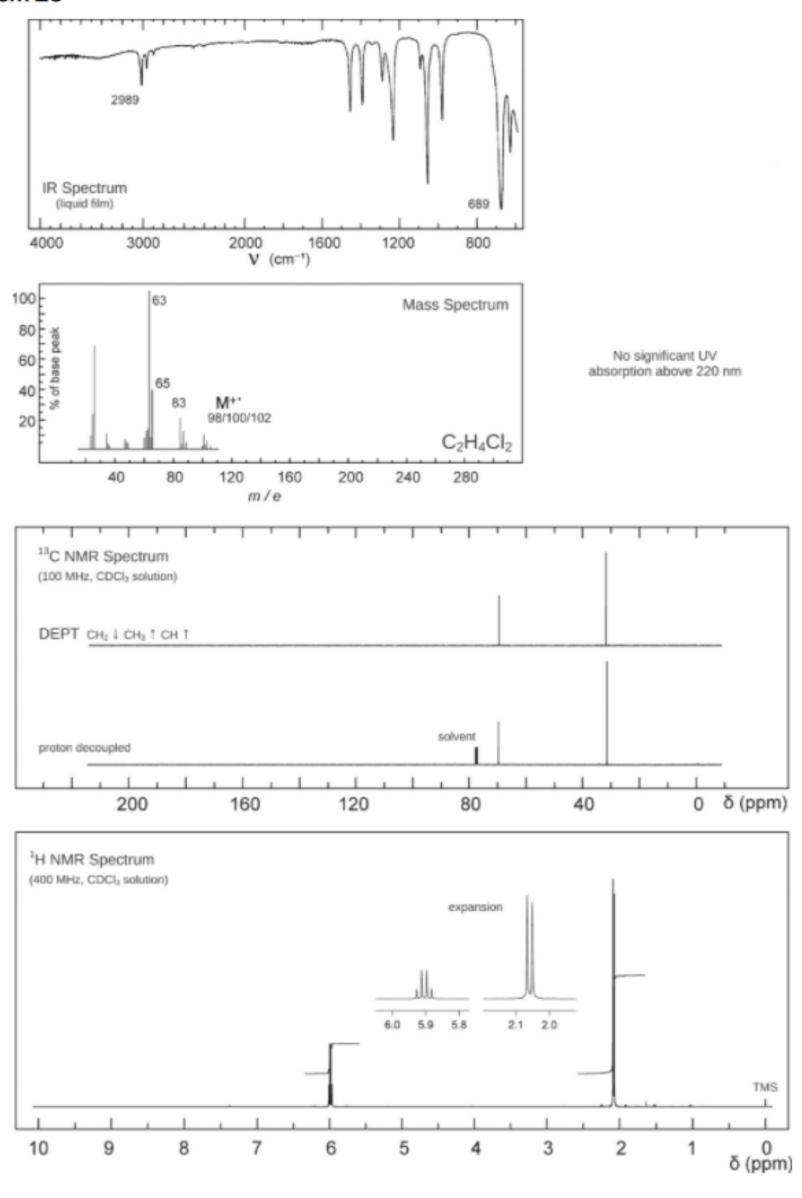
Problem 24

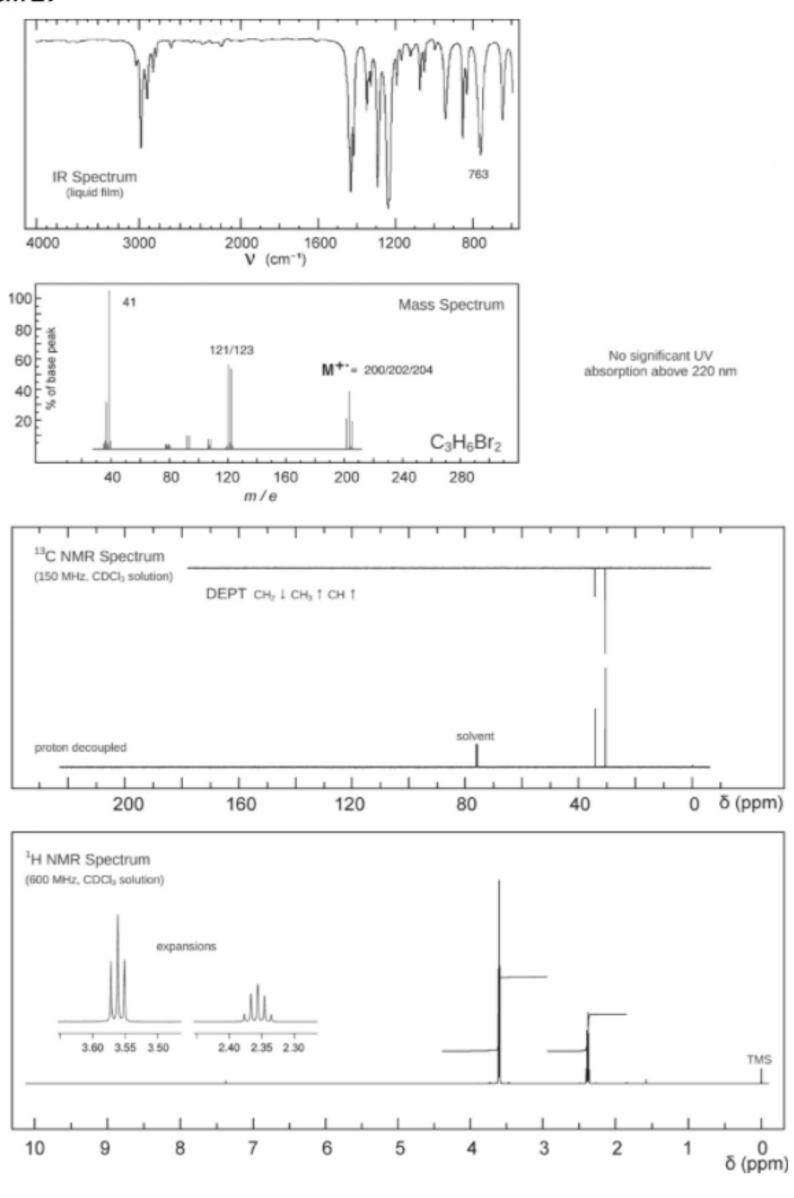


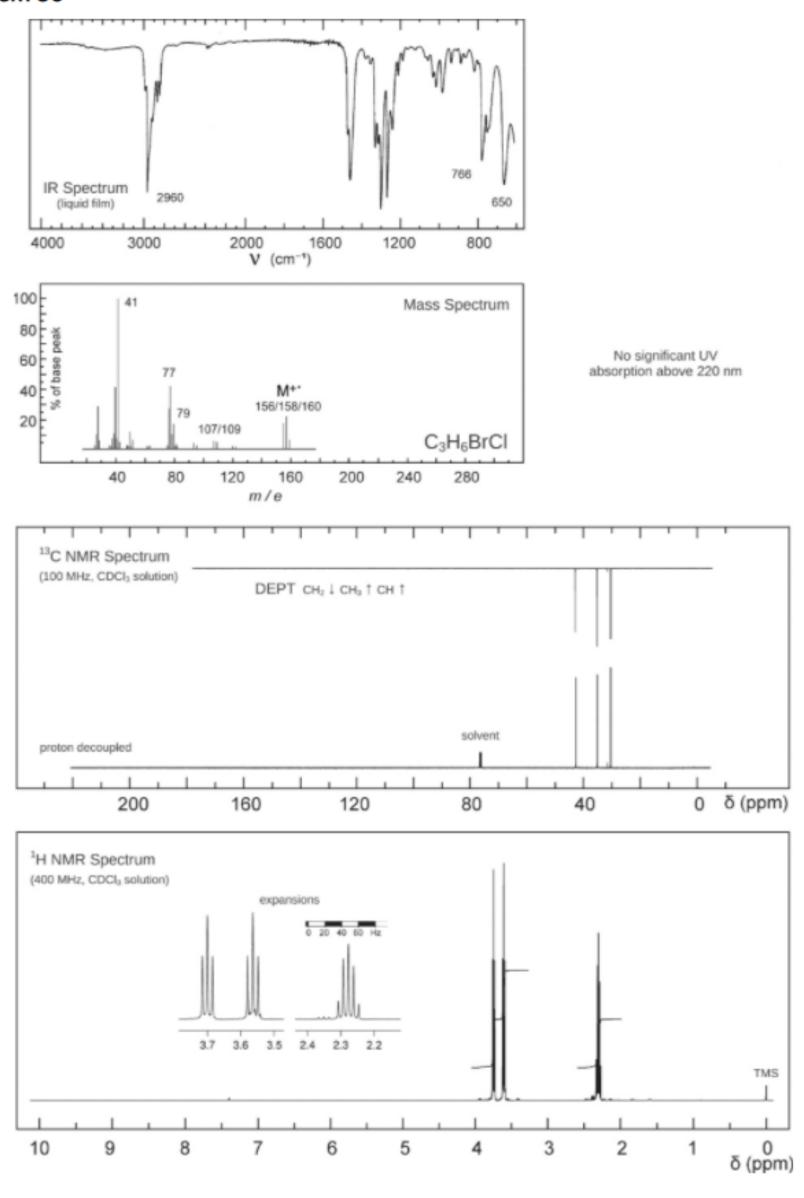


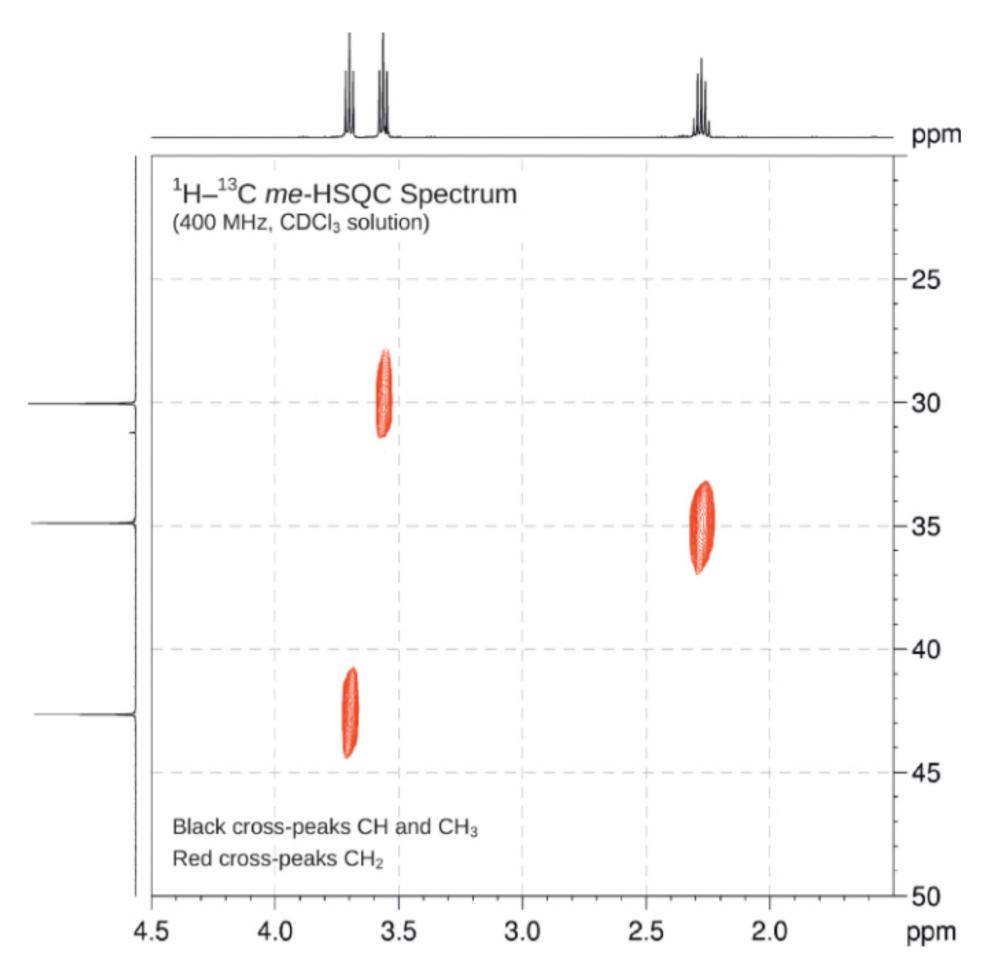




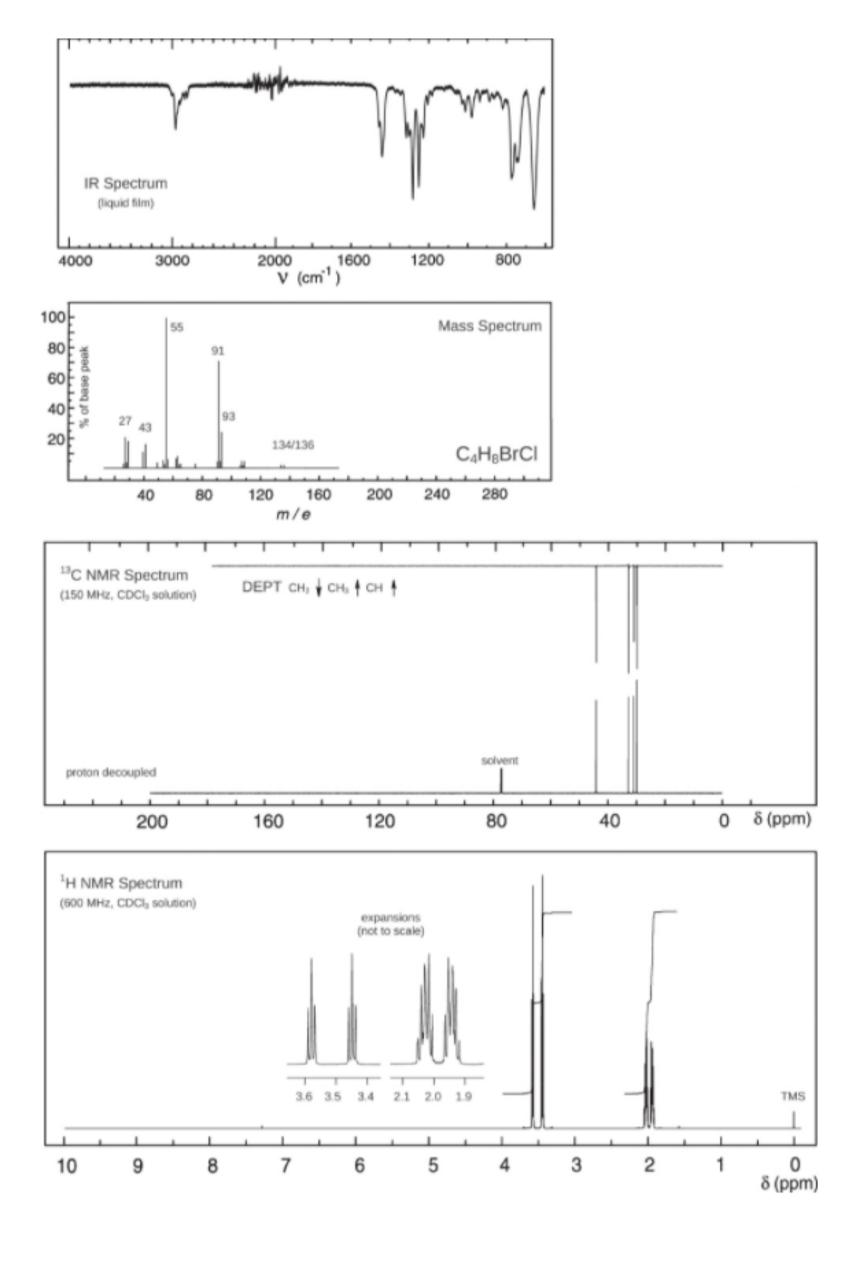


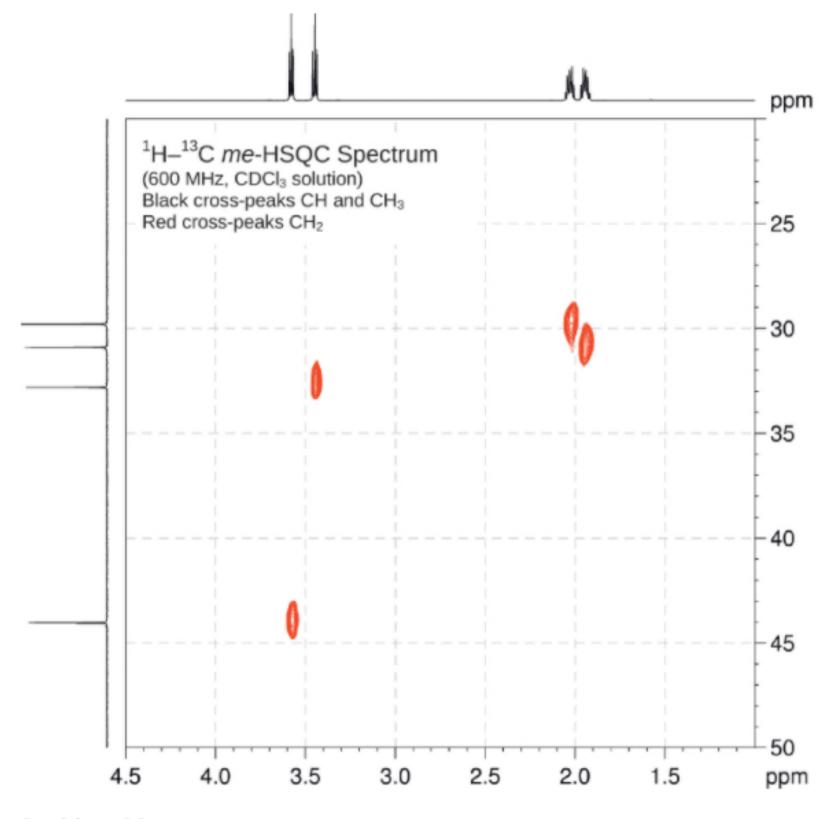




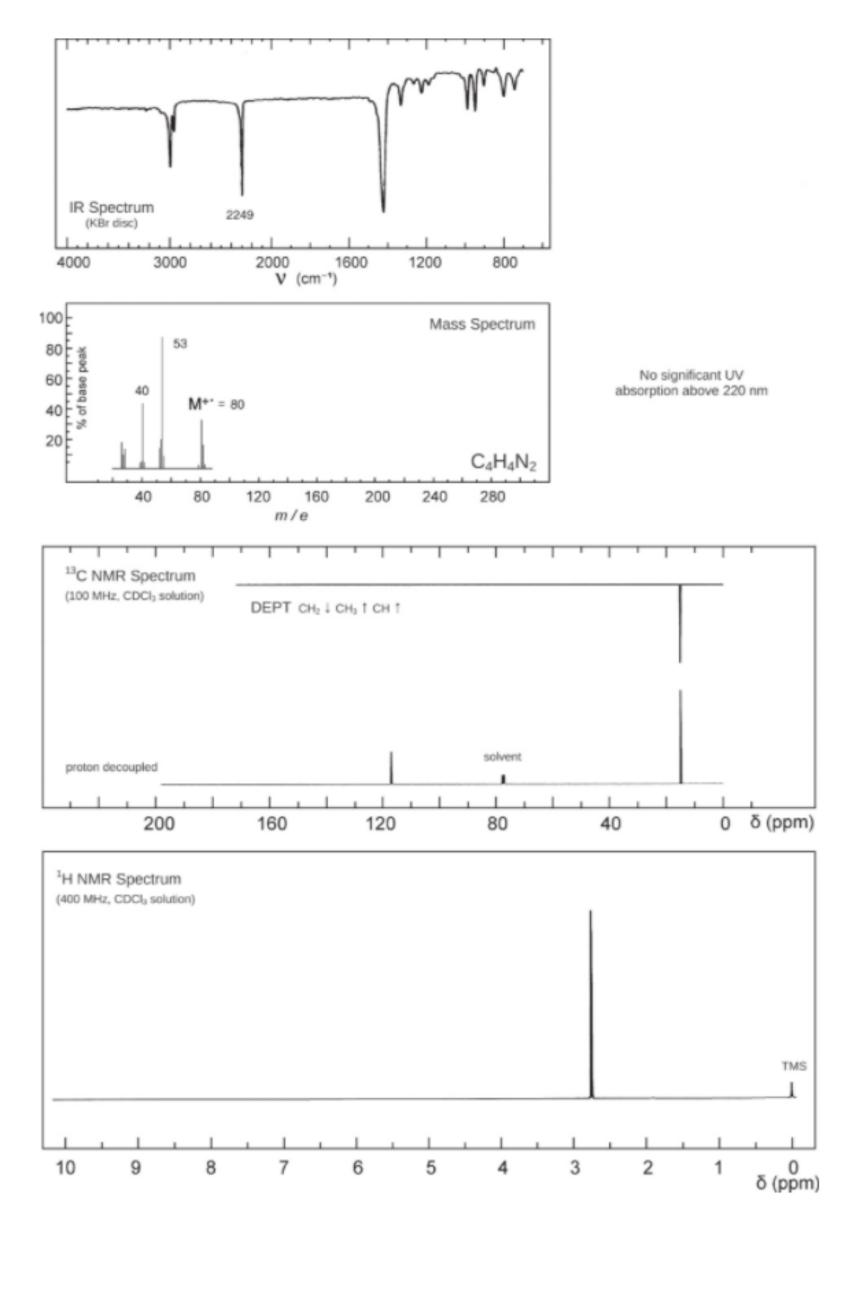


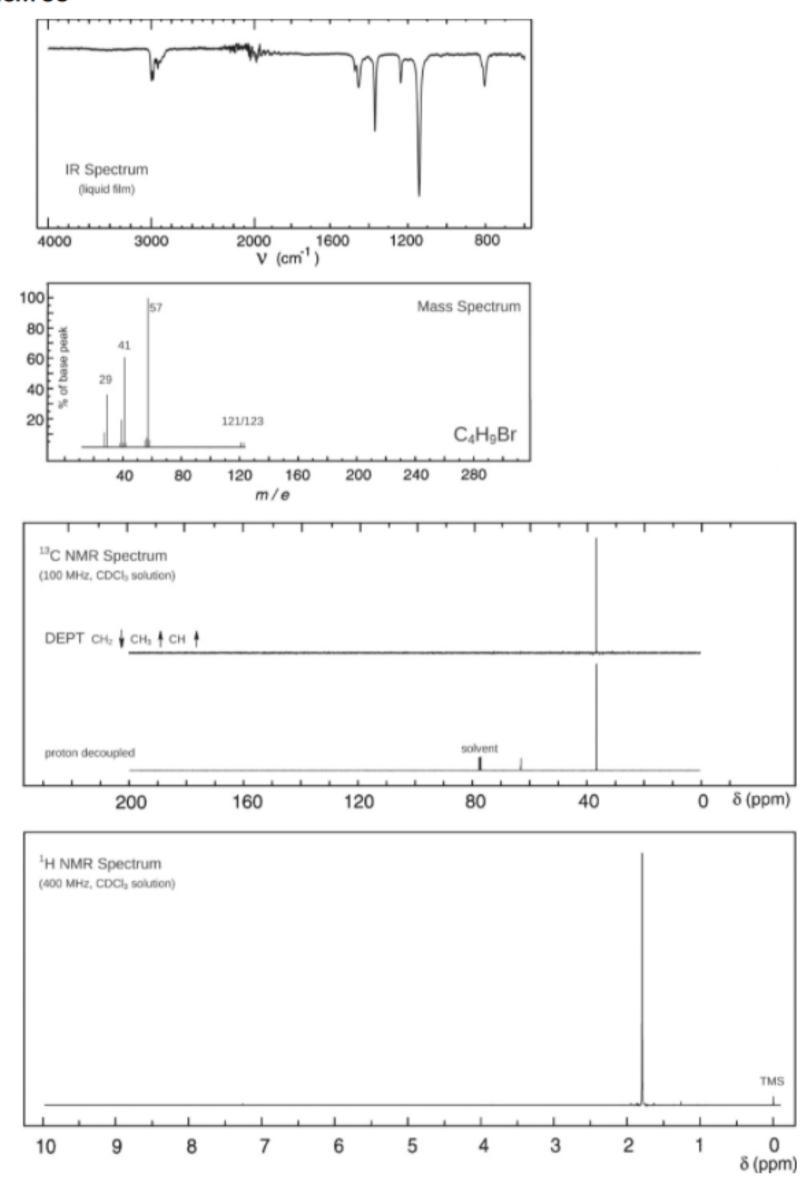
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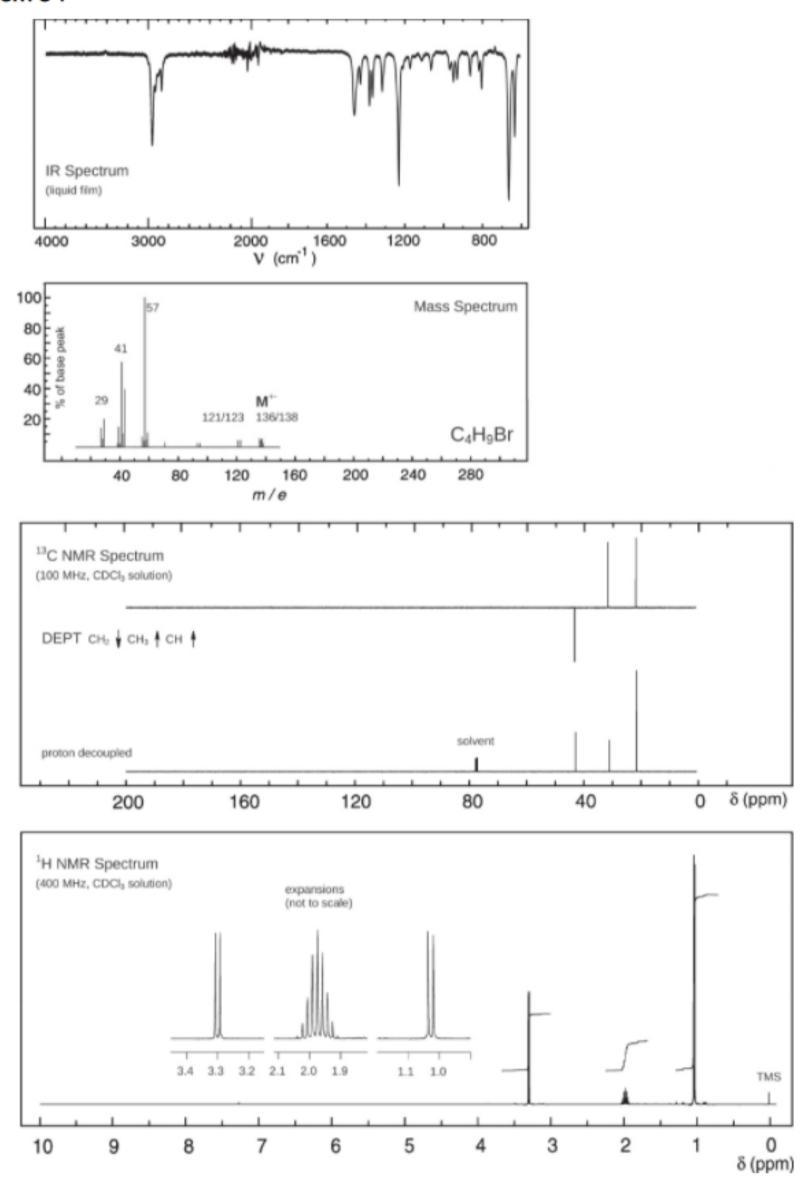


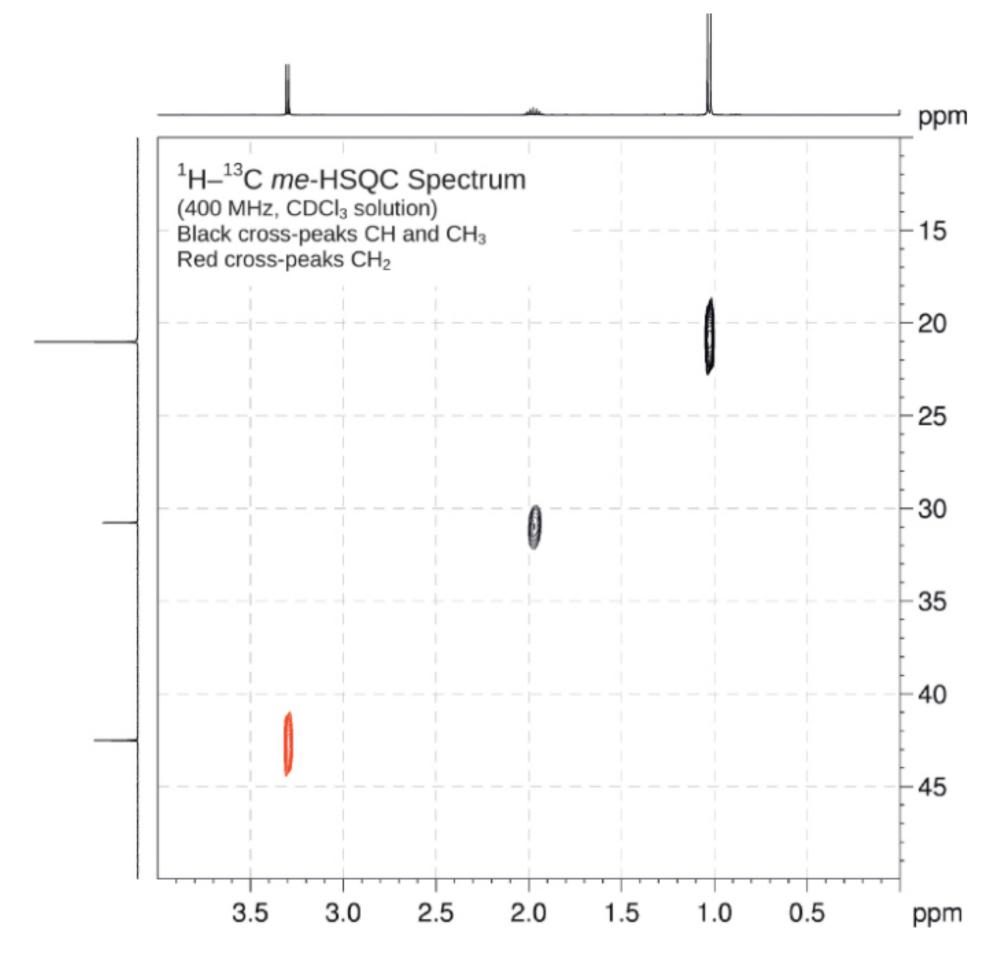


Problem 32

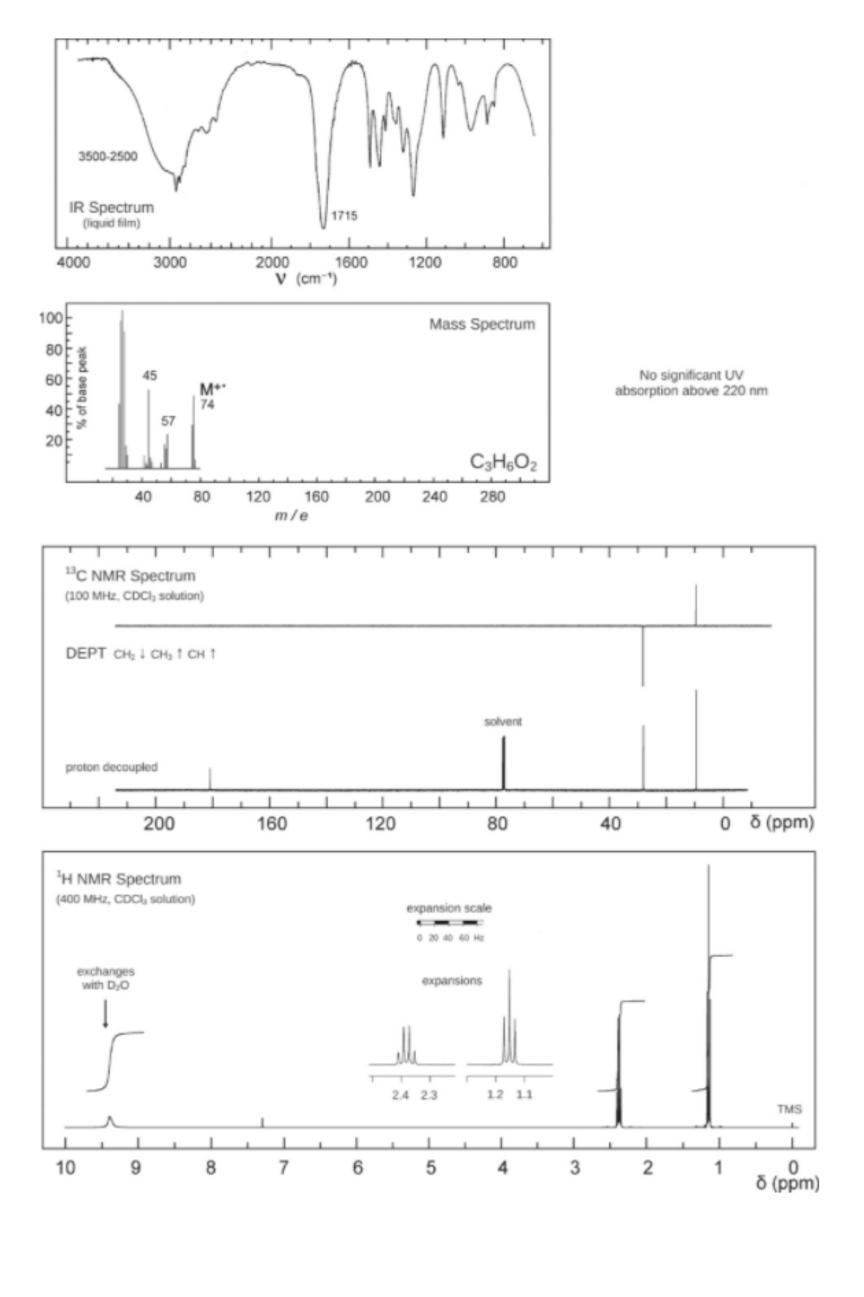


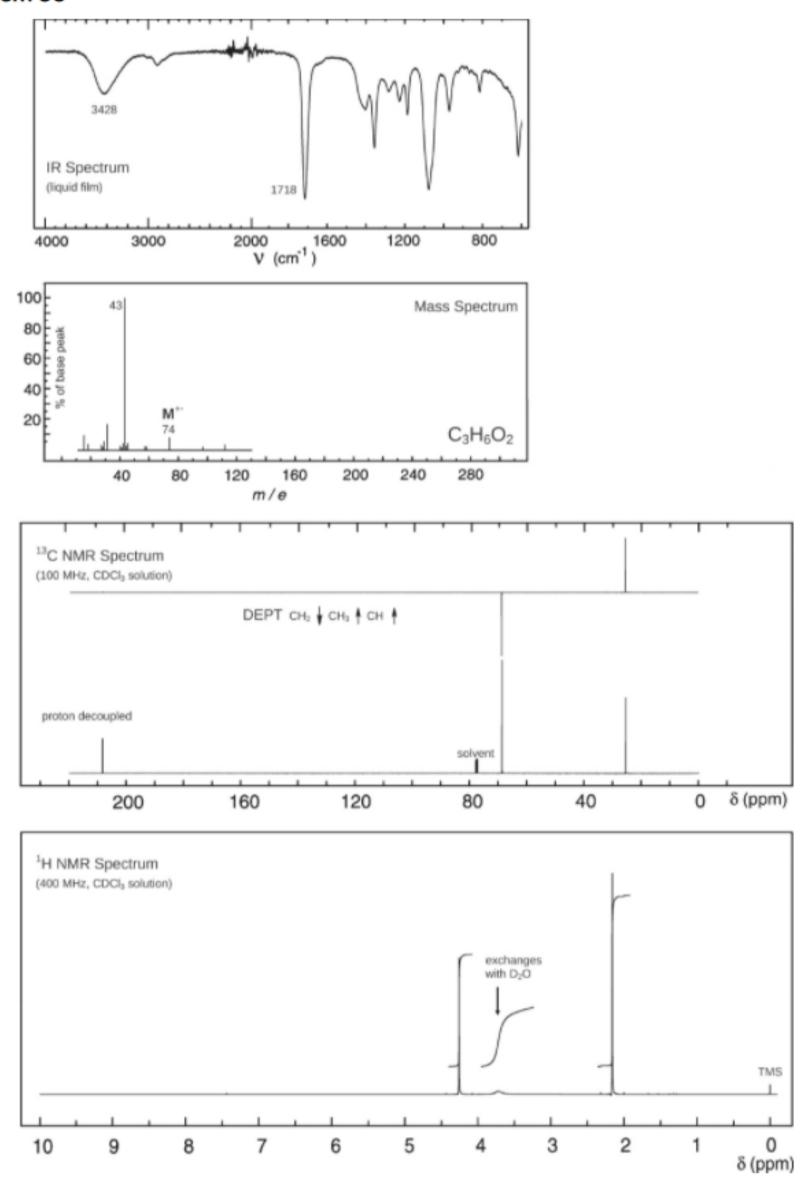


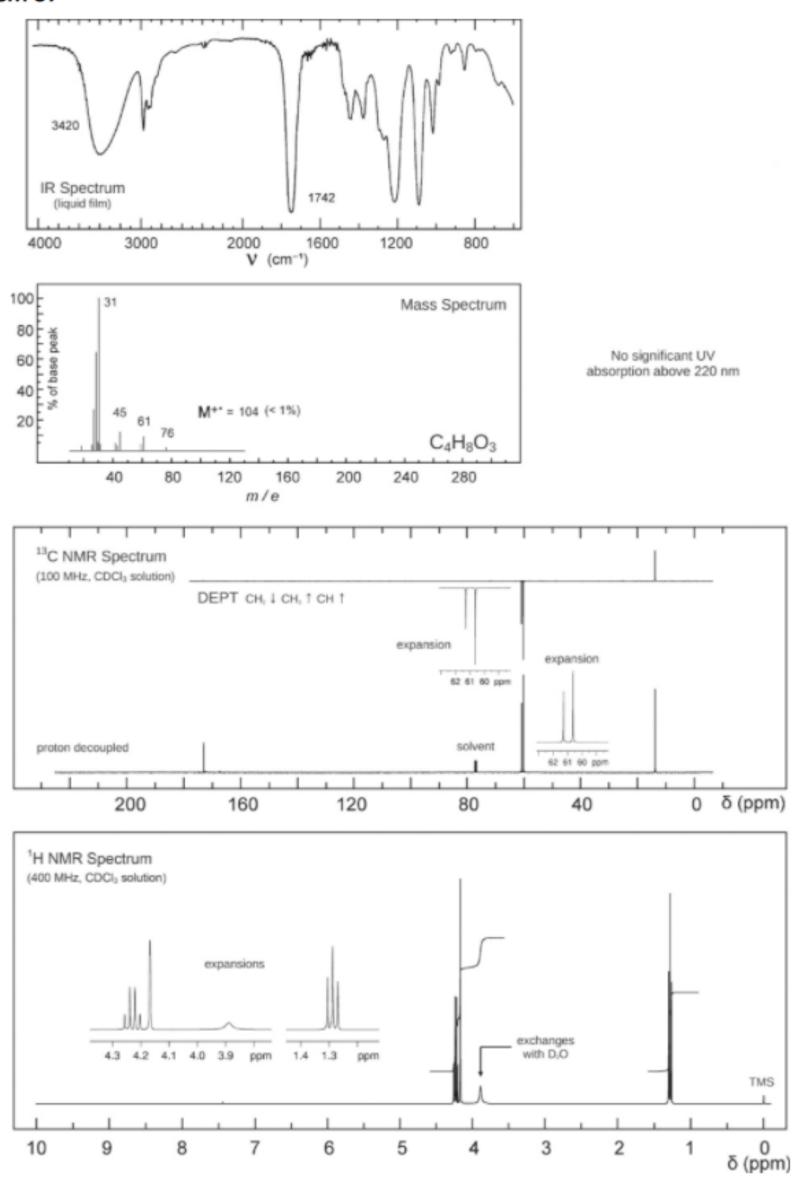


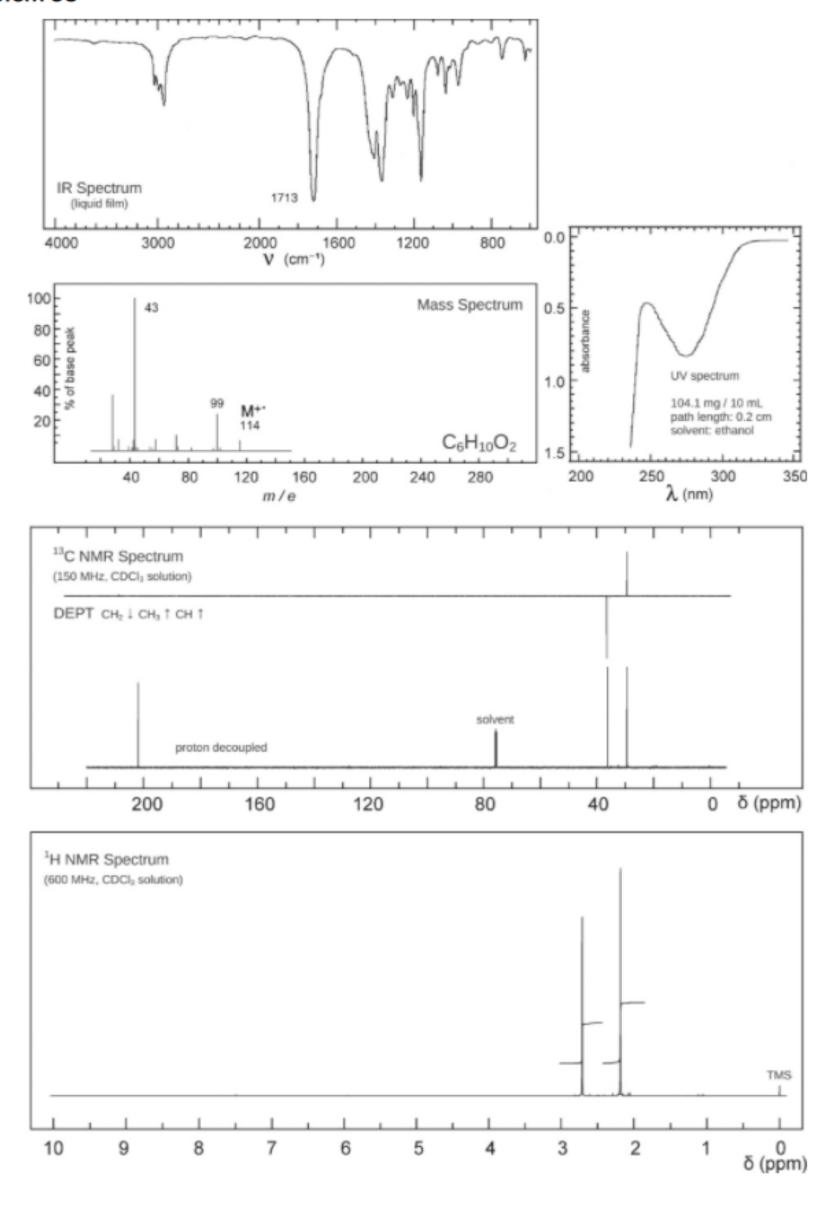


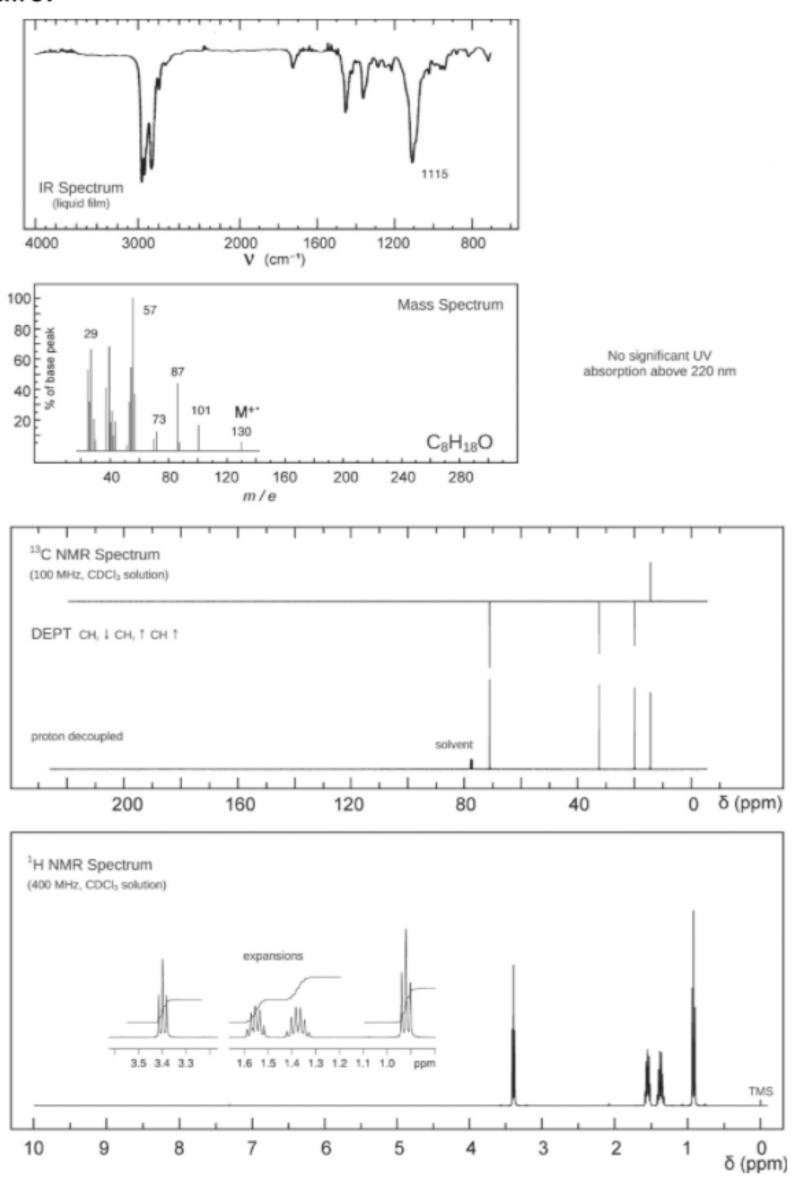
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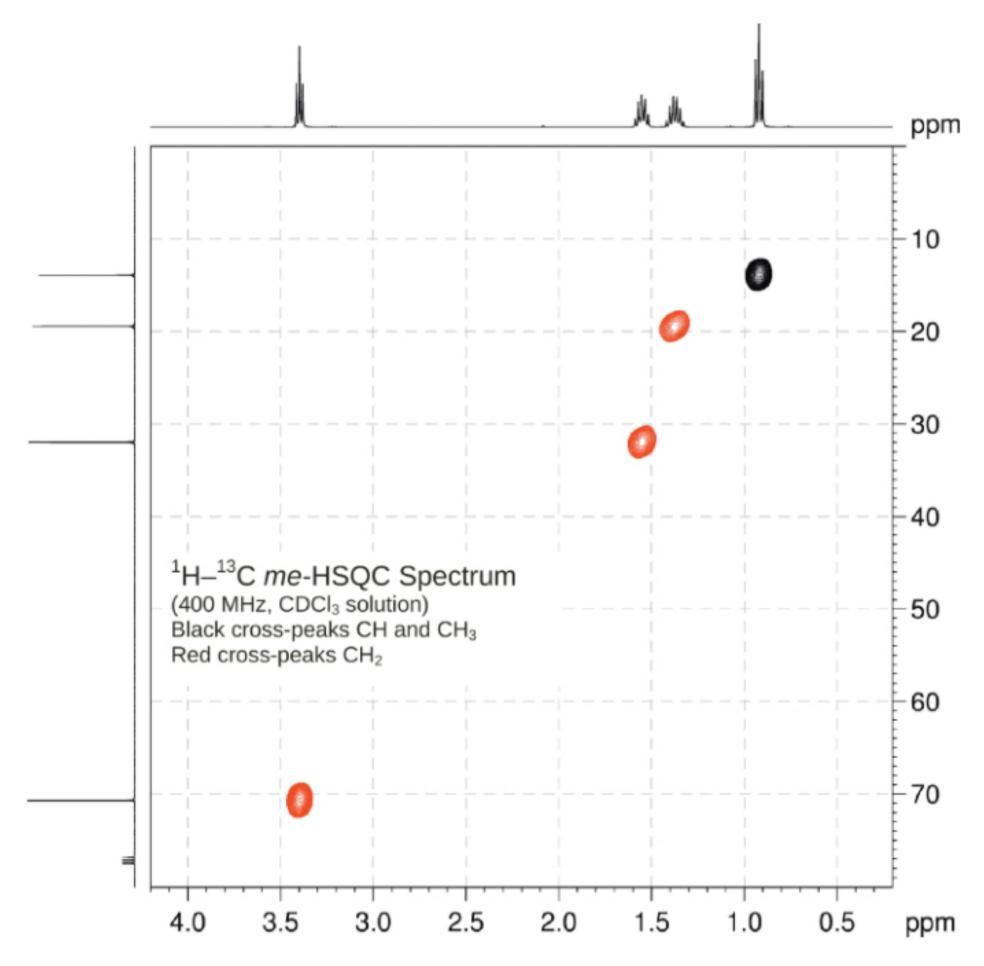




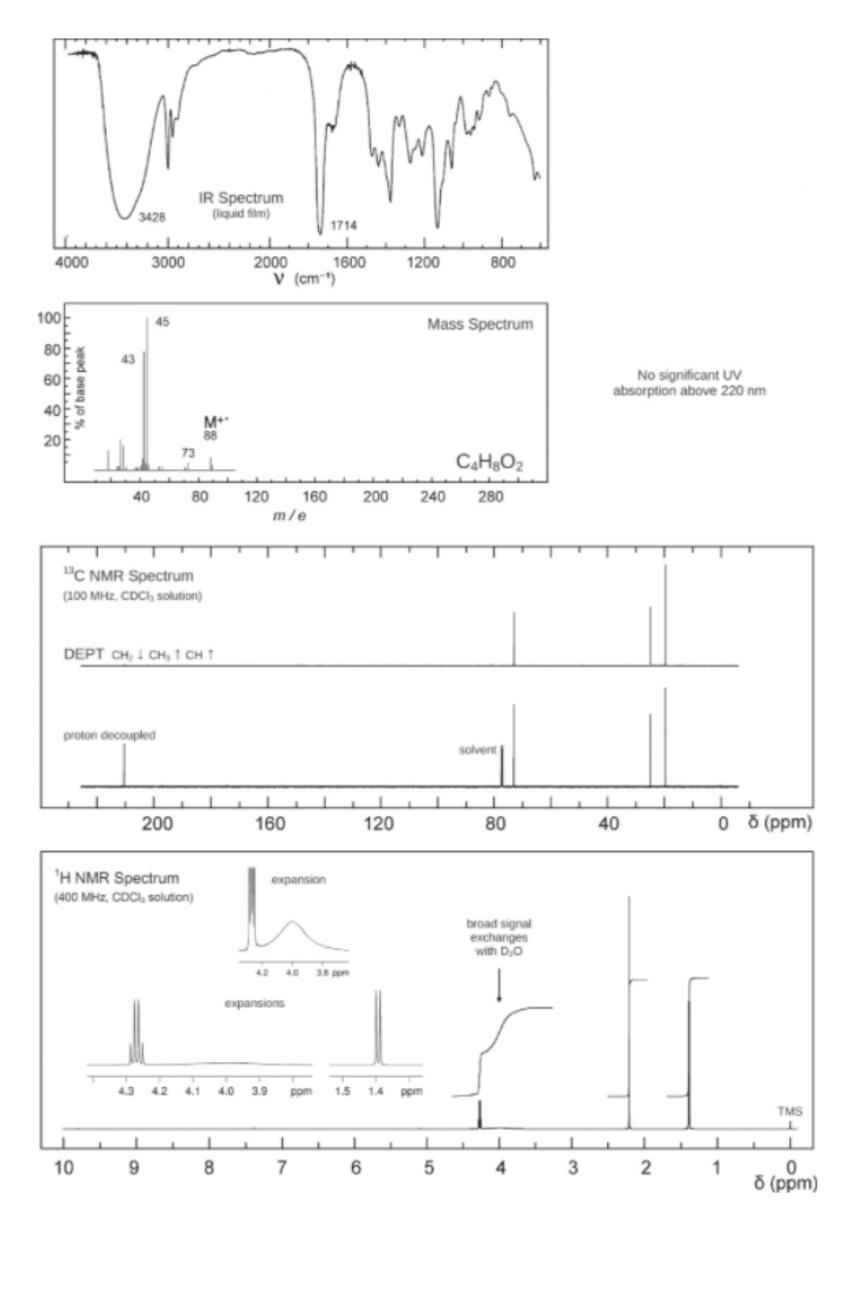


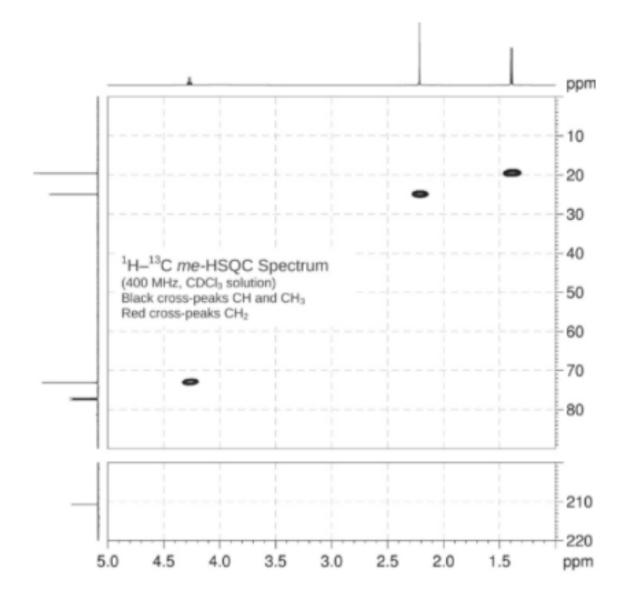


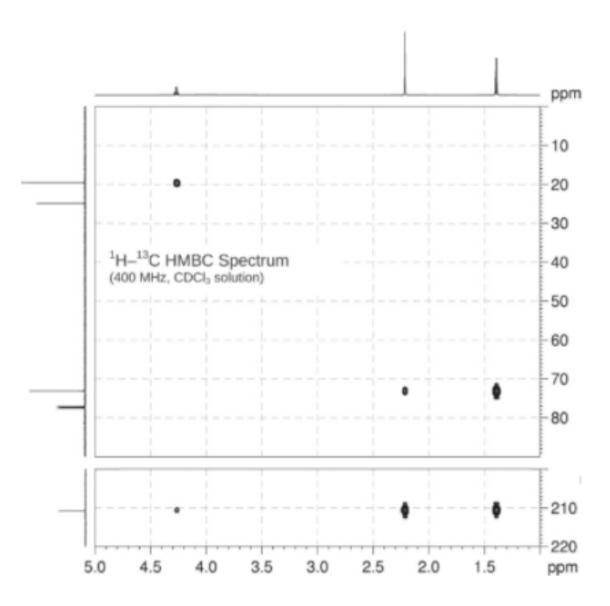


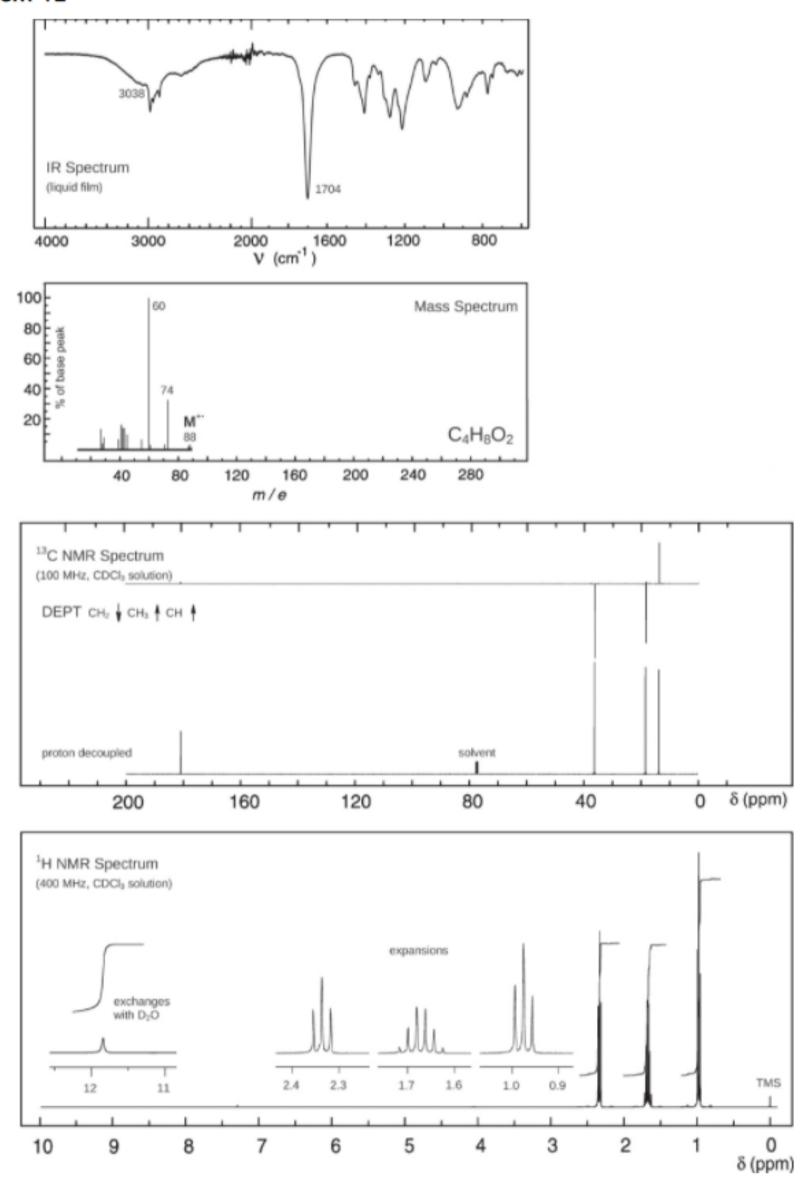


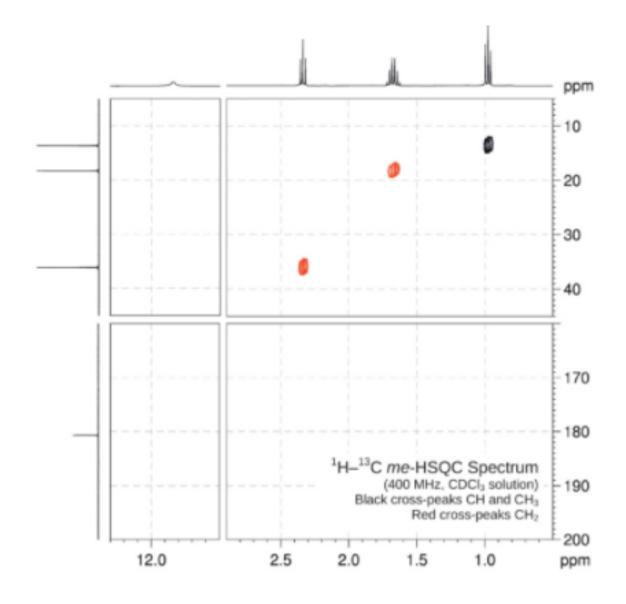
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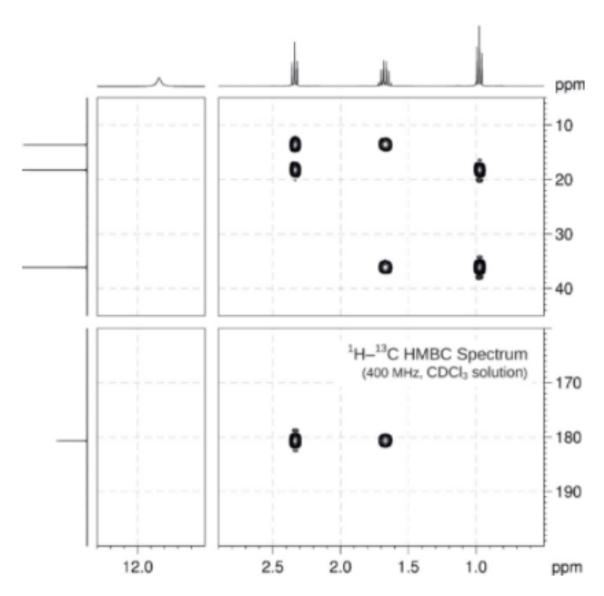


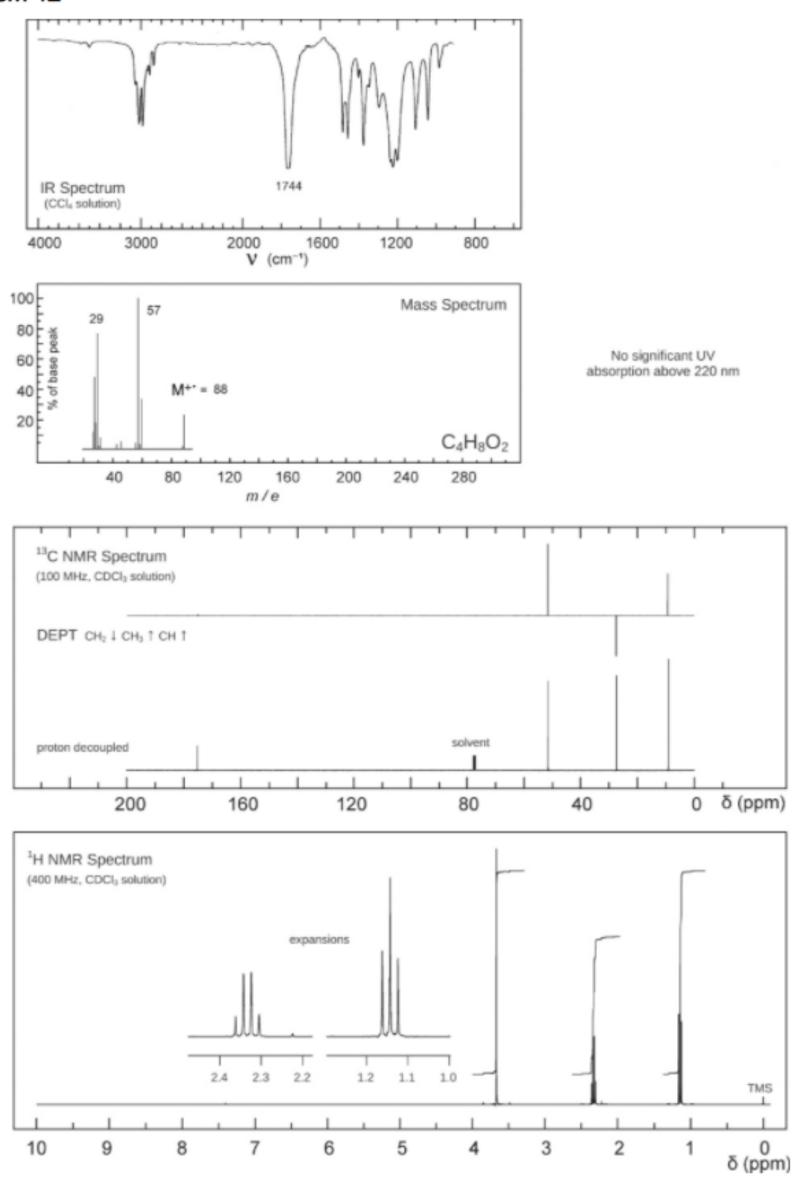


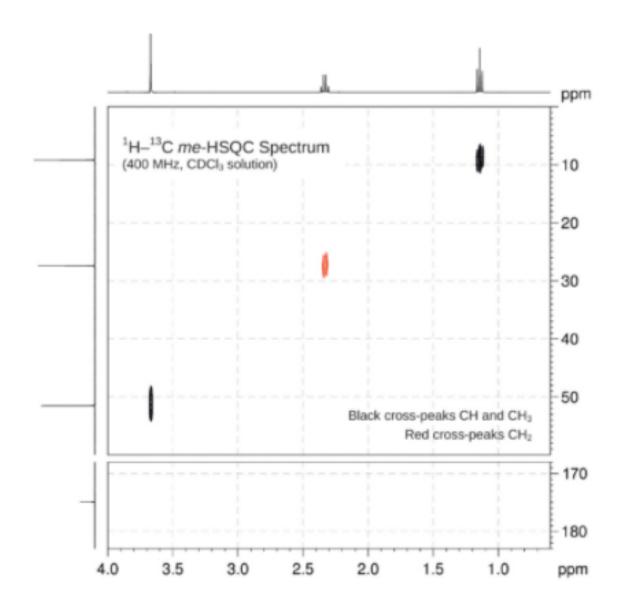


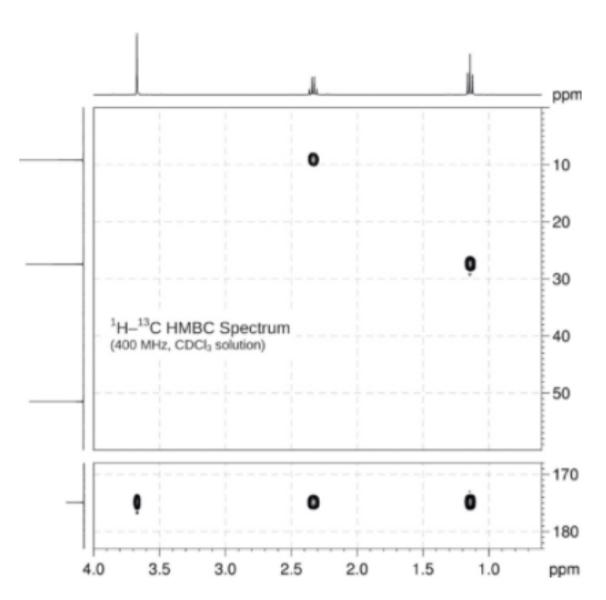


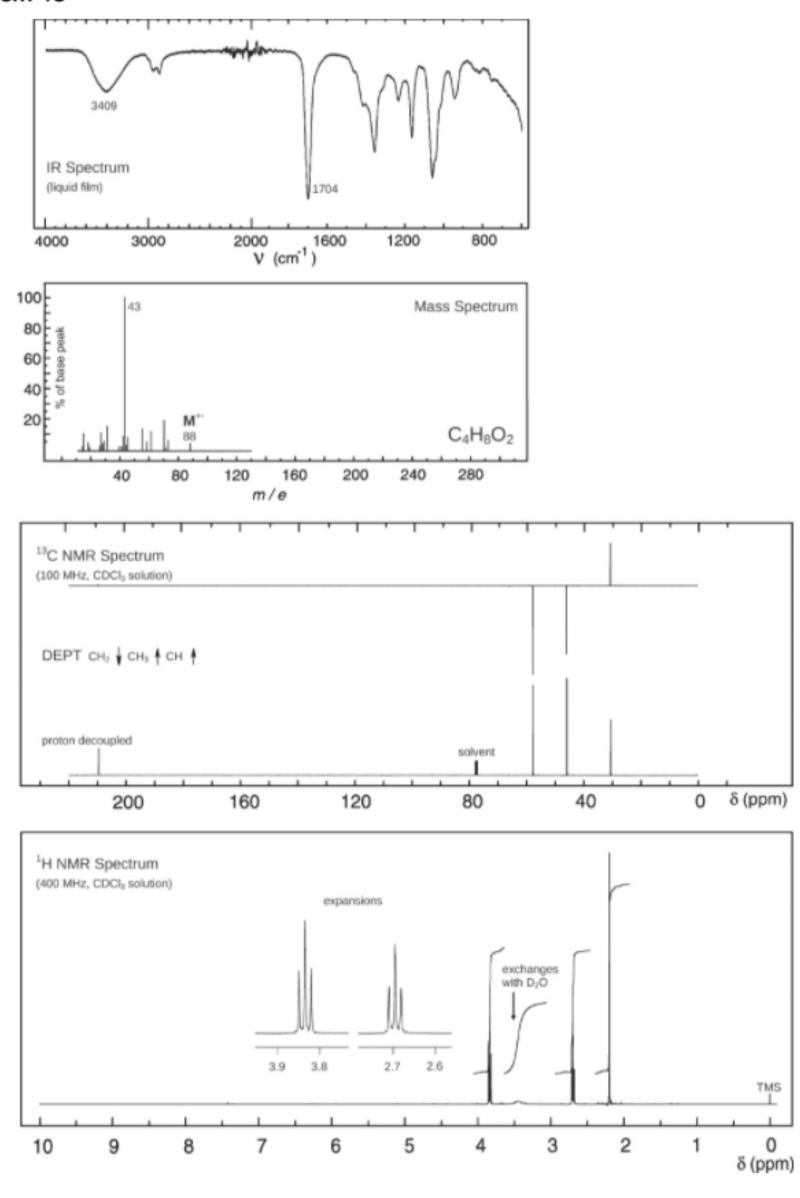


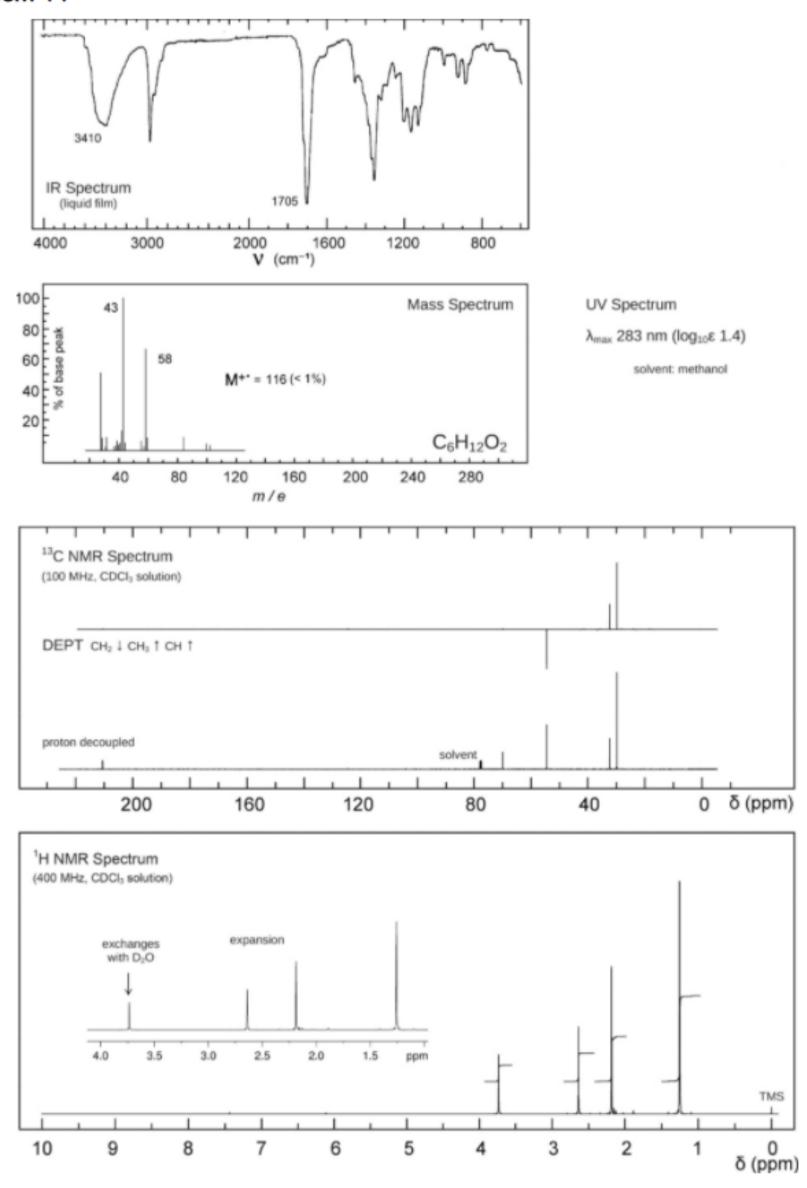


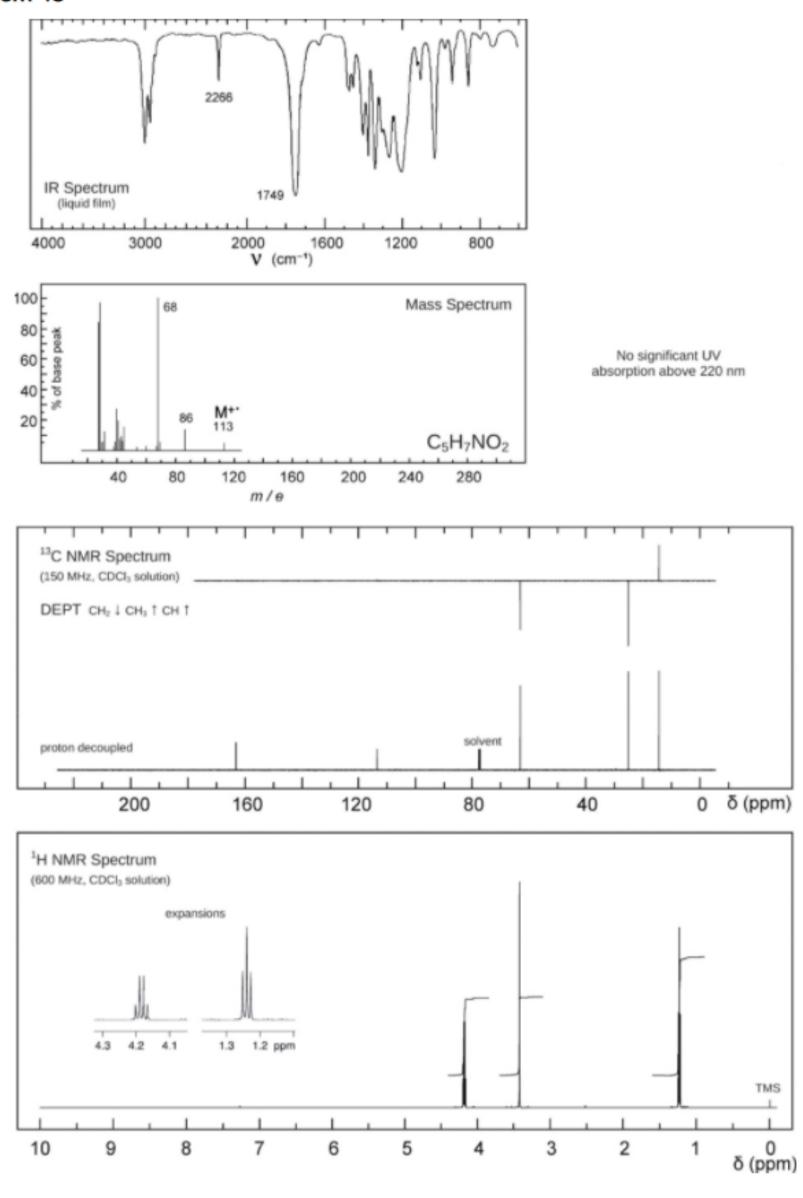


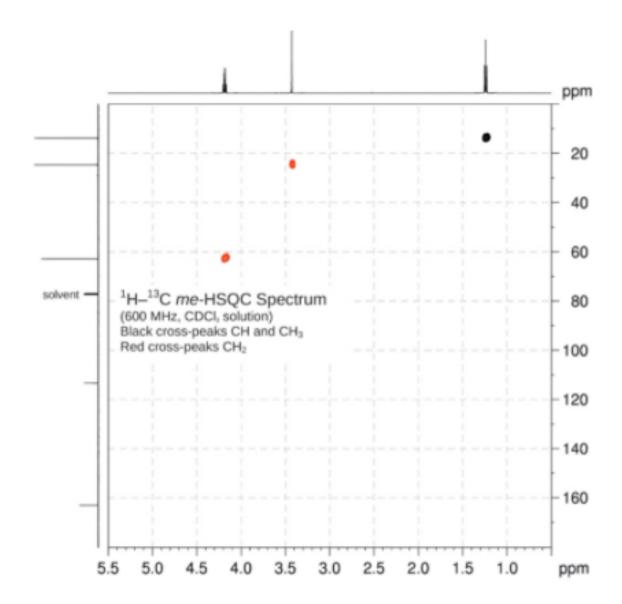


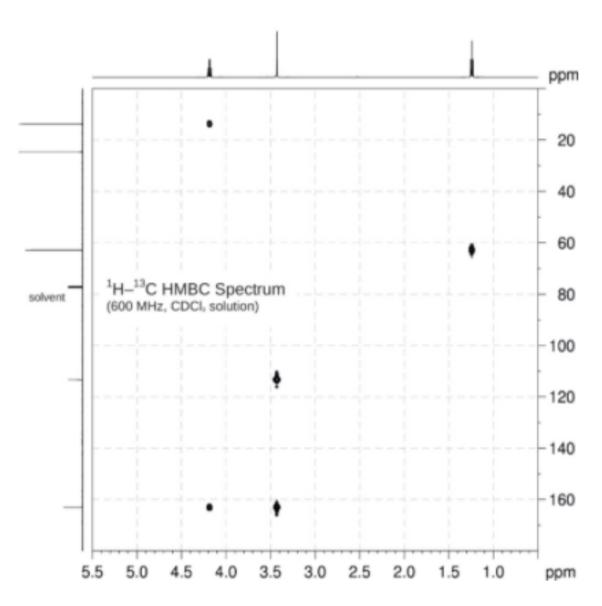


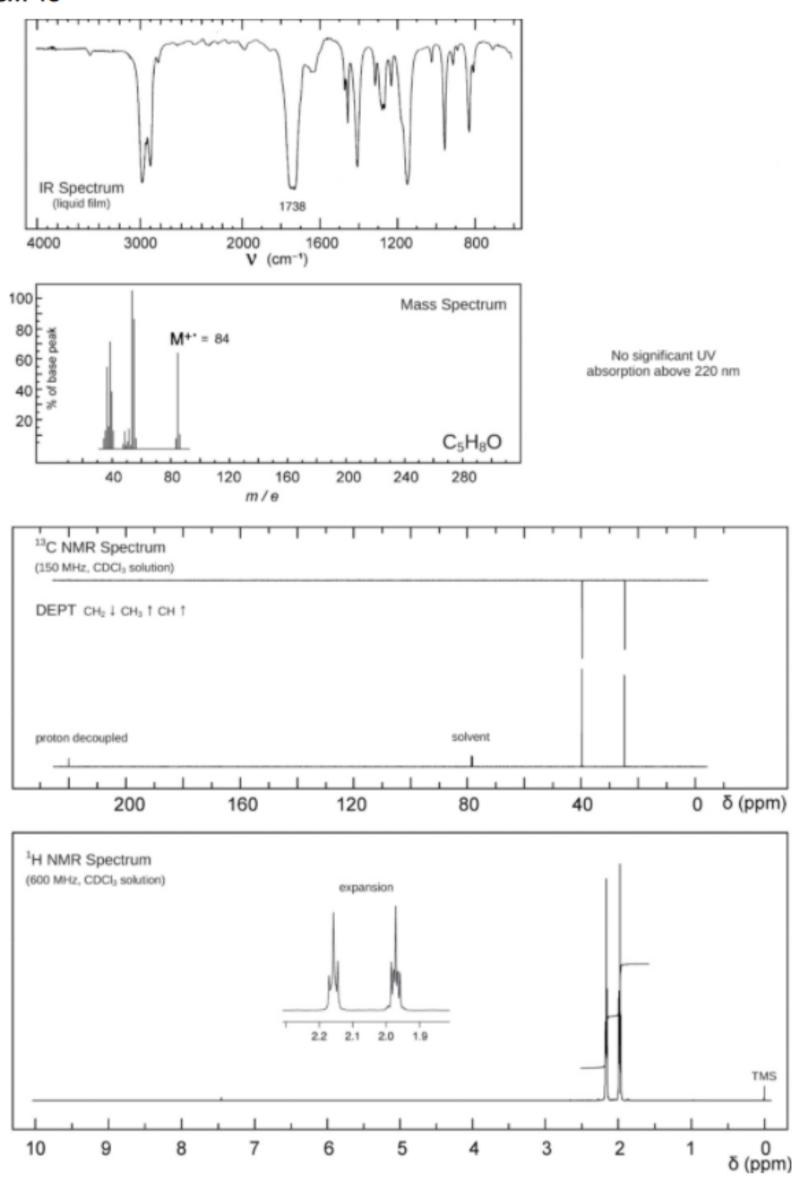


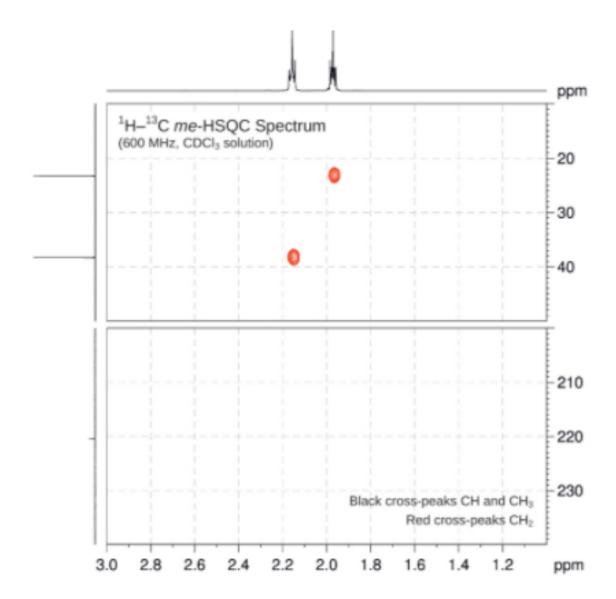


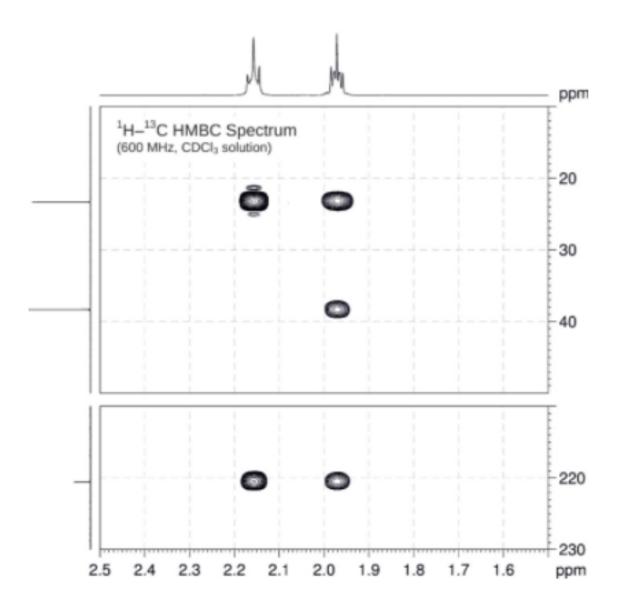


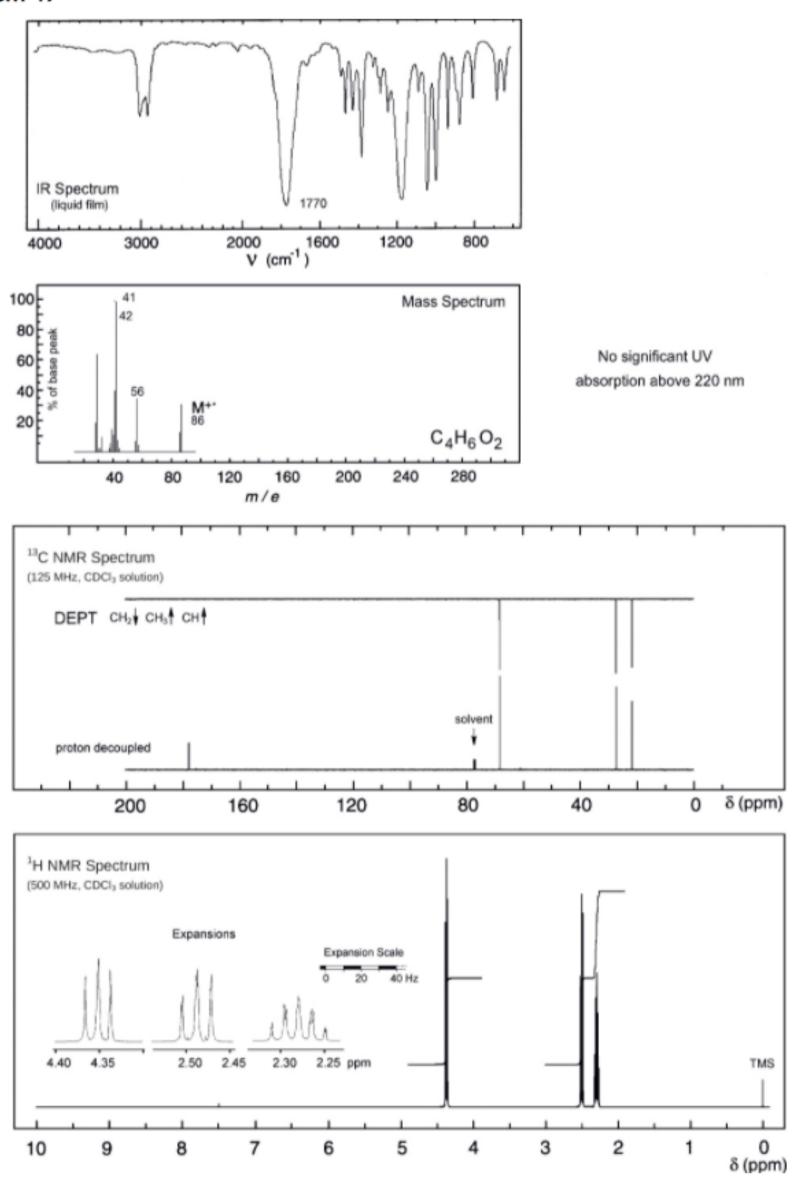


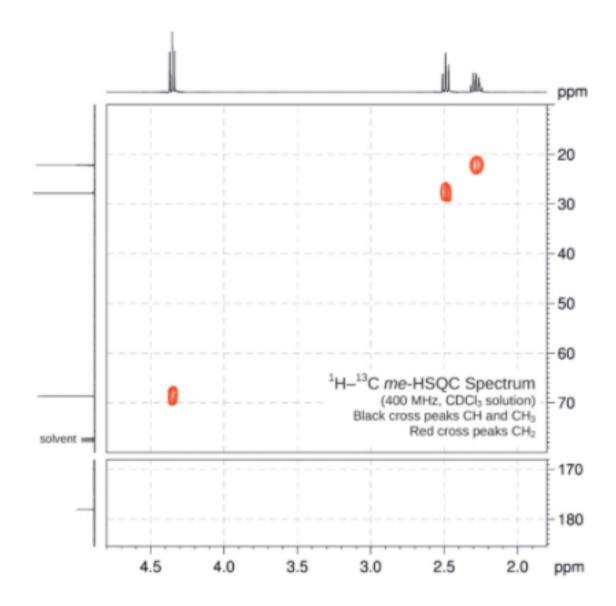


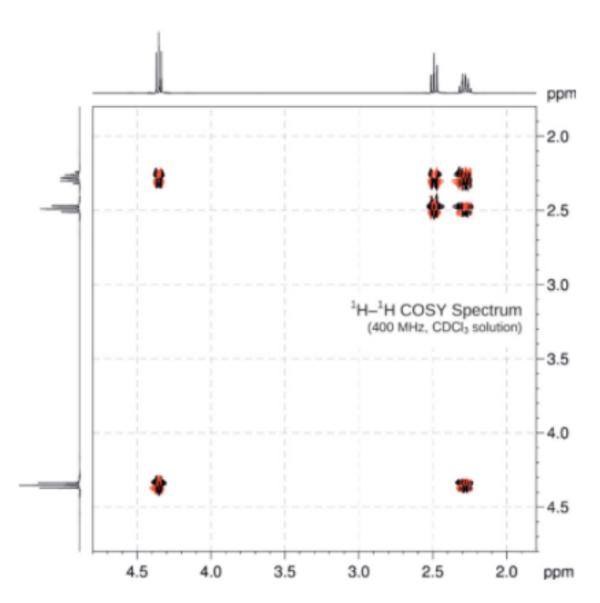


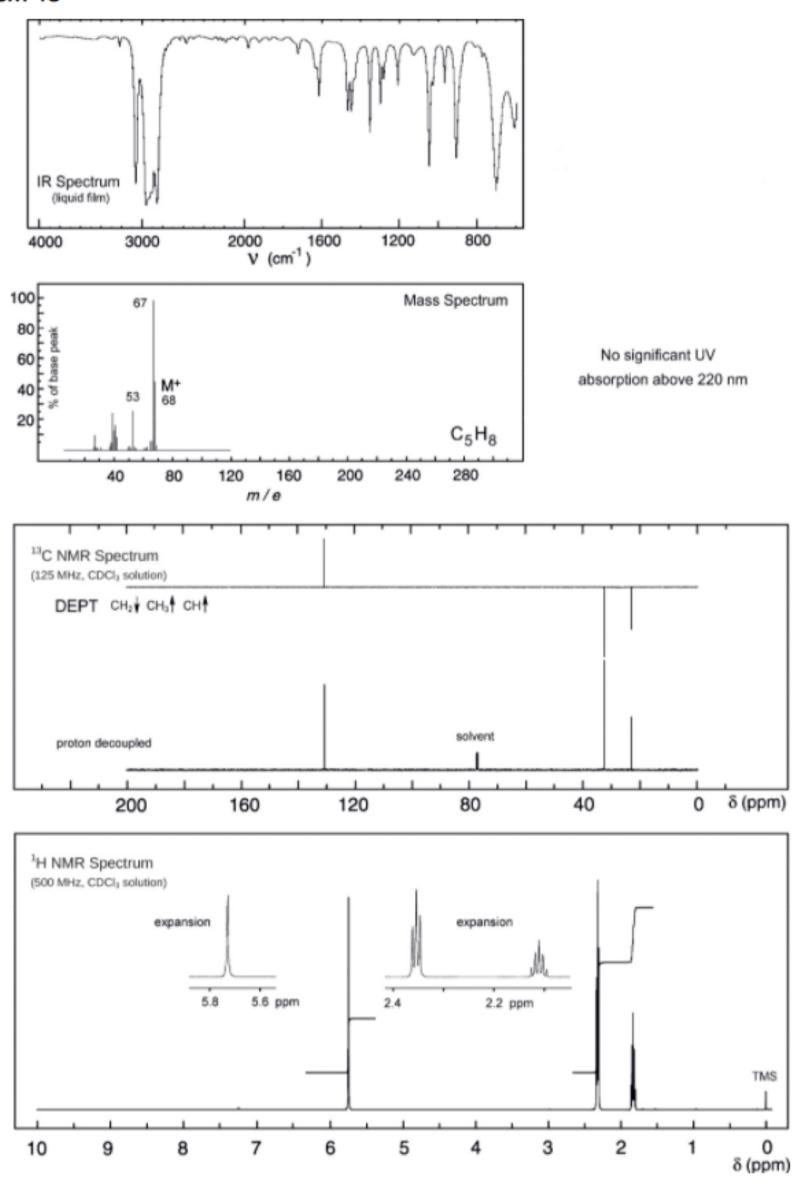


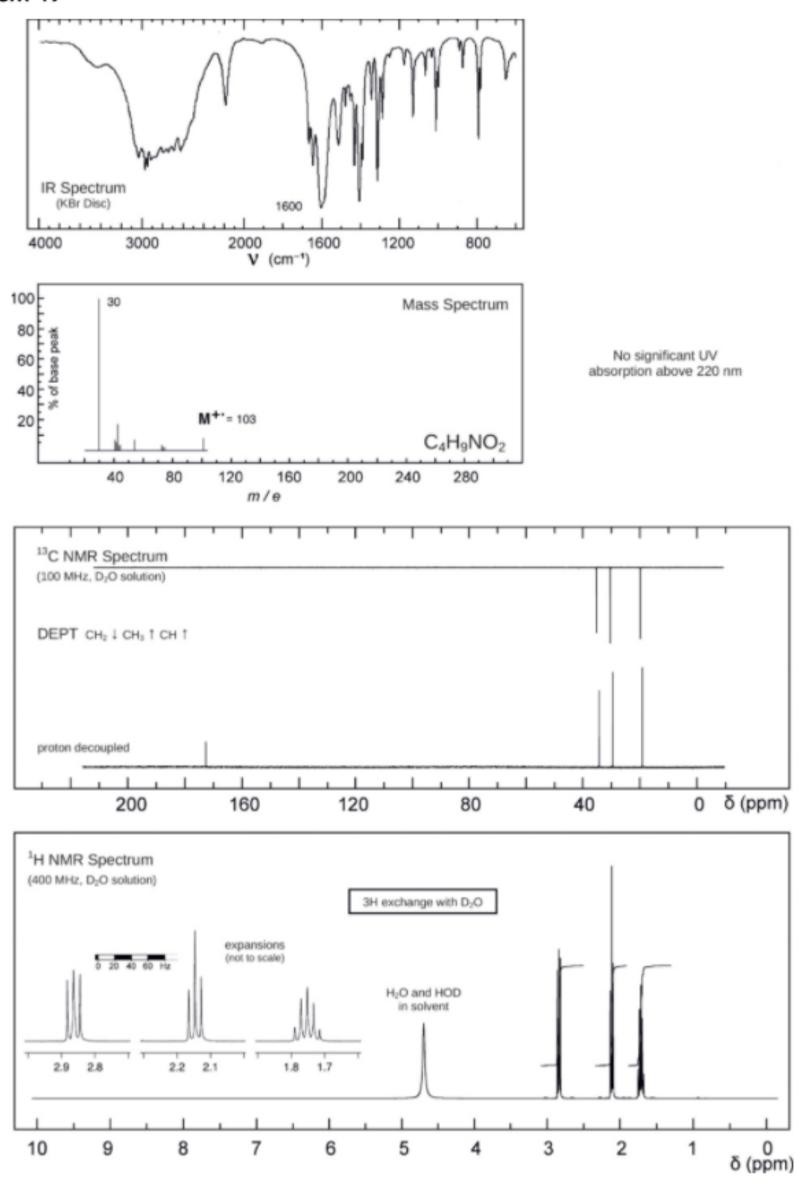


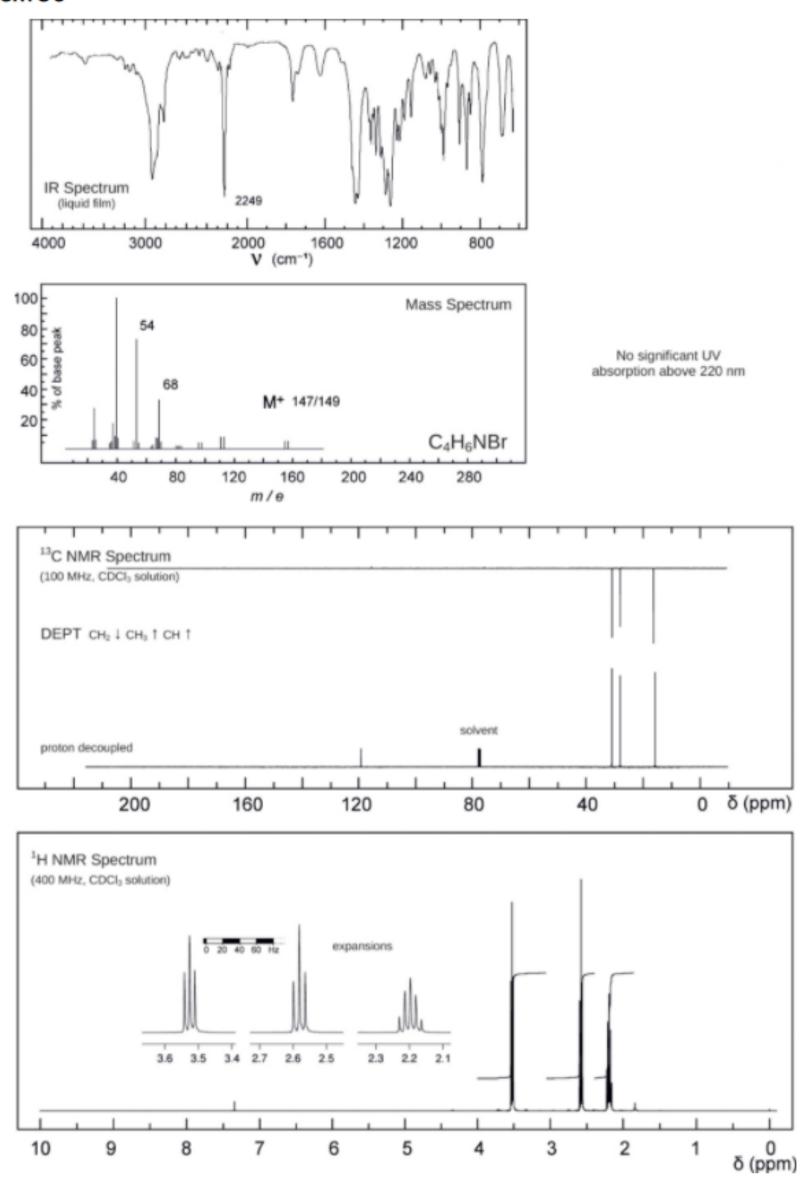


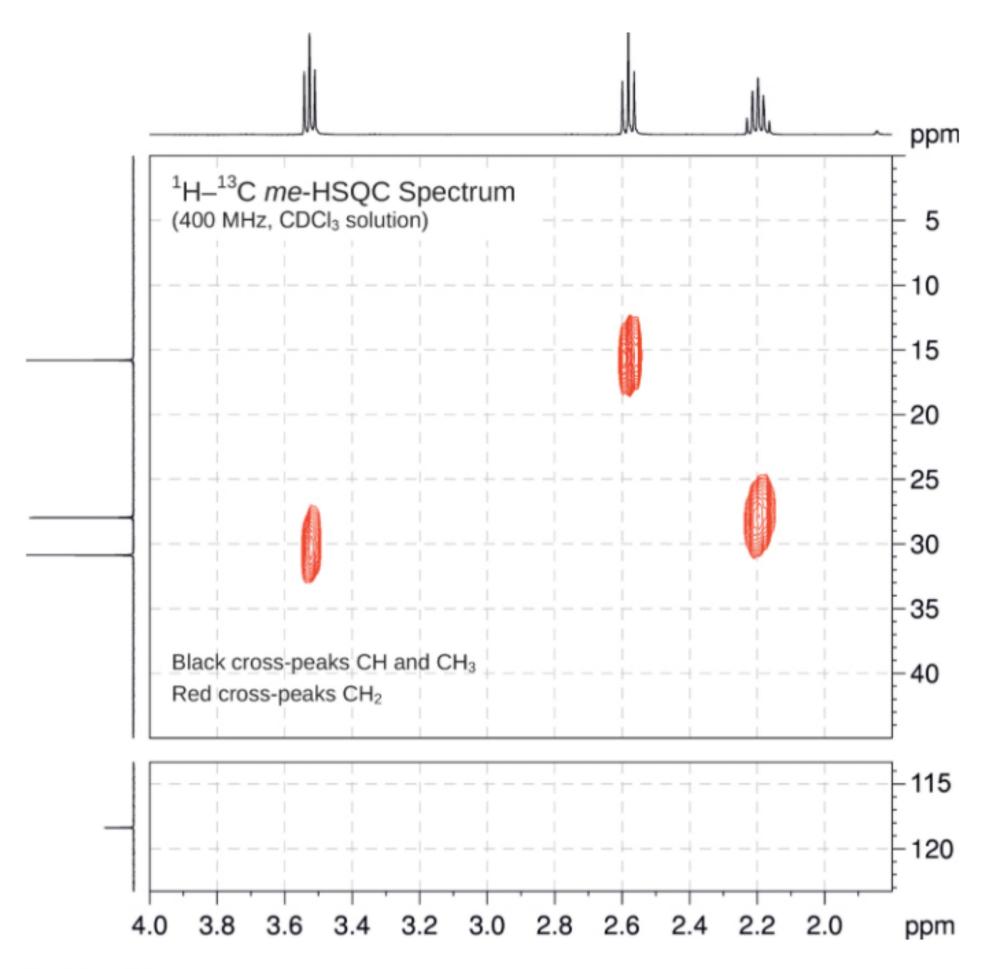




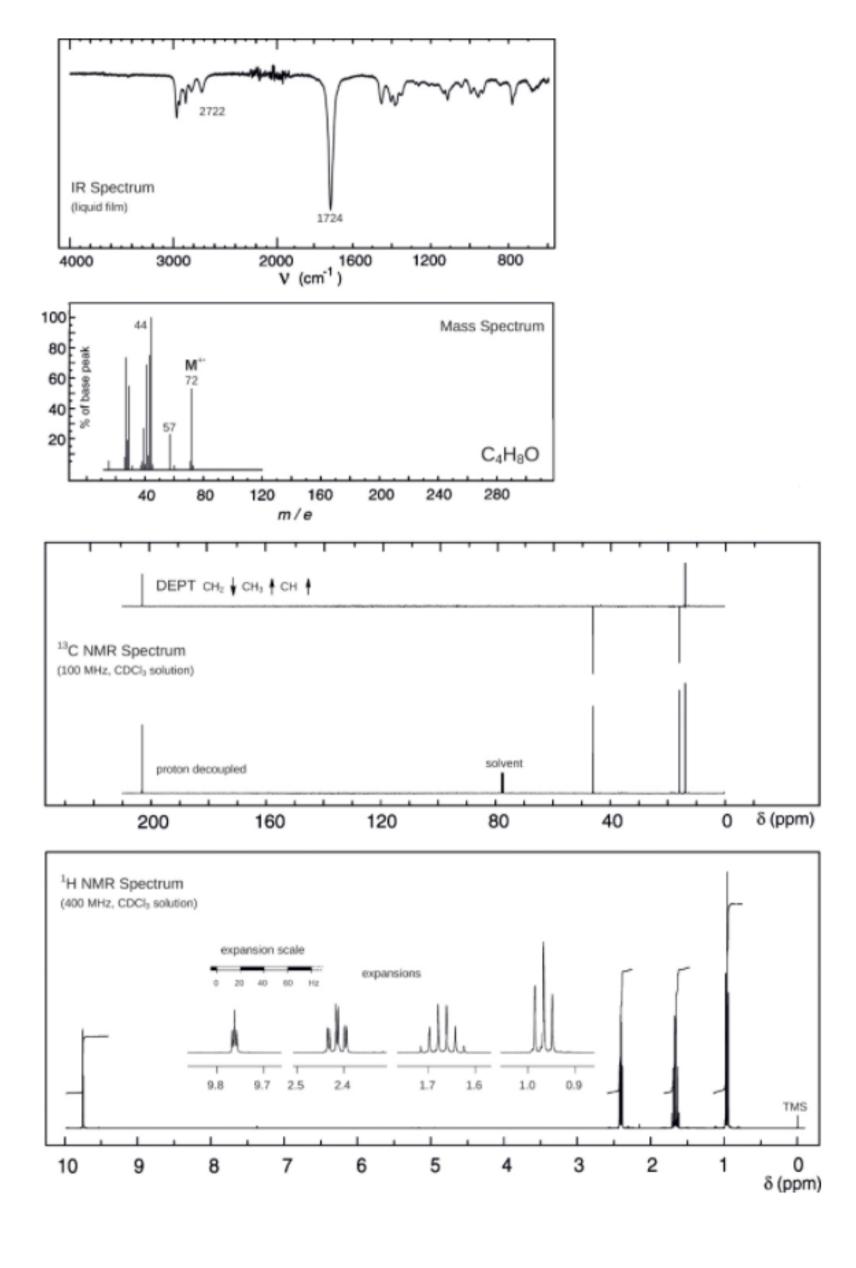


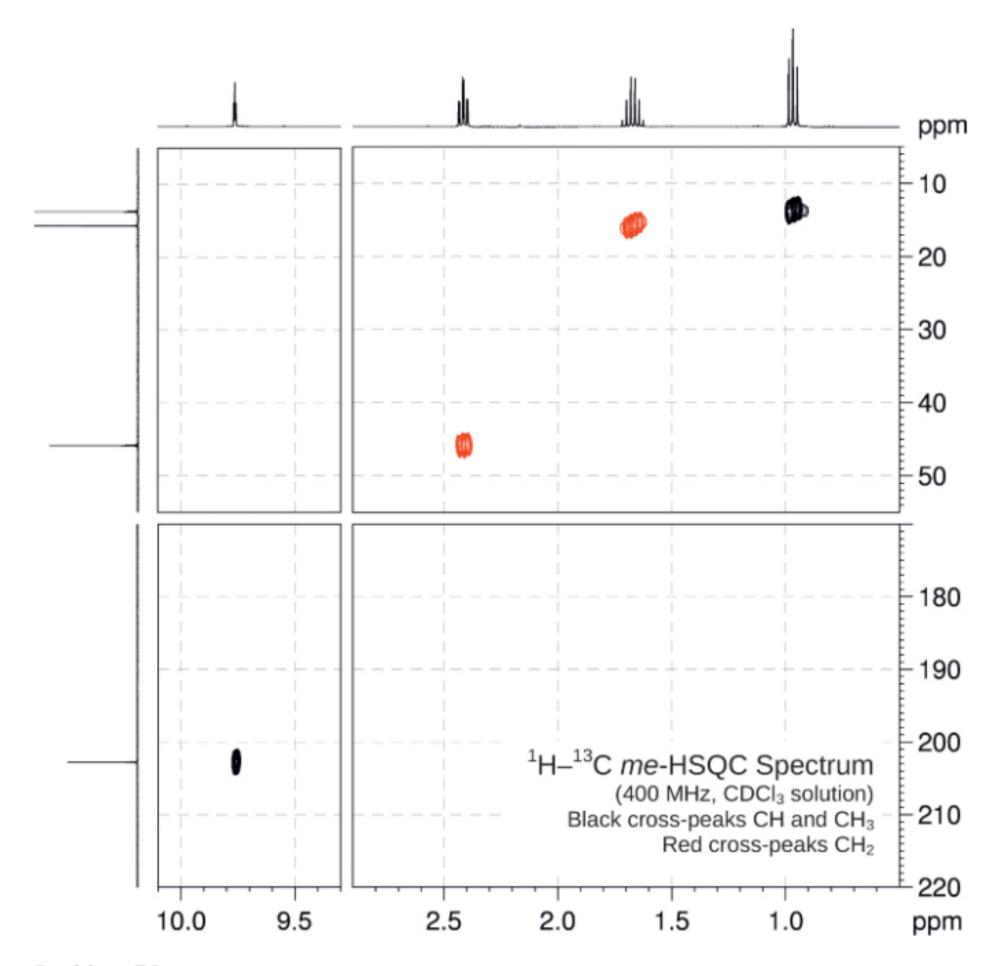




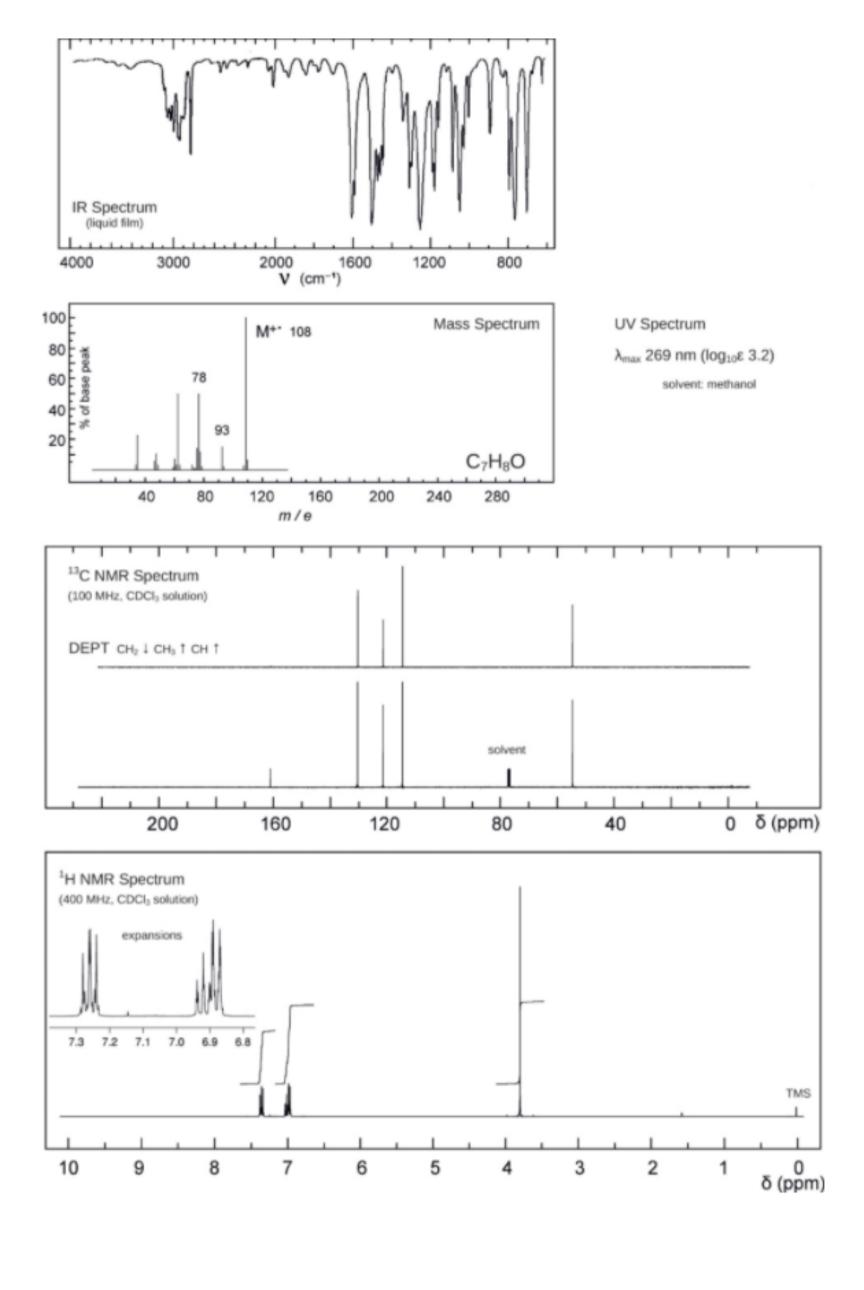


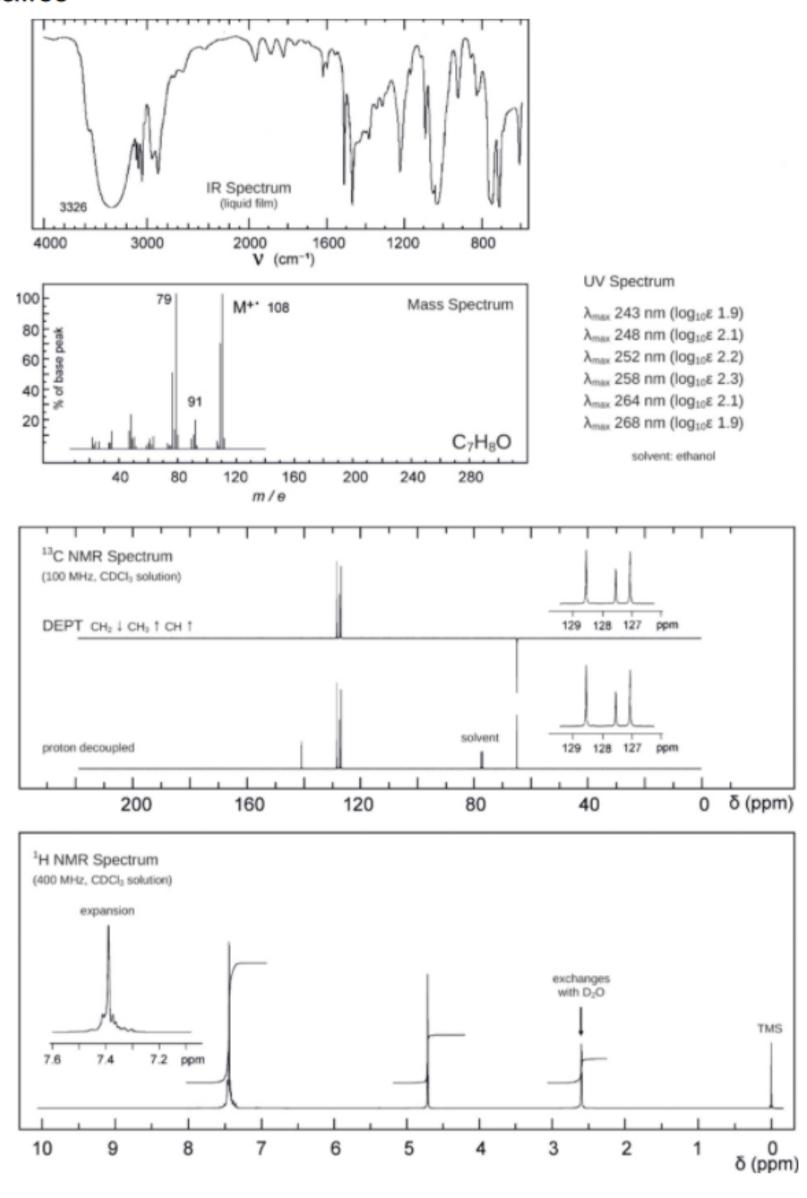
Problem 51

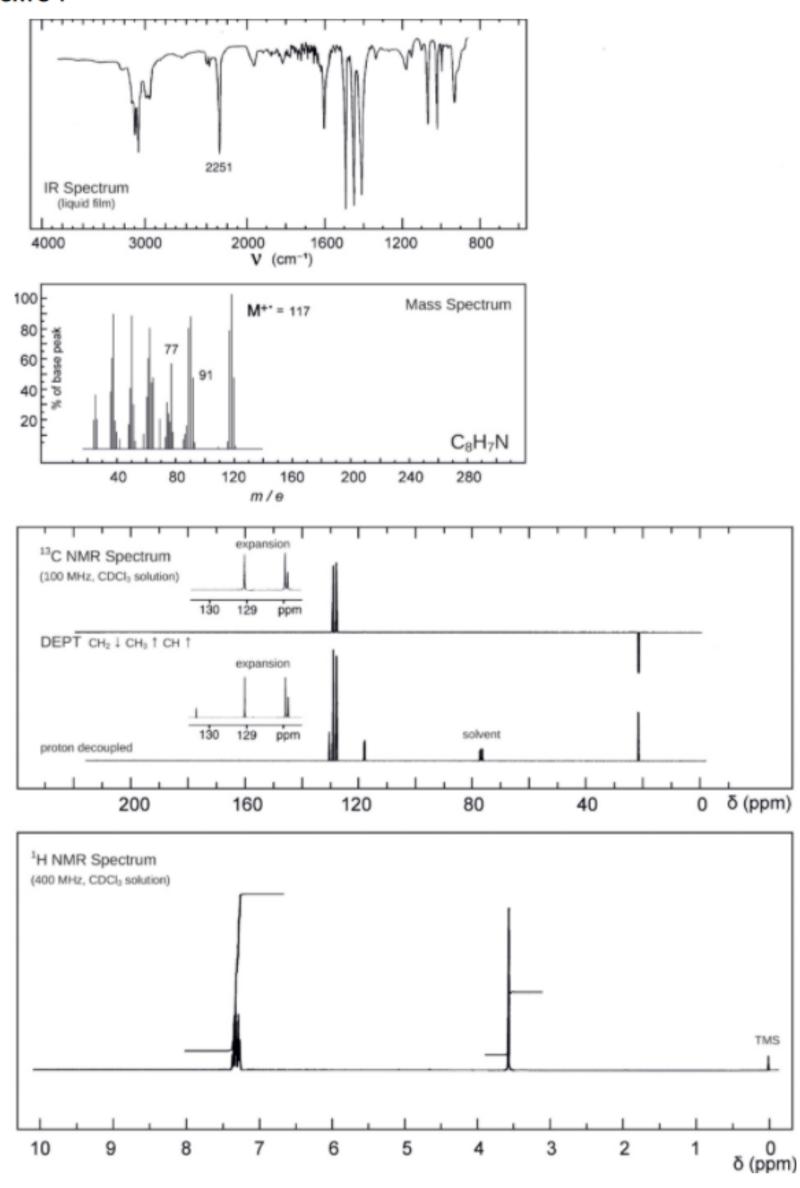


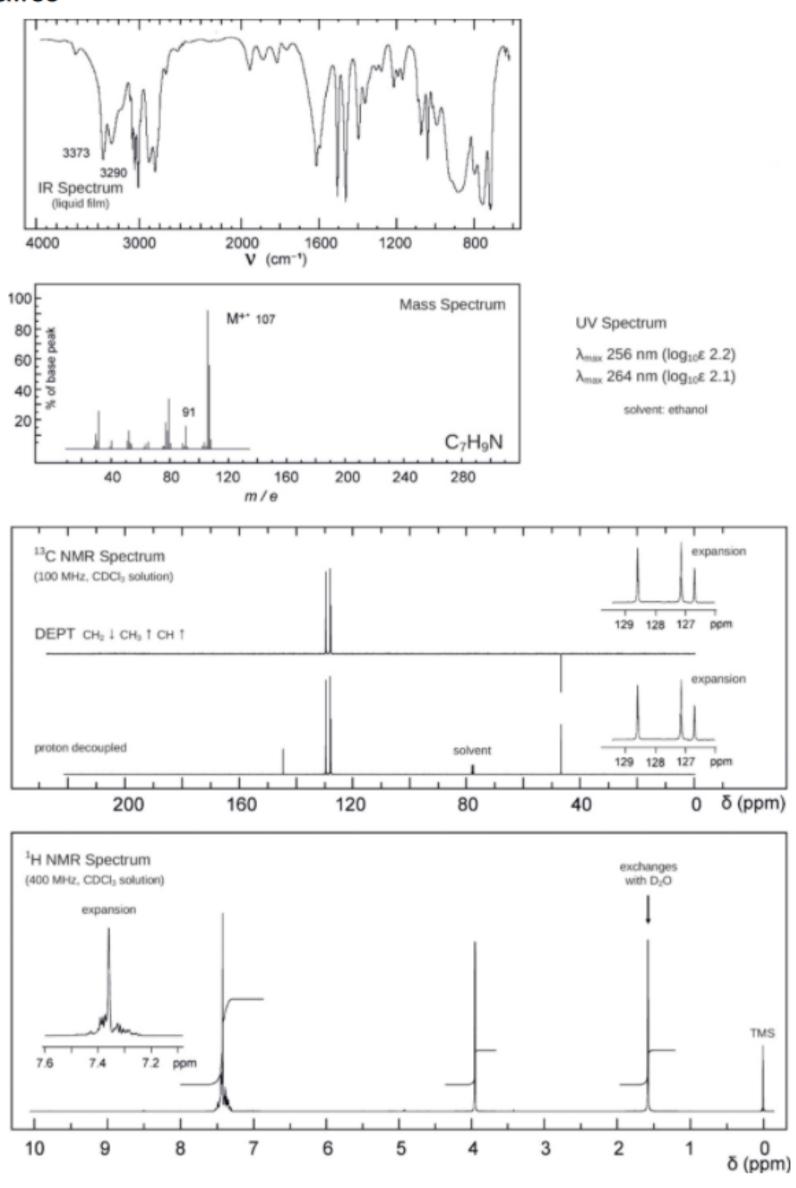


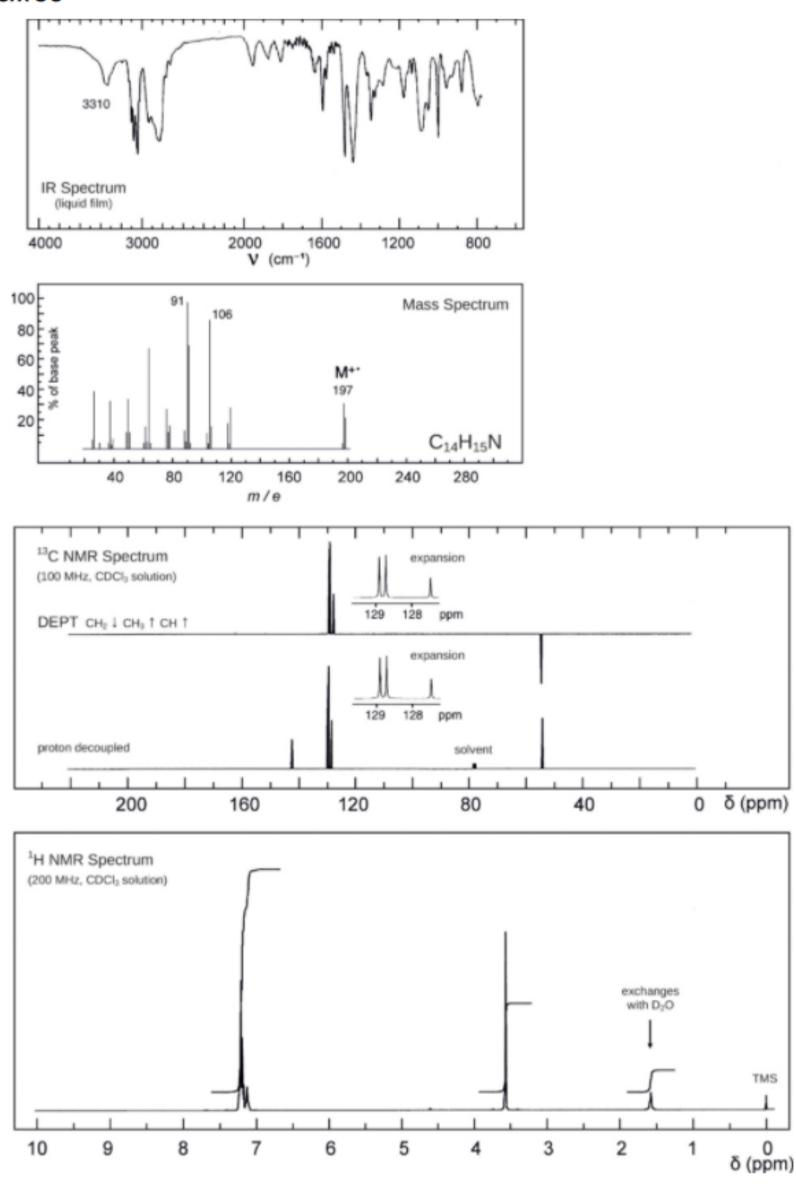
Problem 52

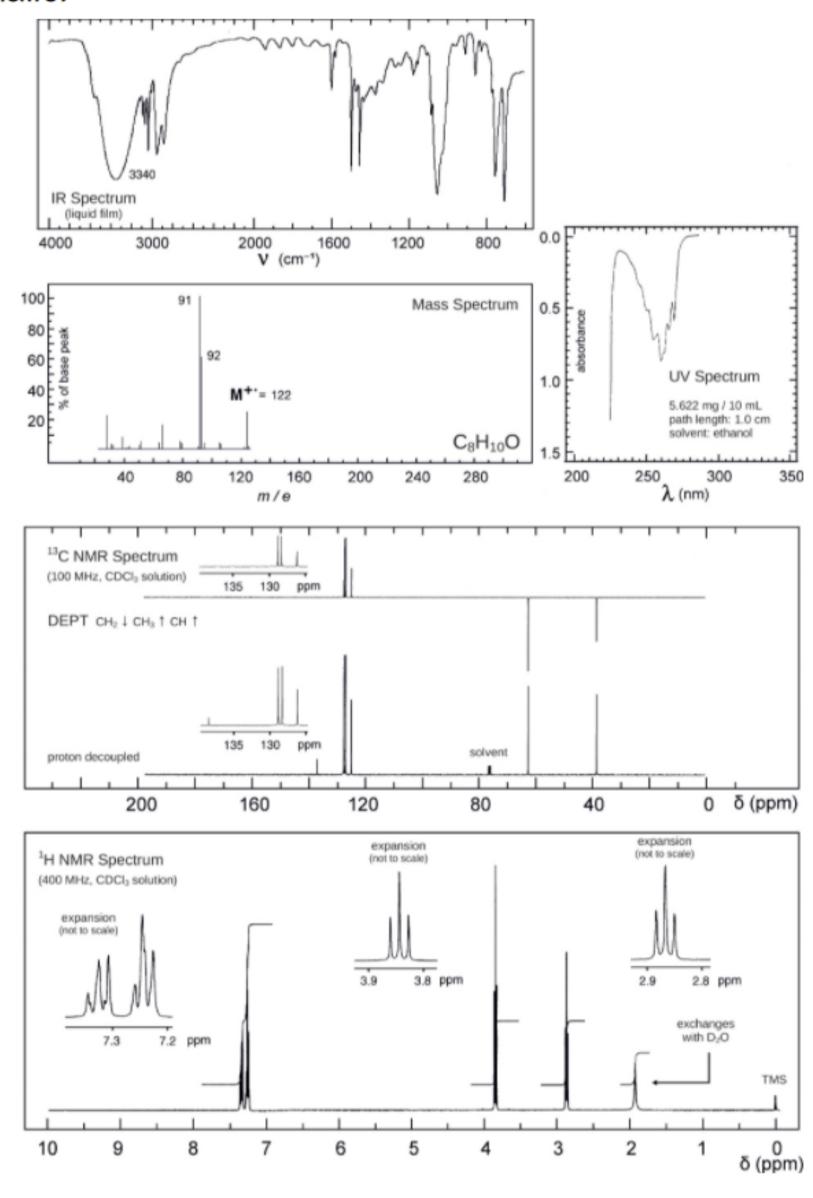


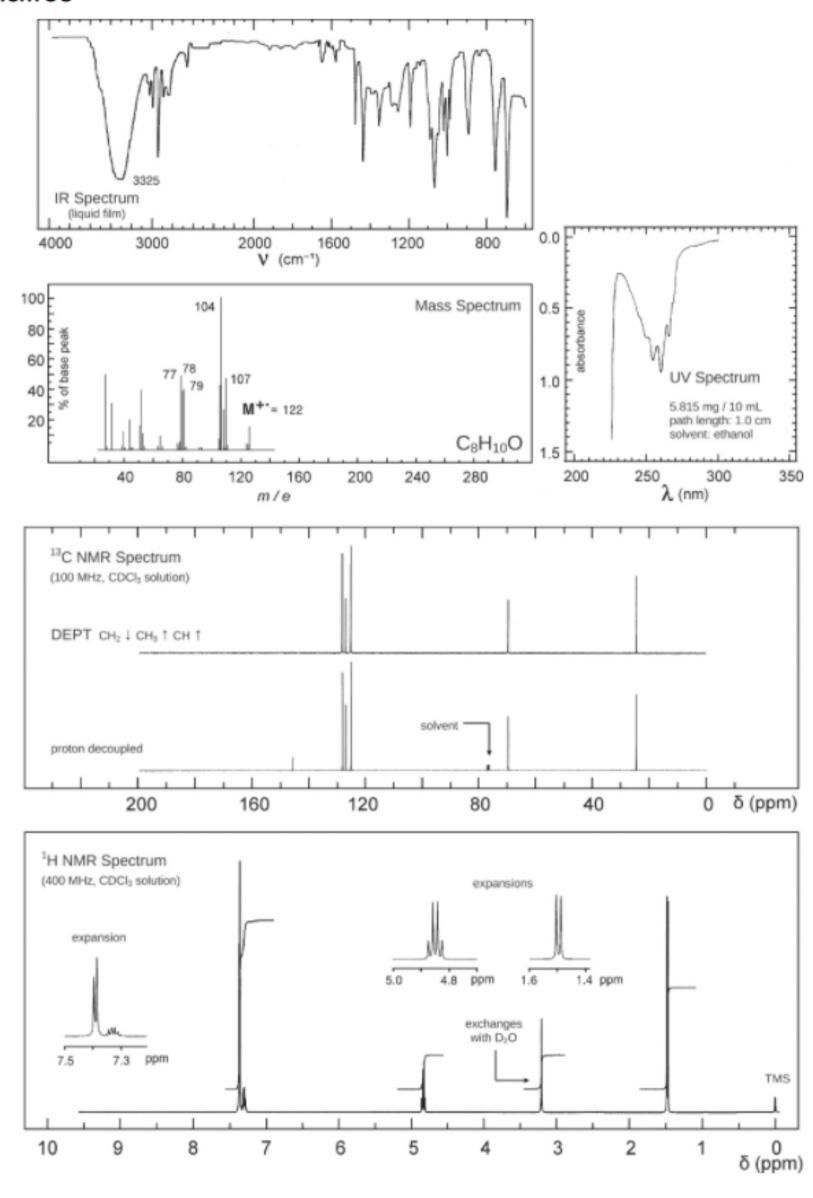


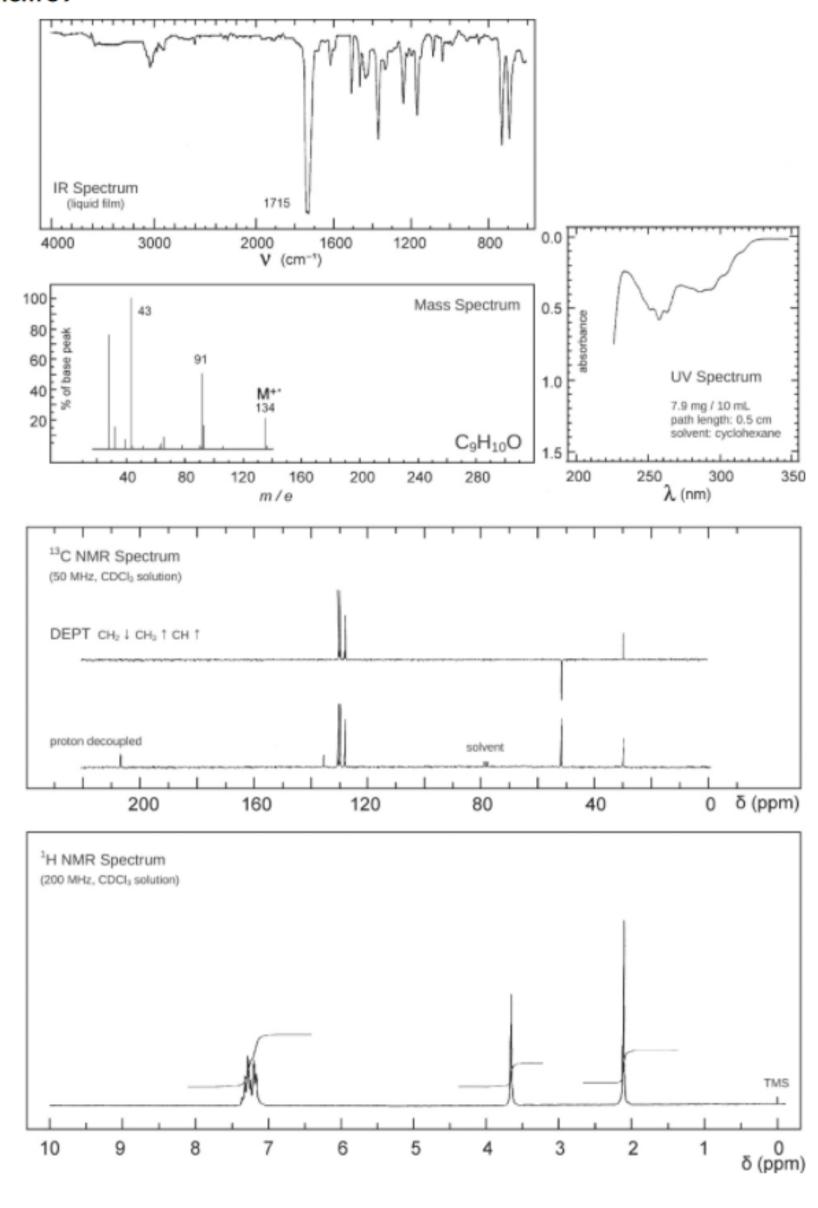


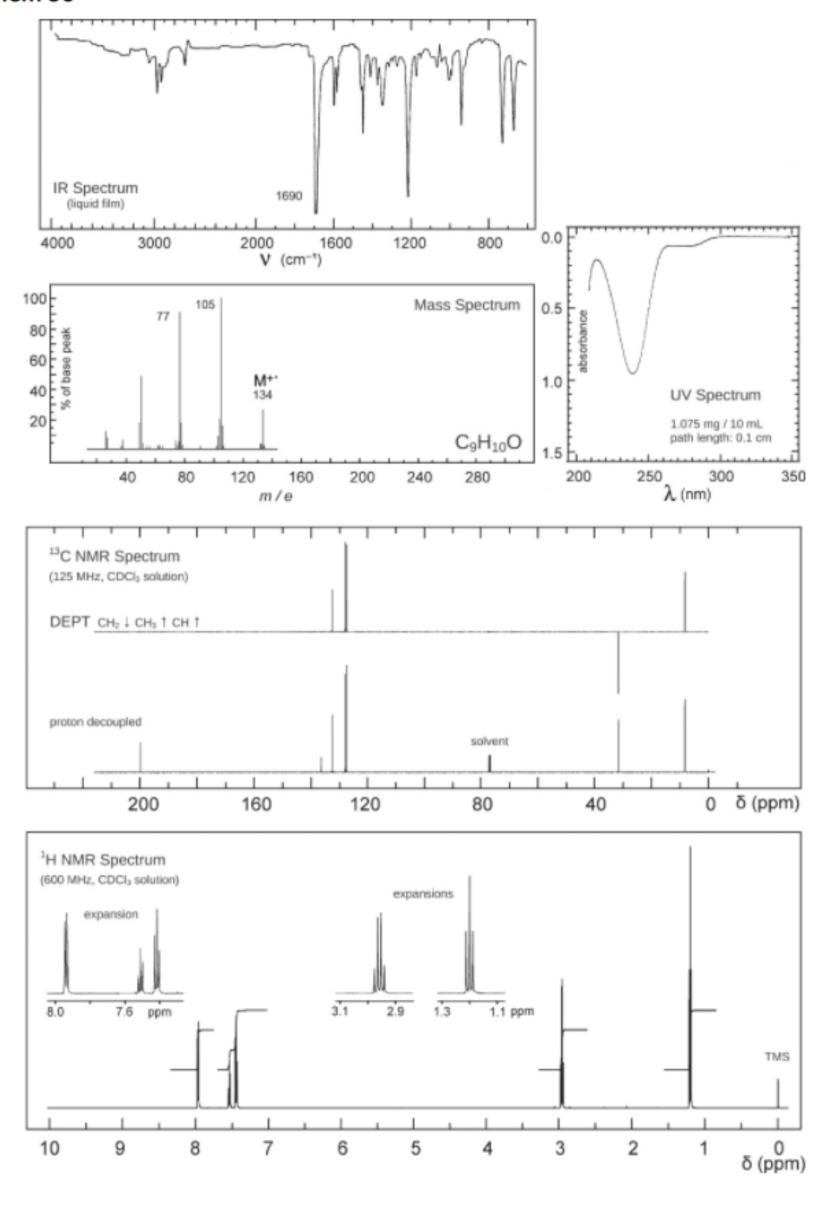


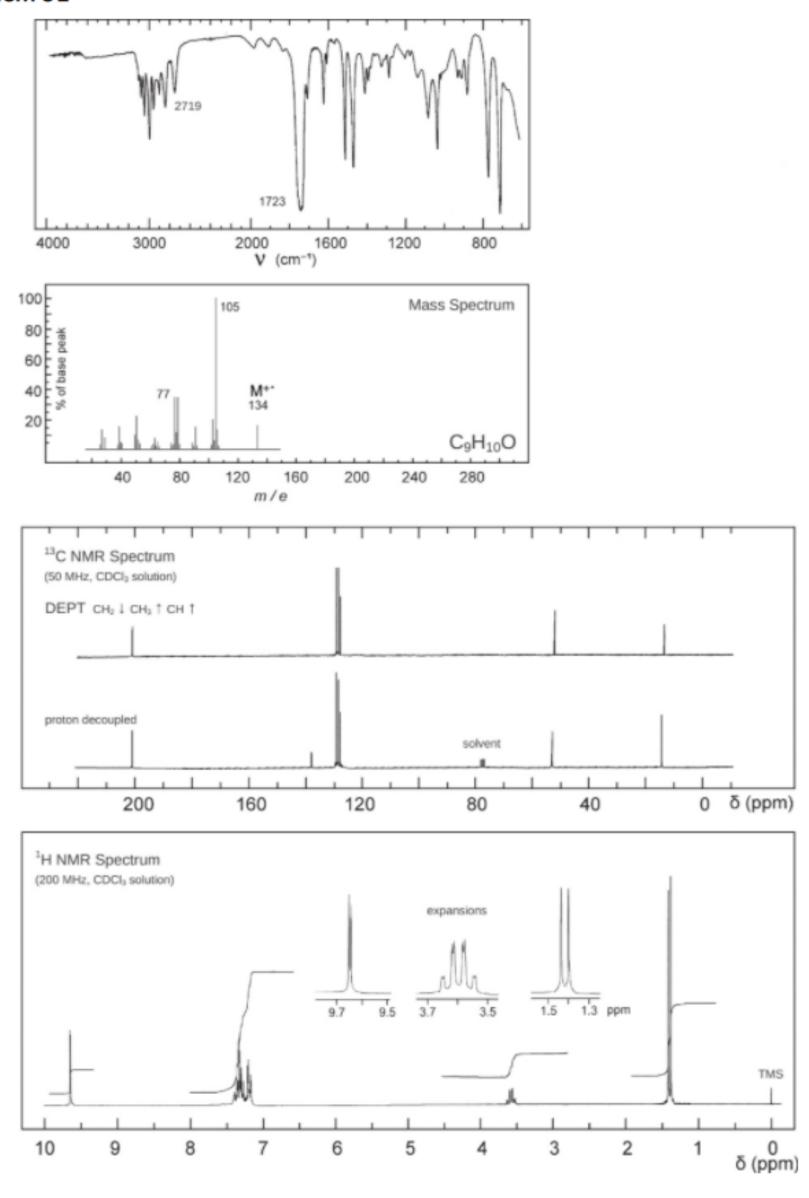


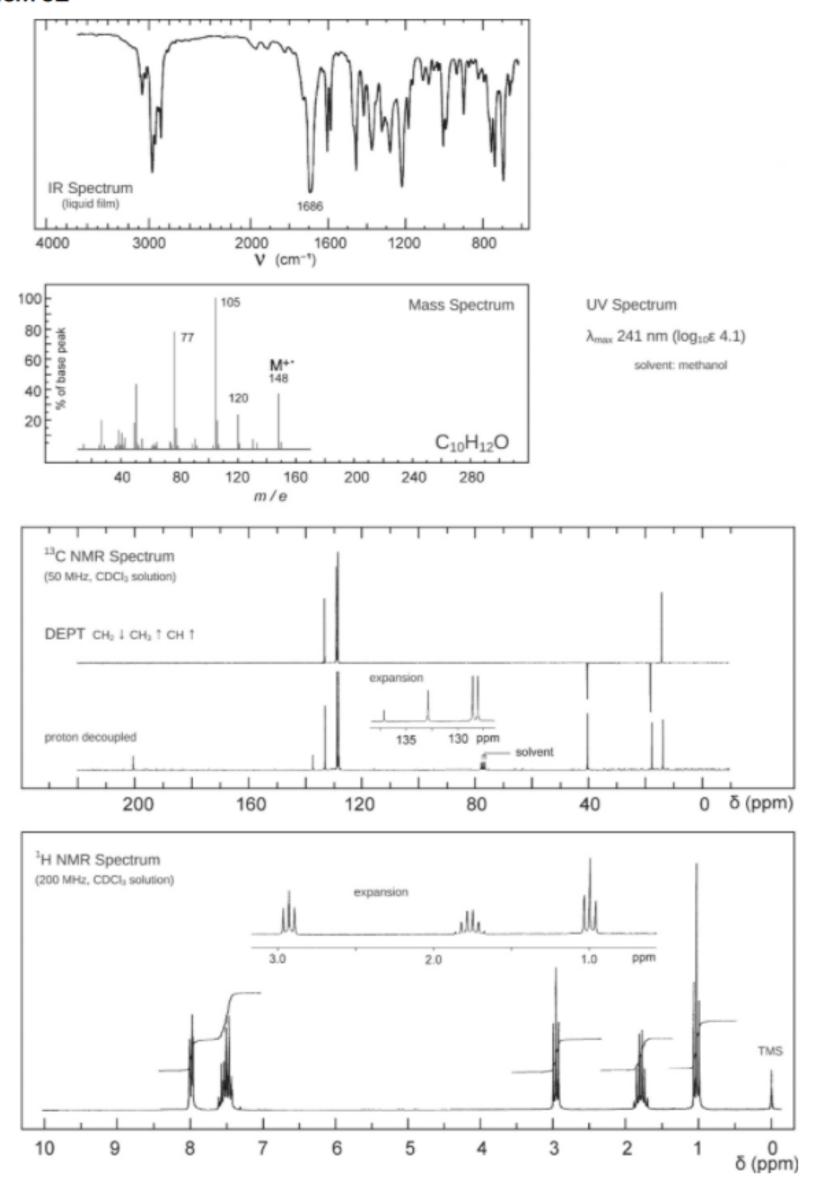


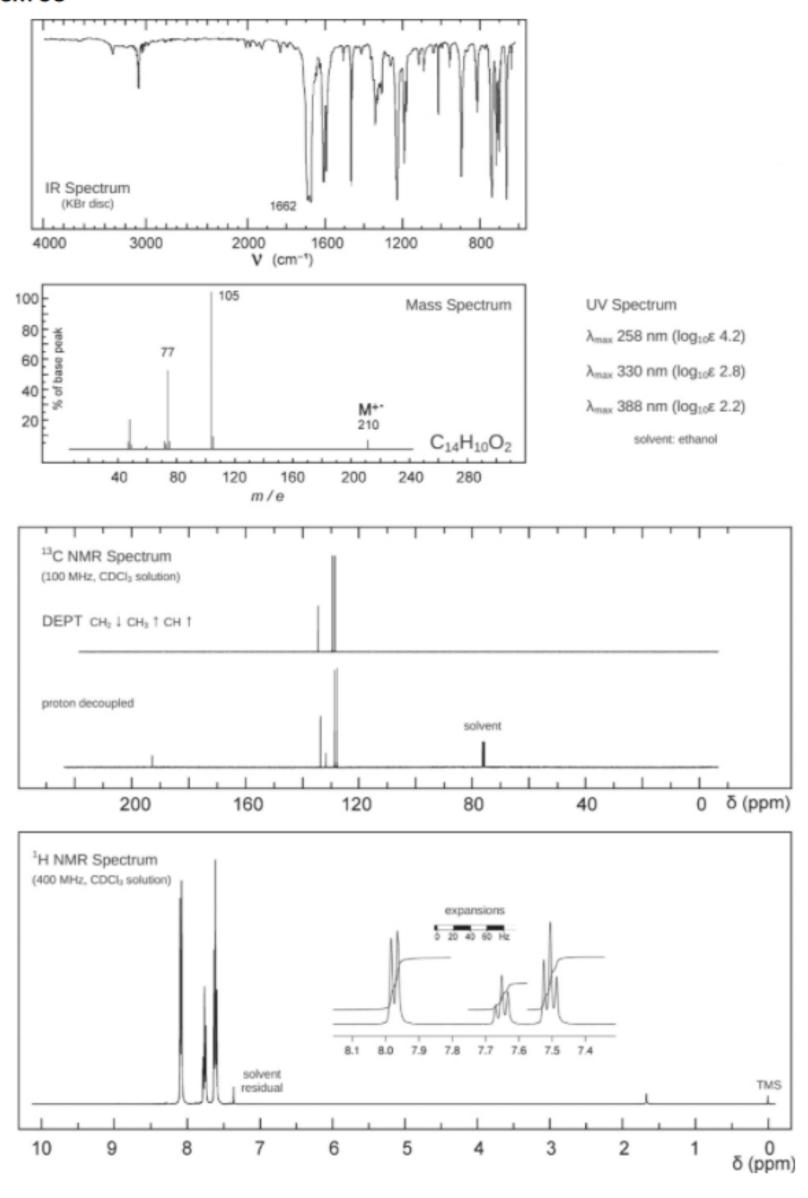


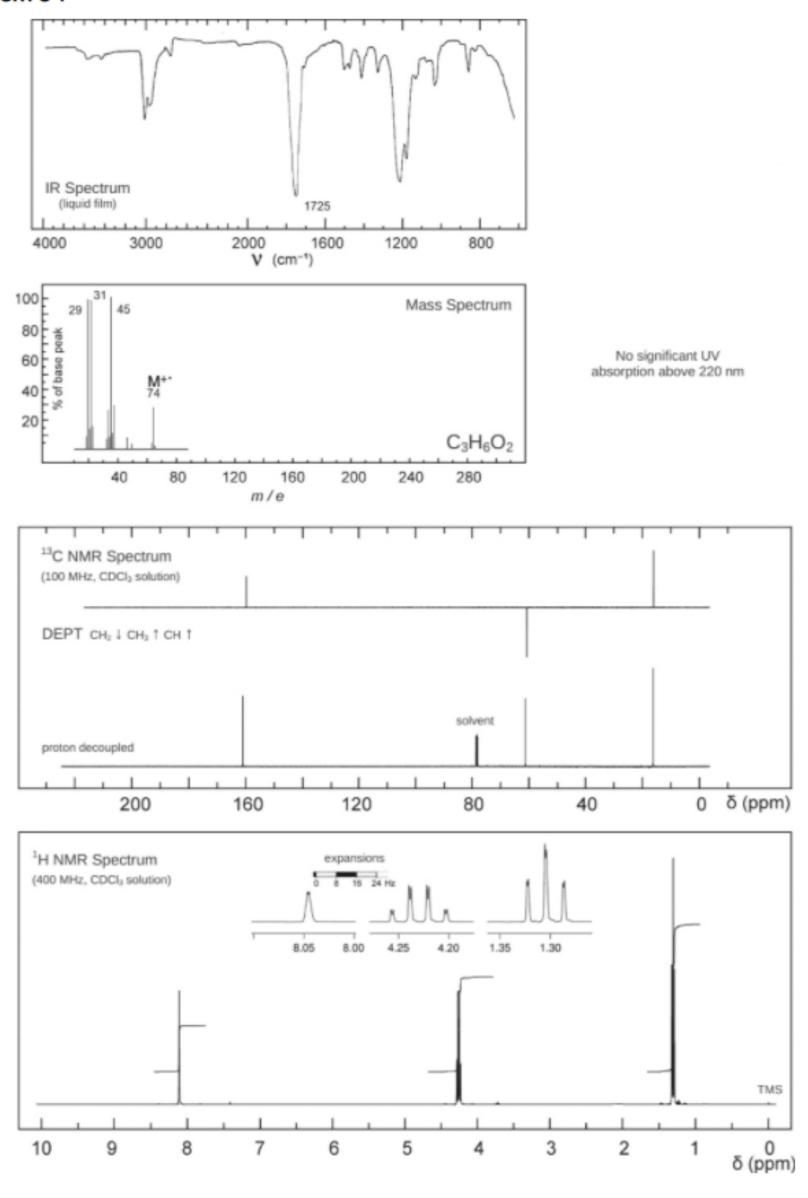


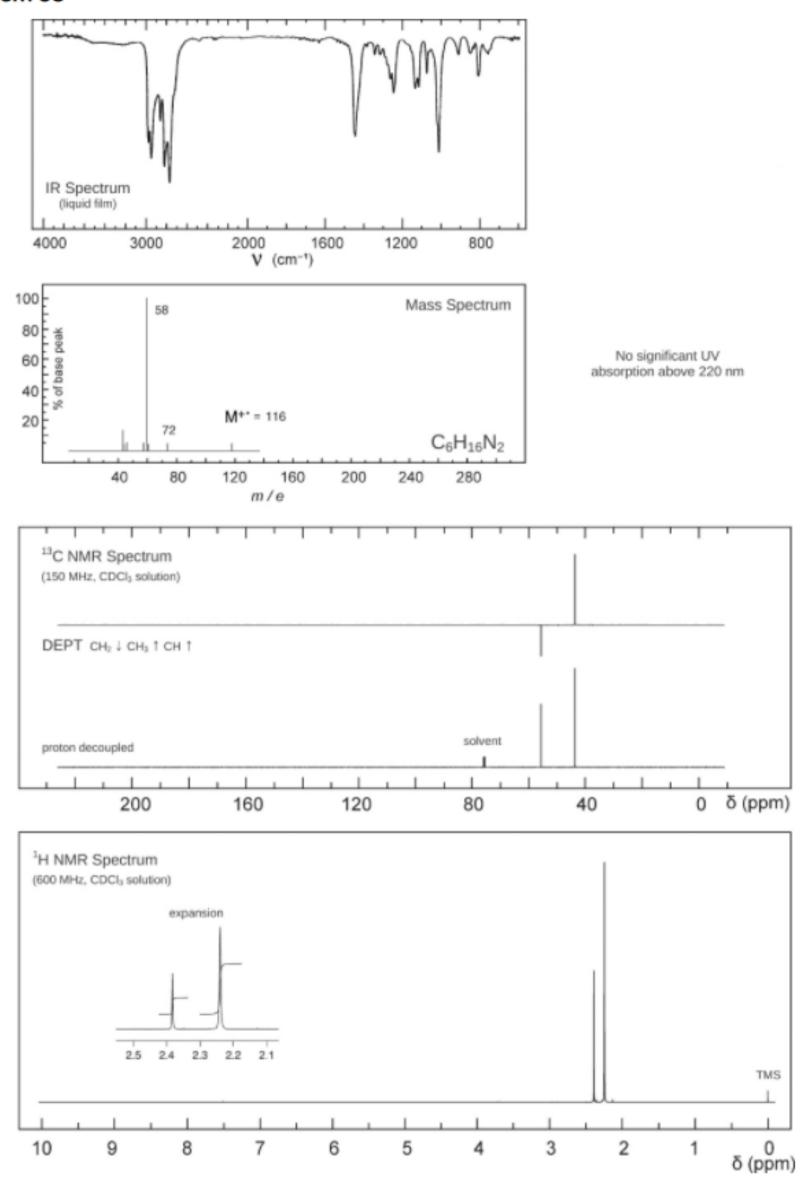


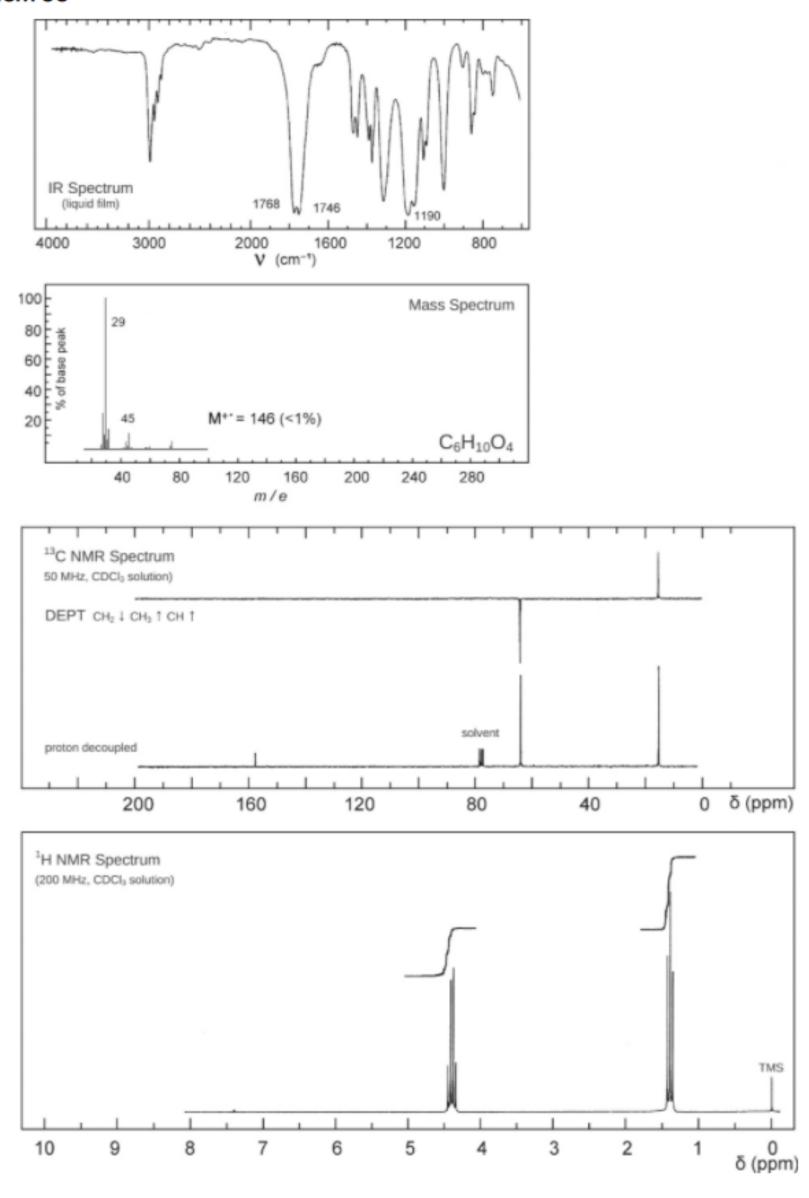


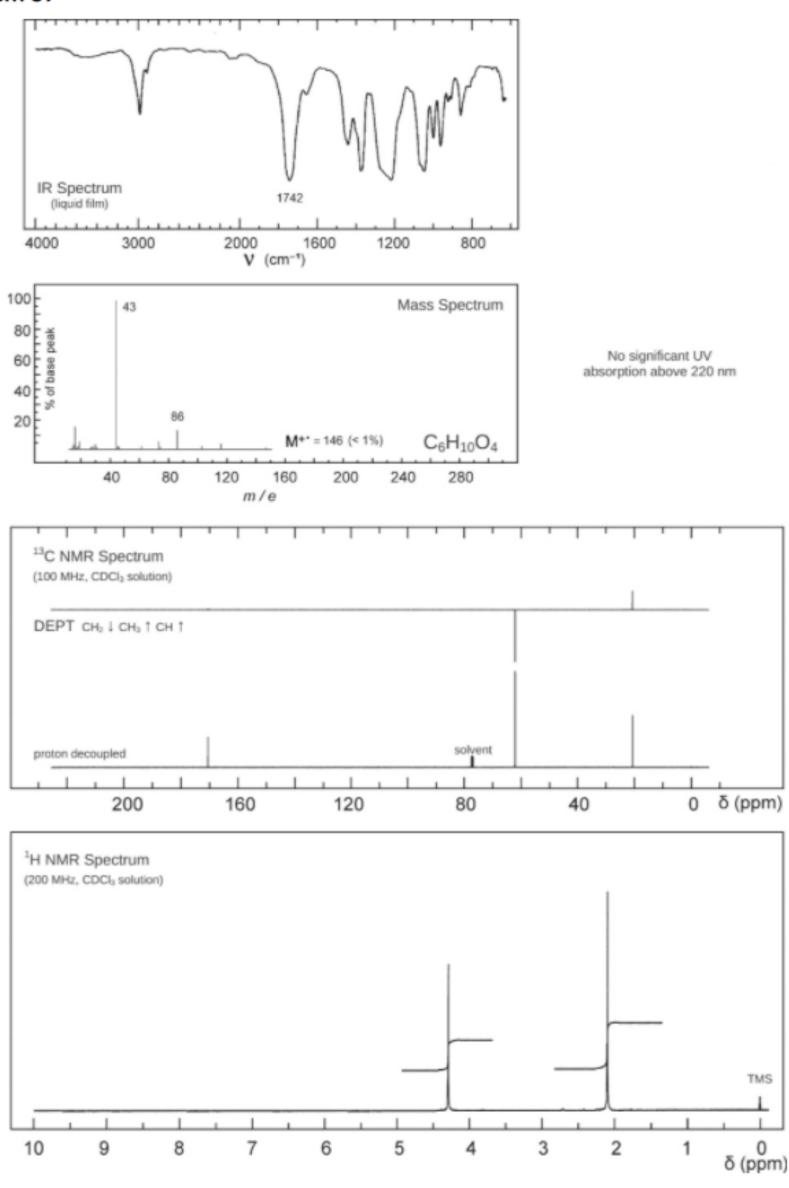


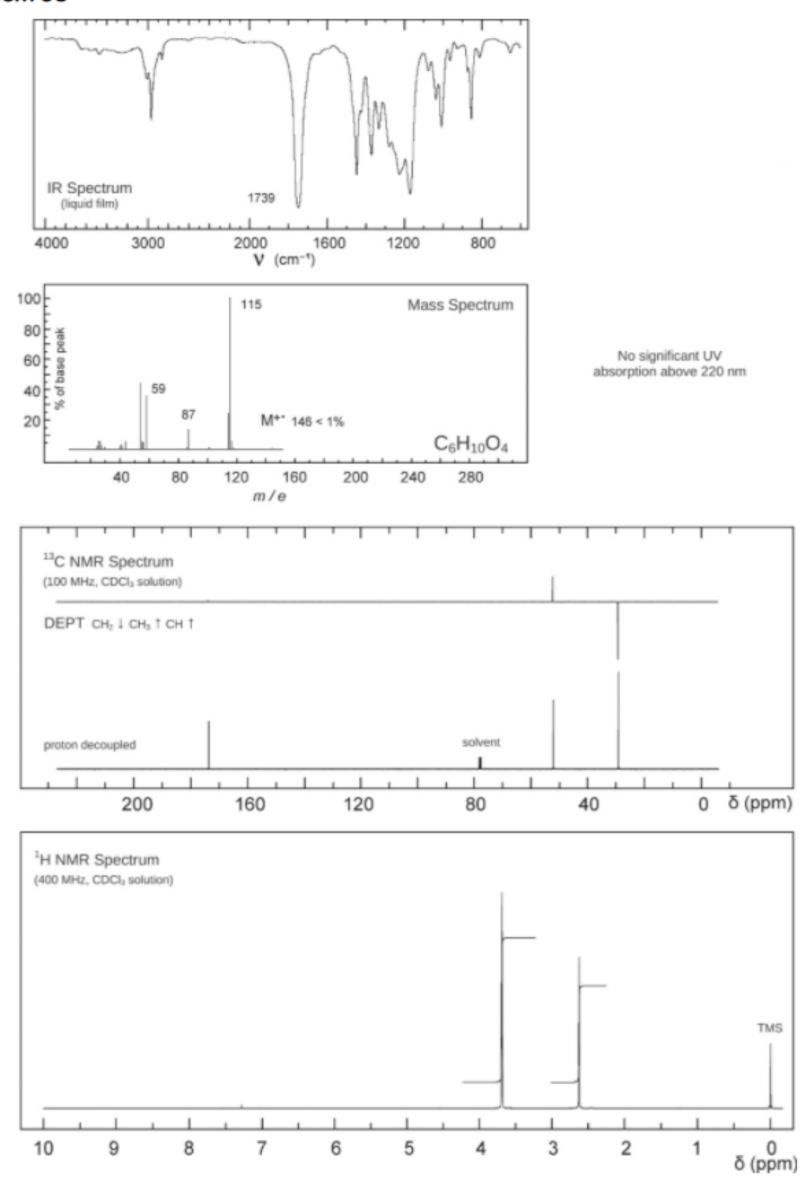


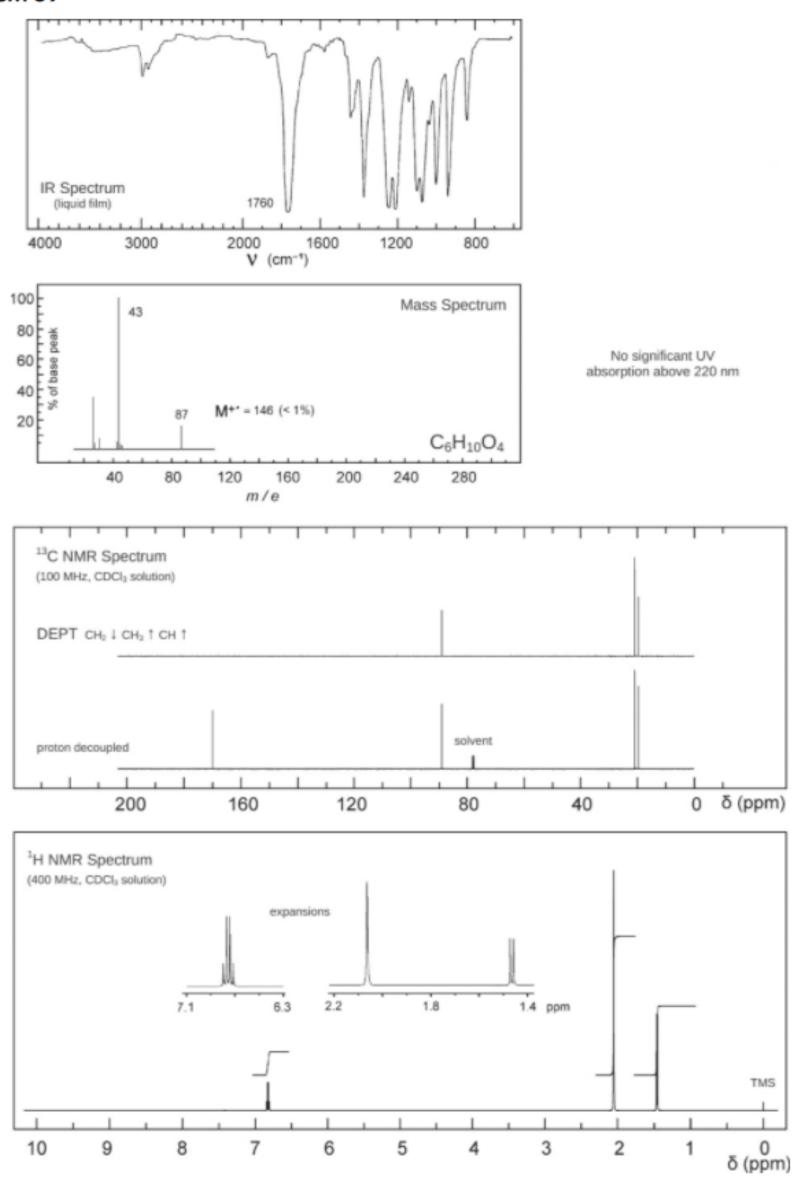


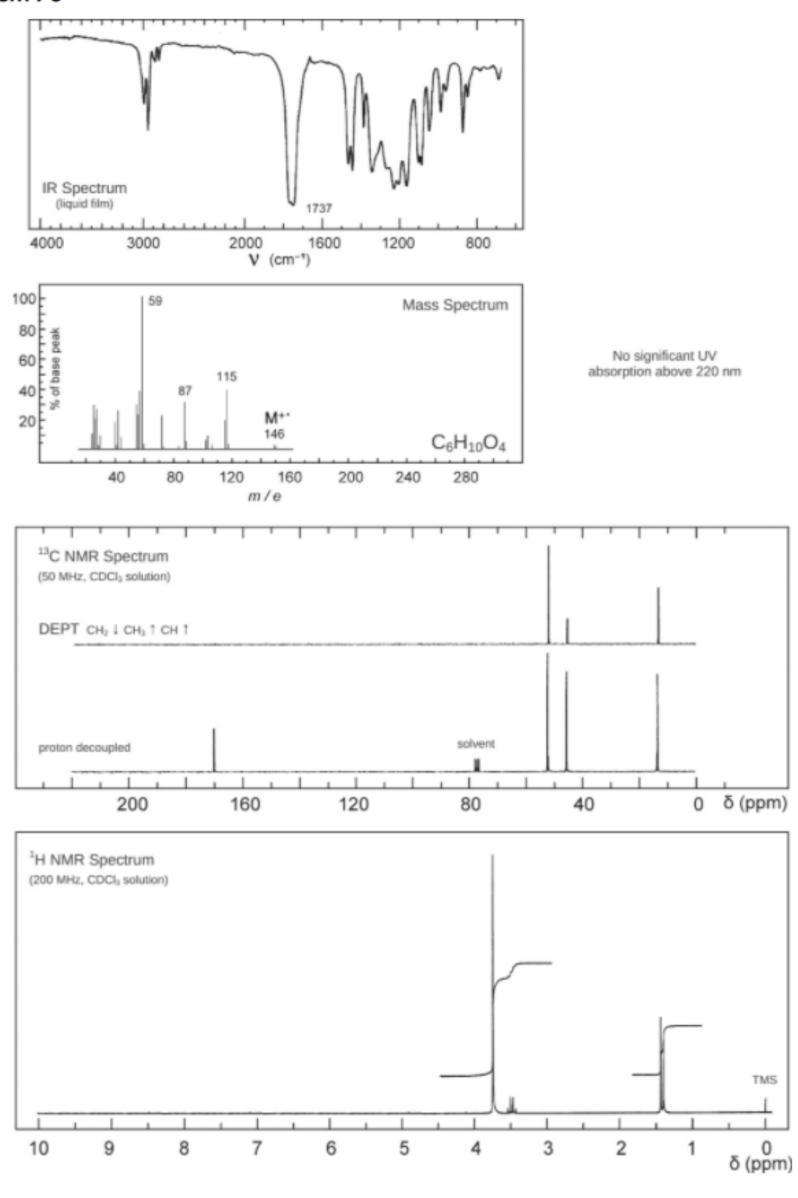


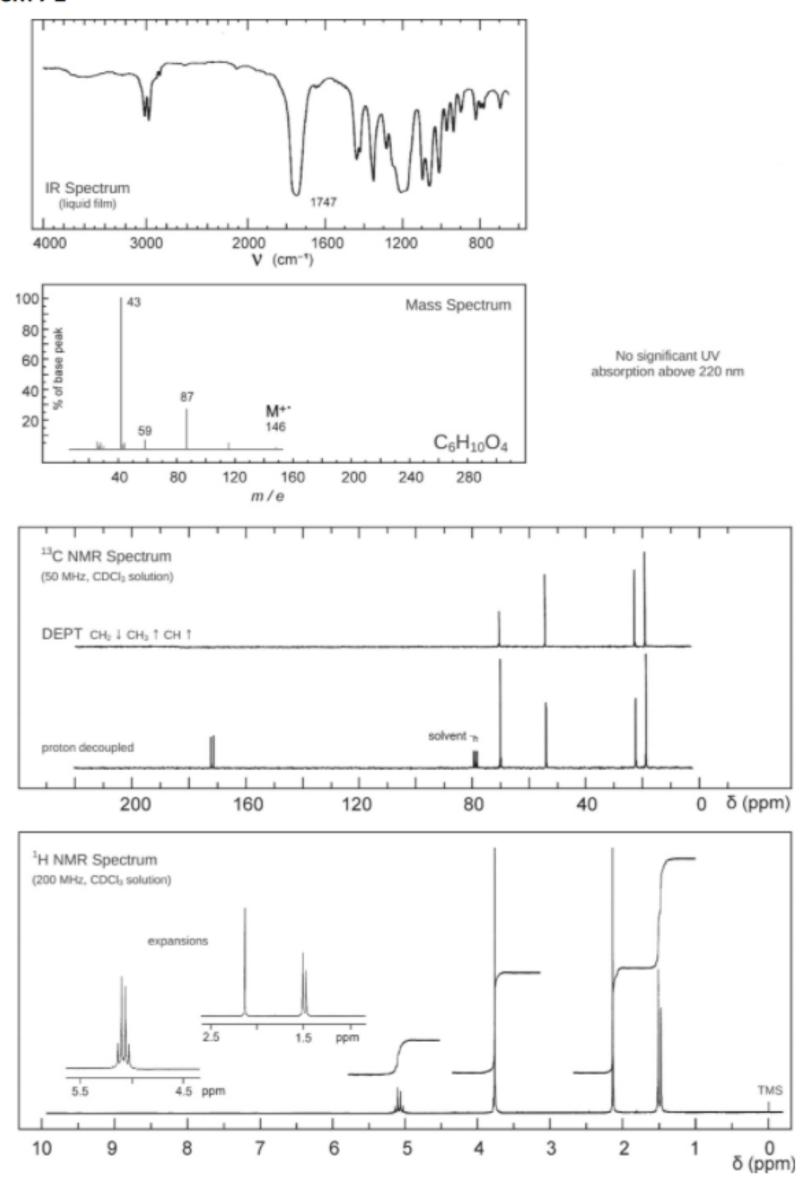


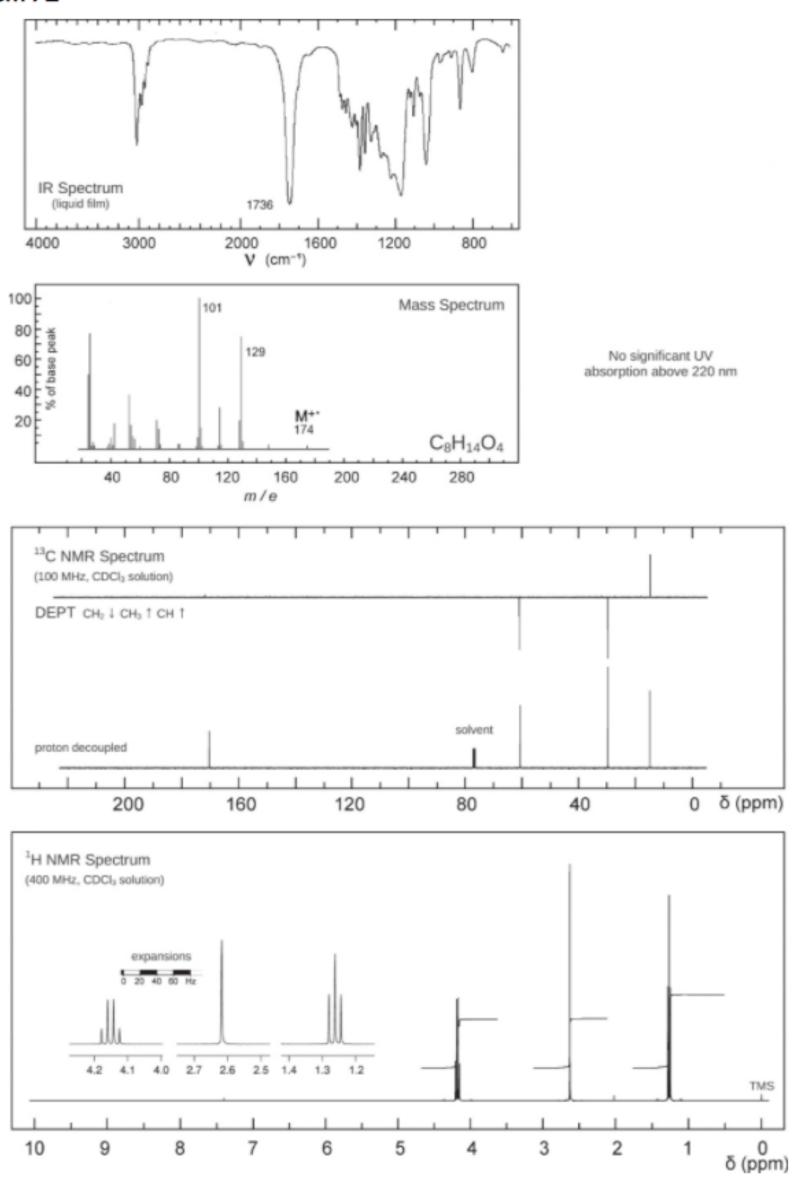


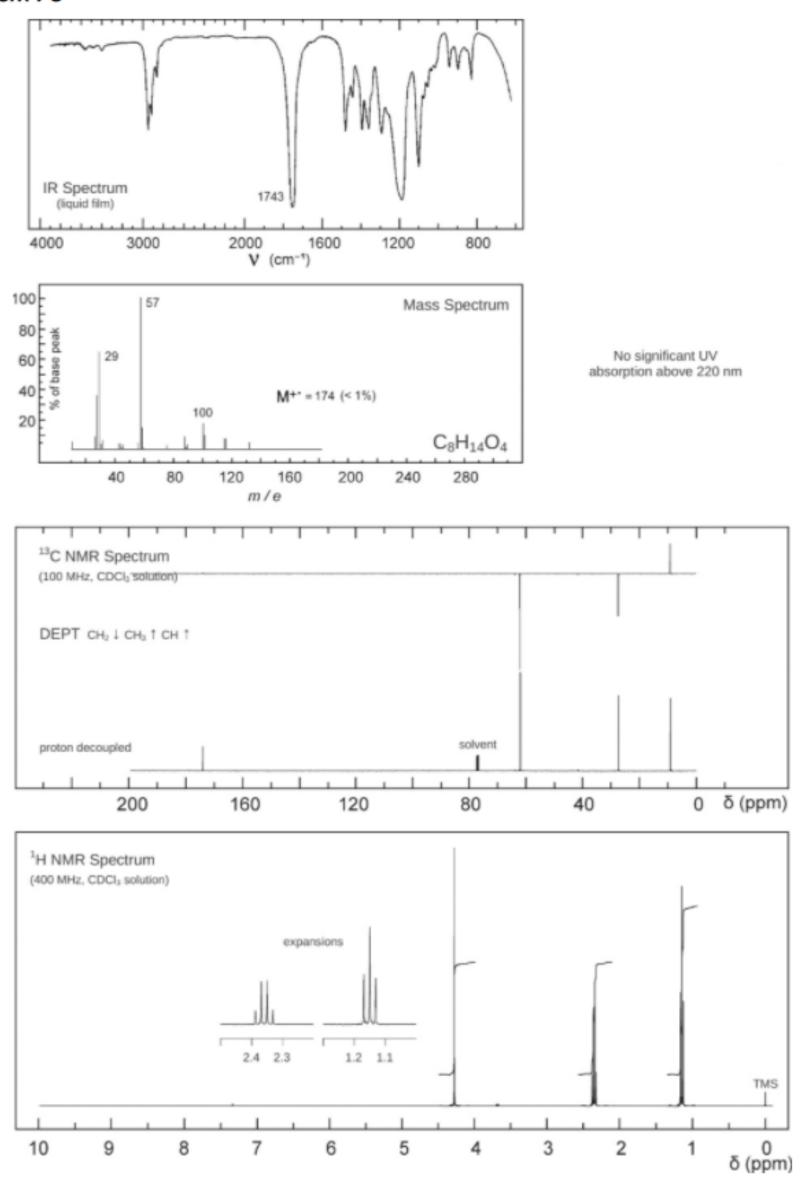


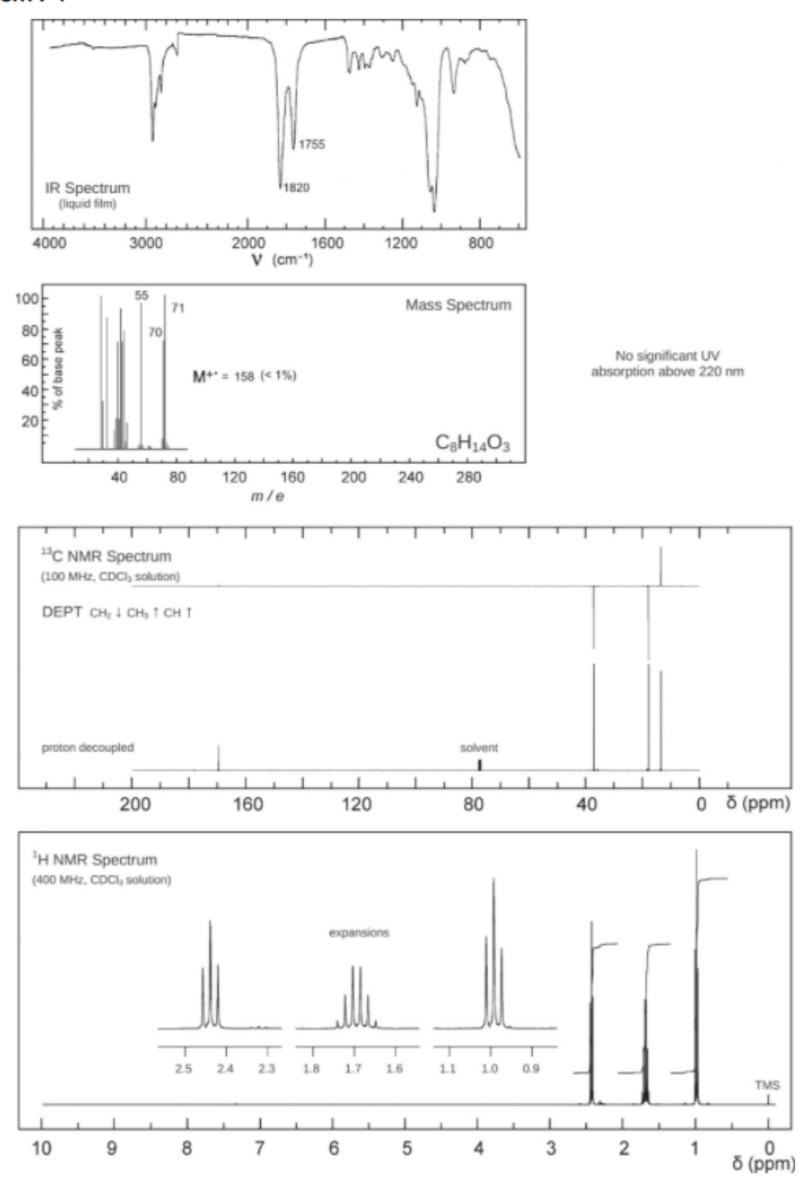


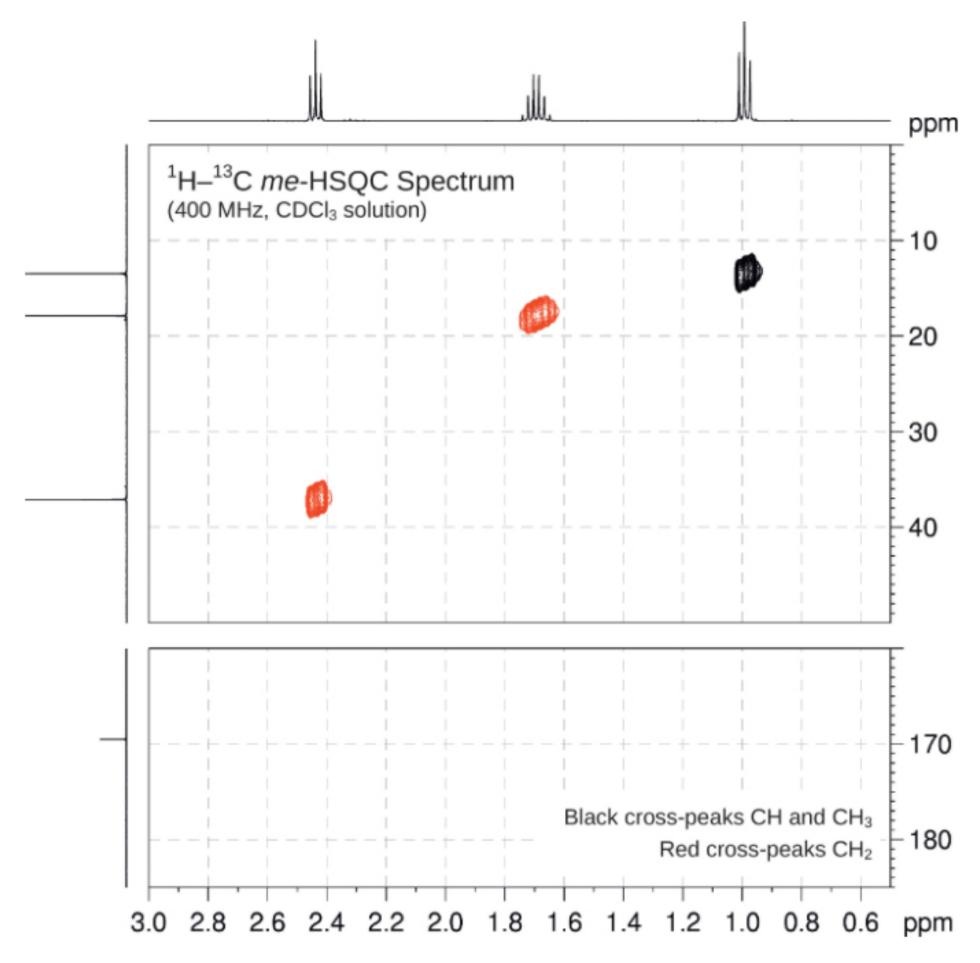




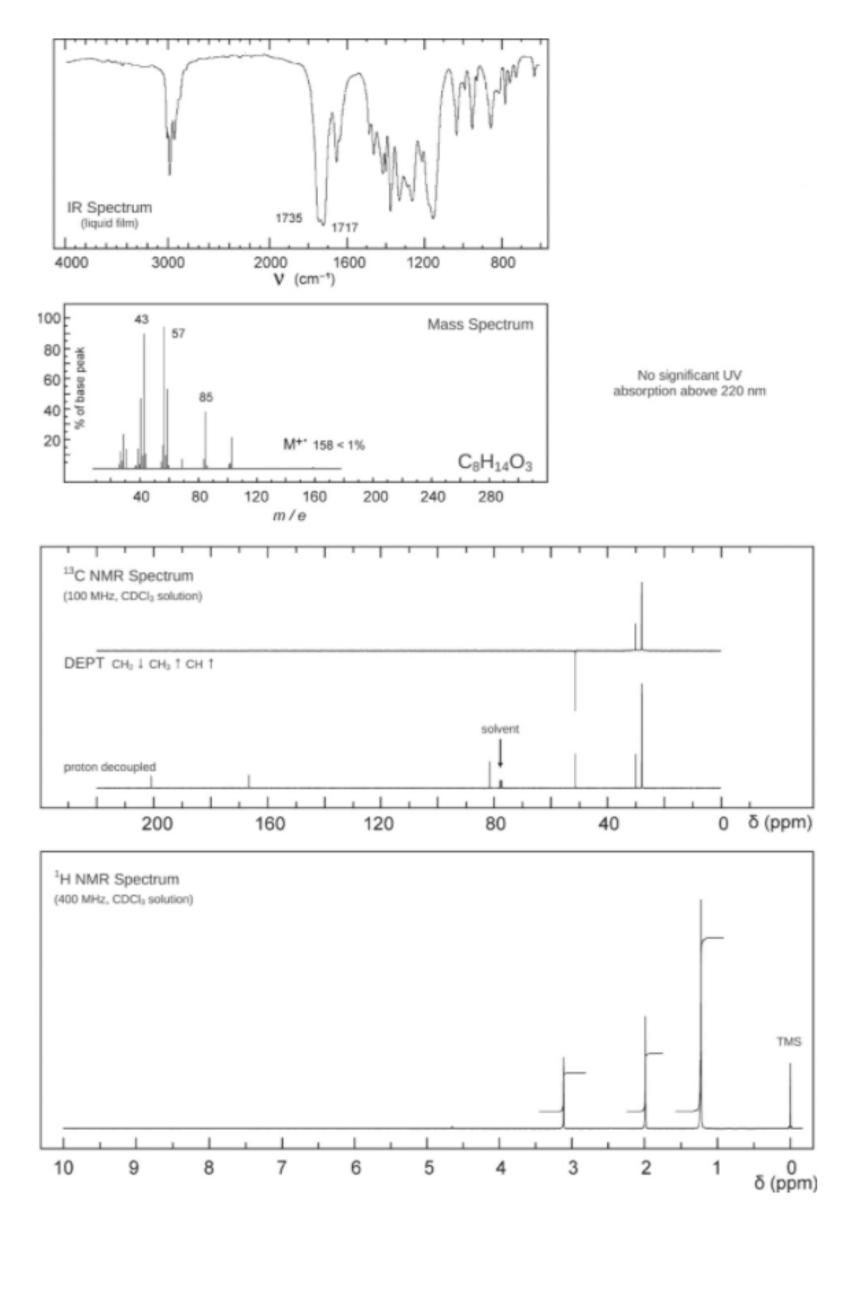


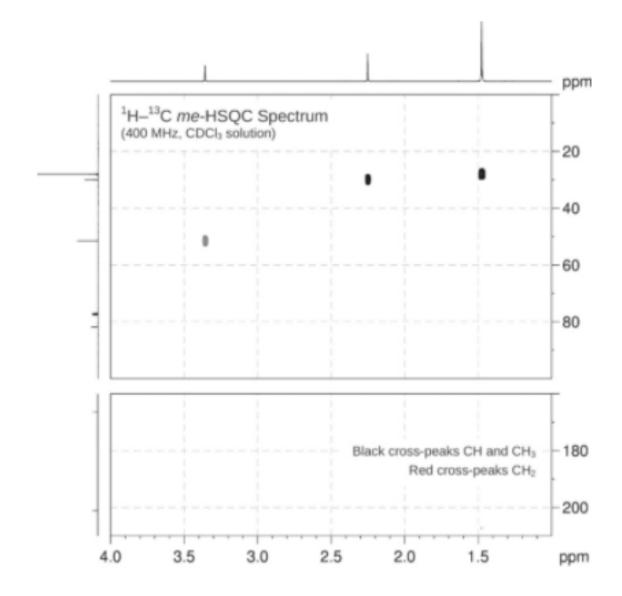


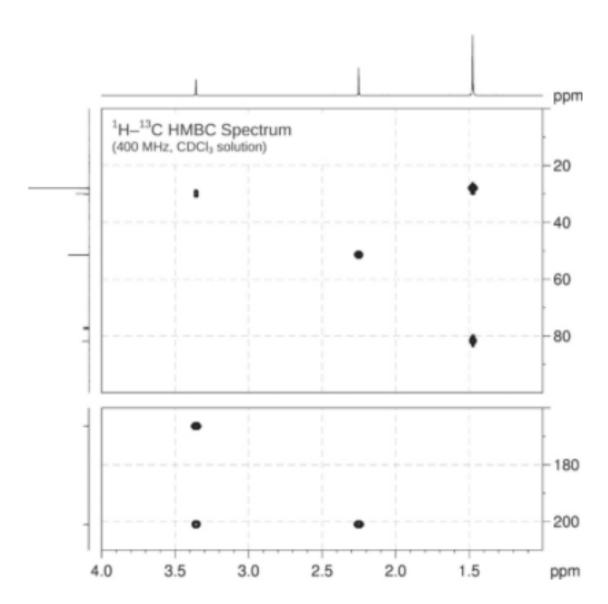


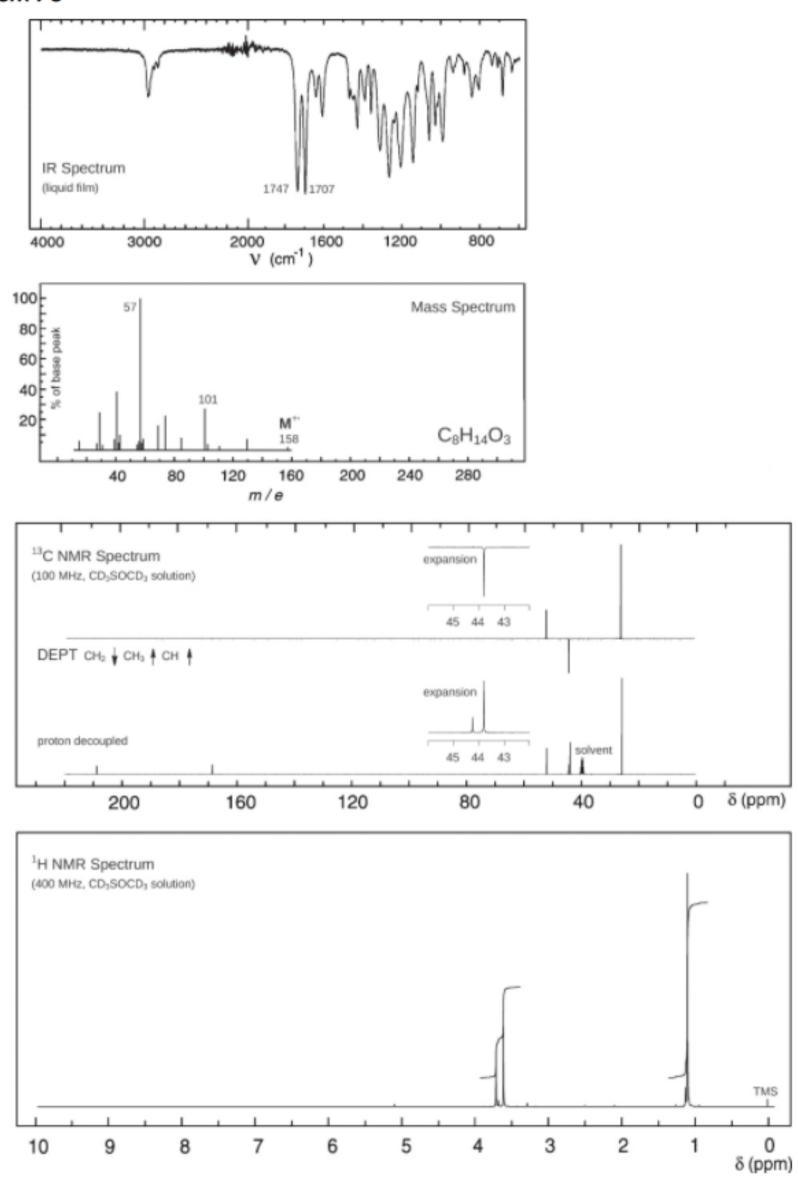


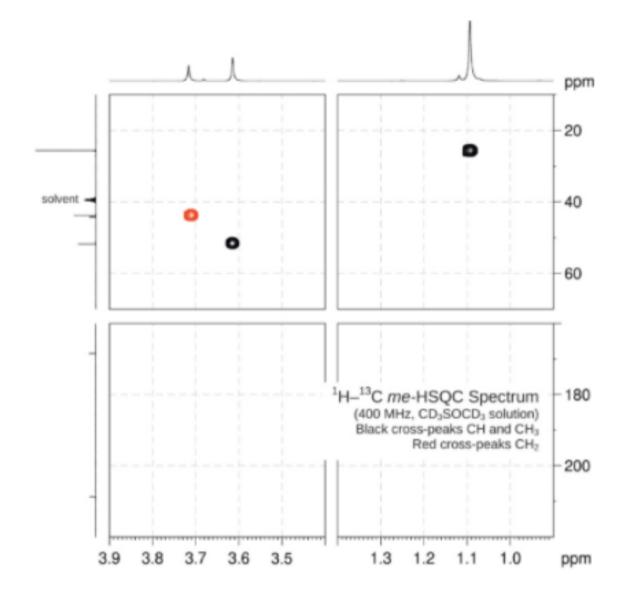
Problem 75

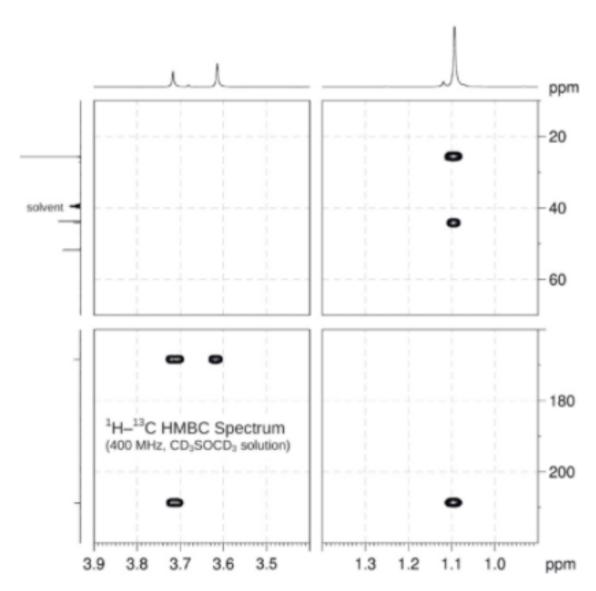


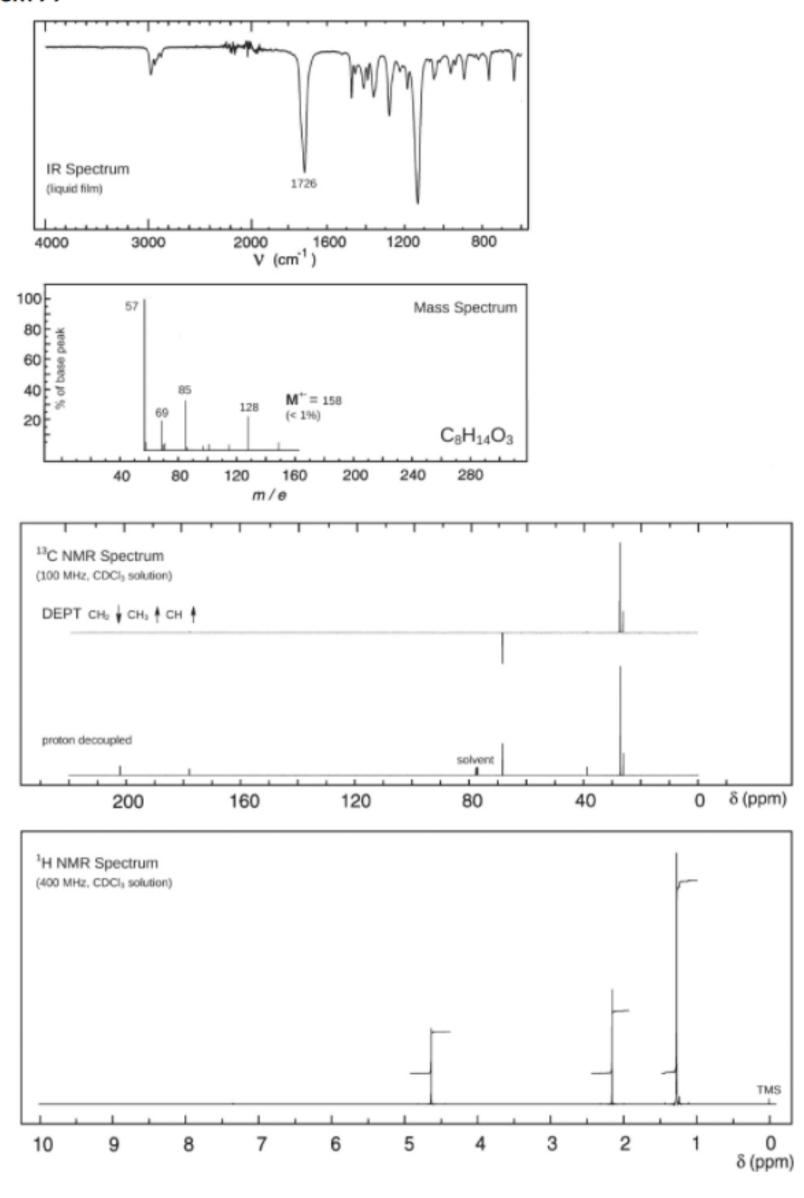


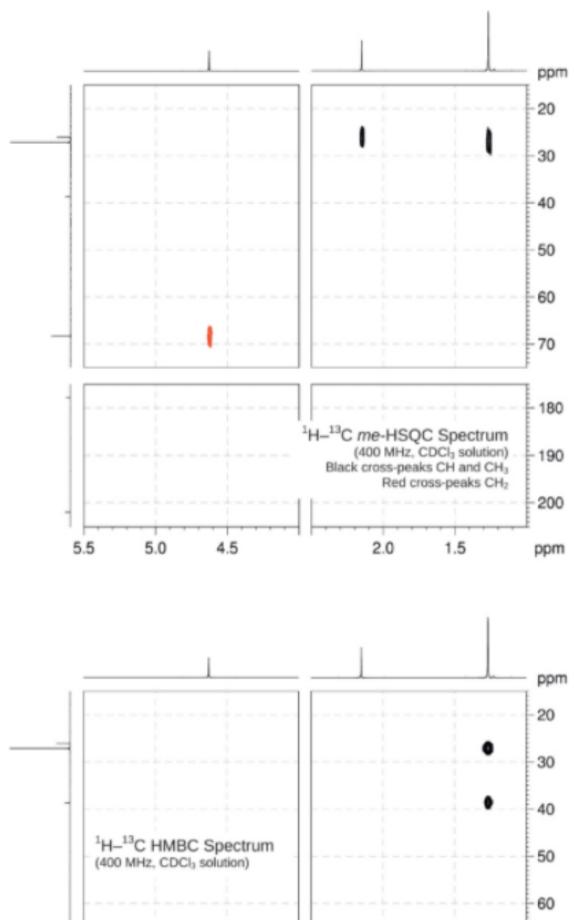


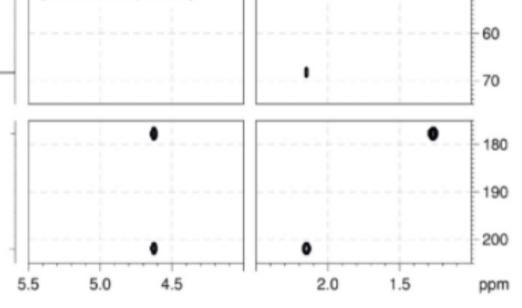


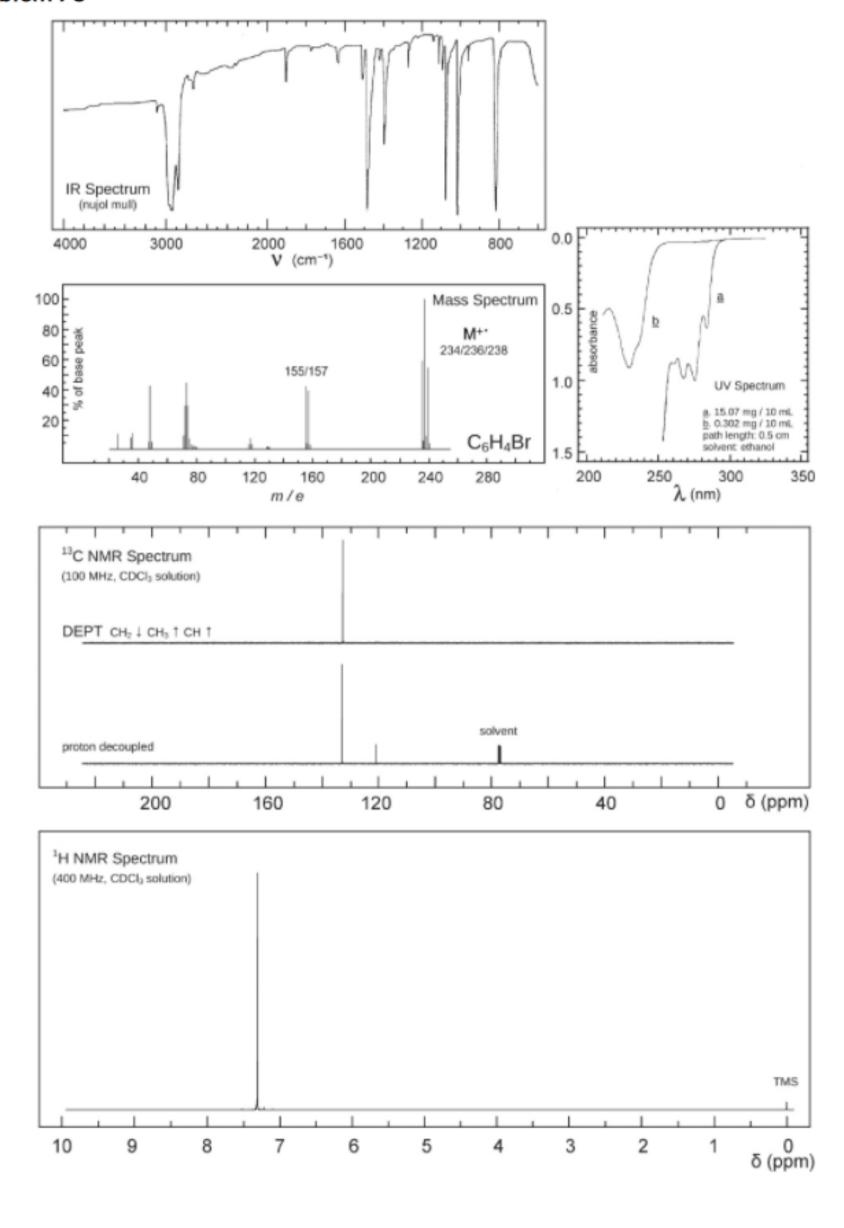


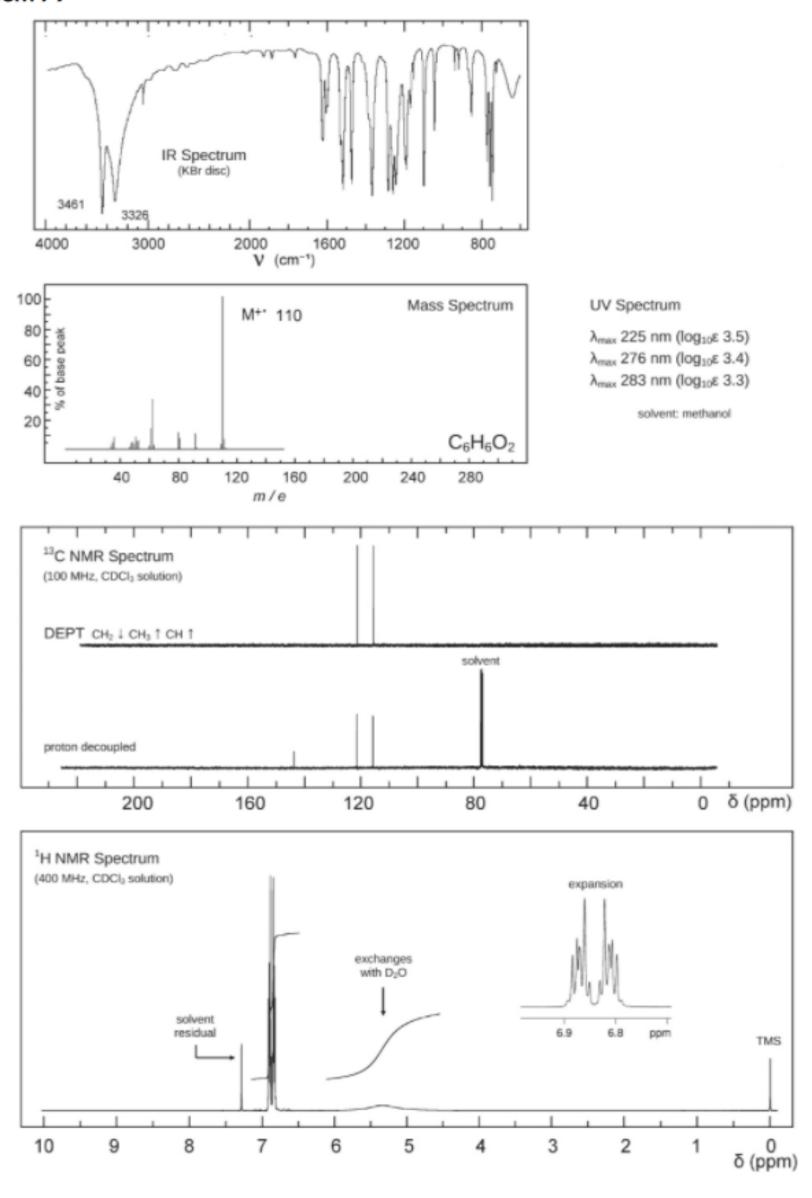


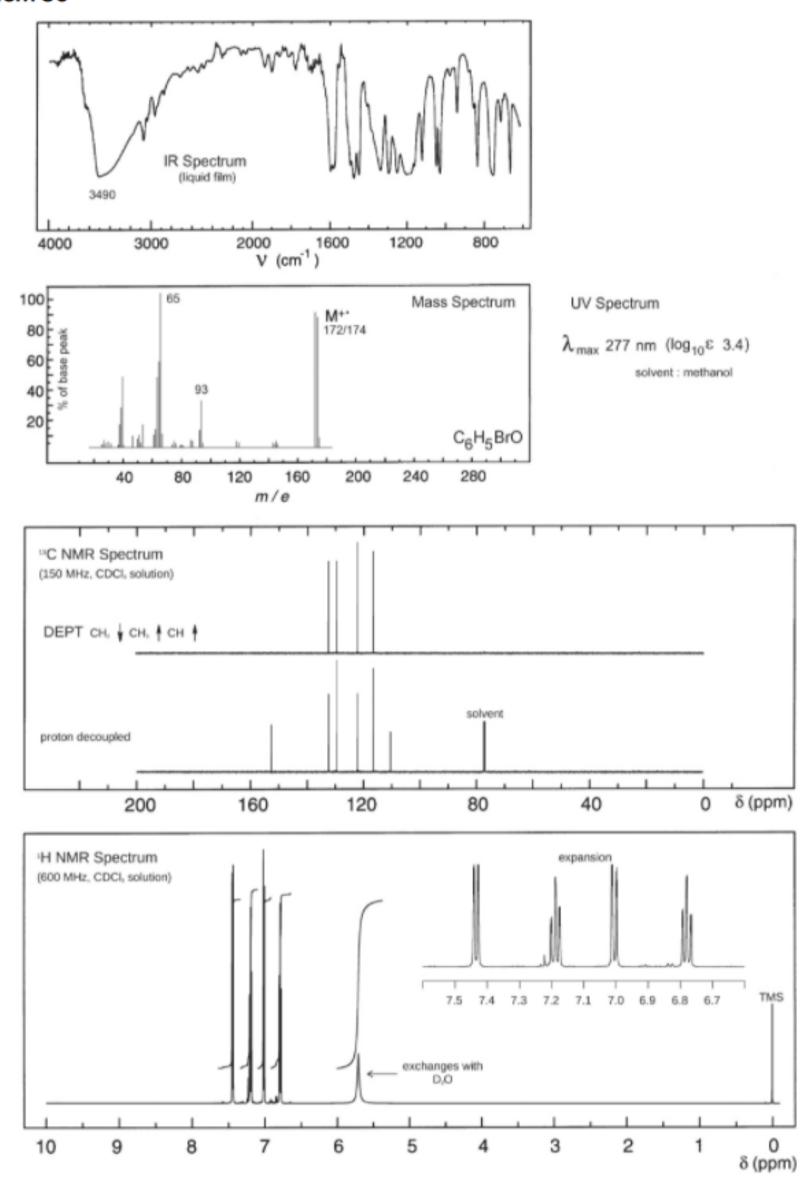


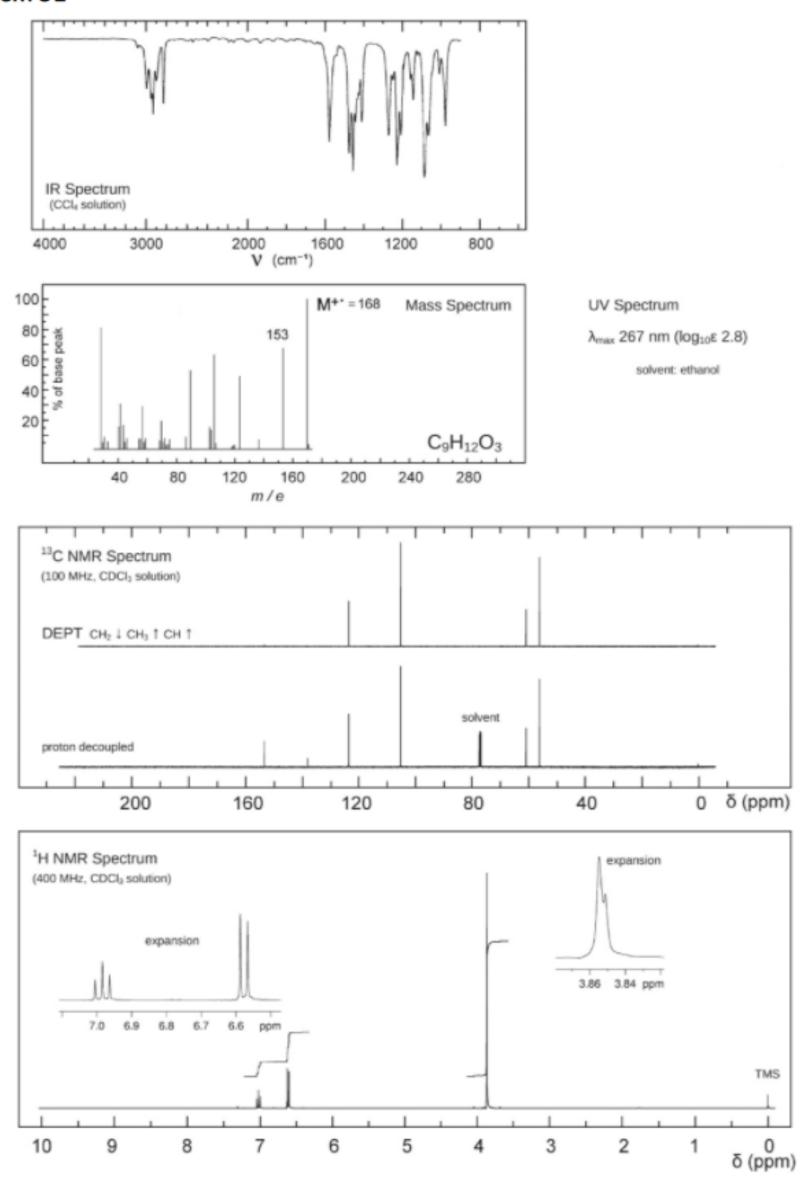


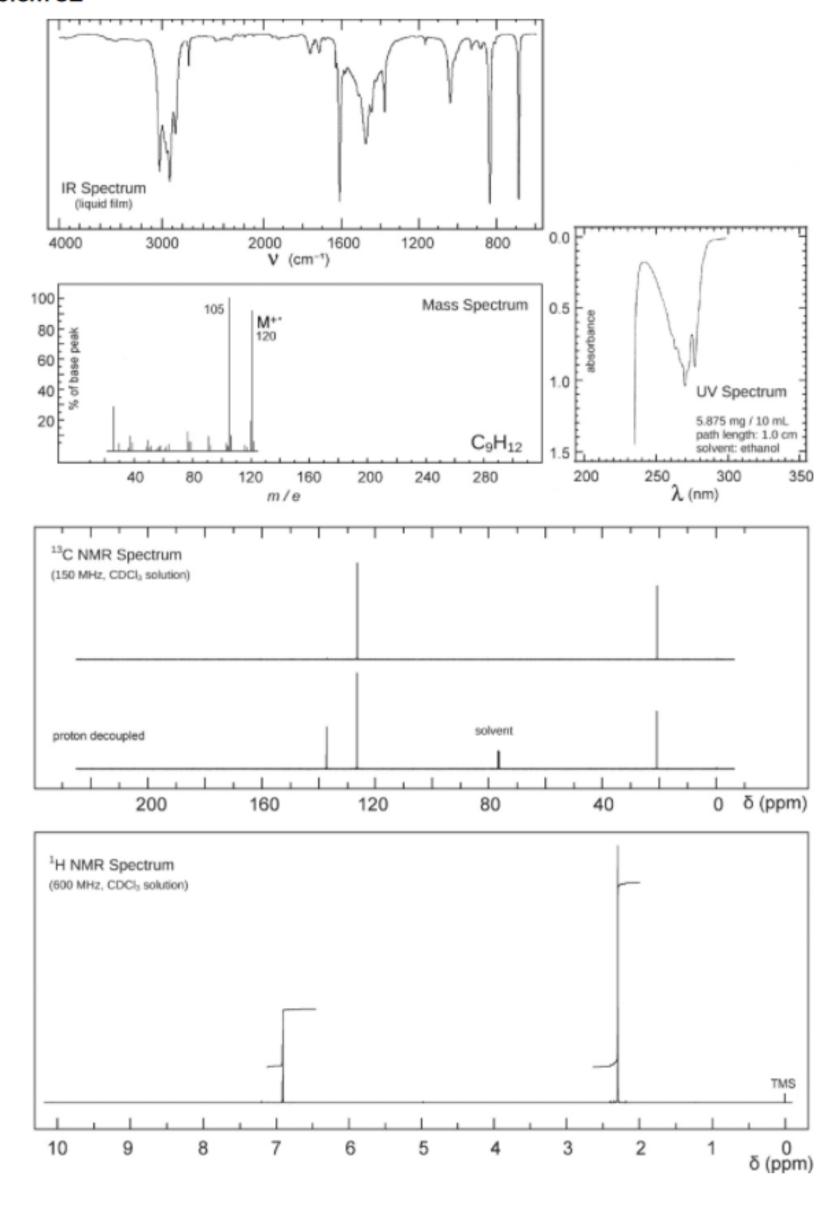


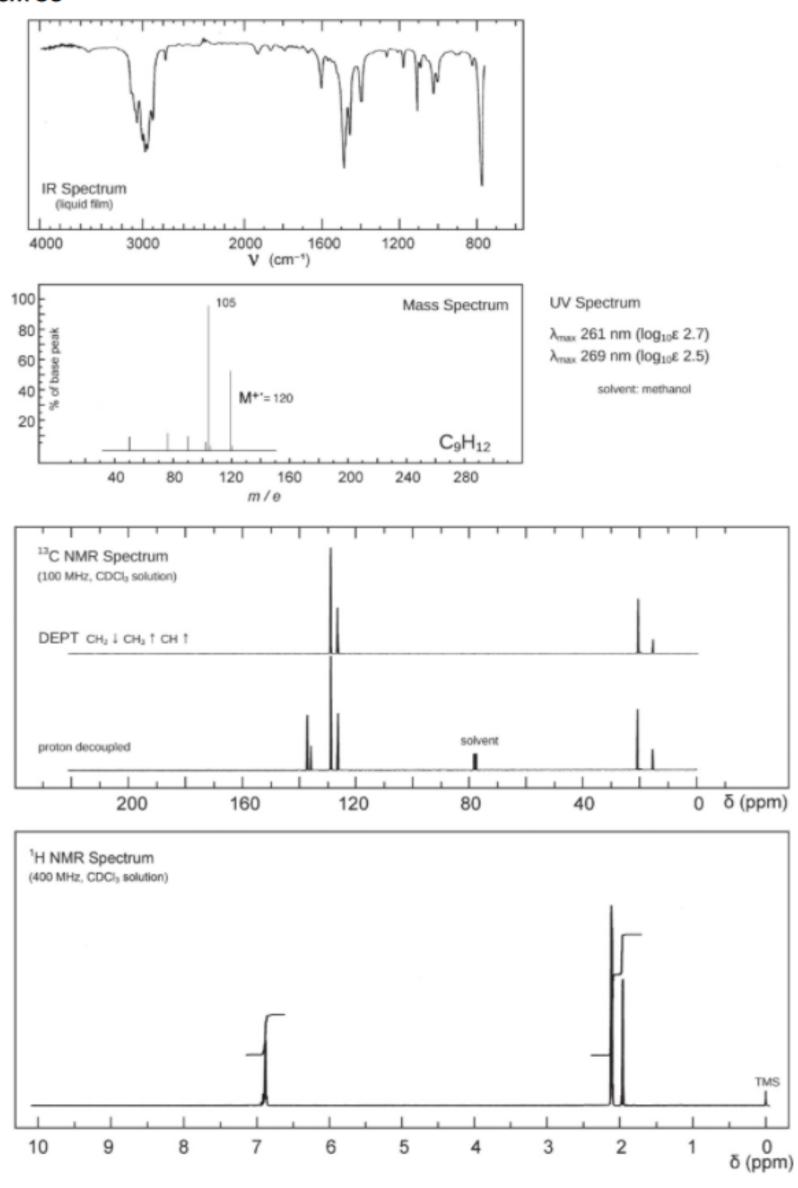


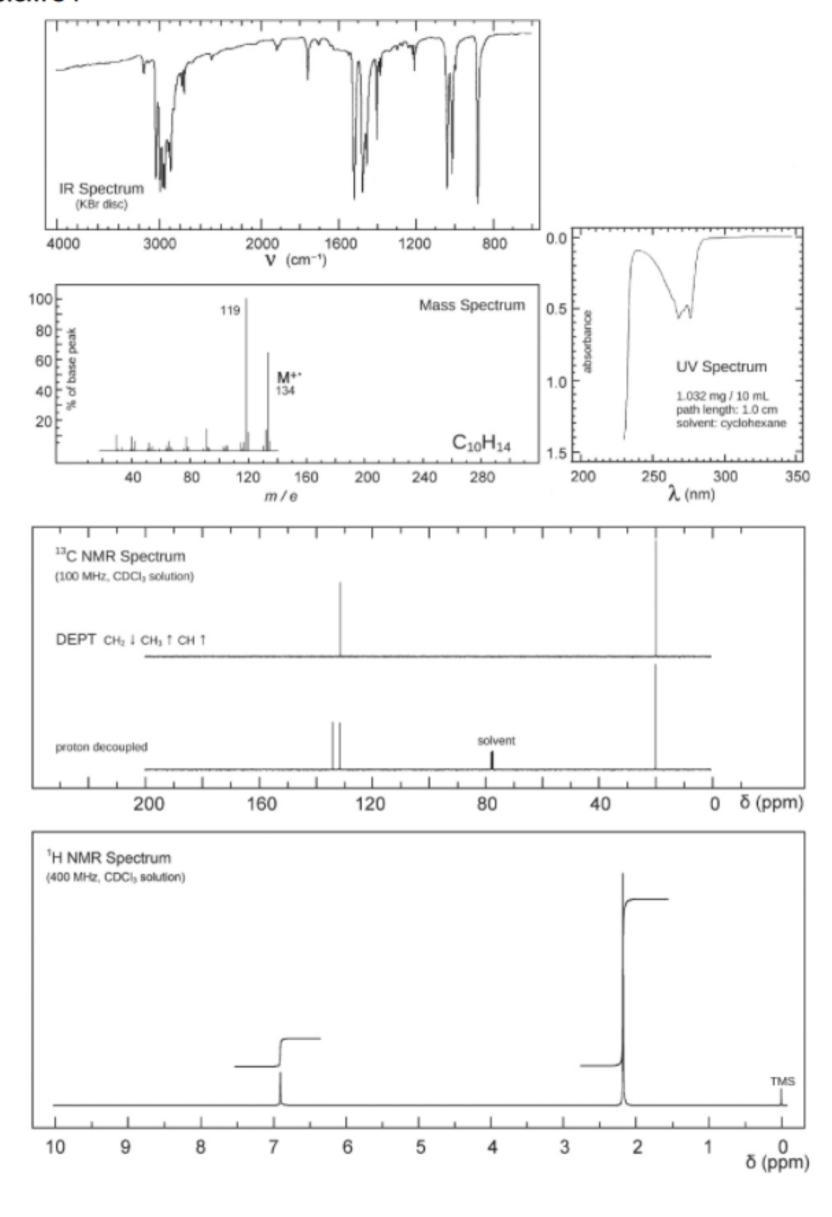


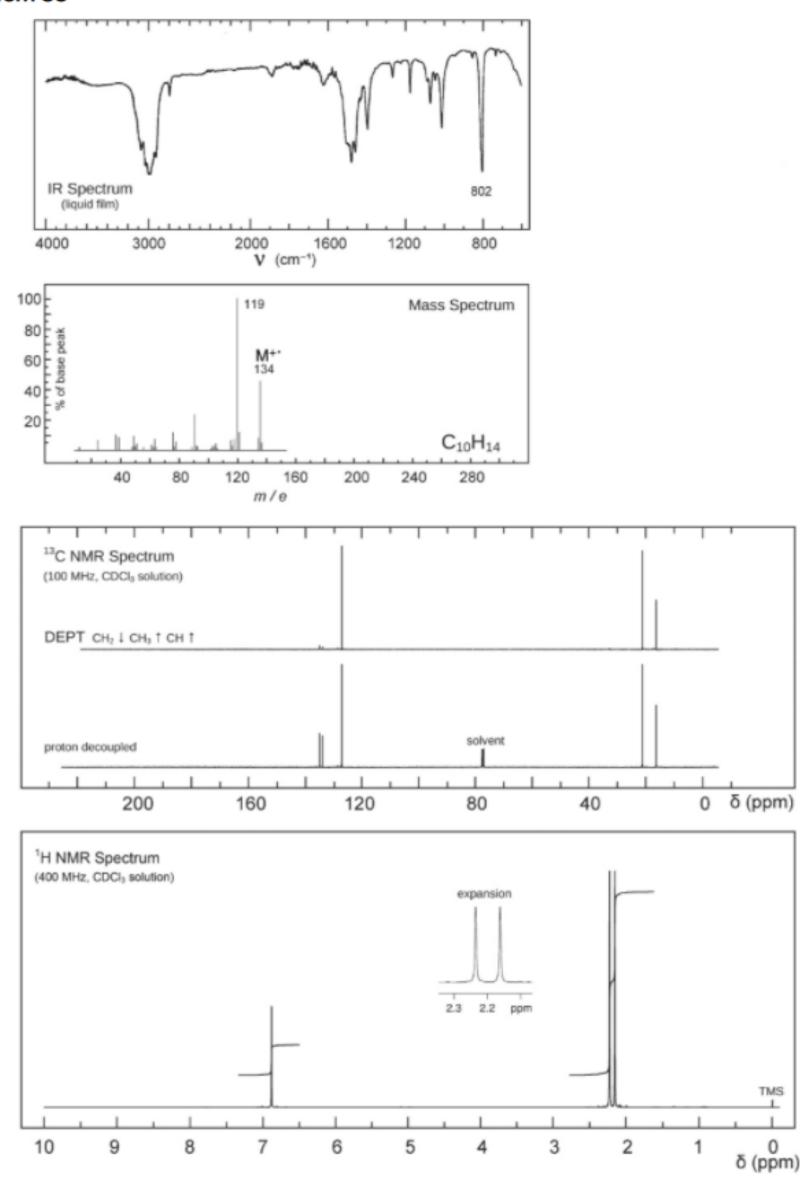


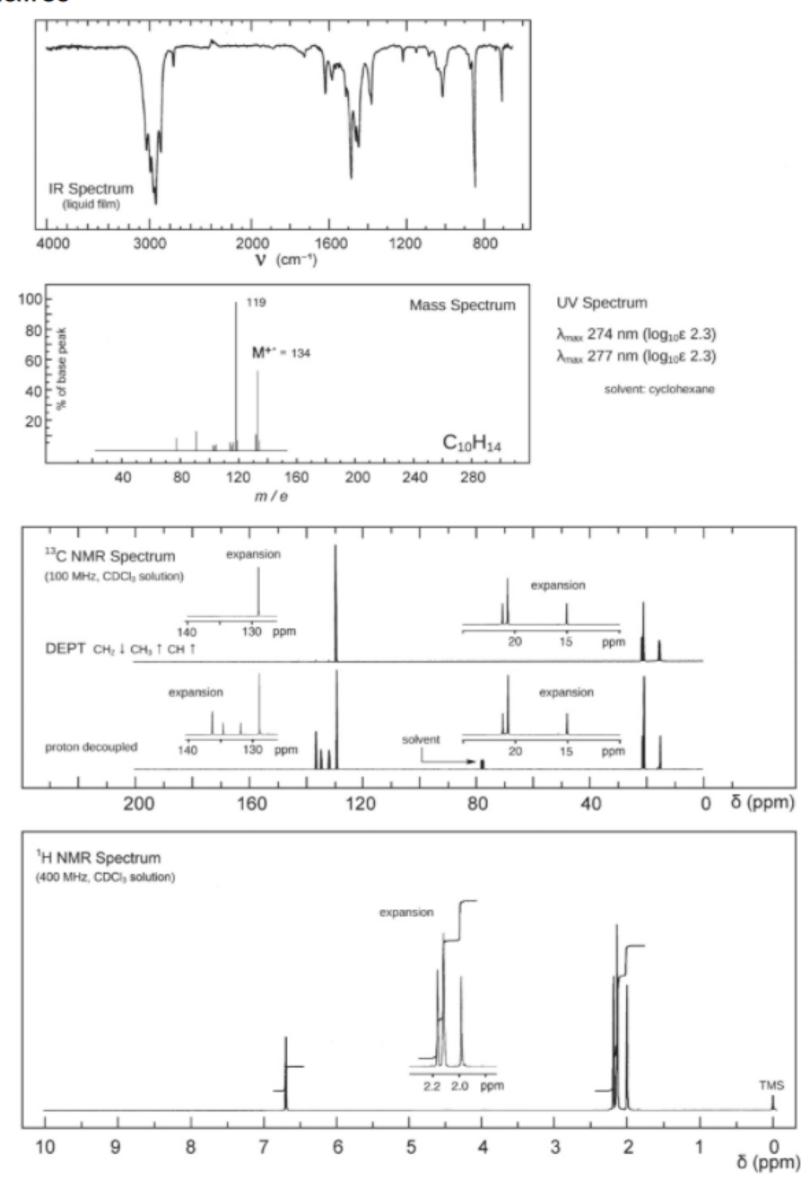


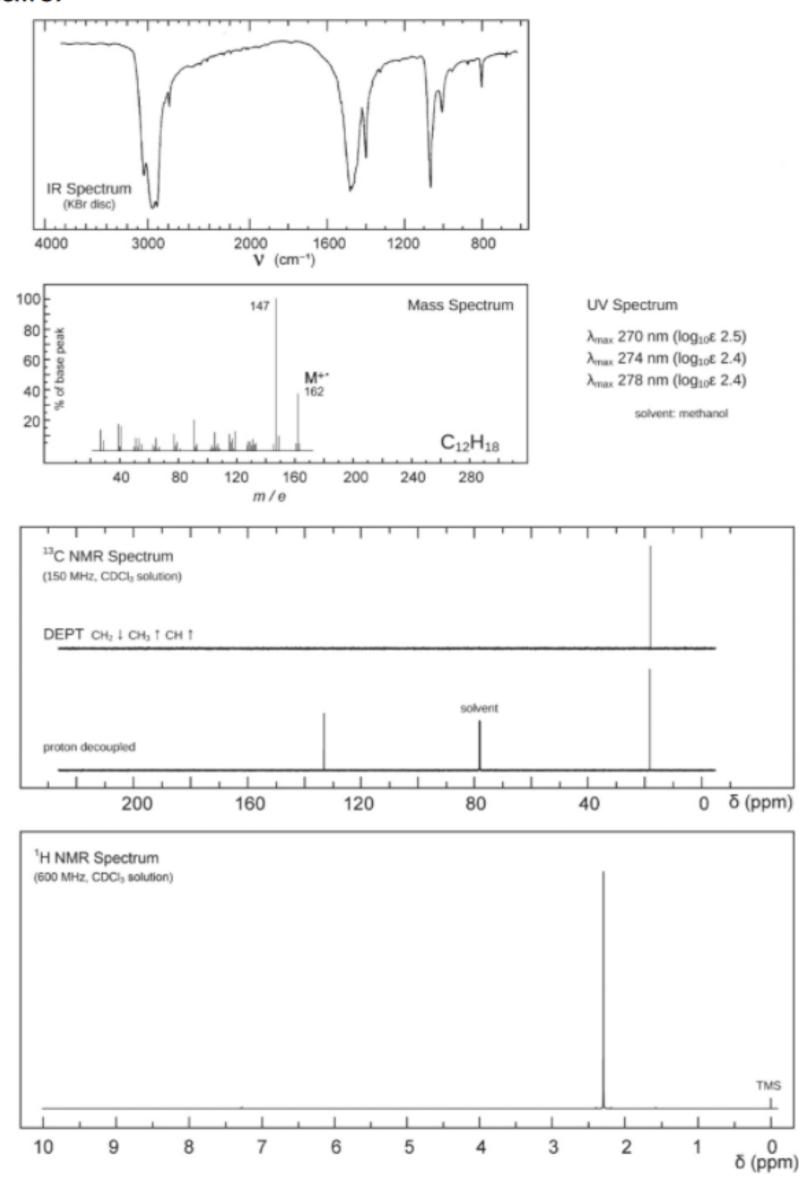


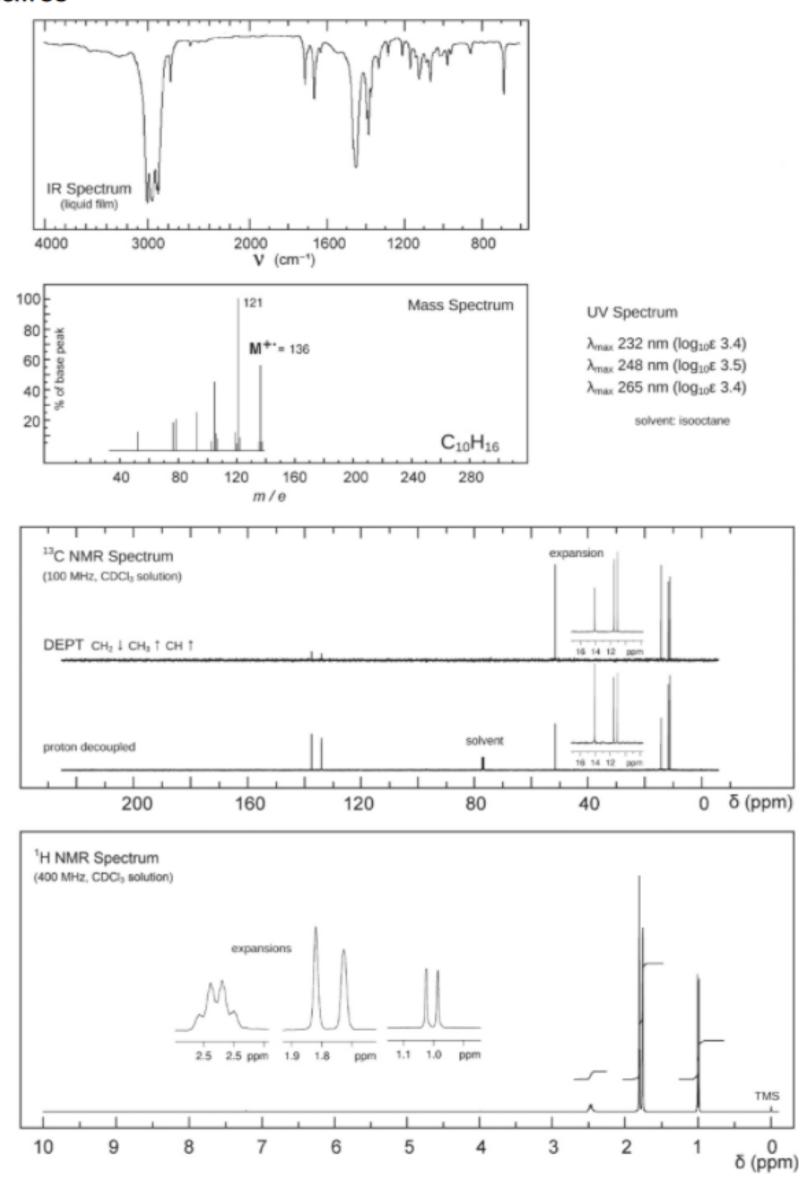


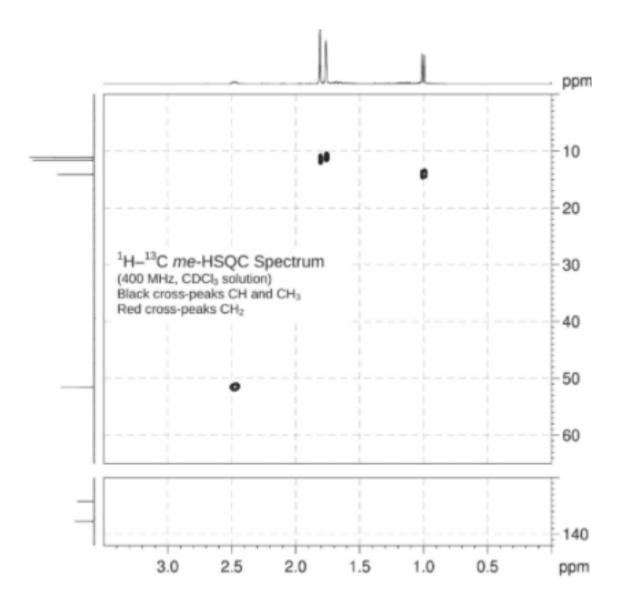


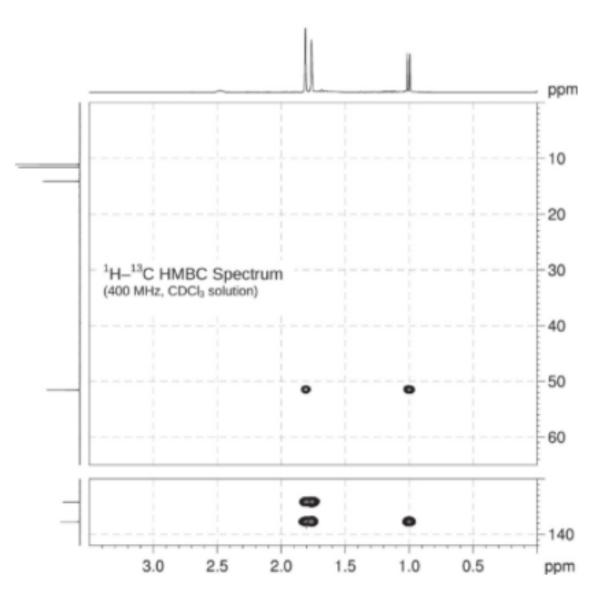


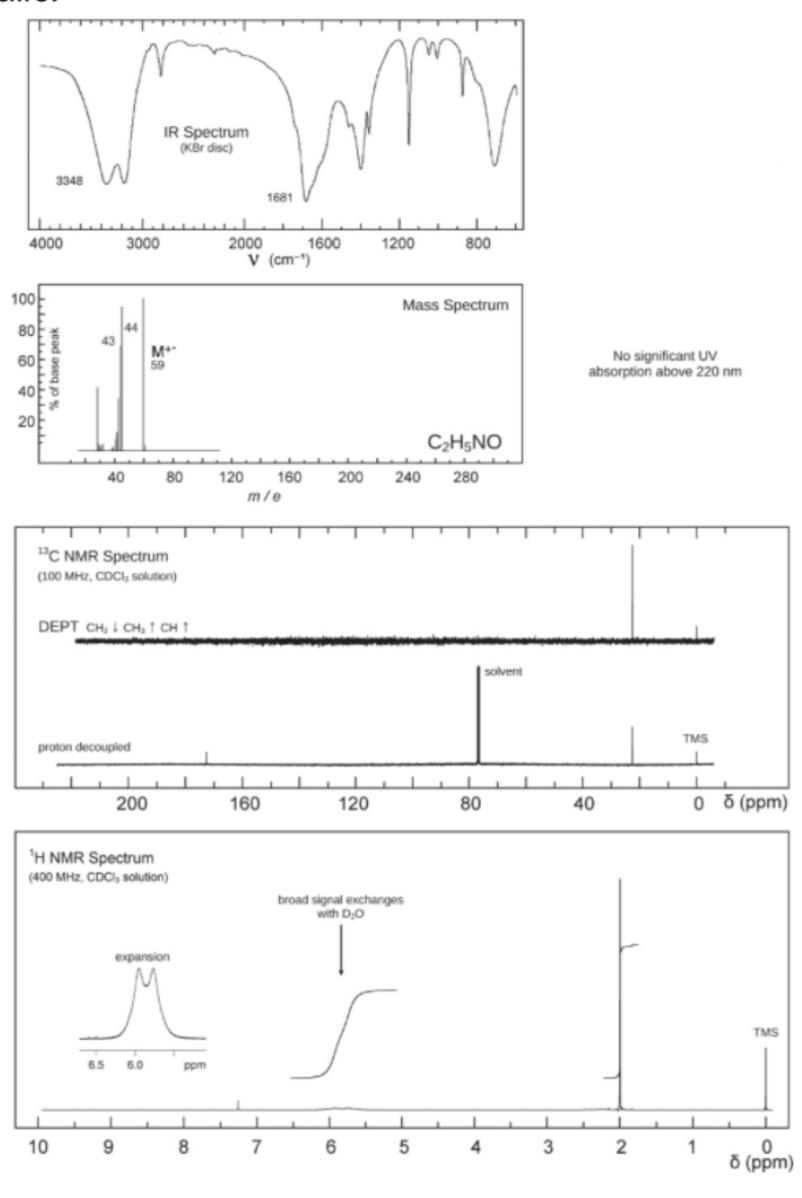


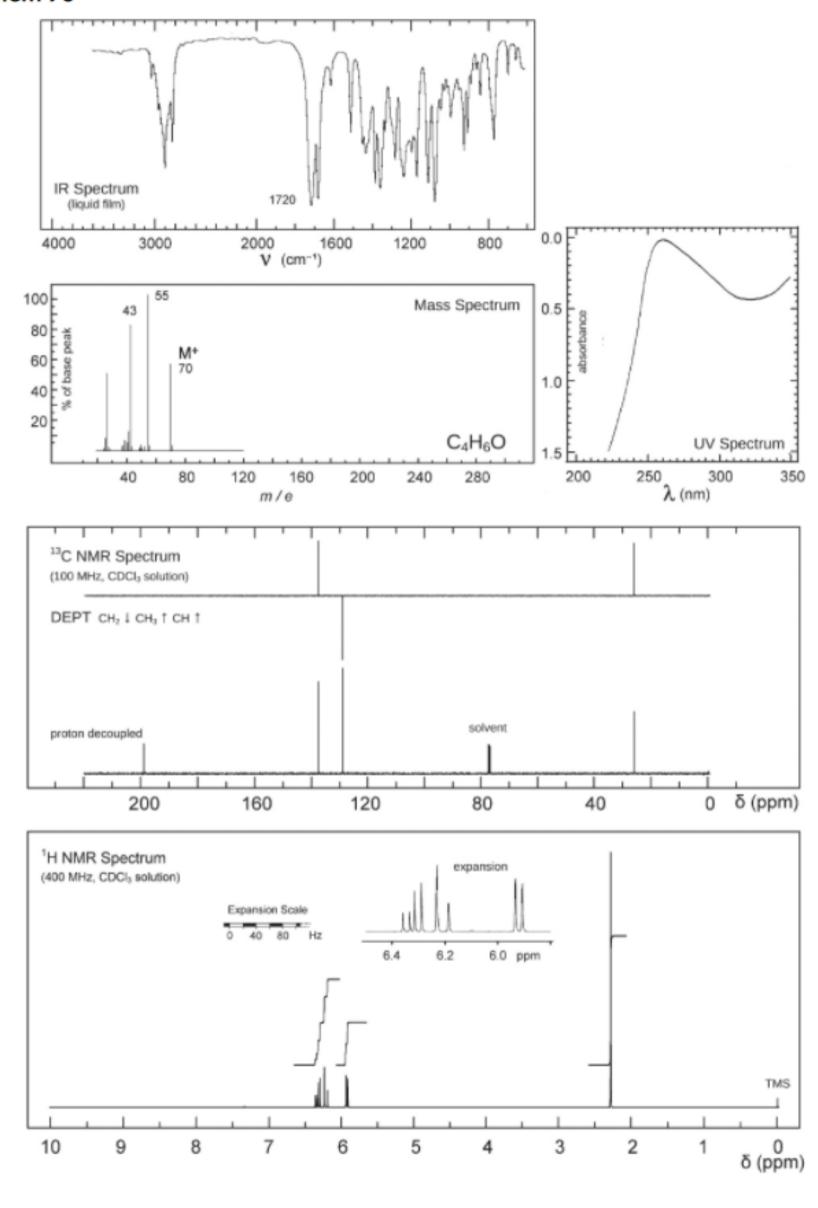


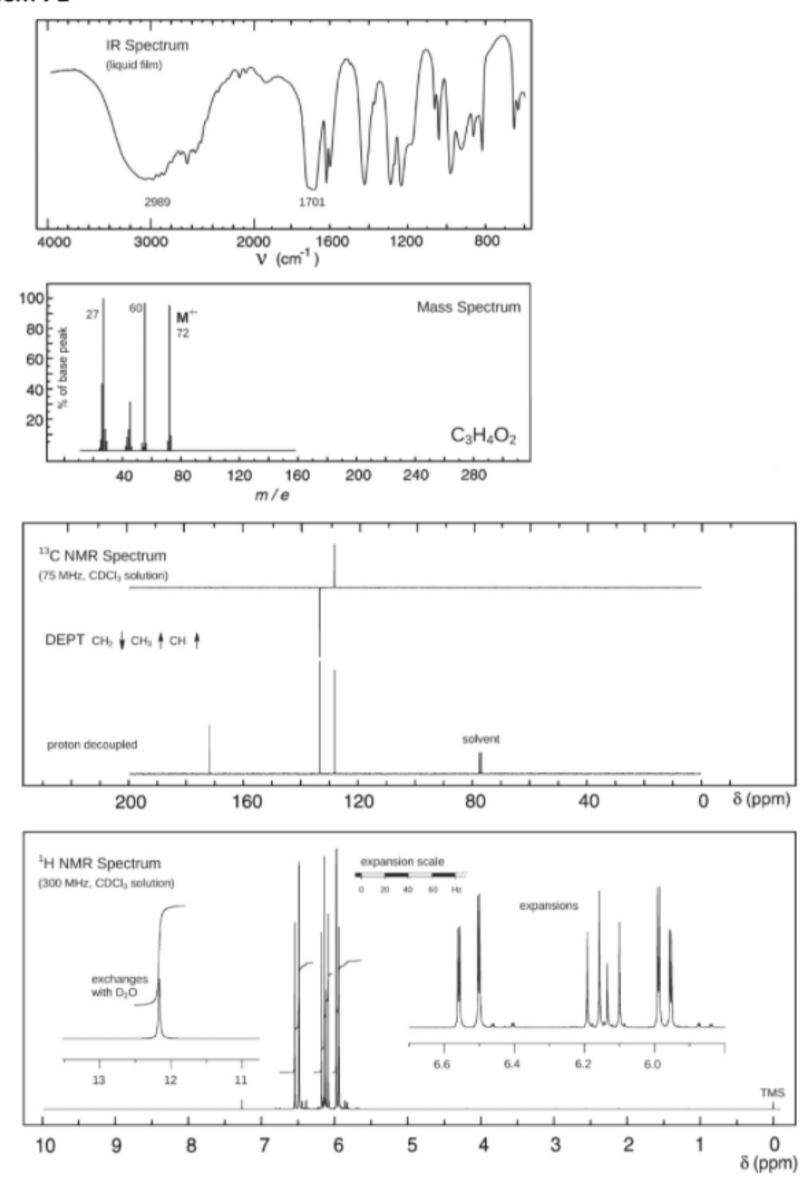


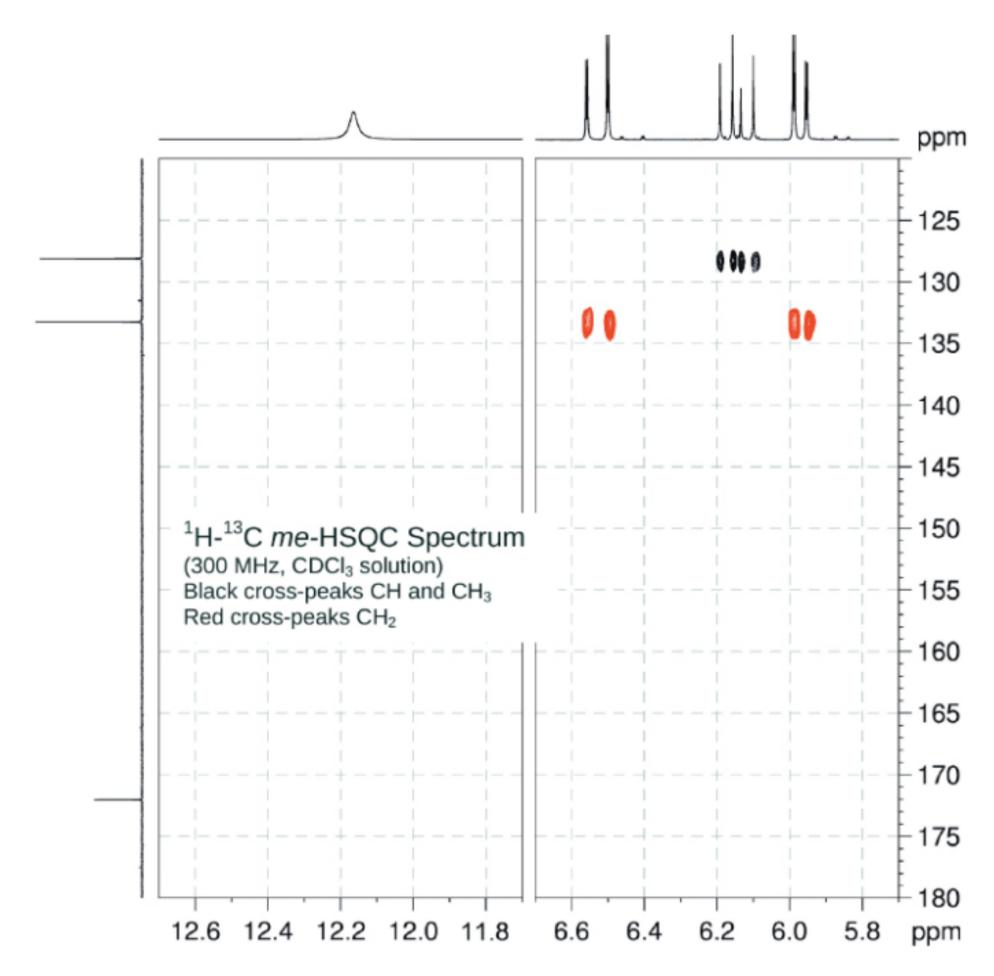




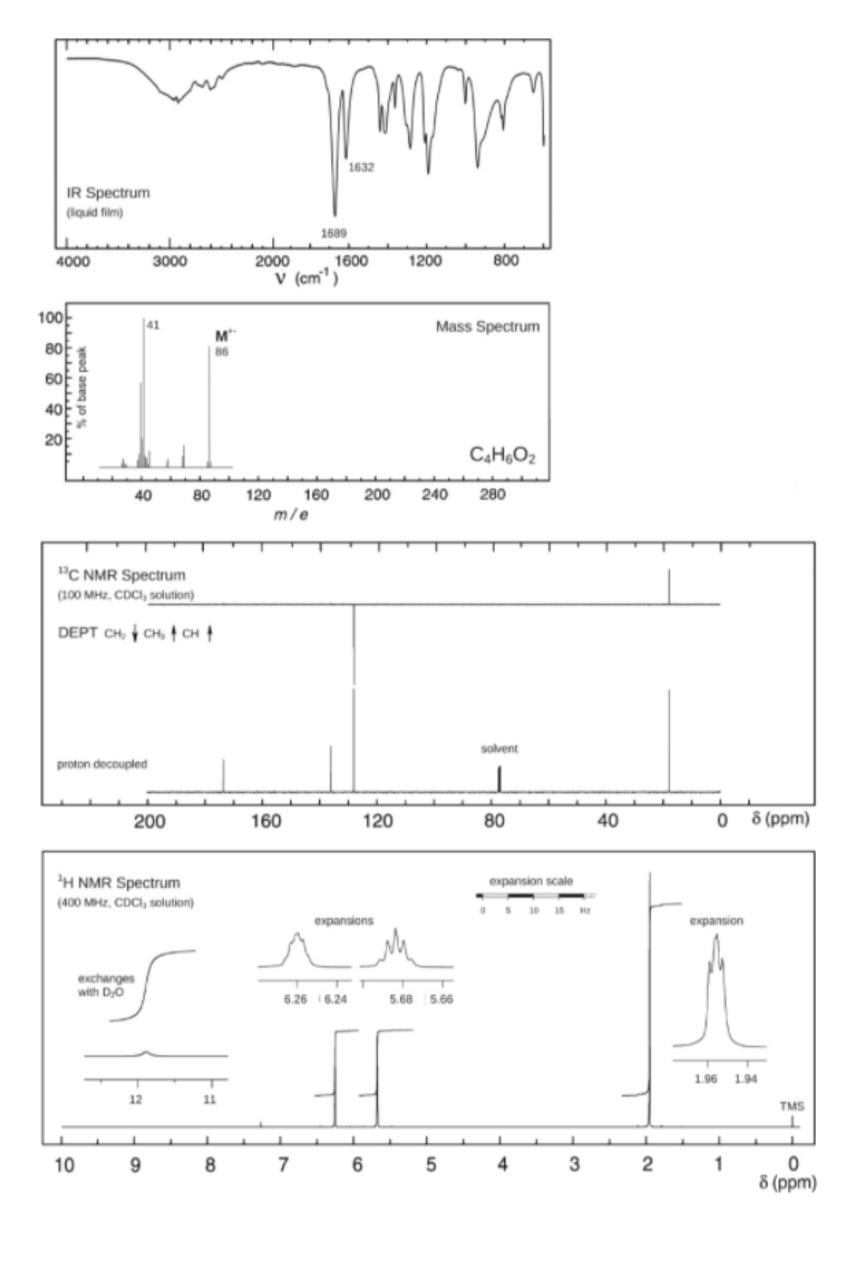


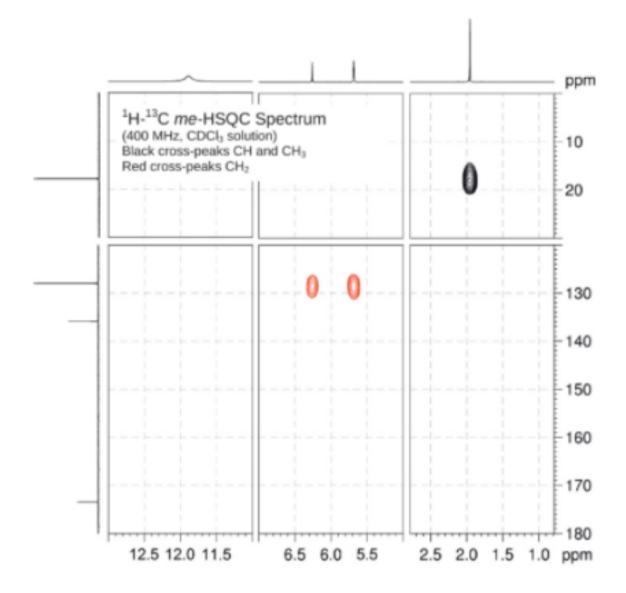


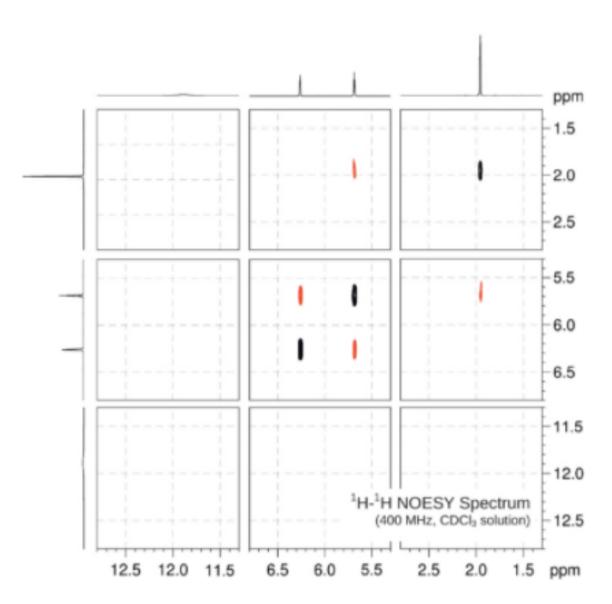


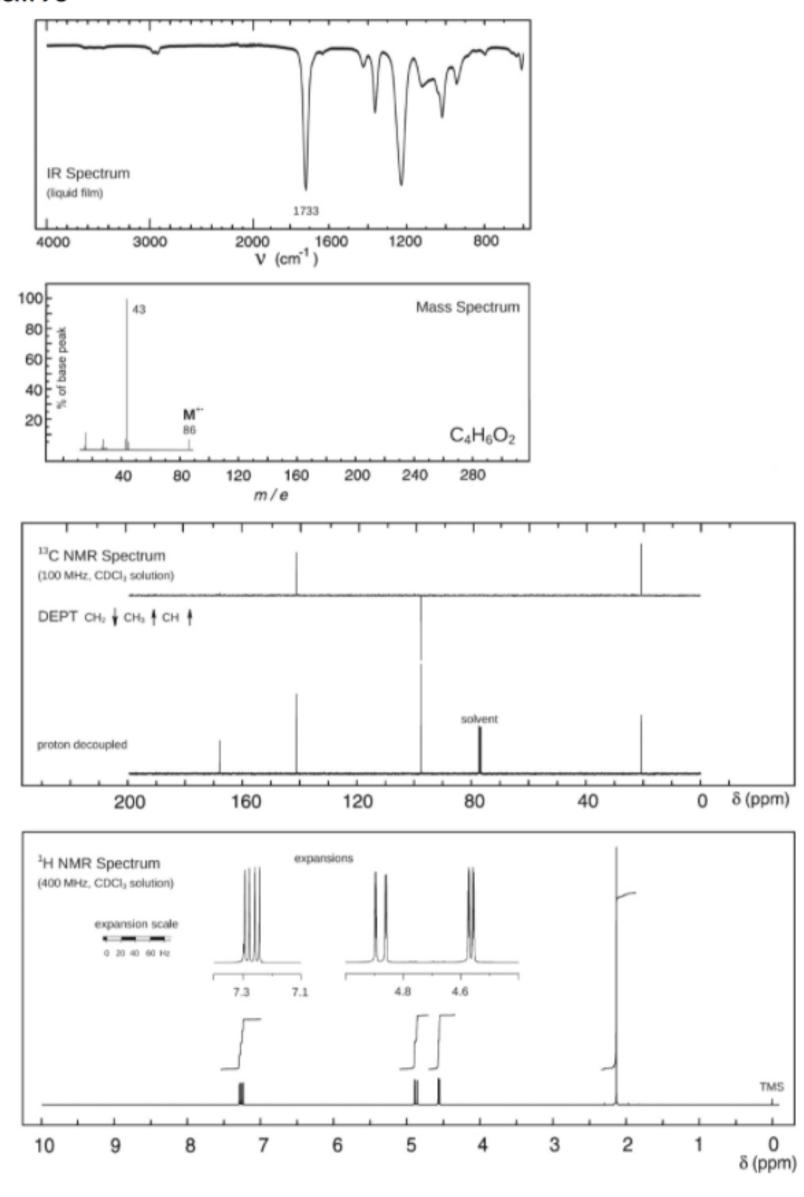


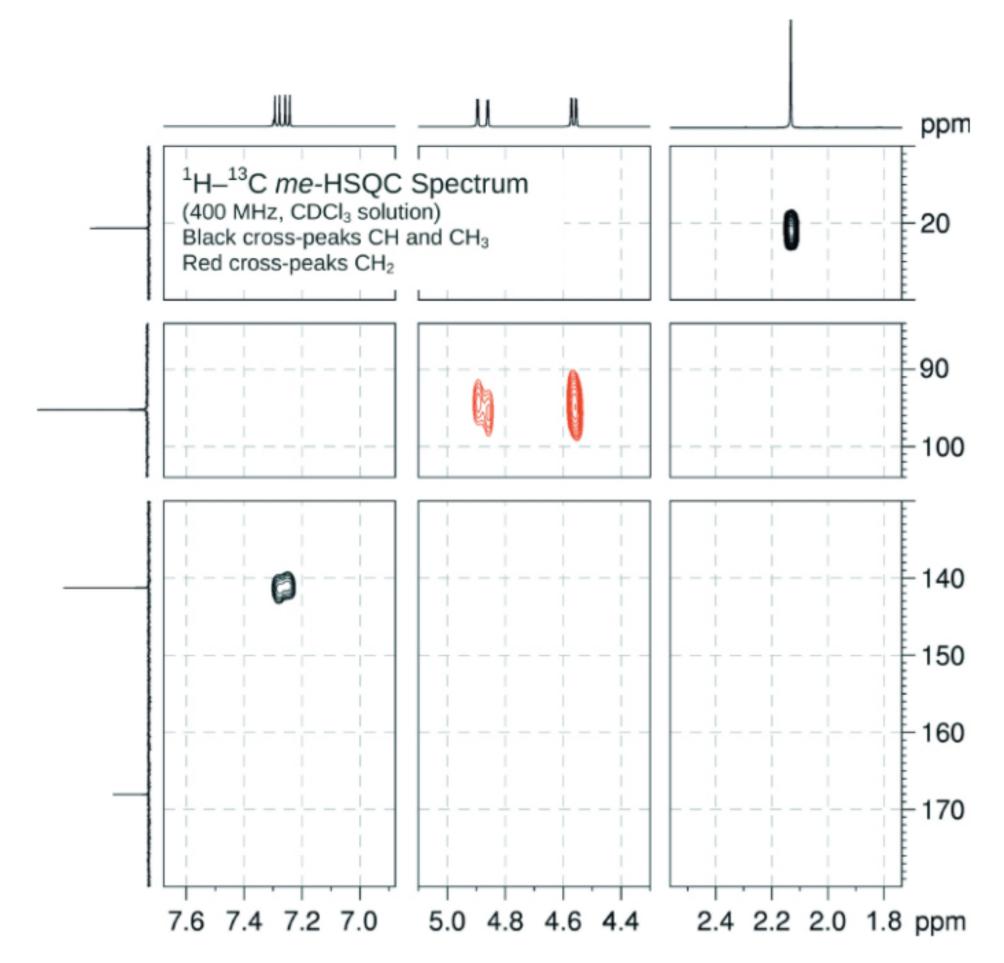
Problem 92



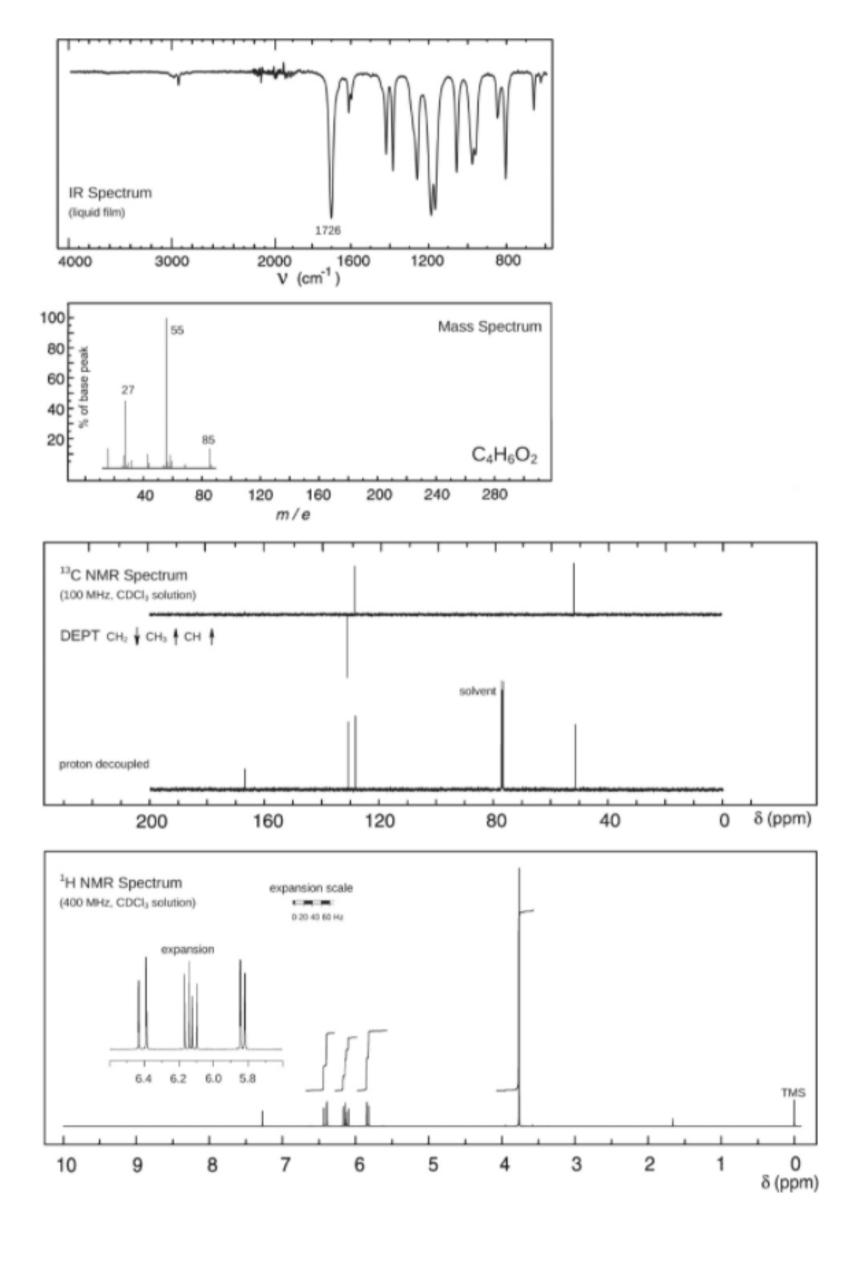


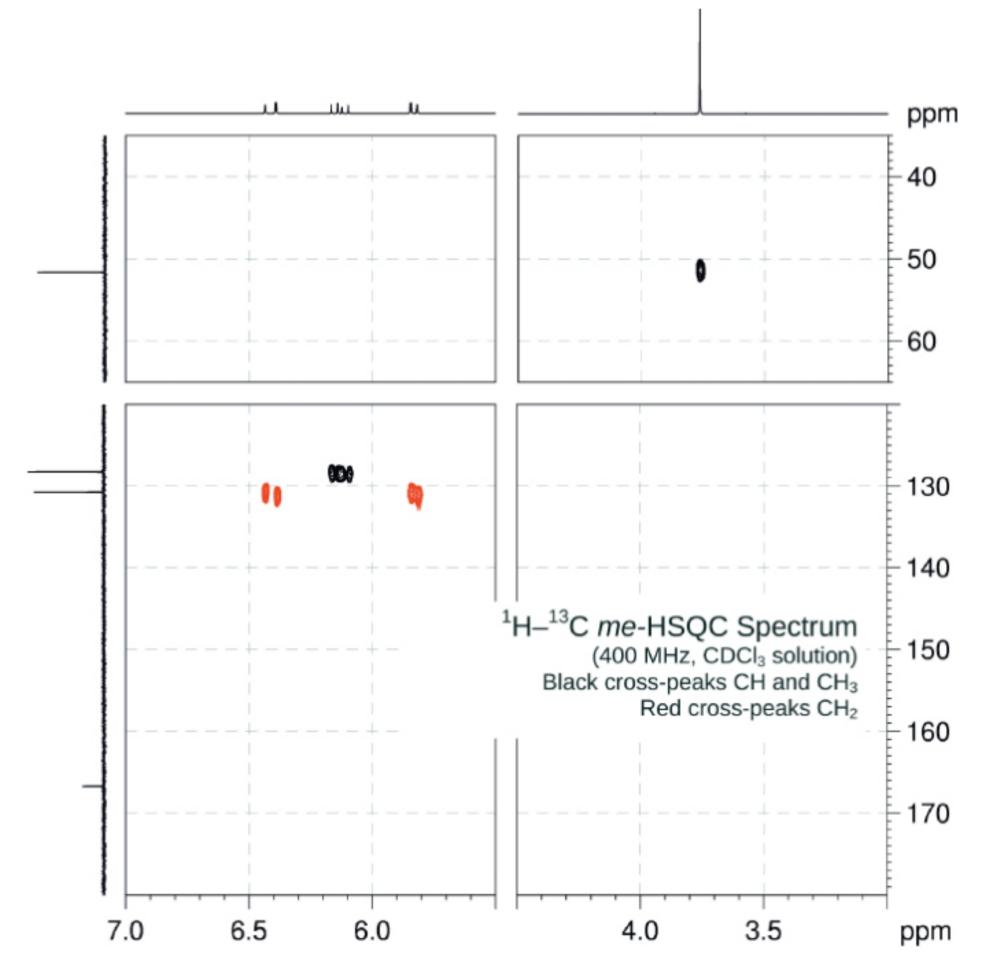




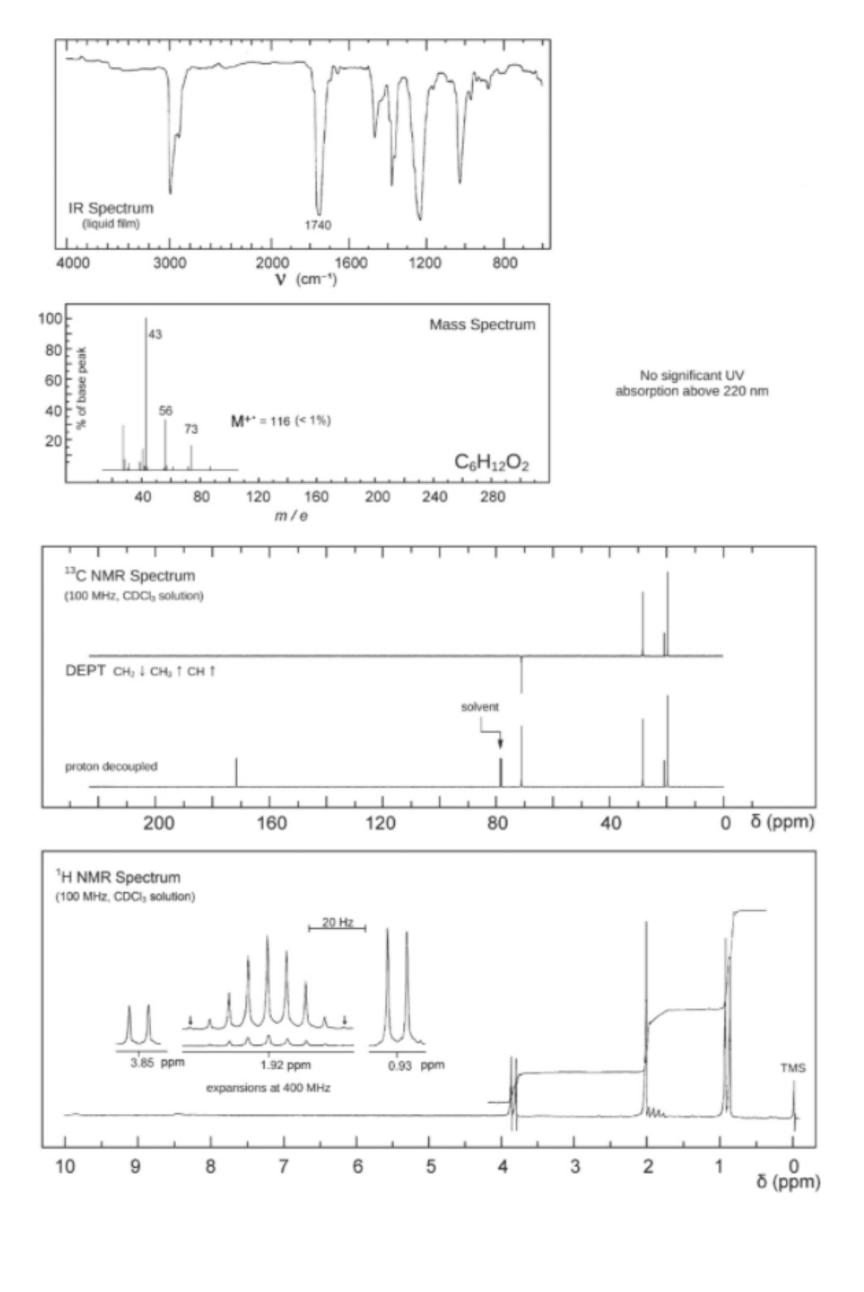


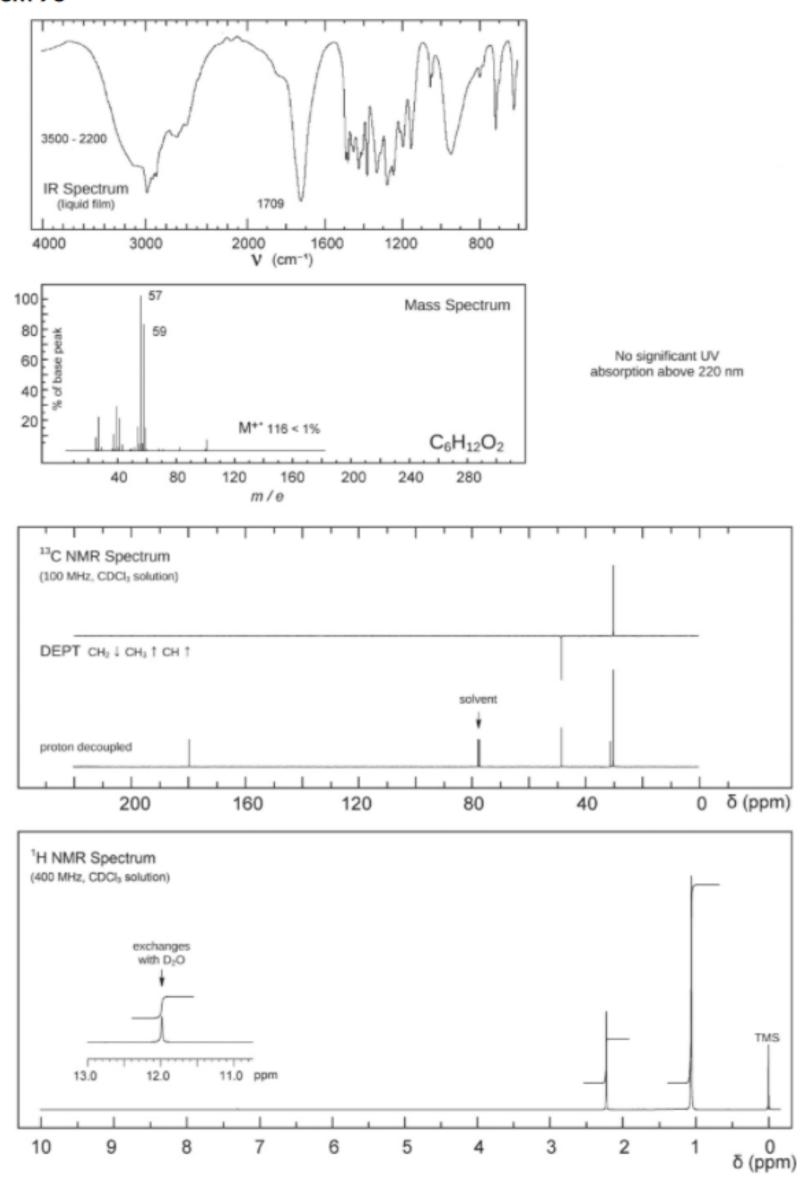
Problem 94

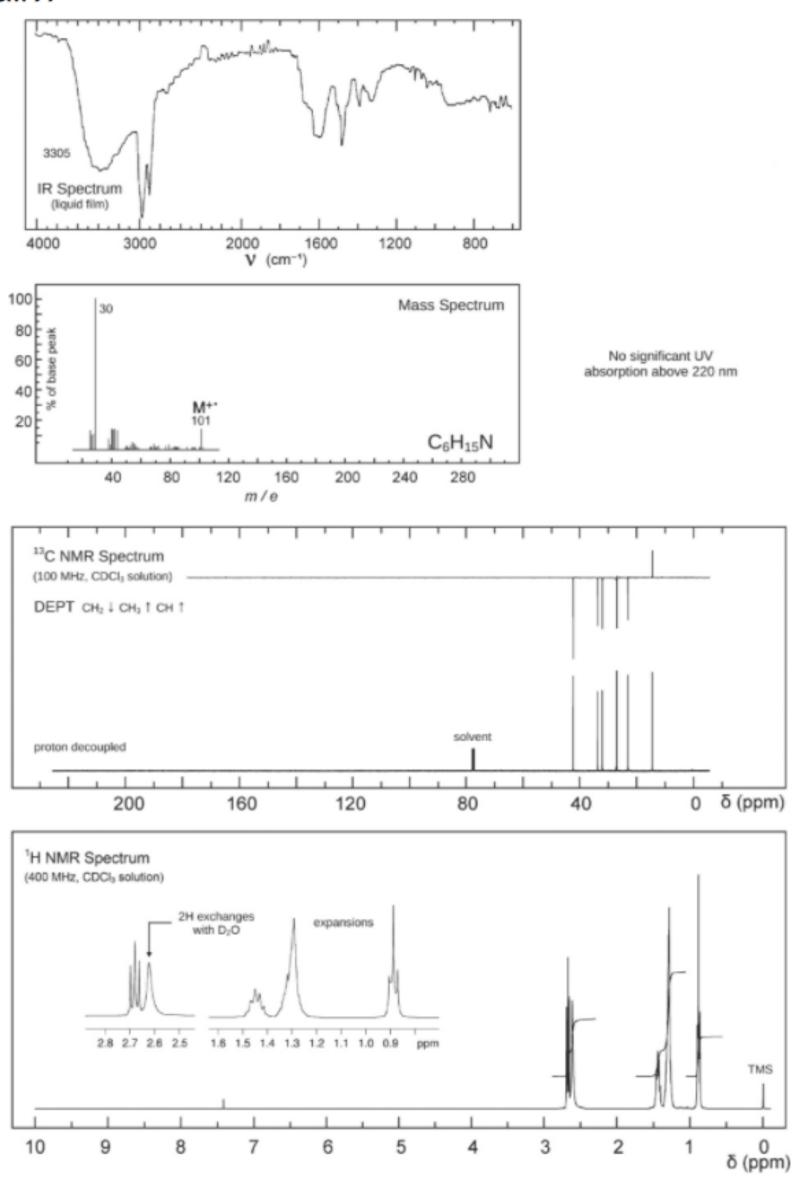


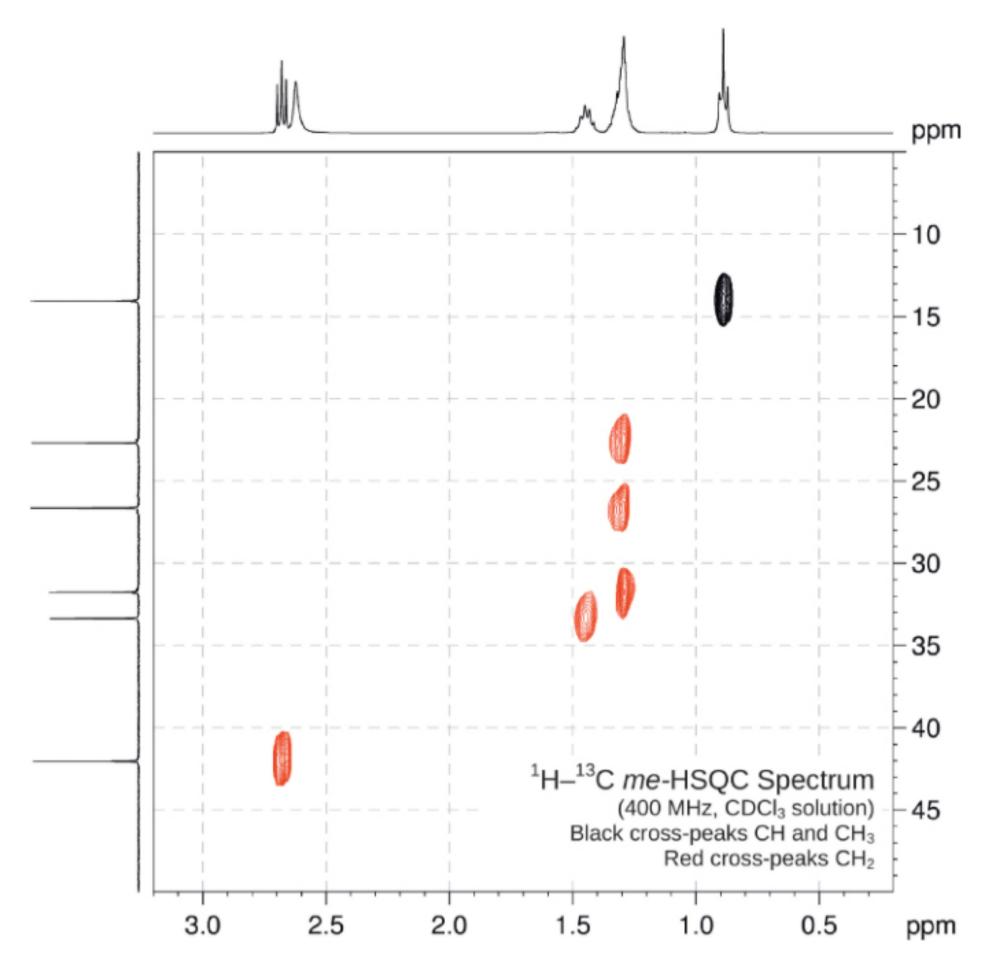


Problem 95

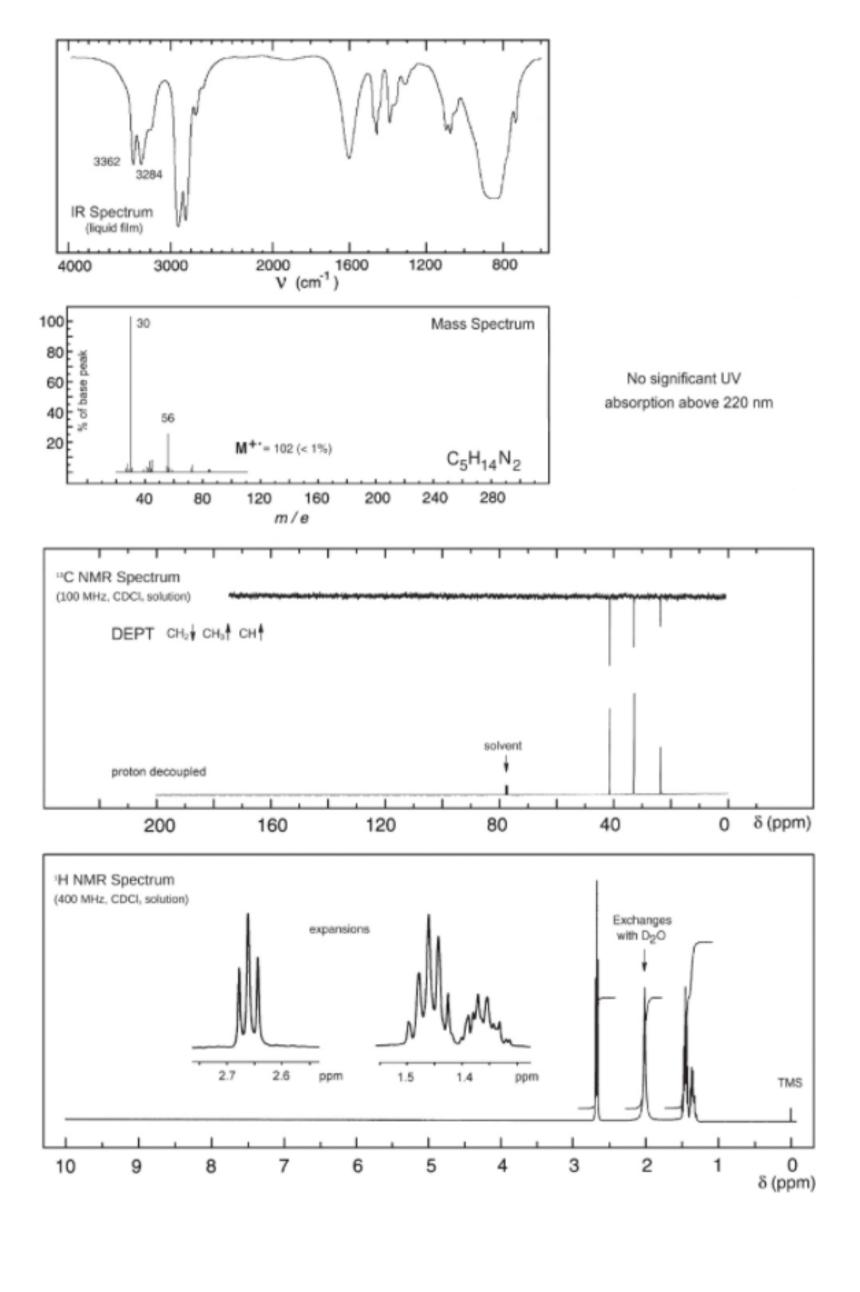


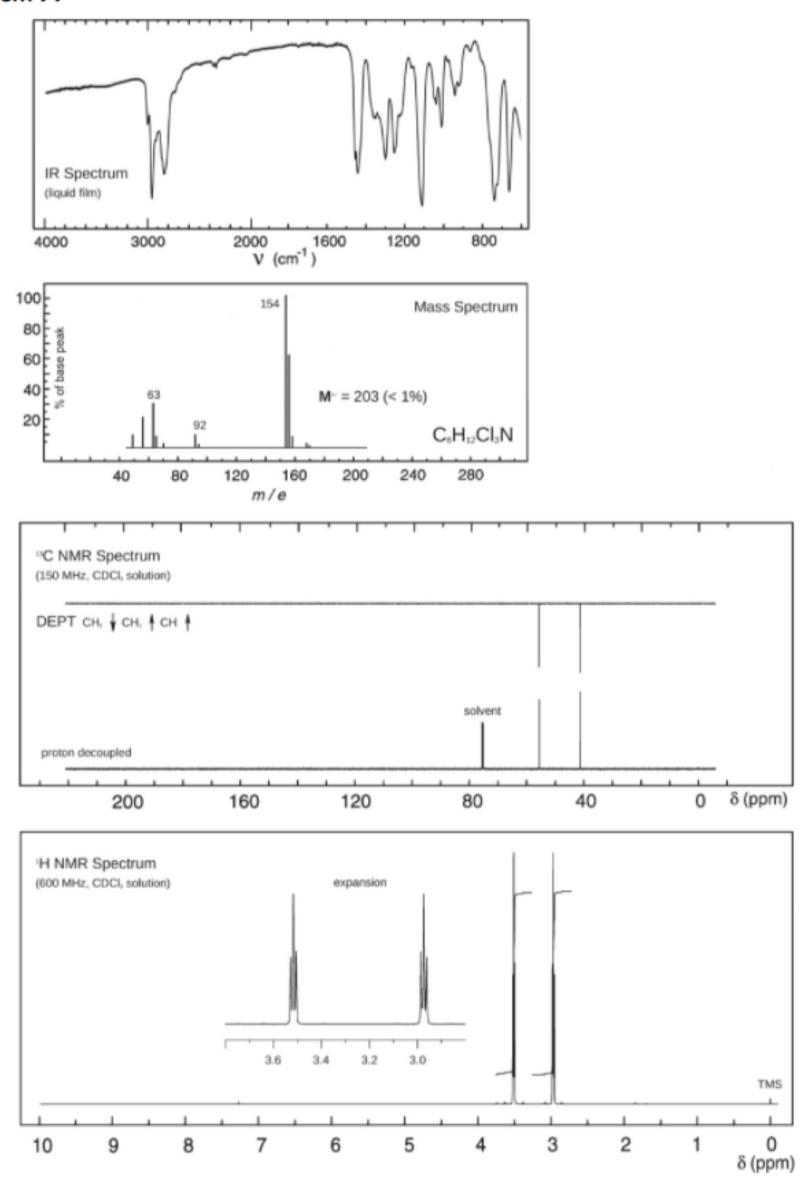


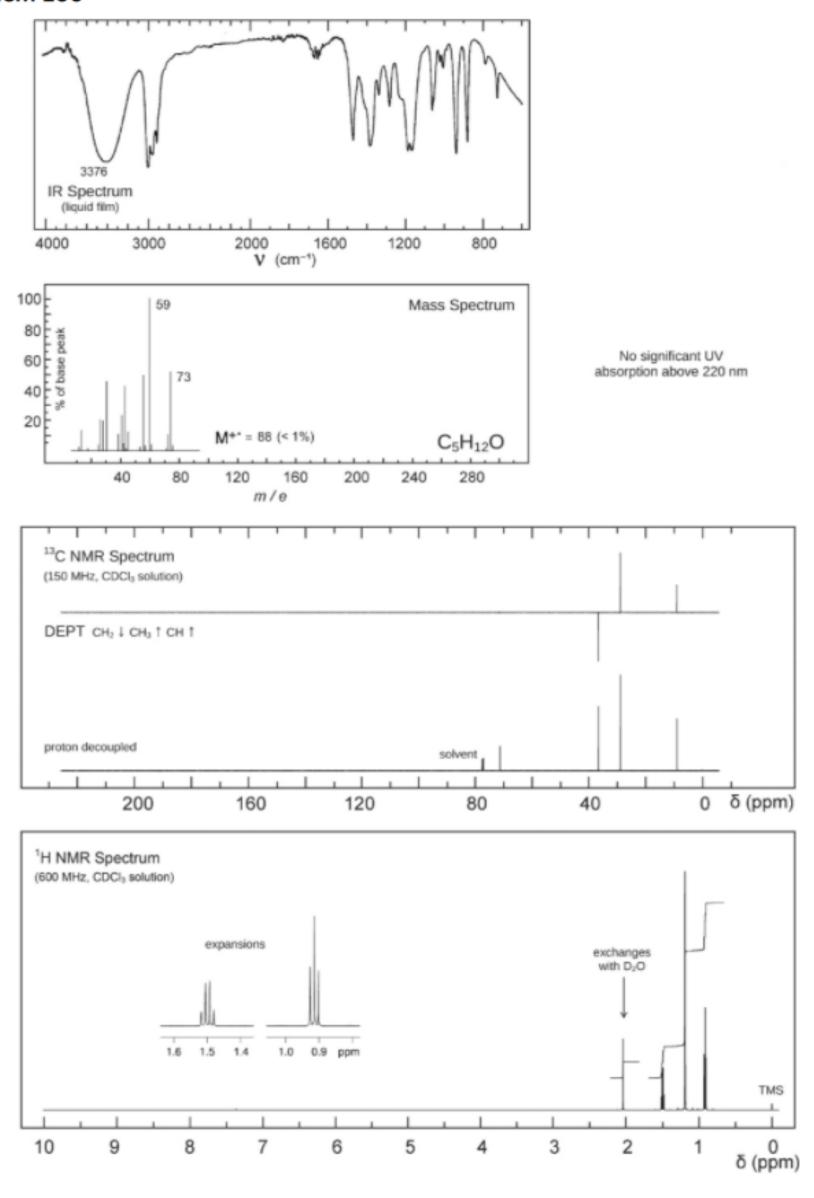


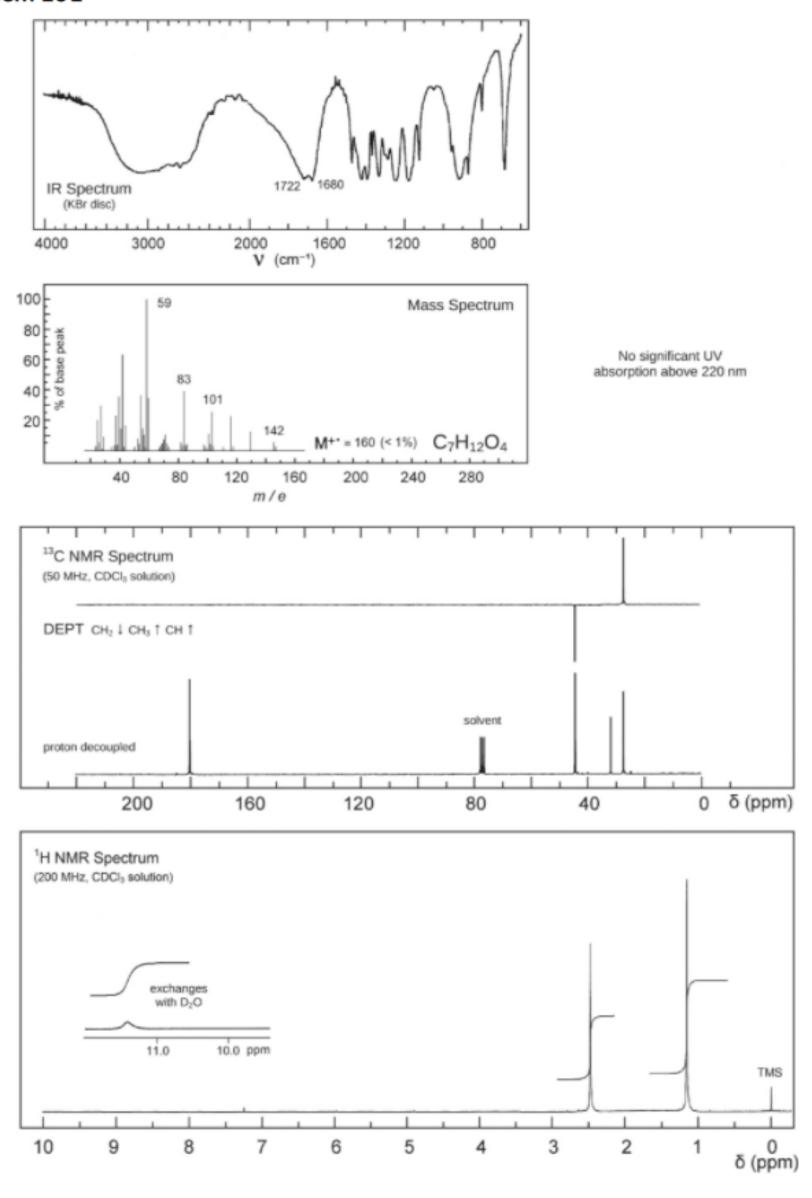


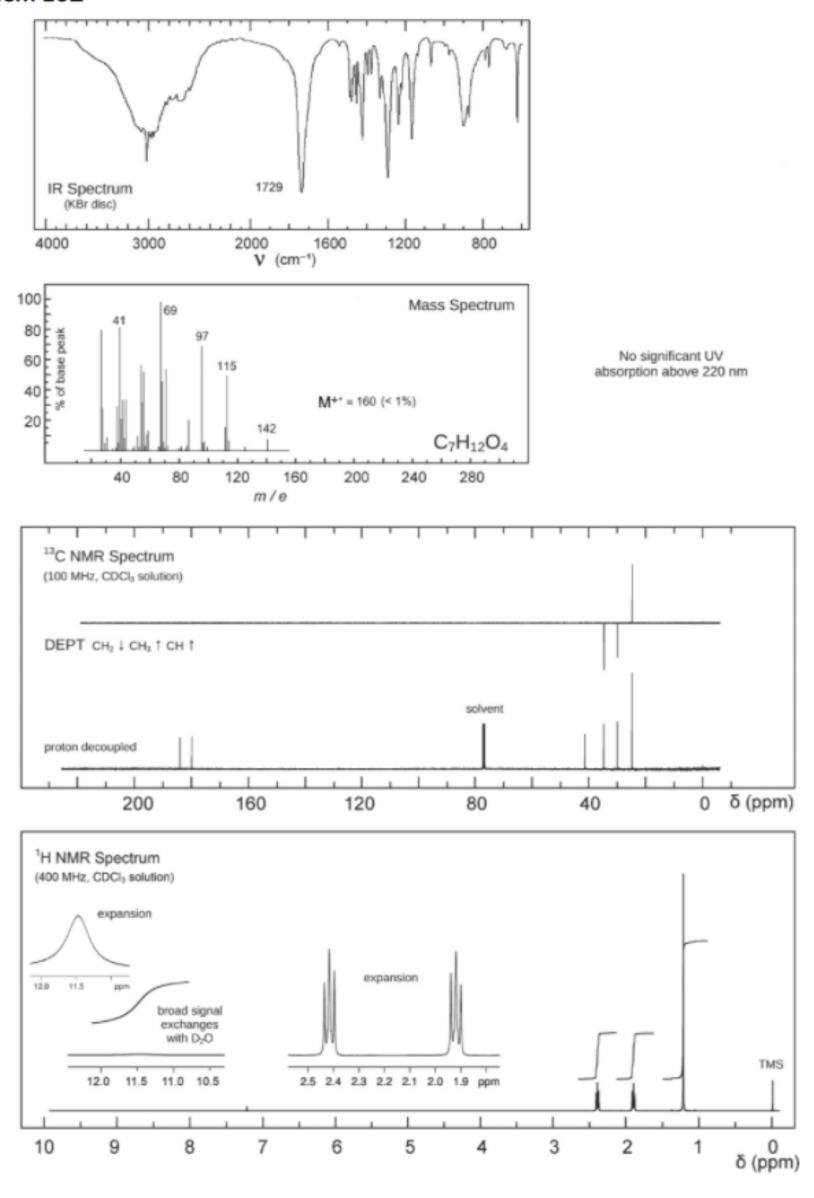
Problem 98

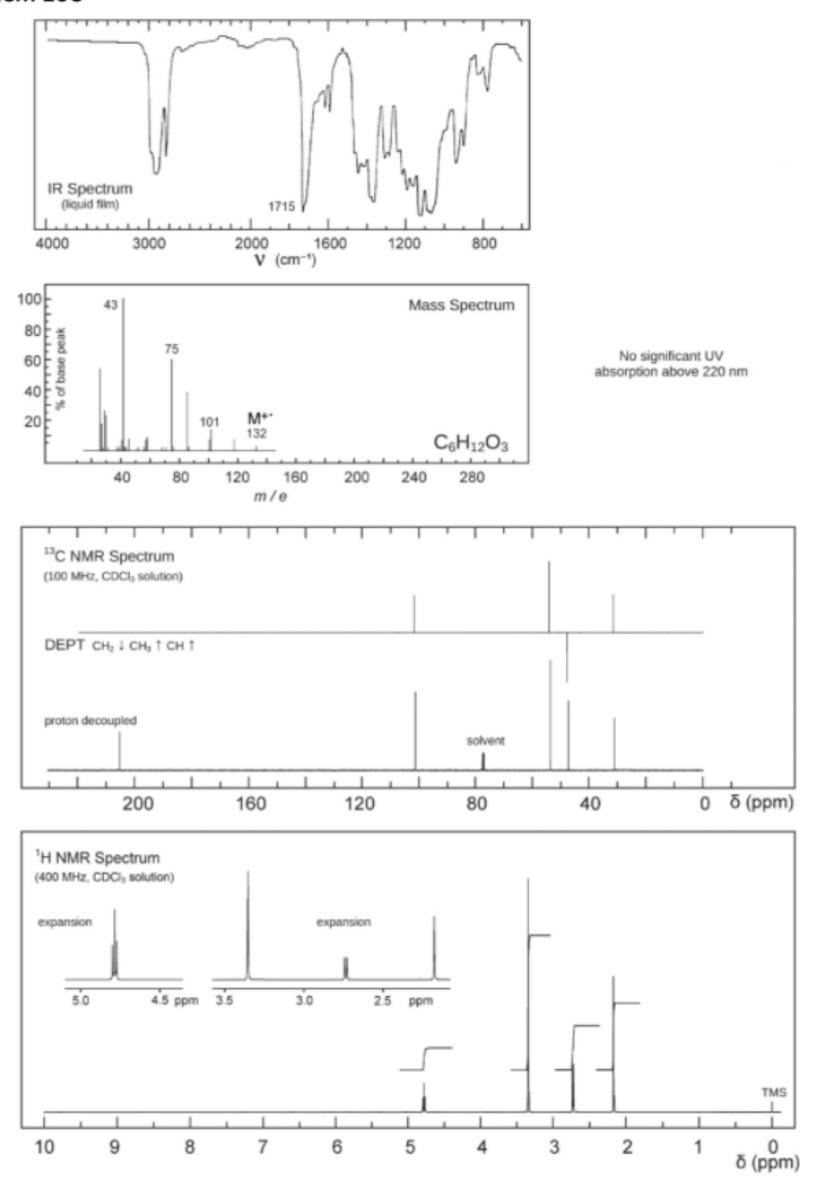


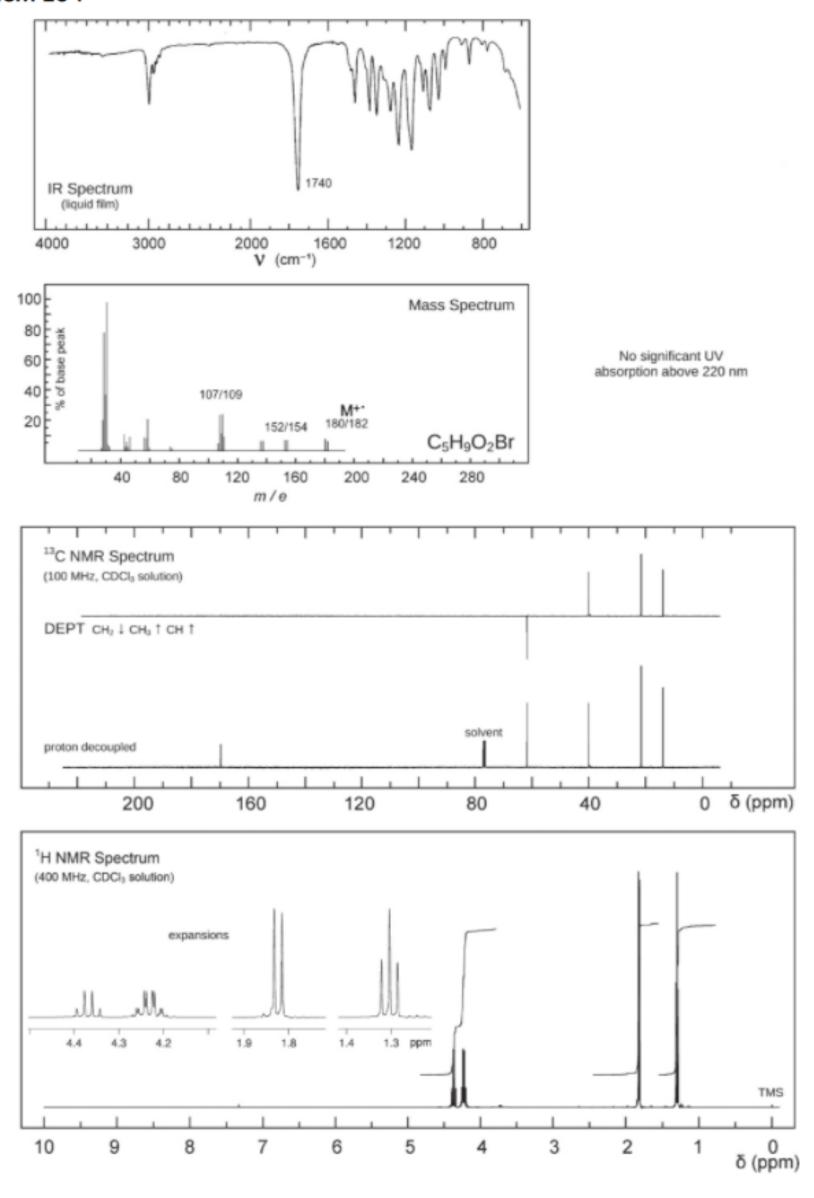


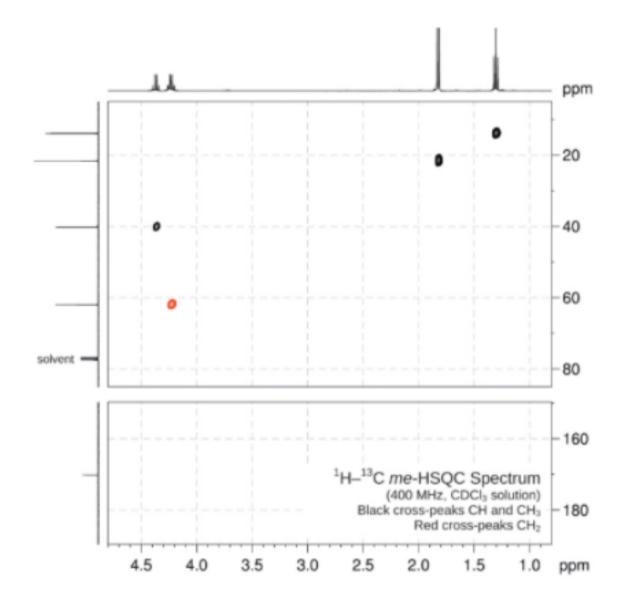


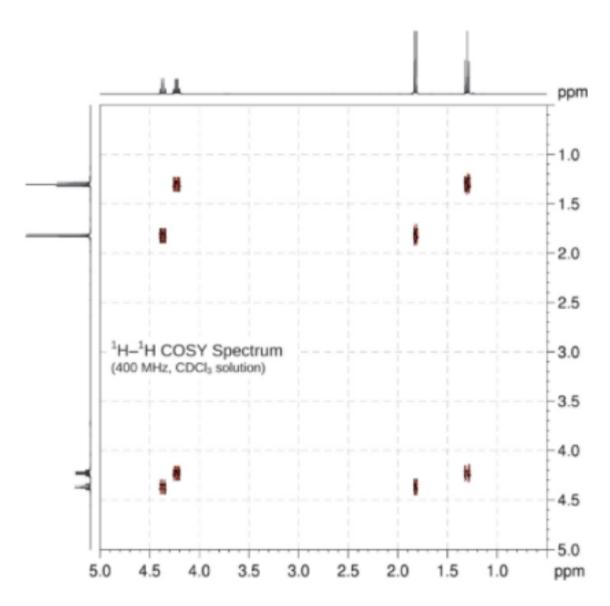


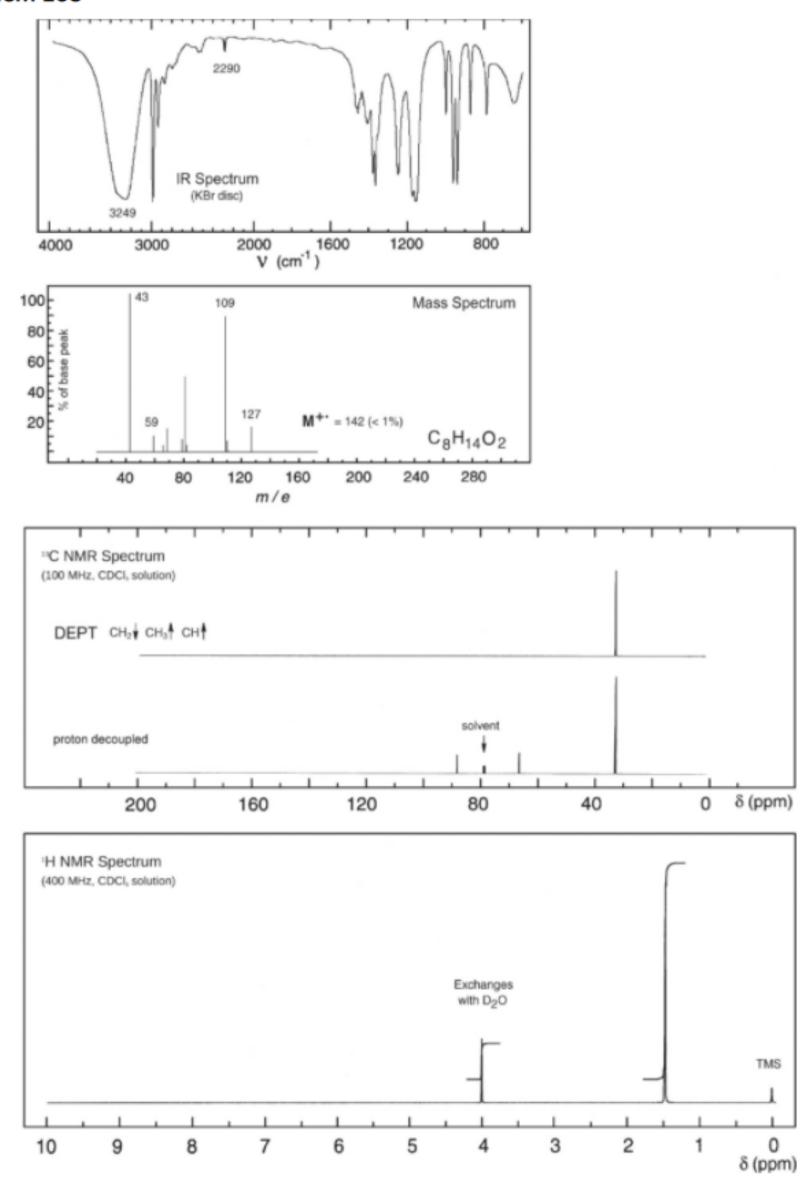


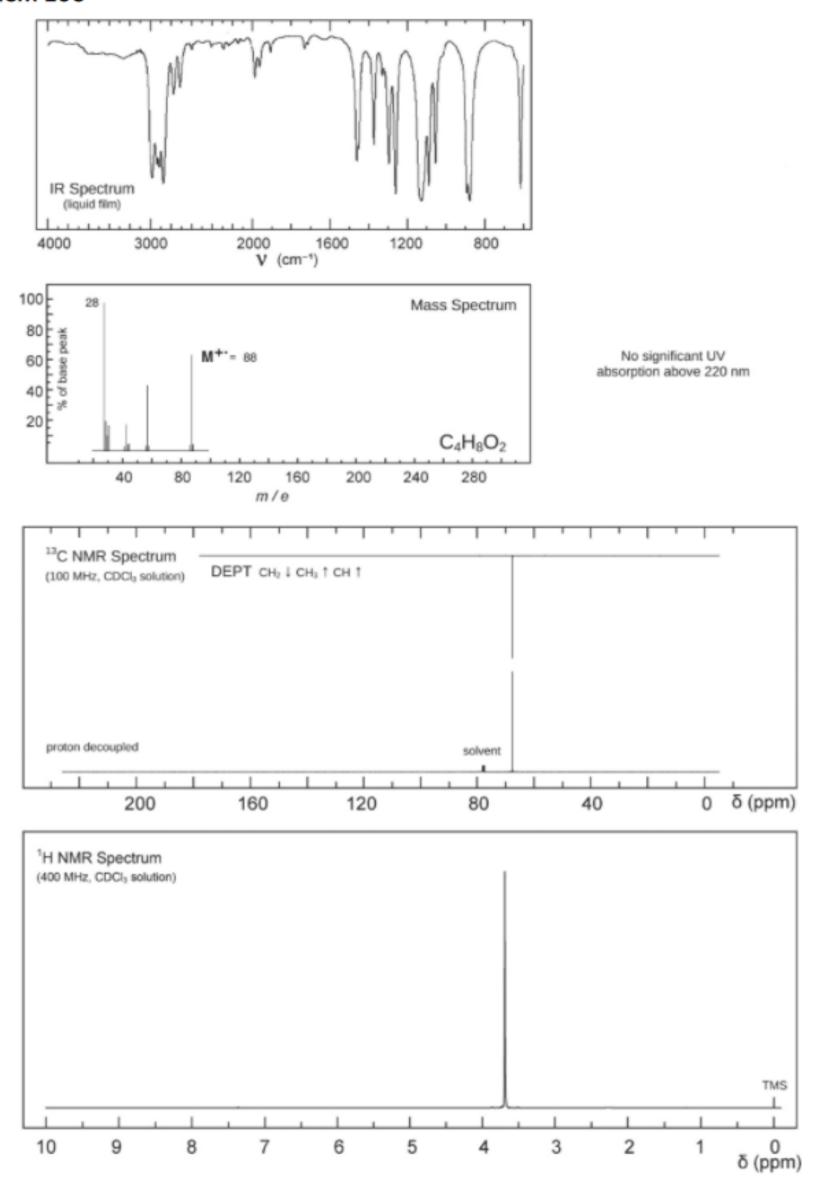


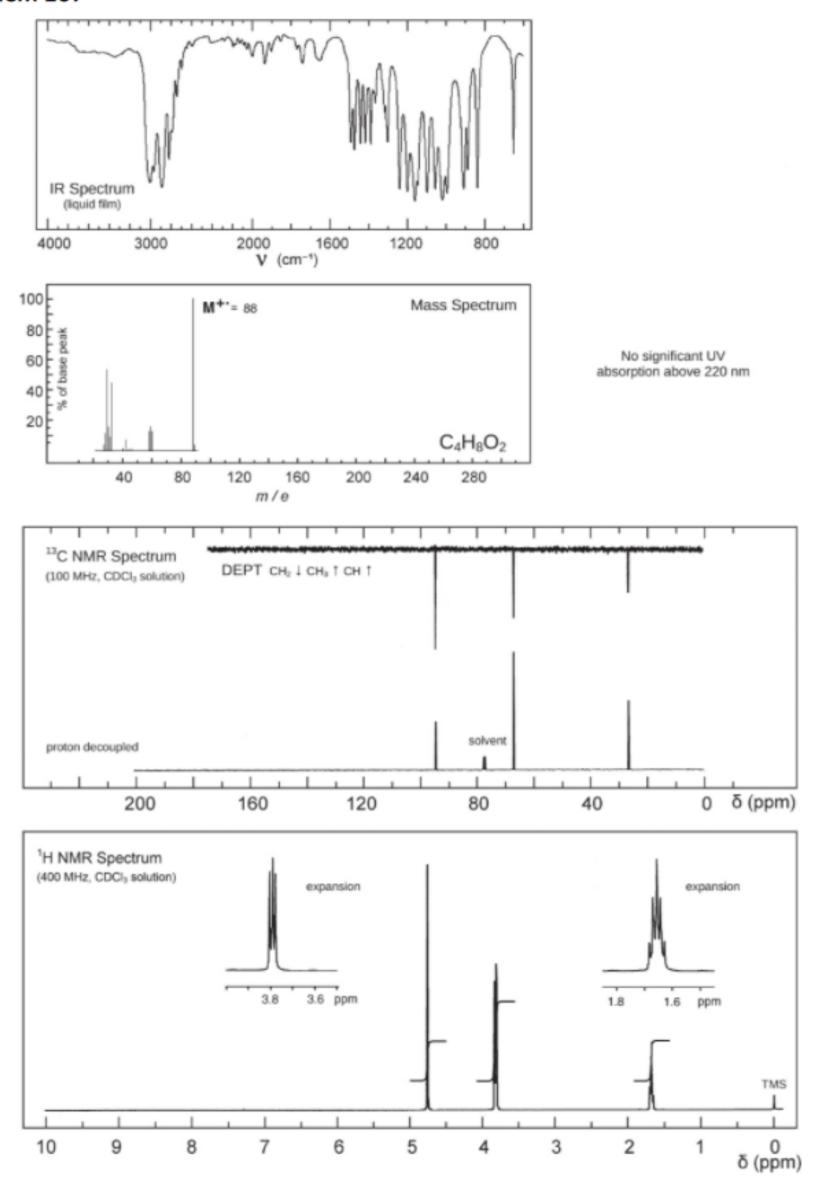


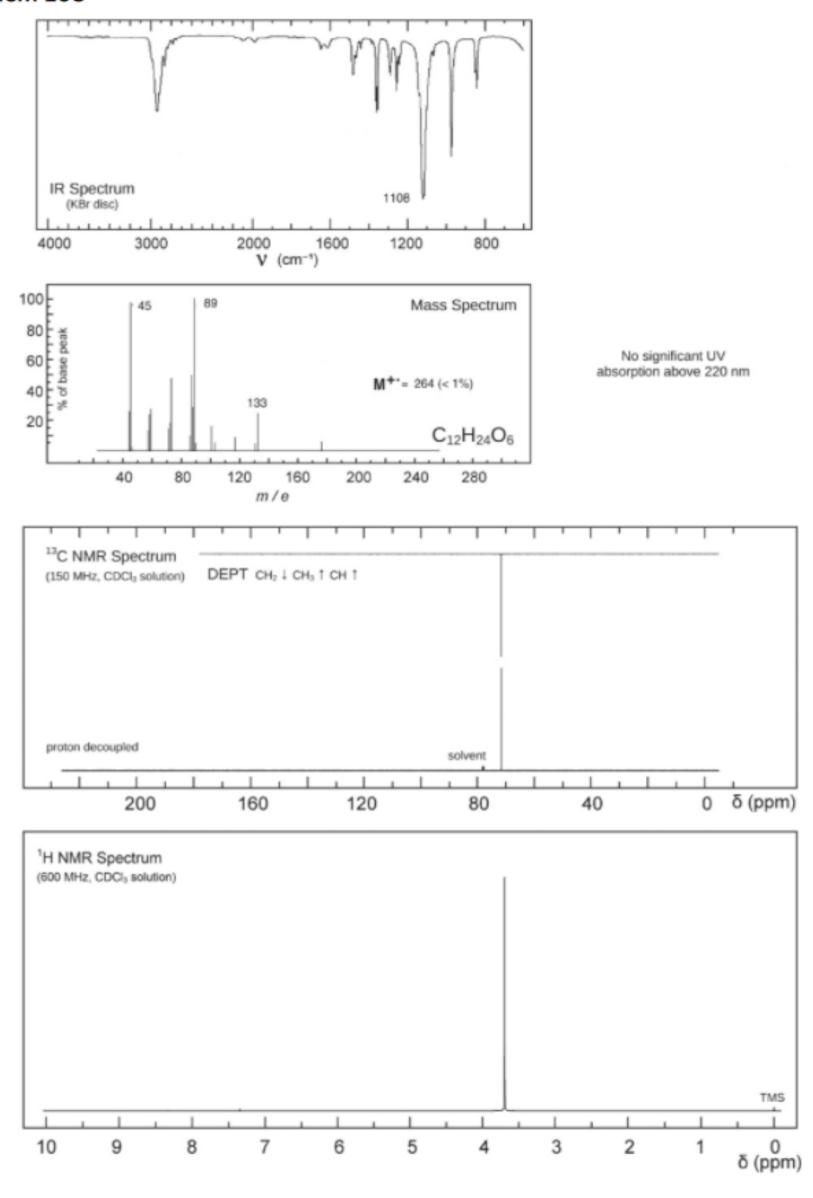


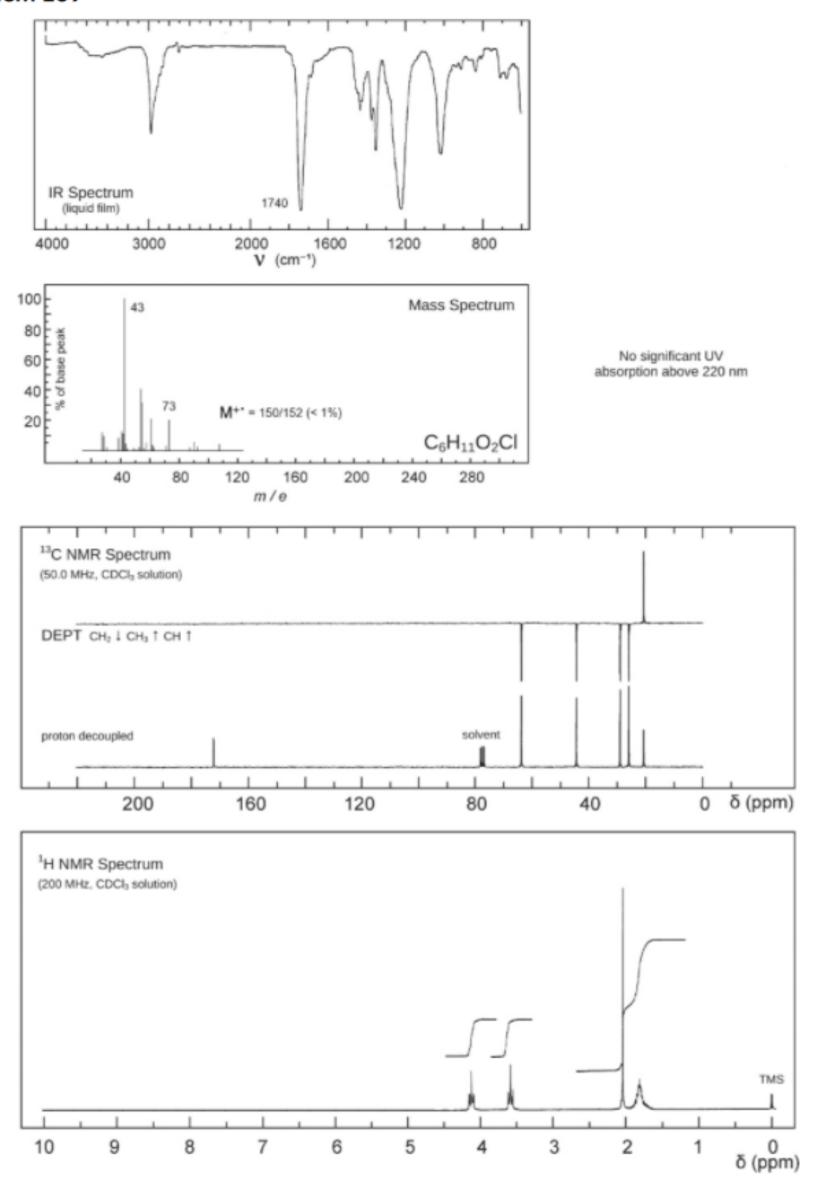


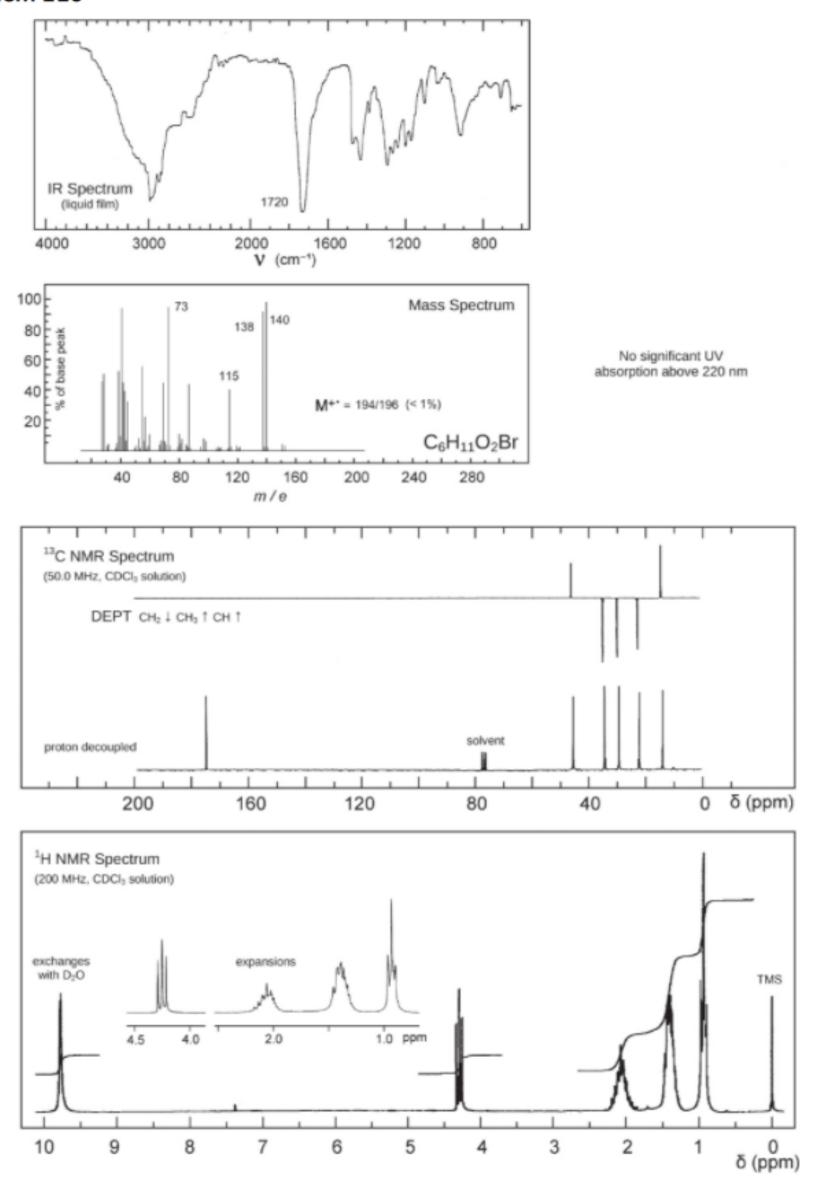


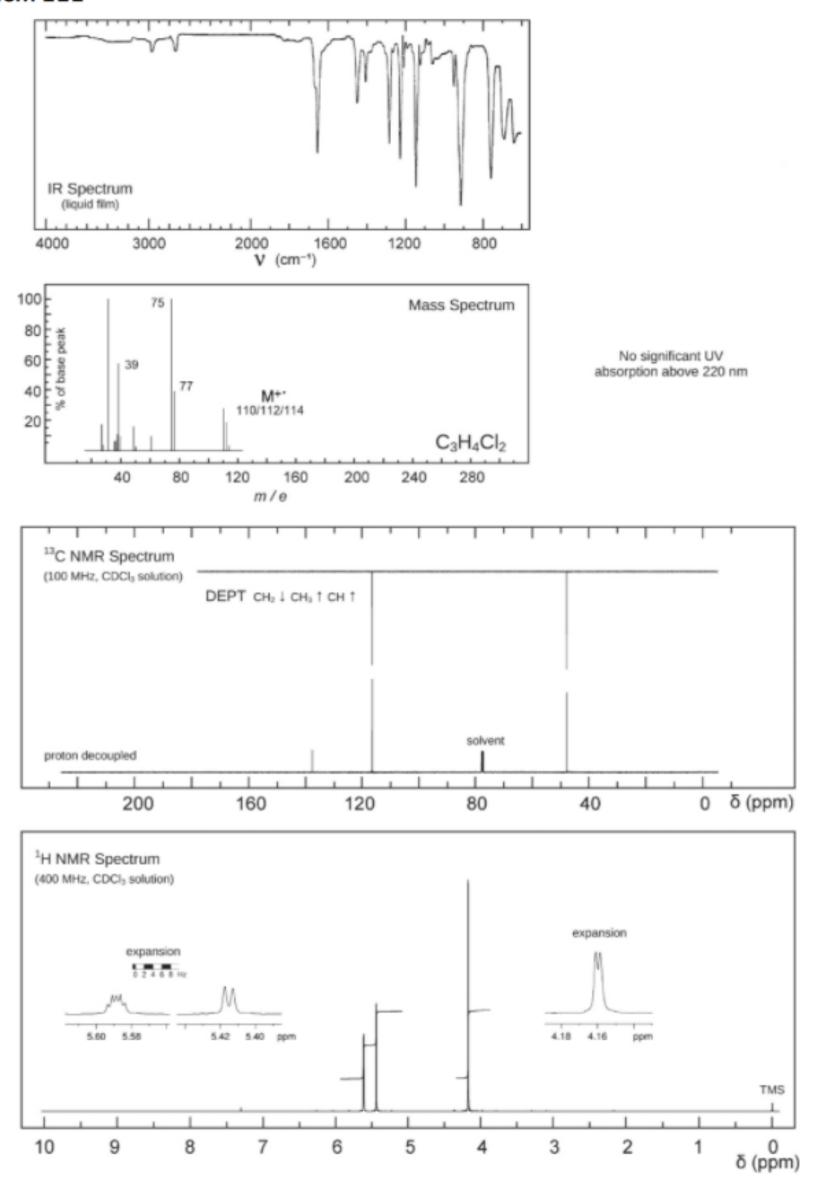


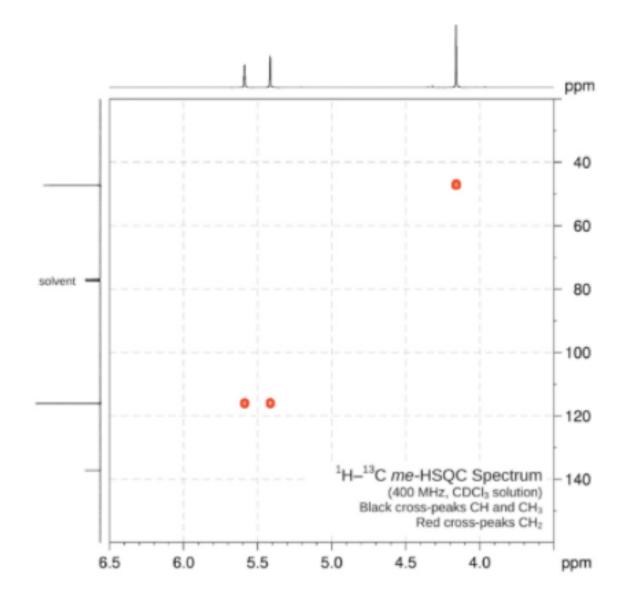


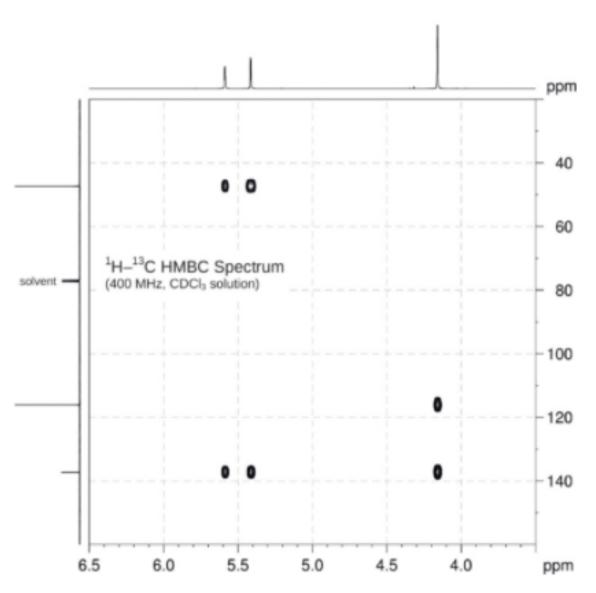


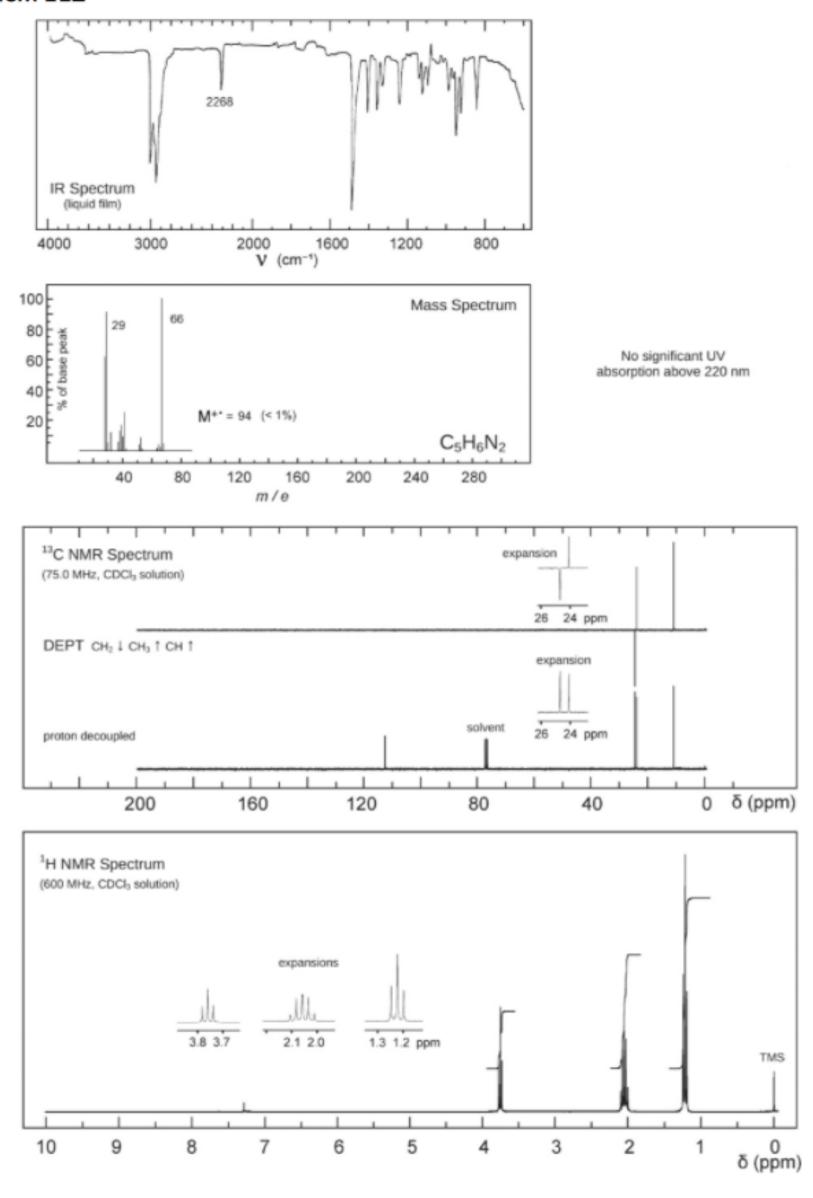


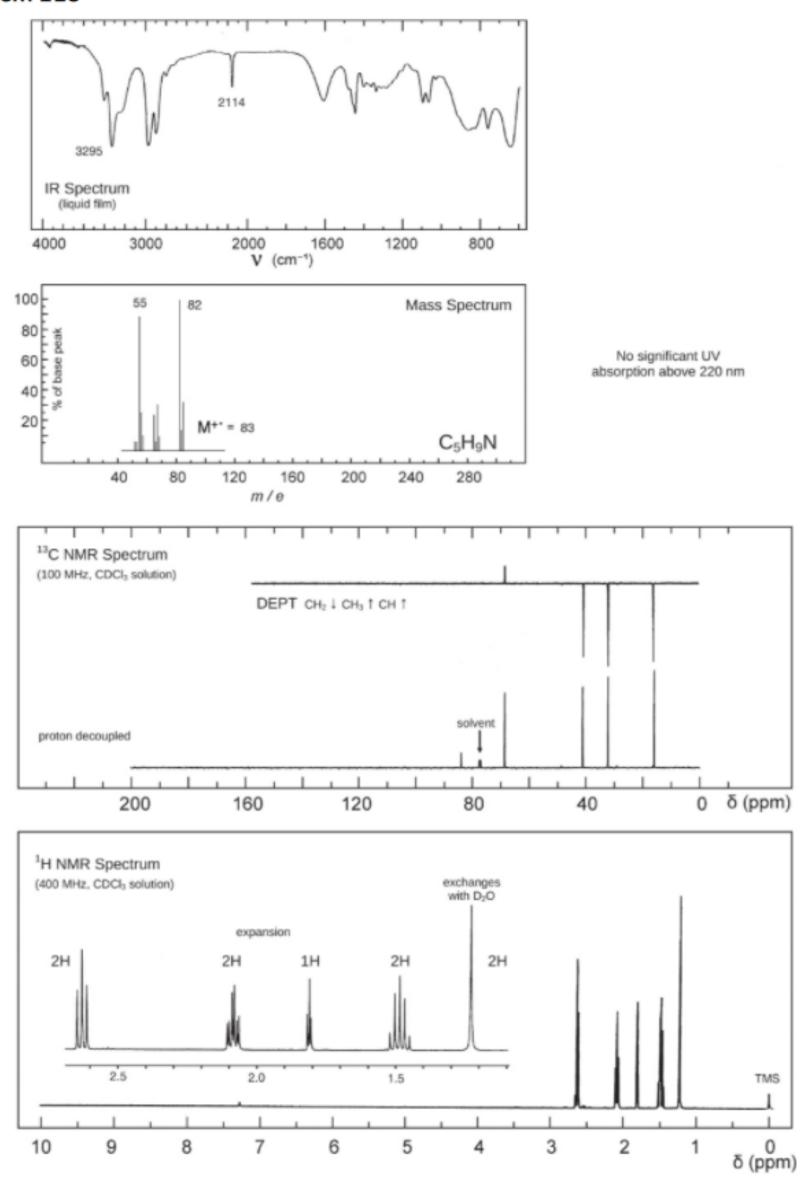


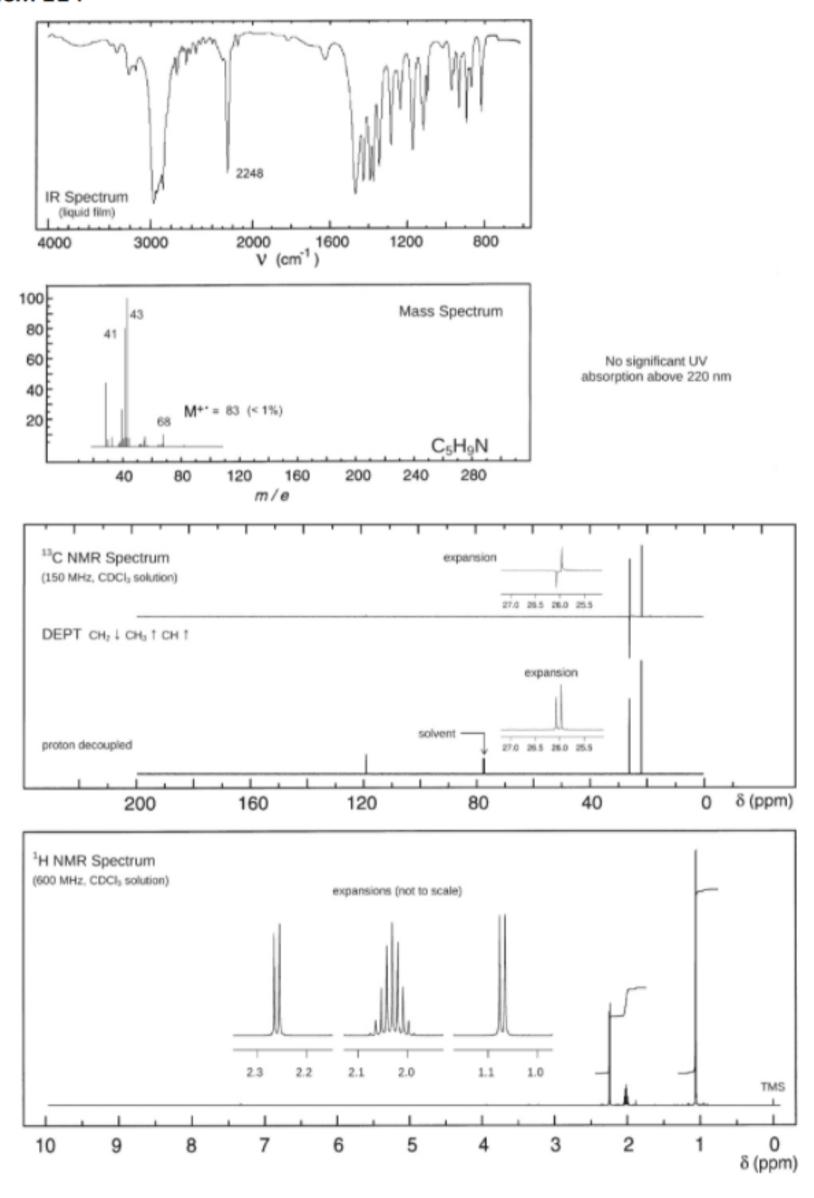


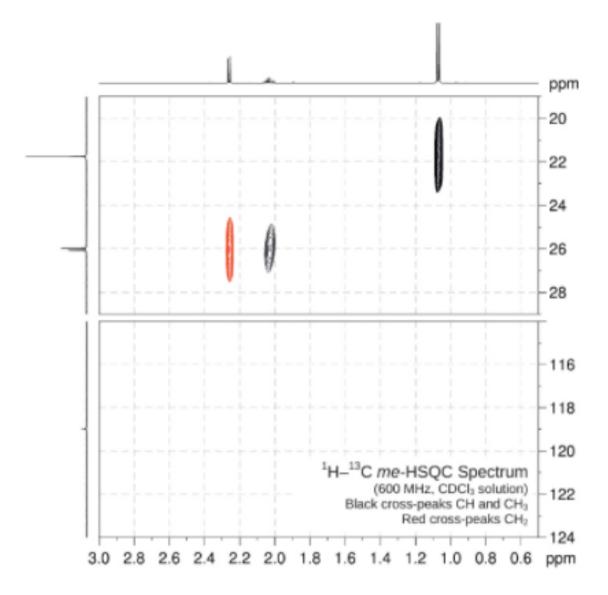


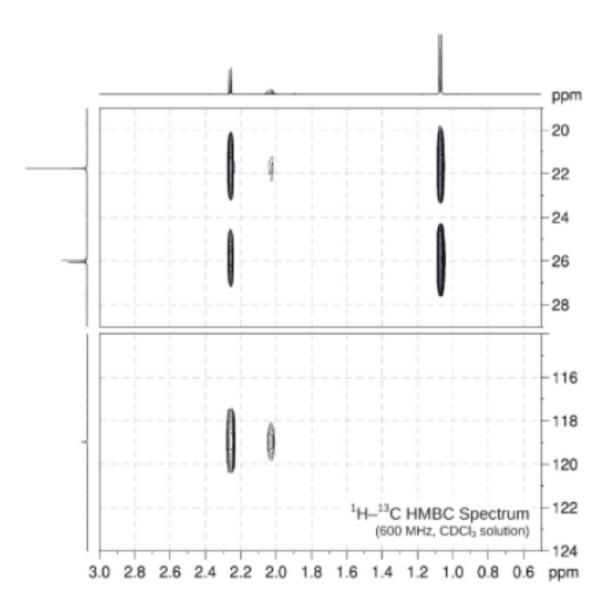


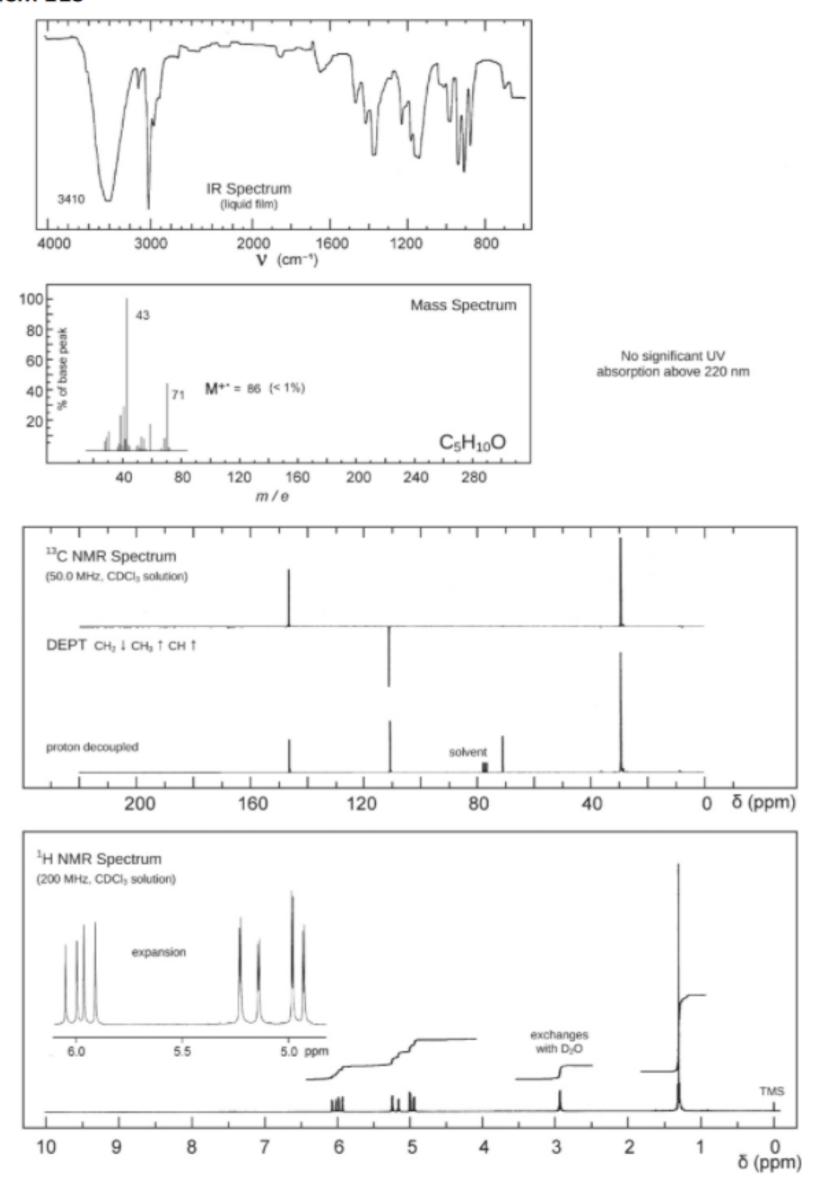


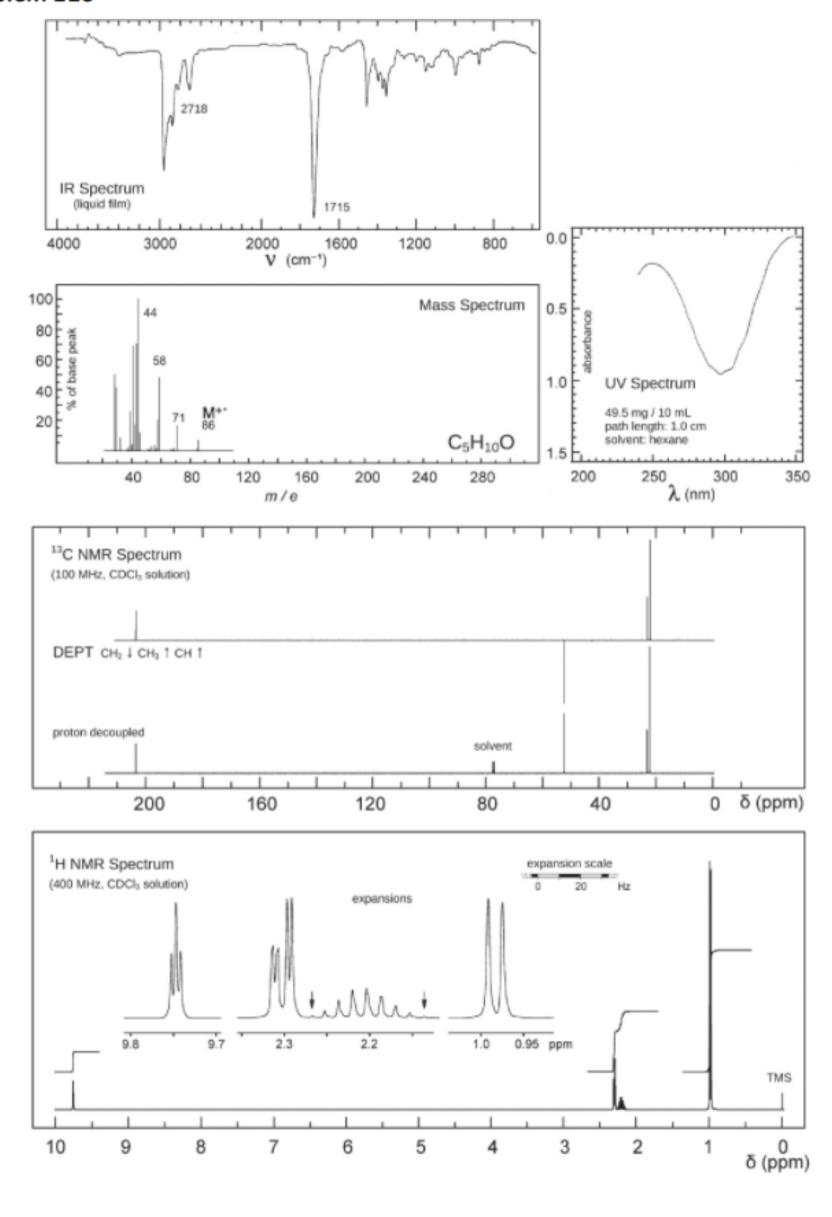


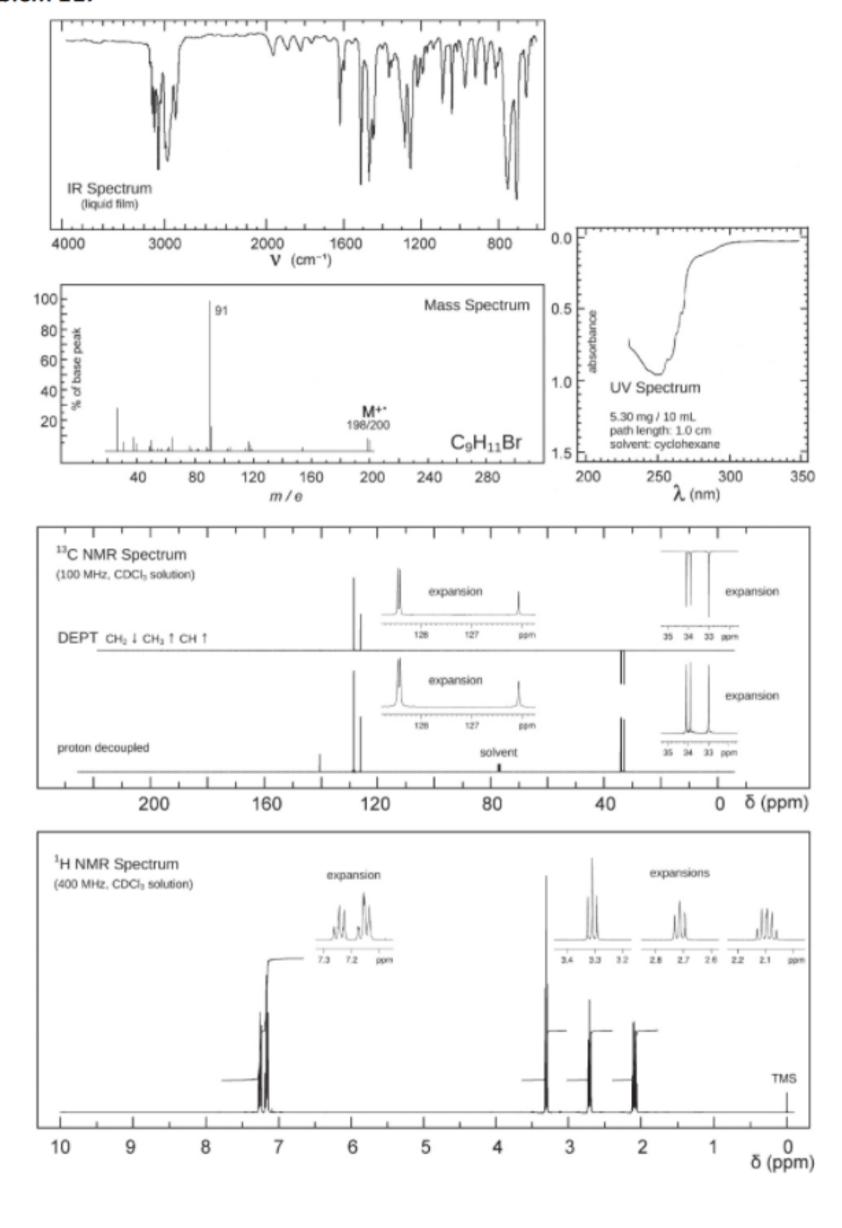


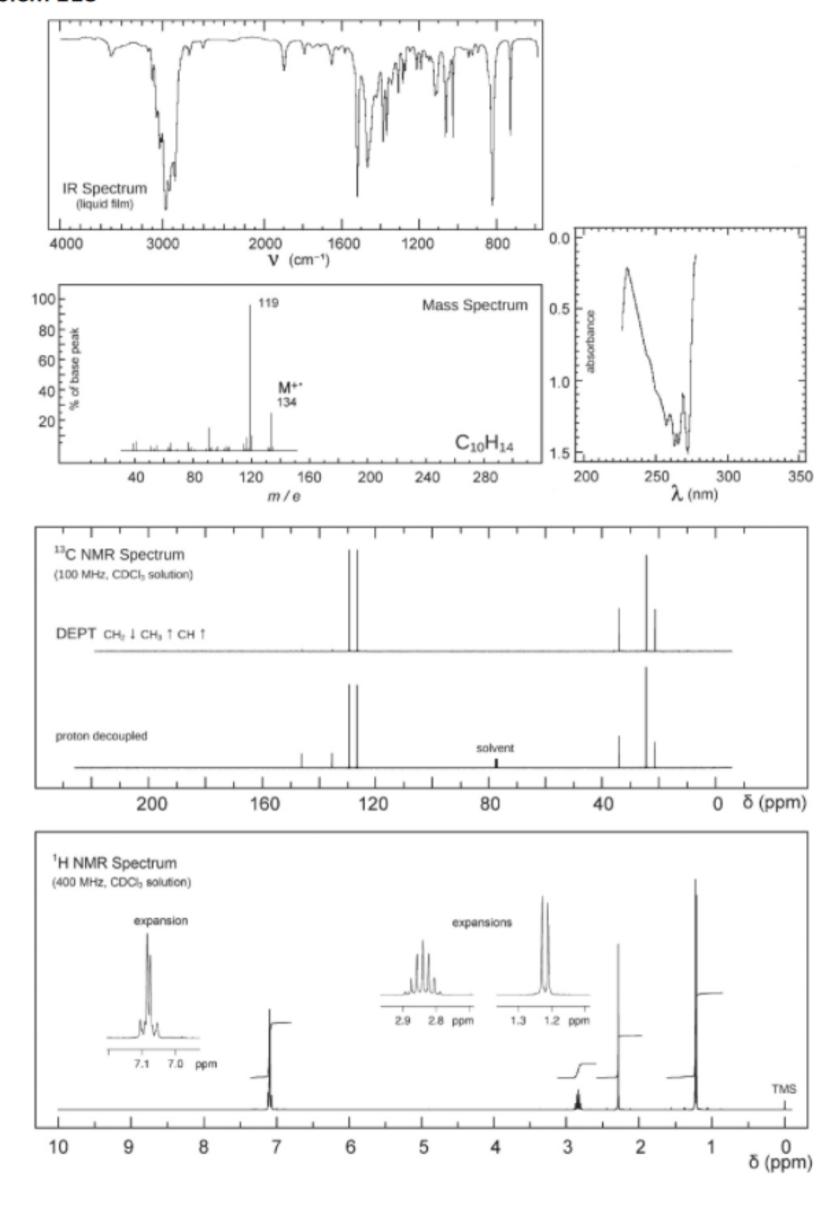


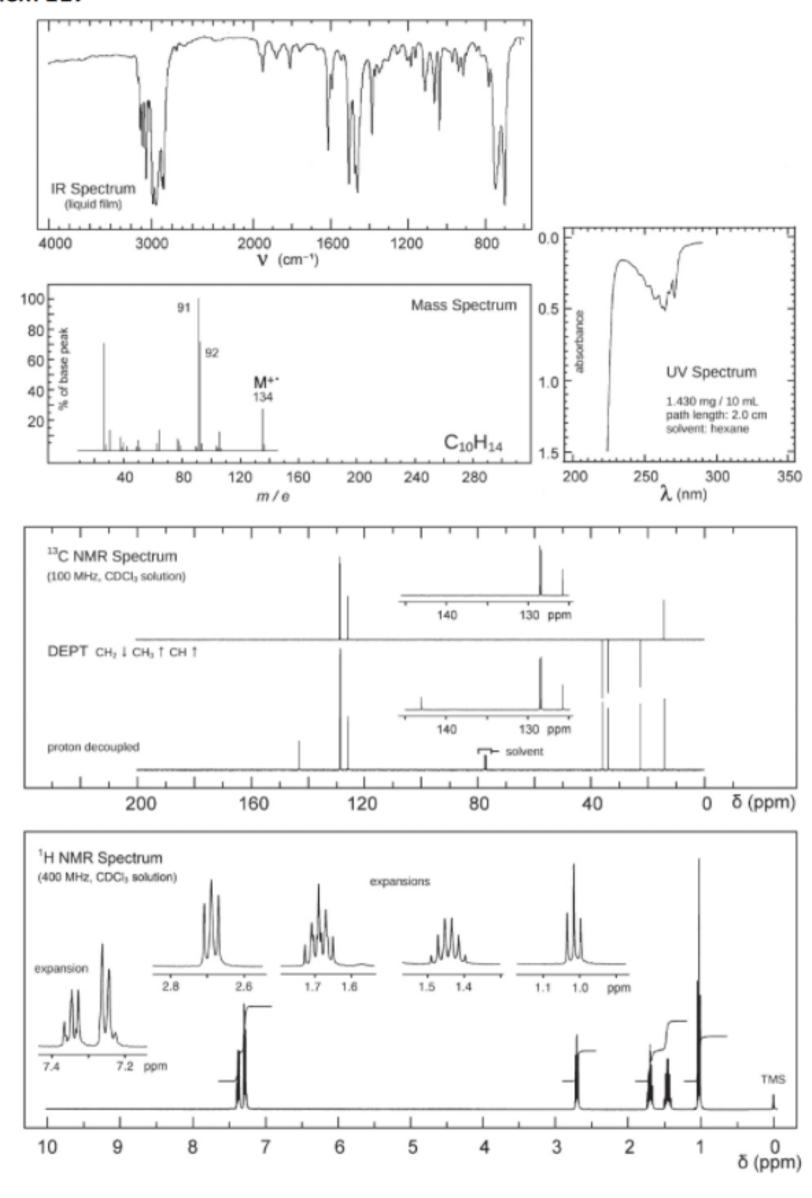


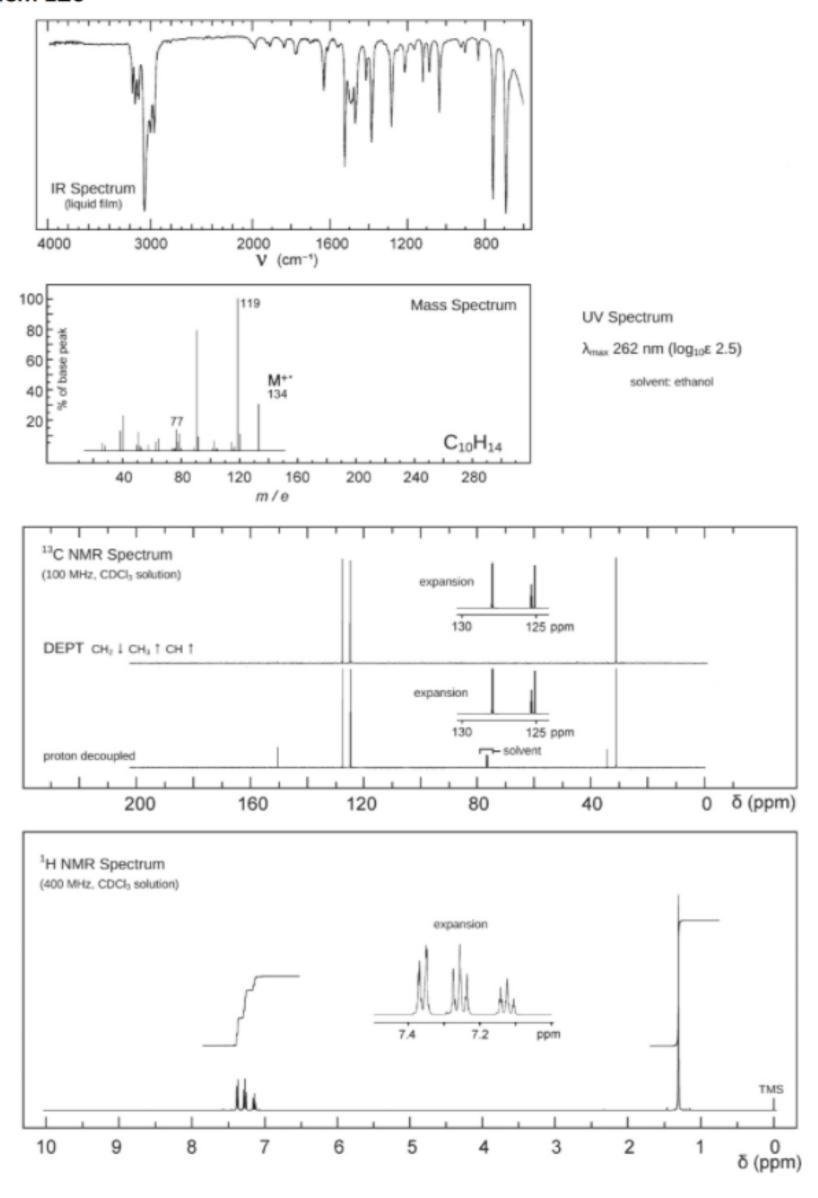


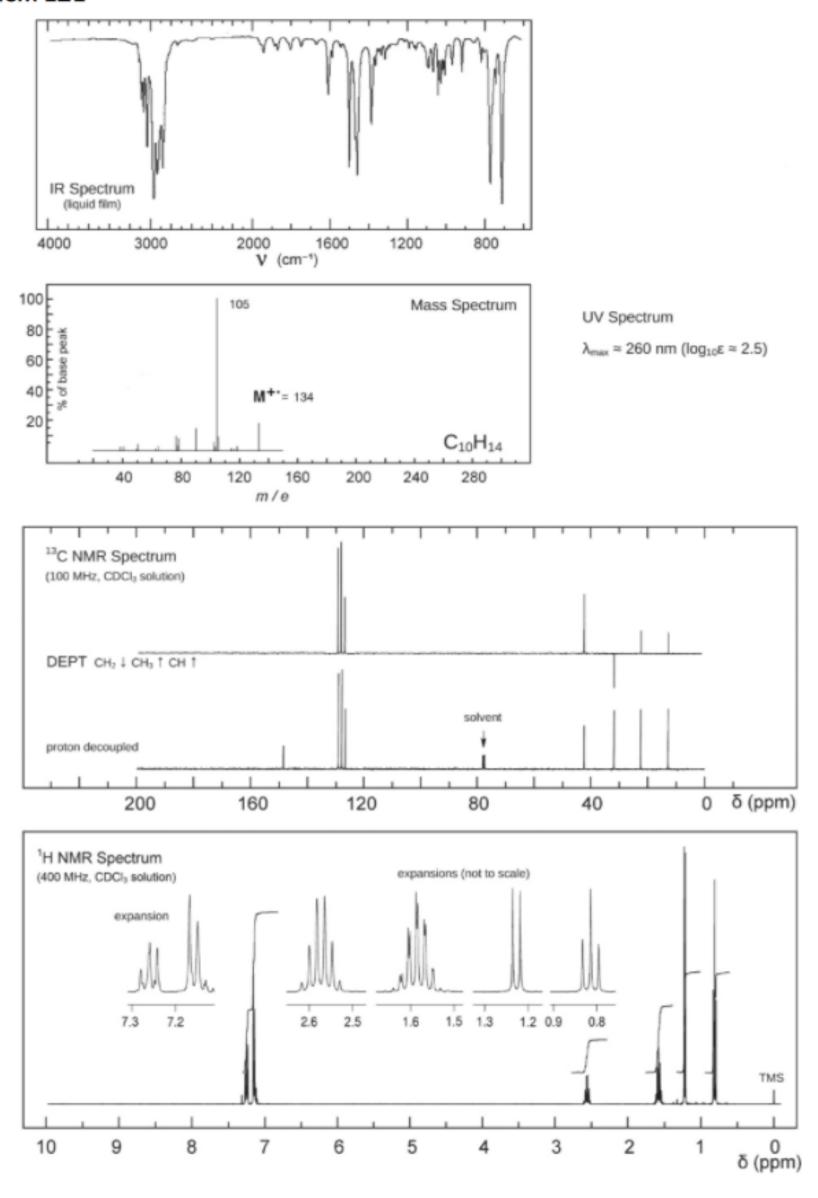


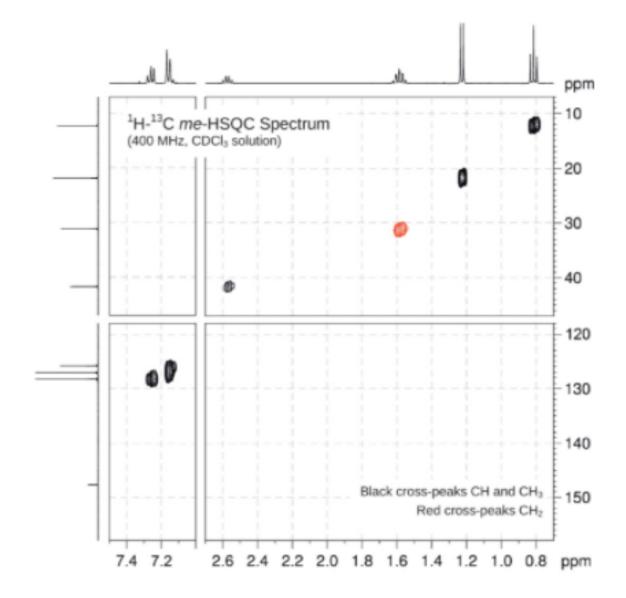


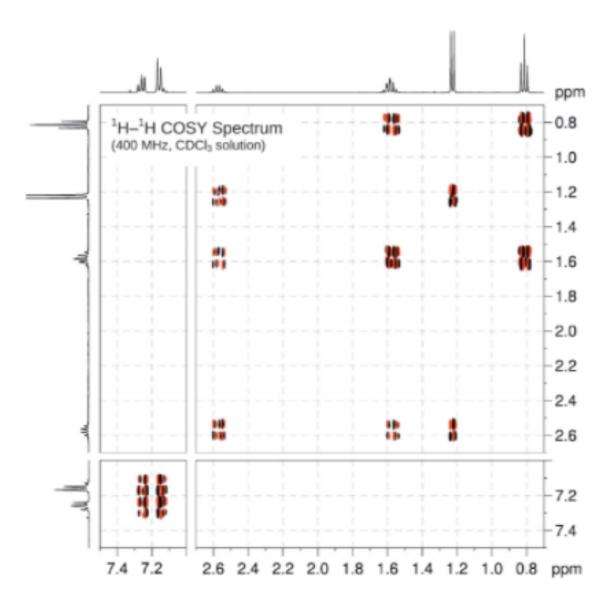


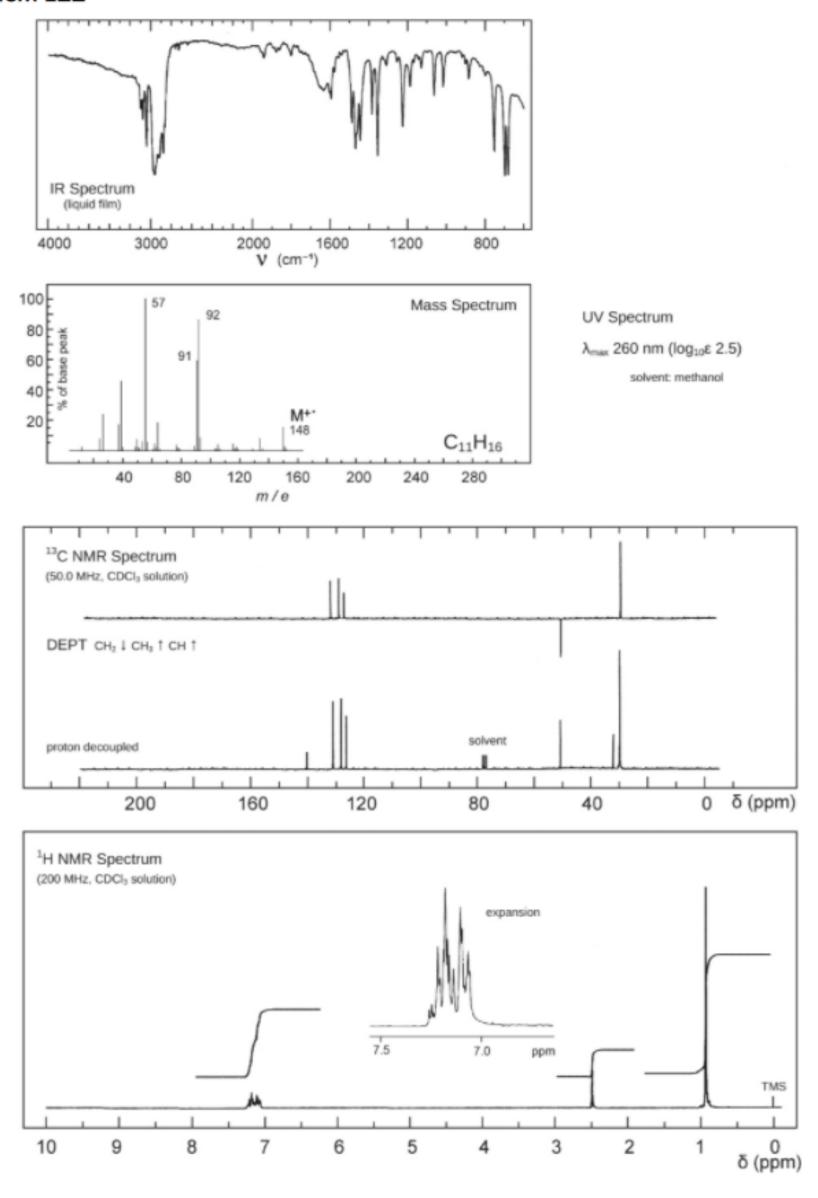


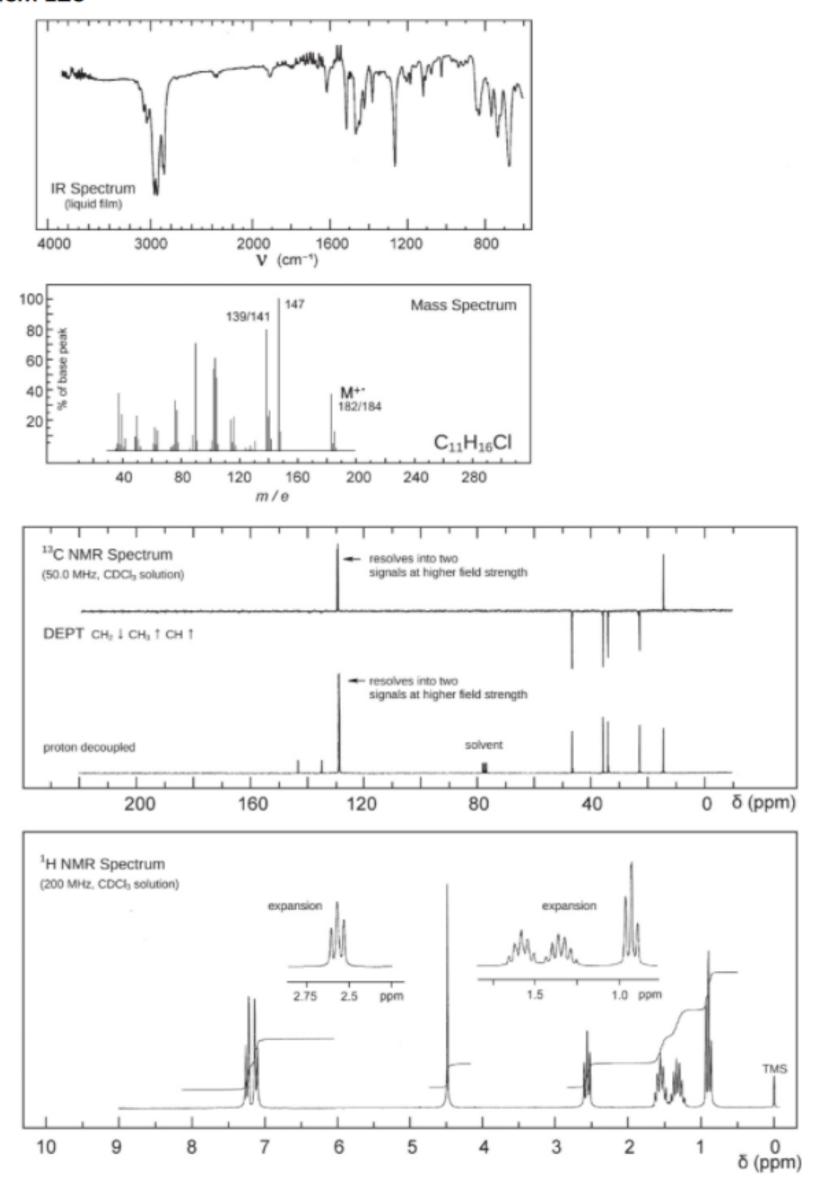


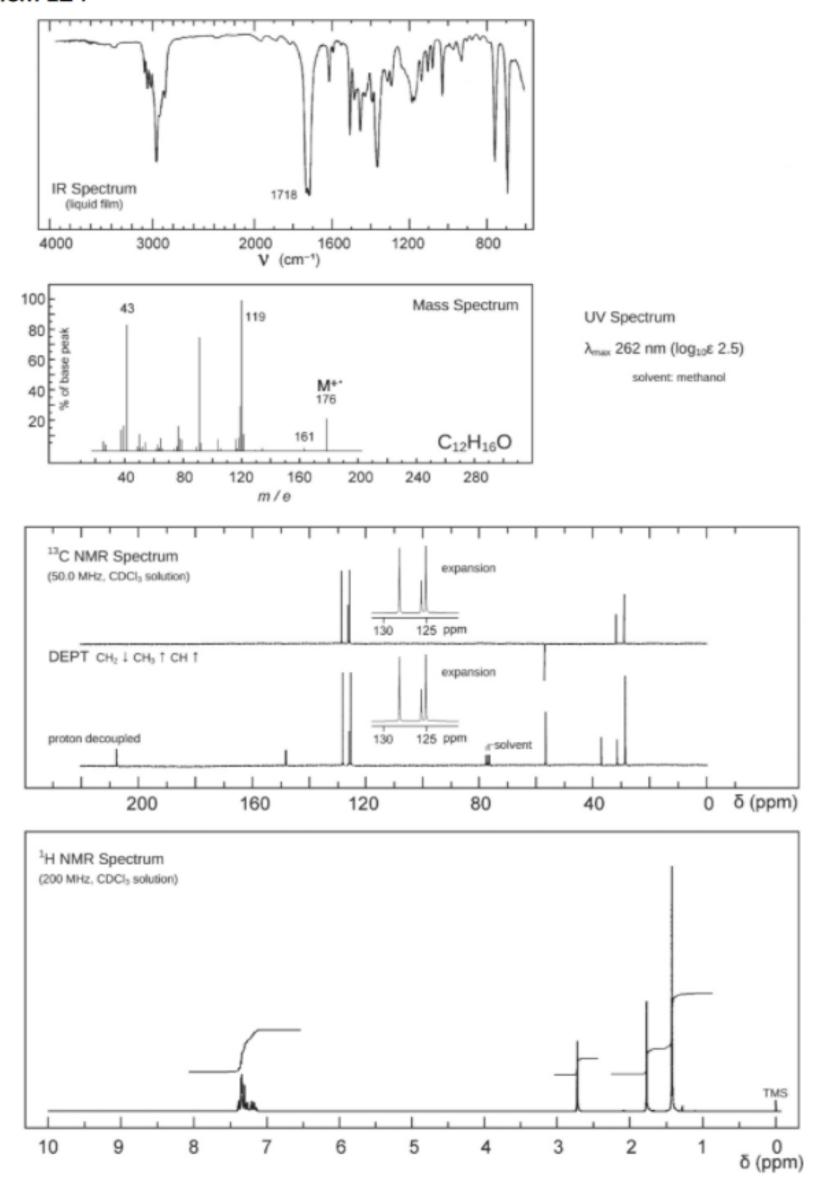


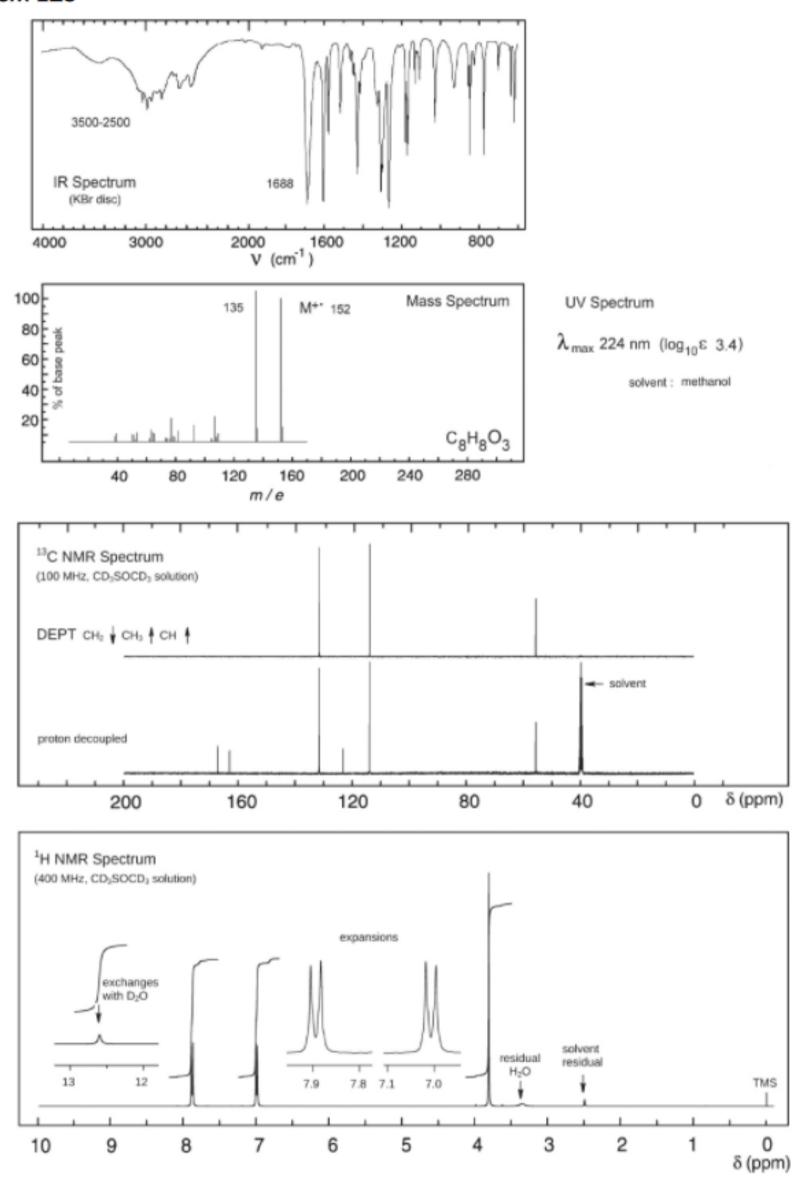


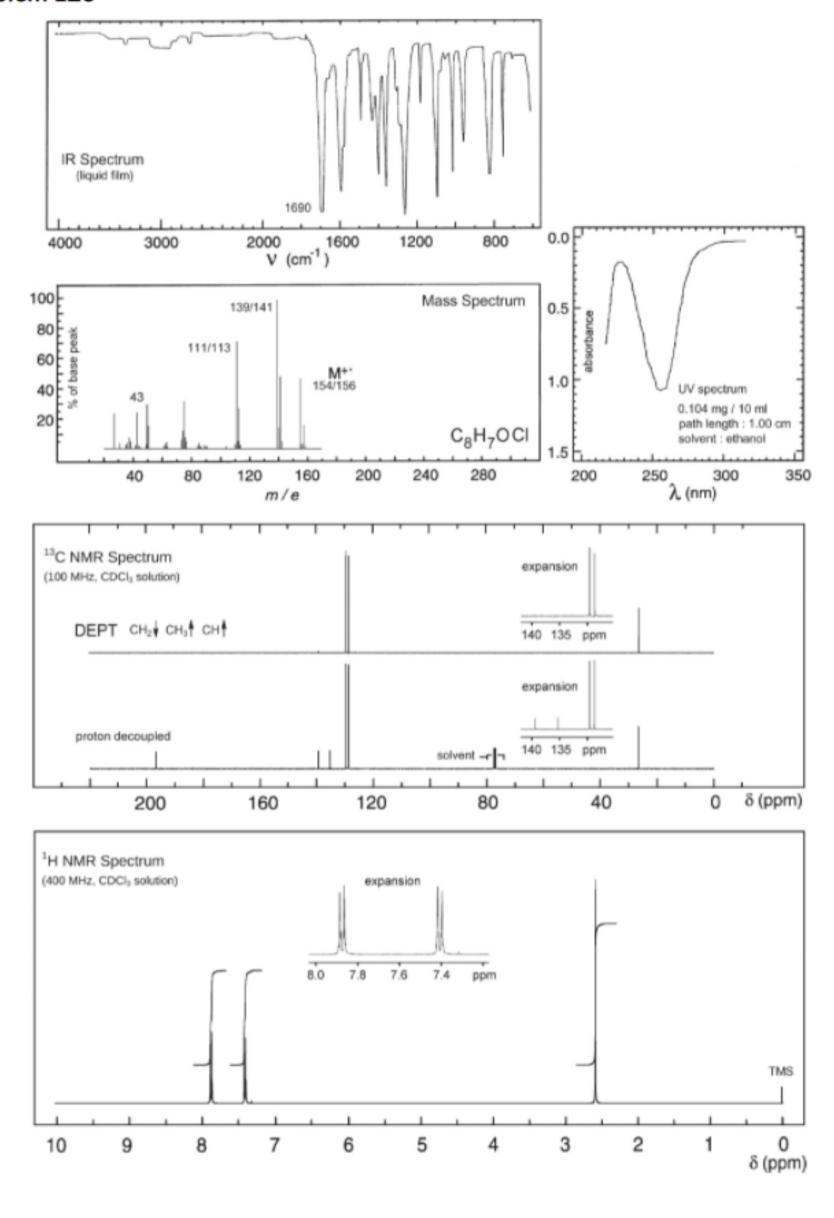


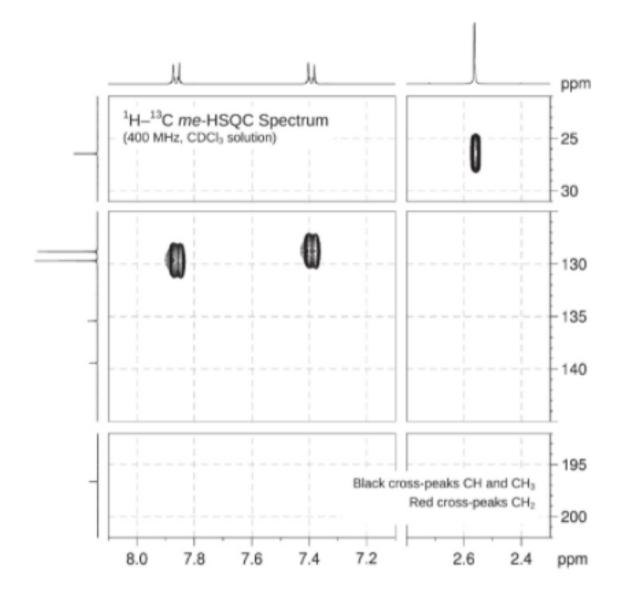


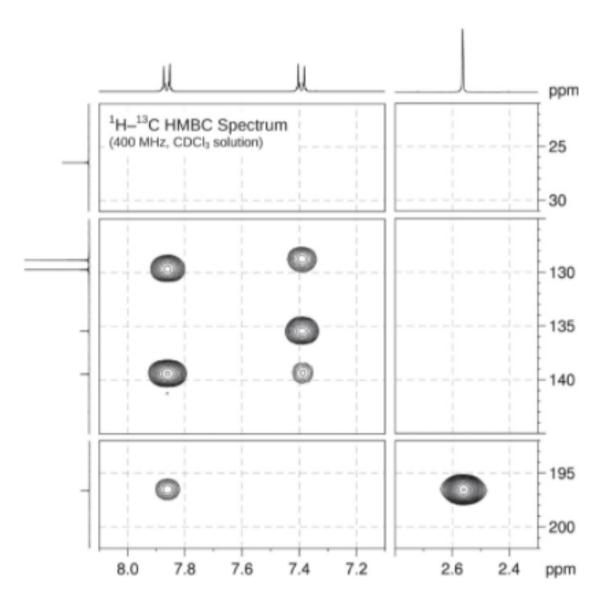


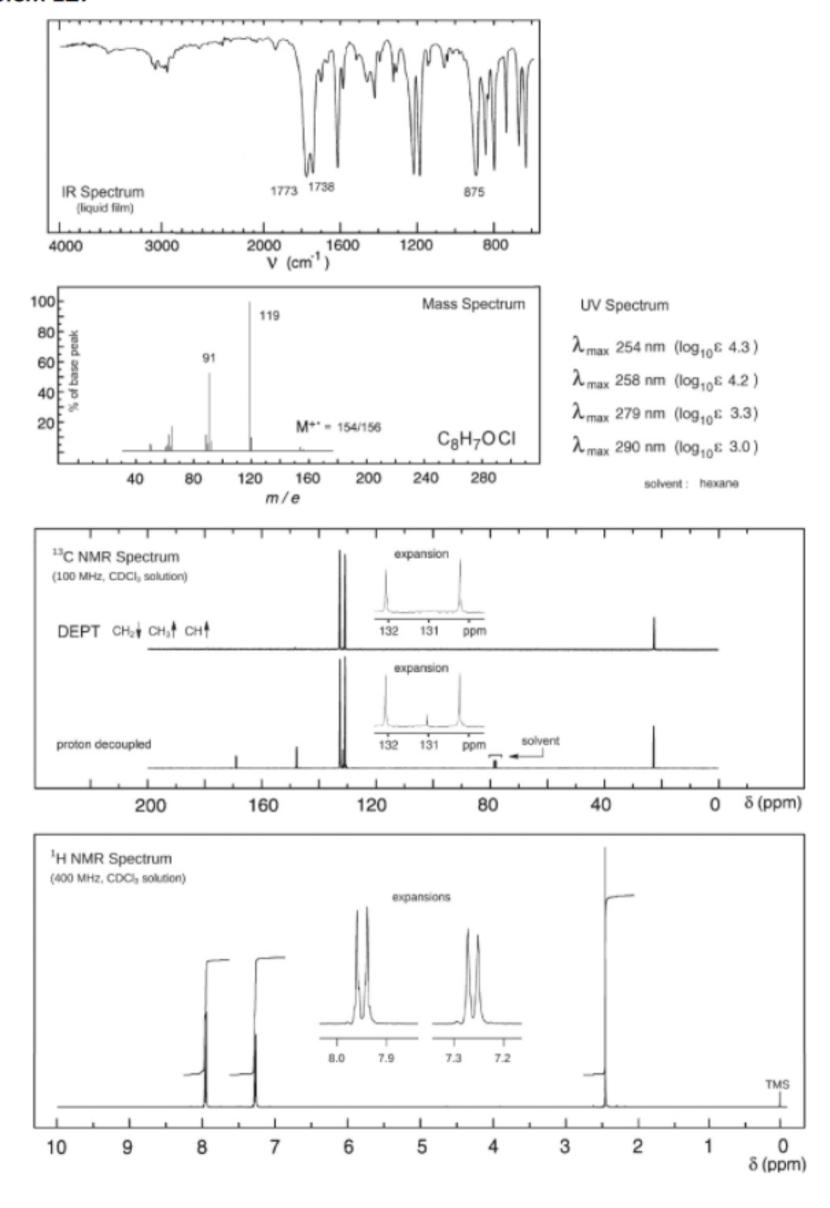


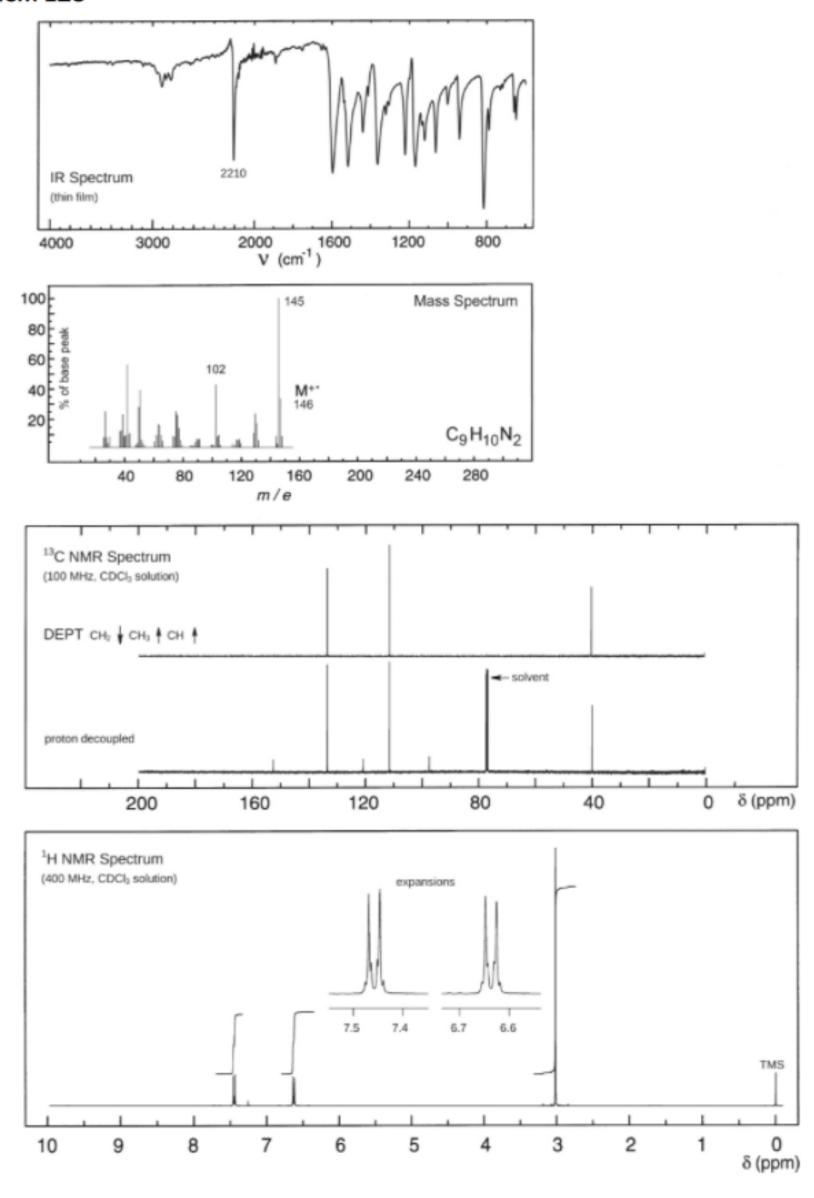


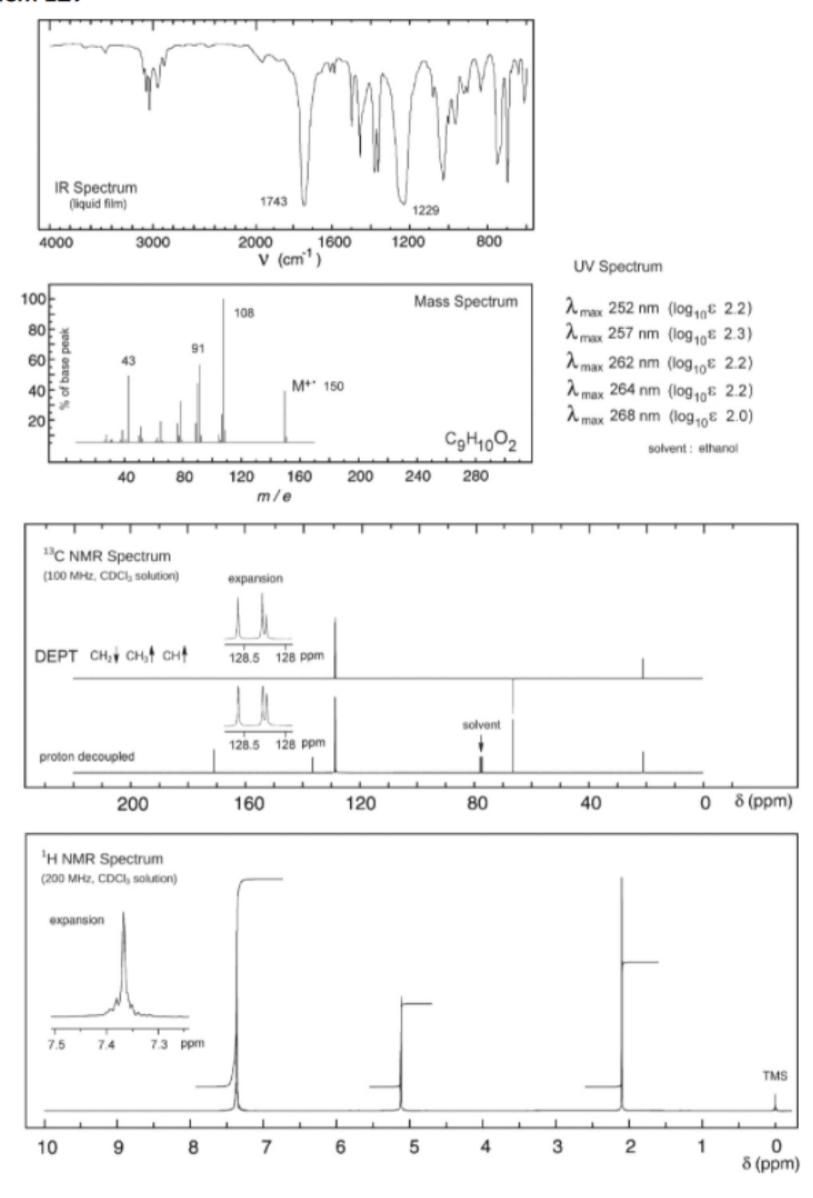


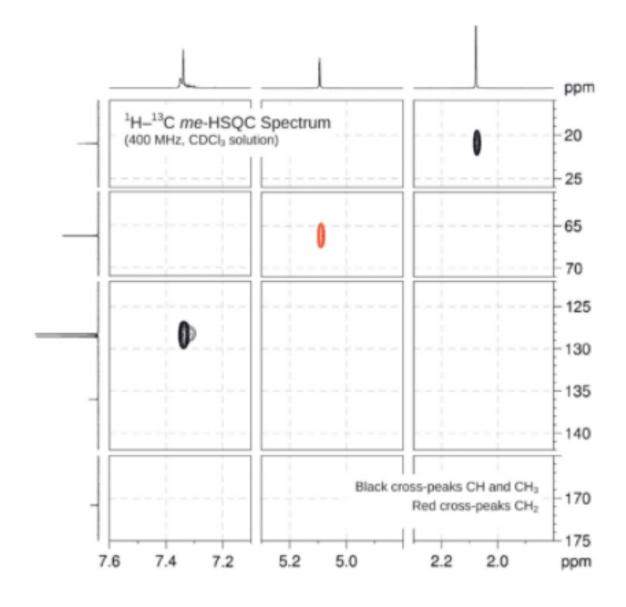


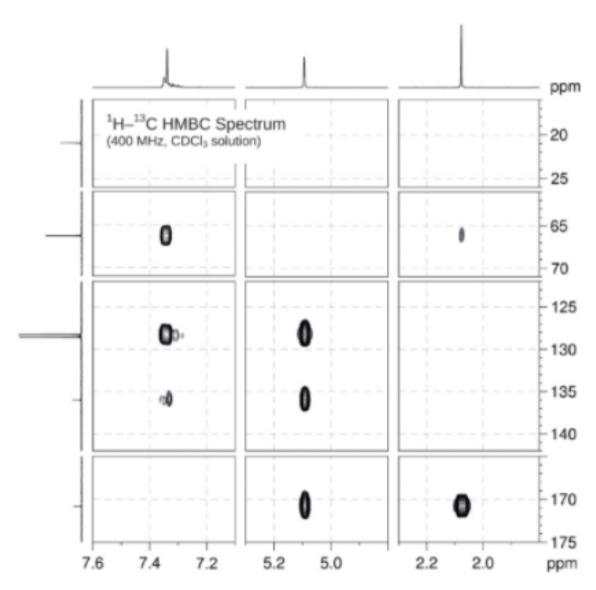


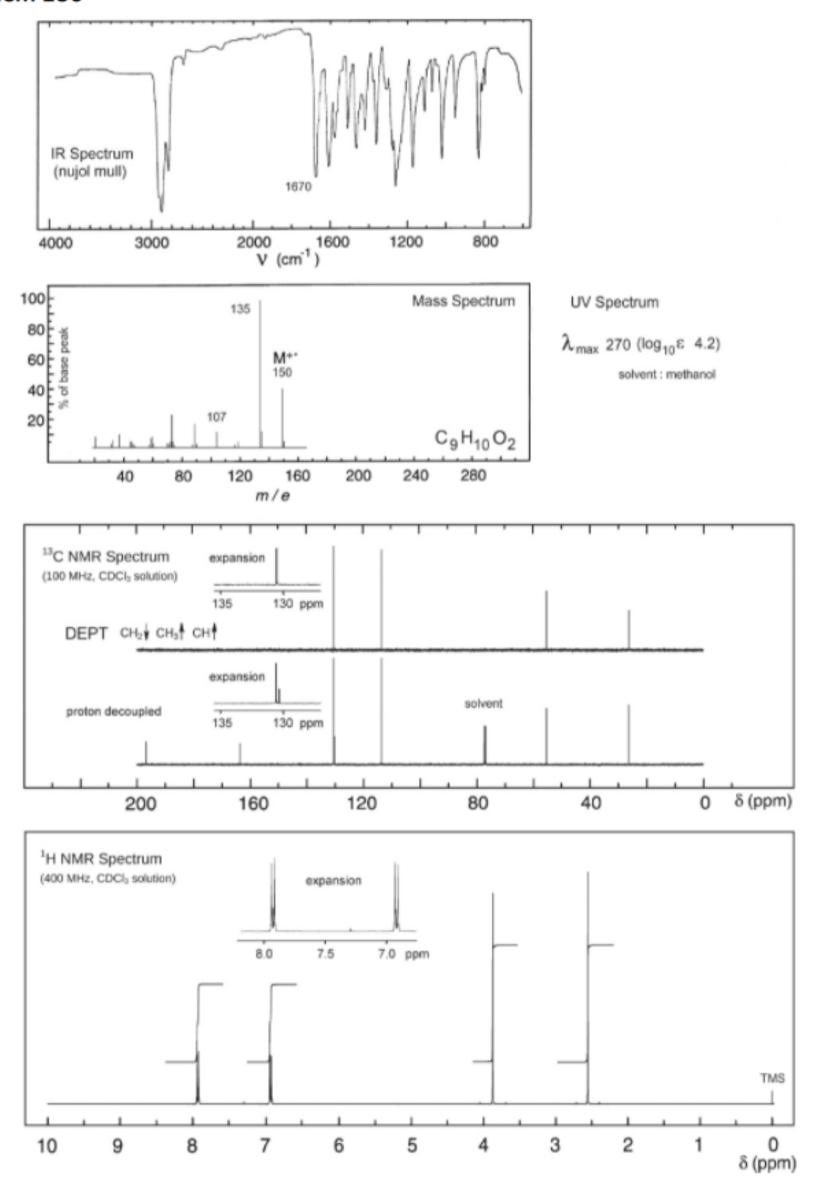


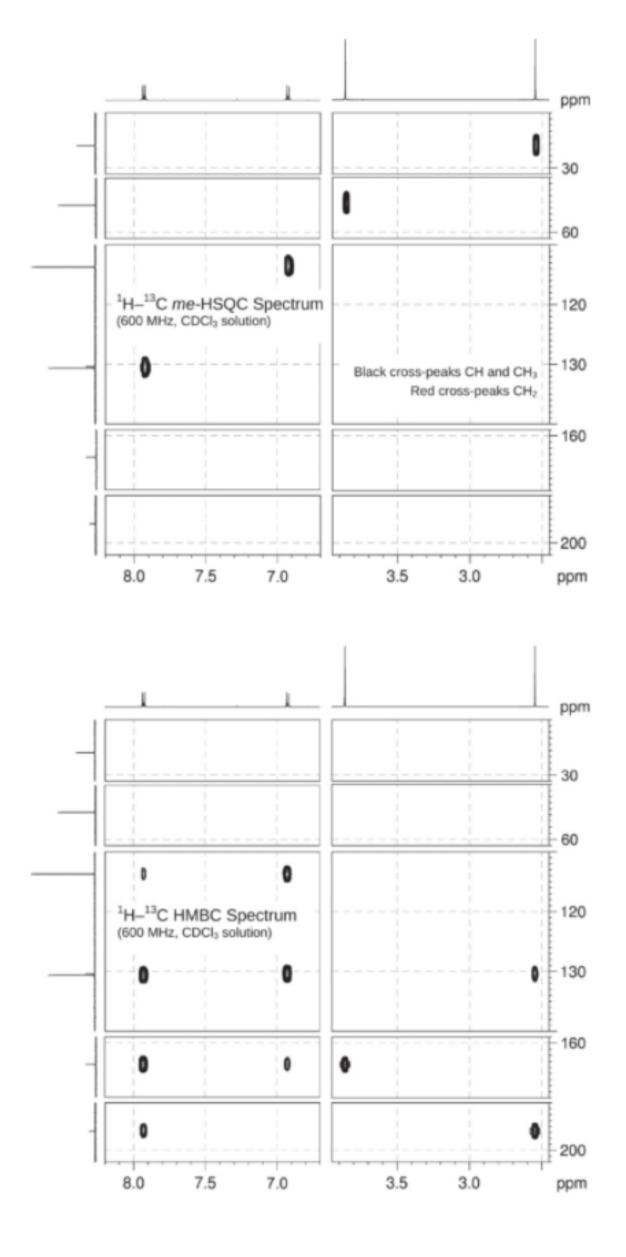


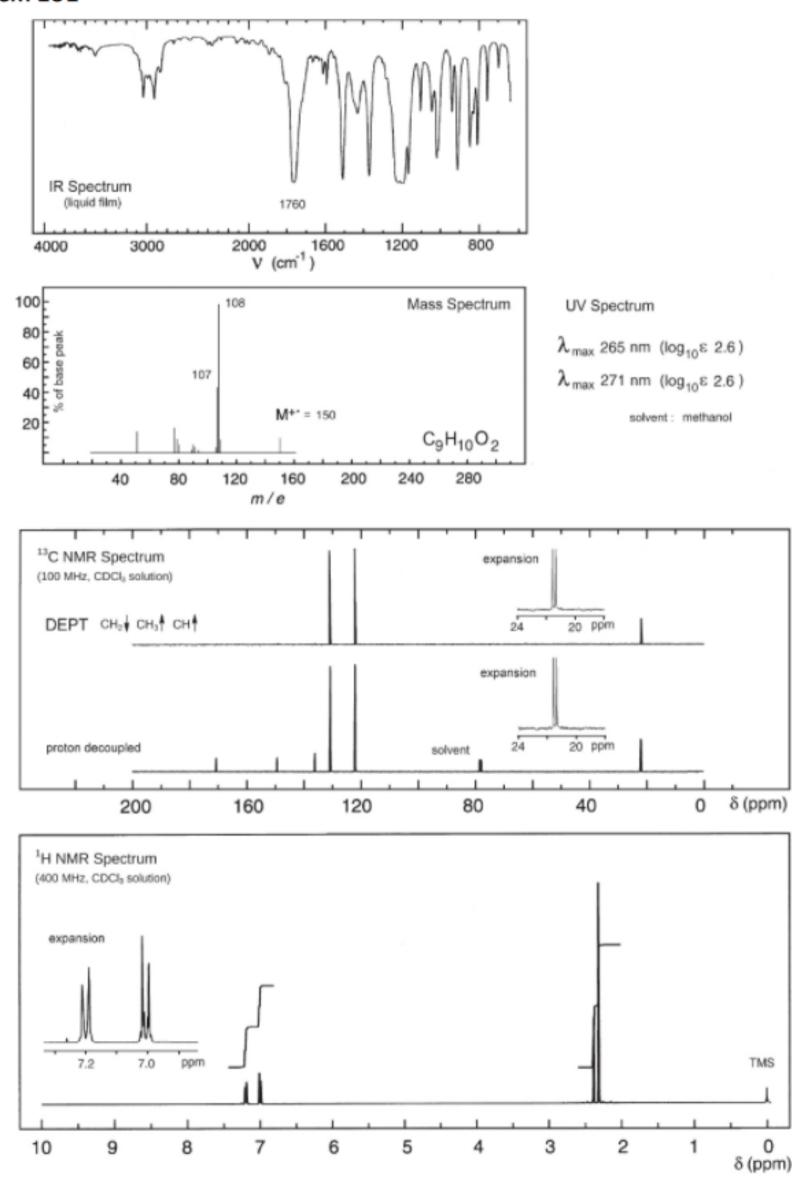


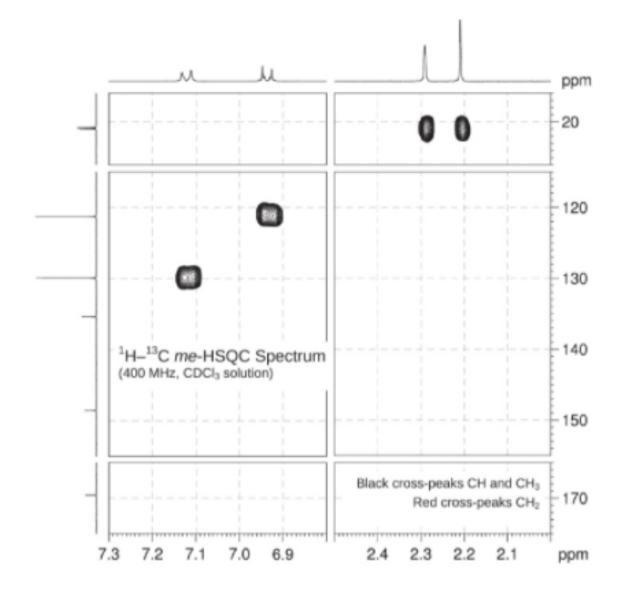


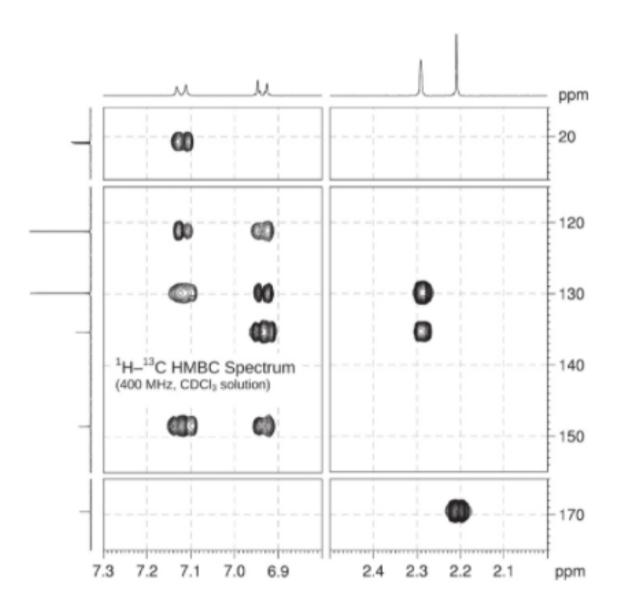


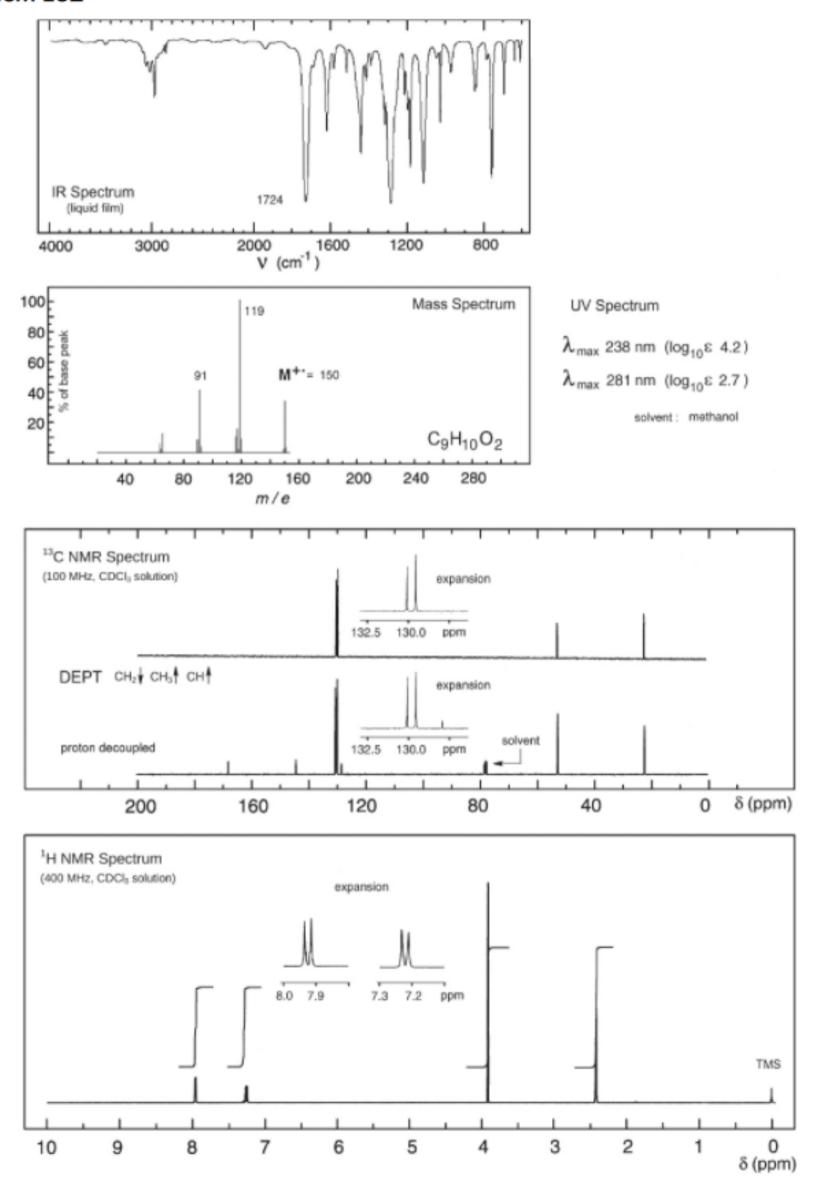


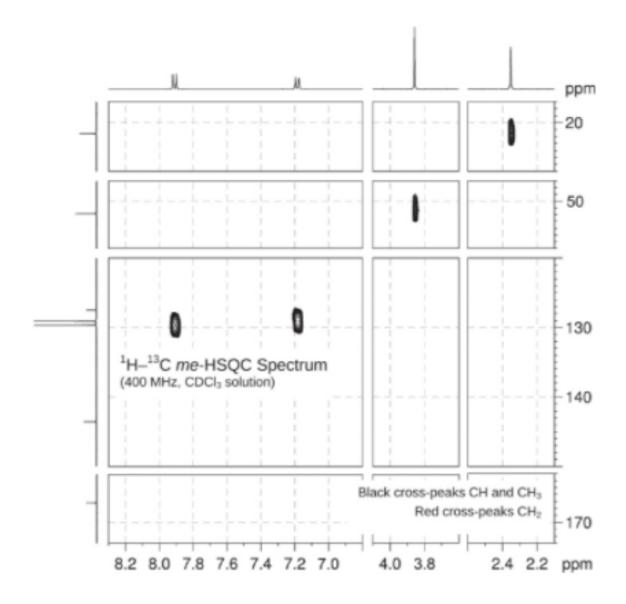


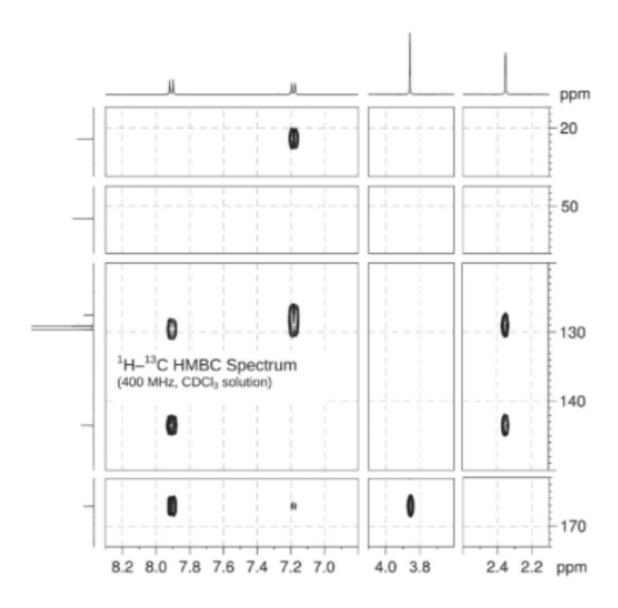


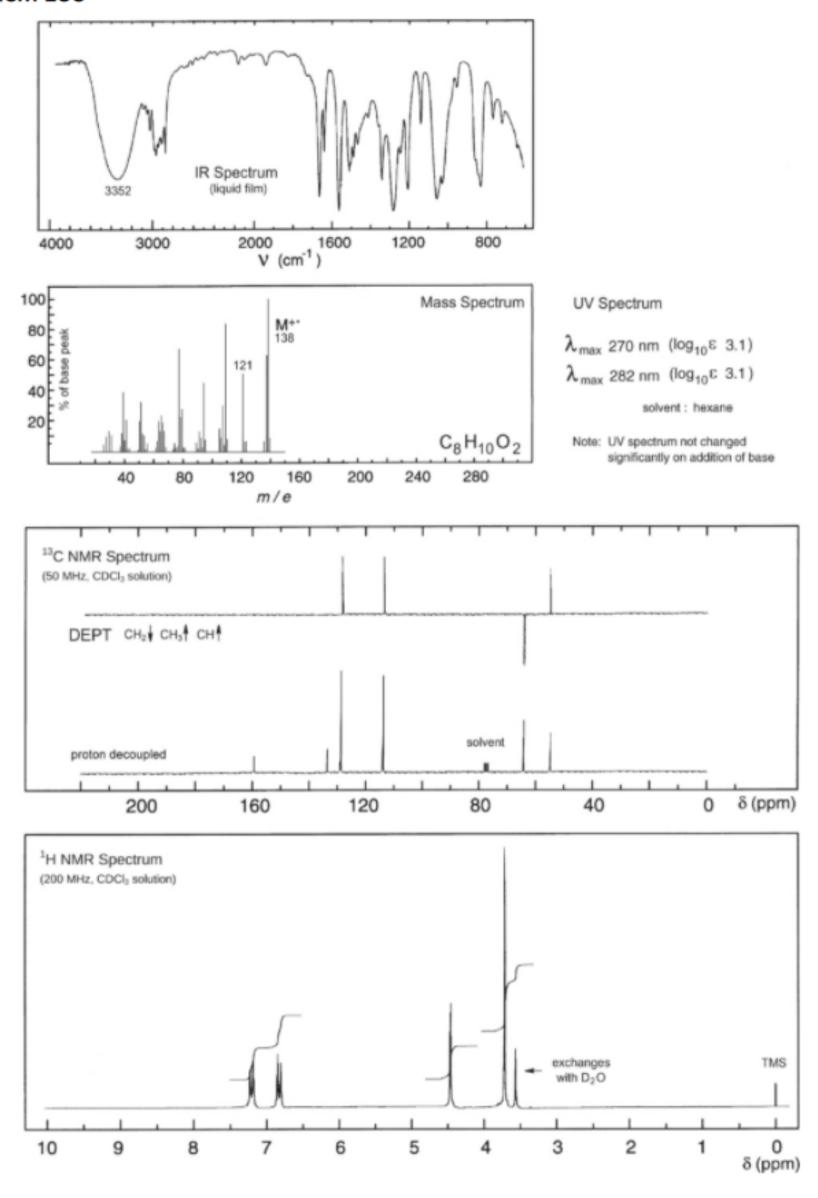


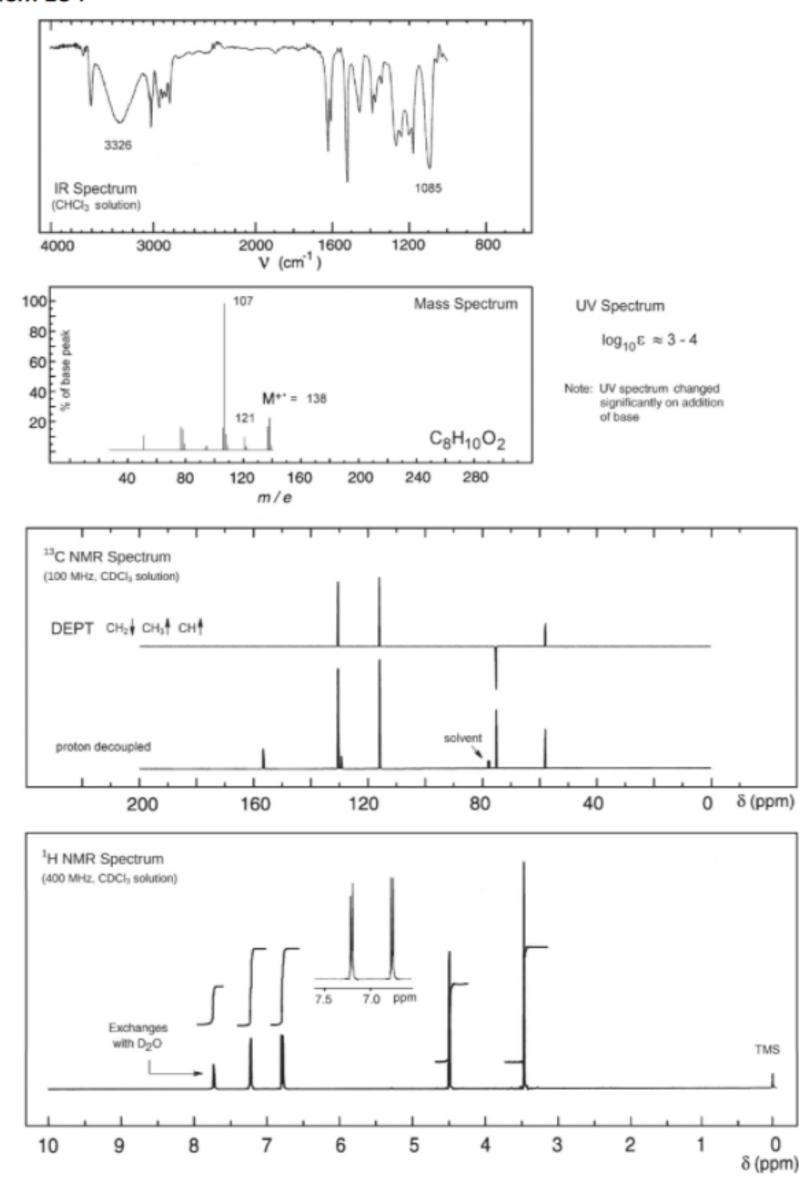


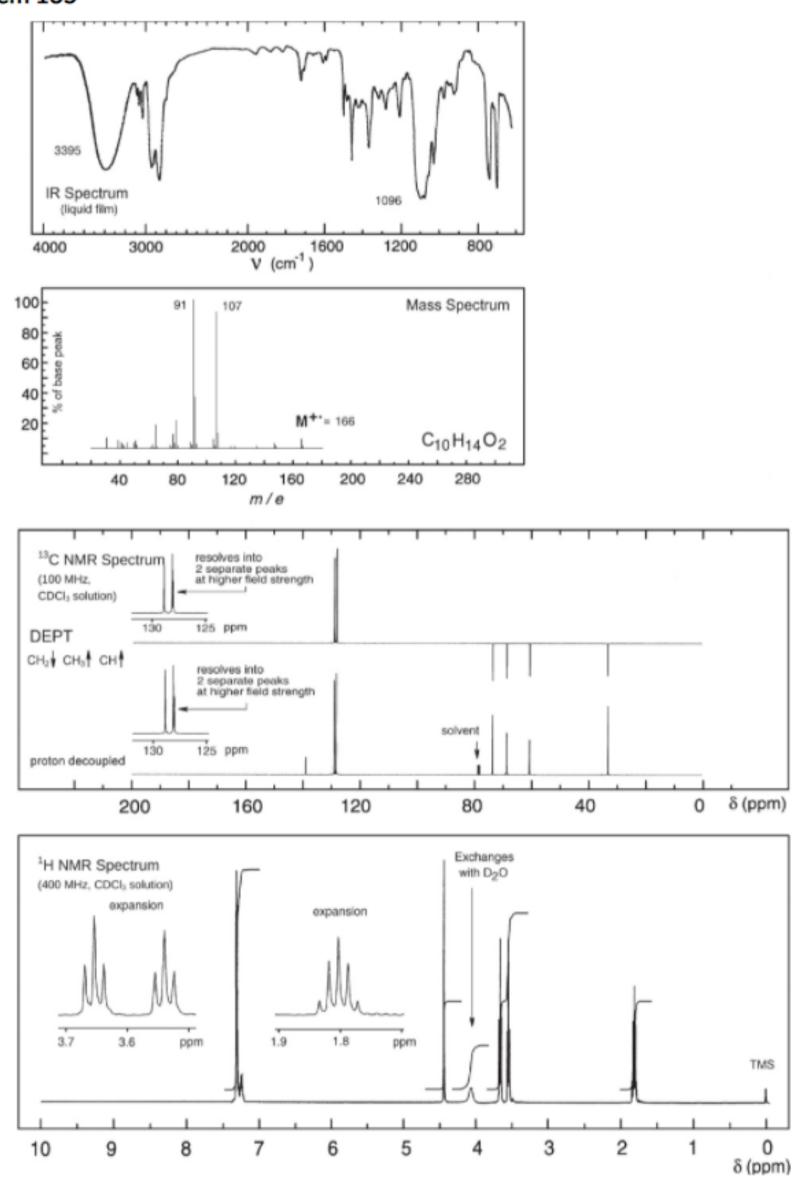


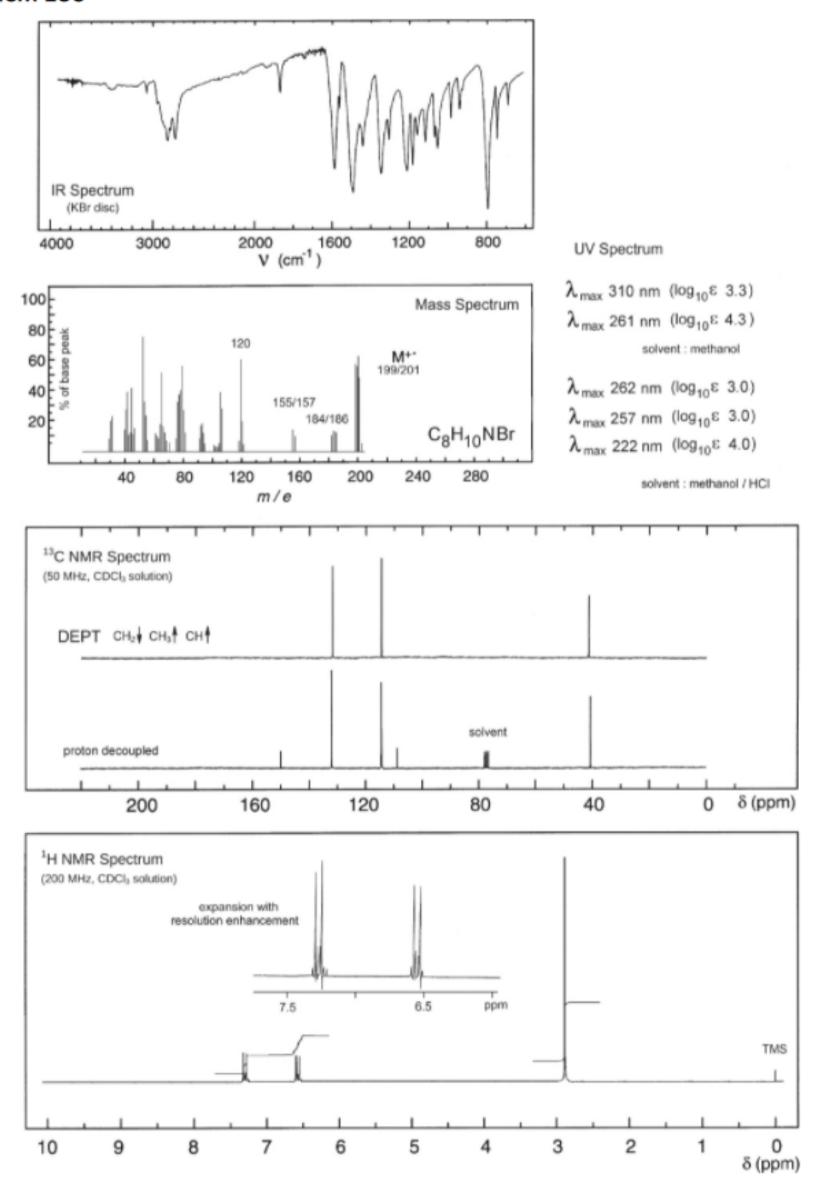


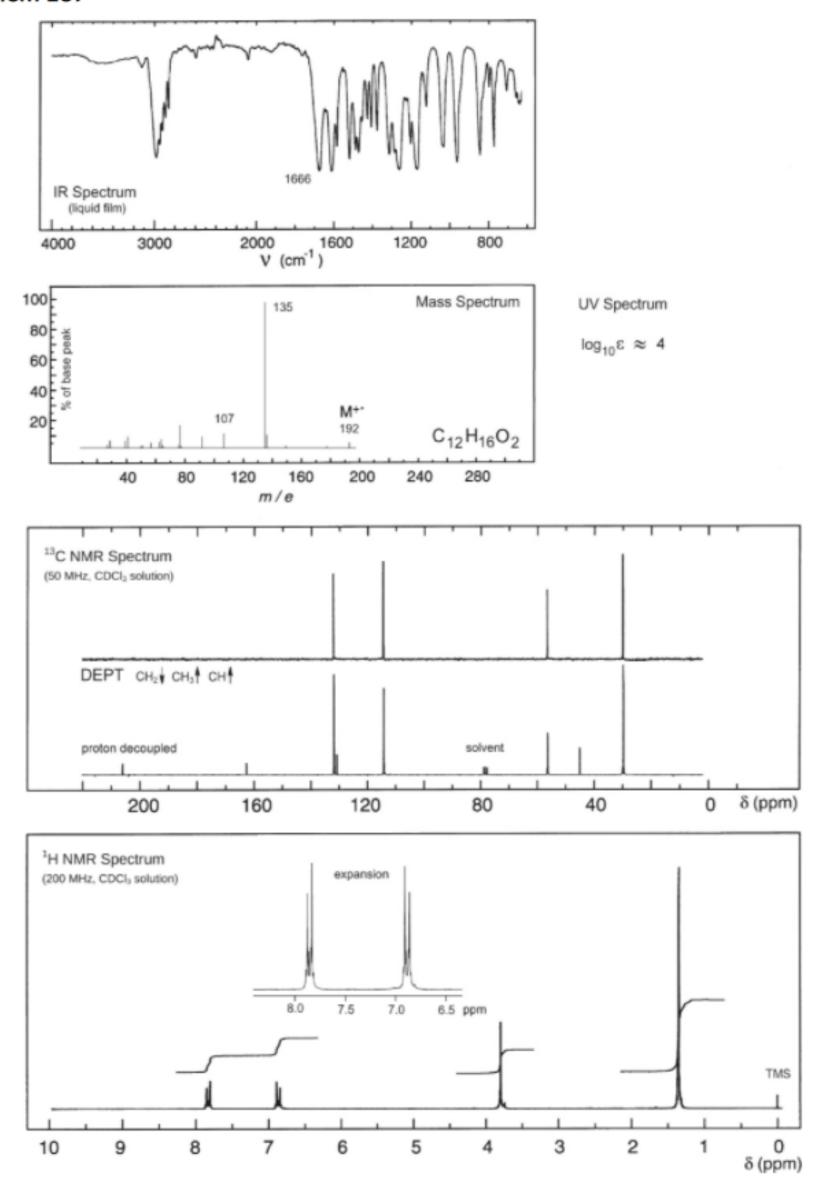


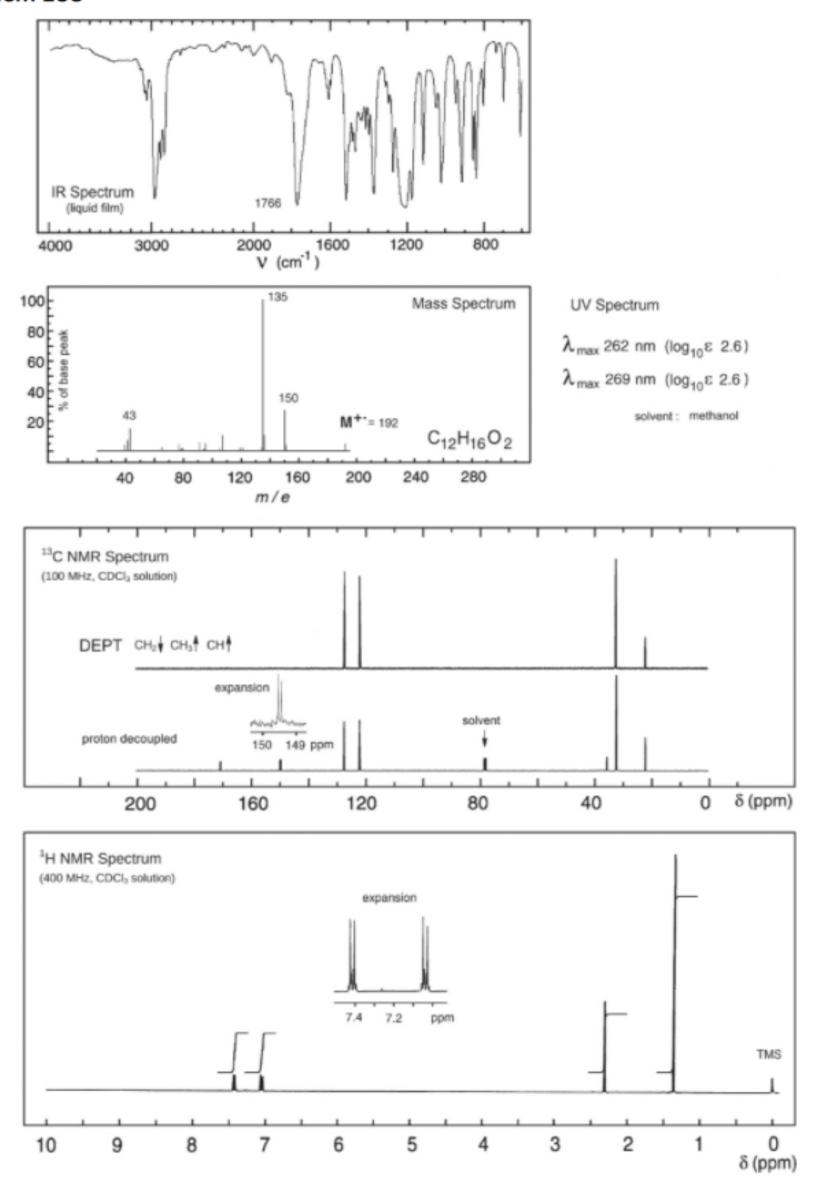


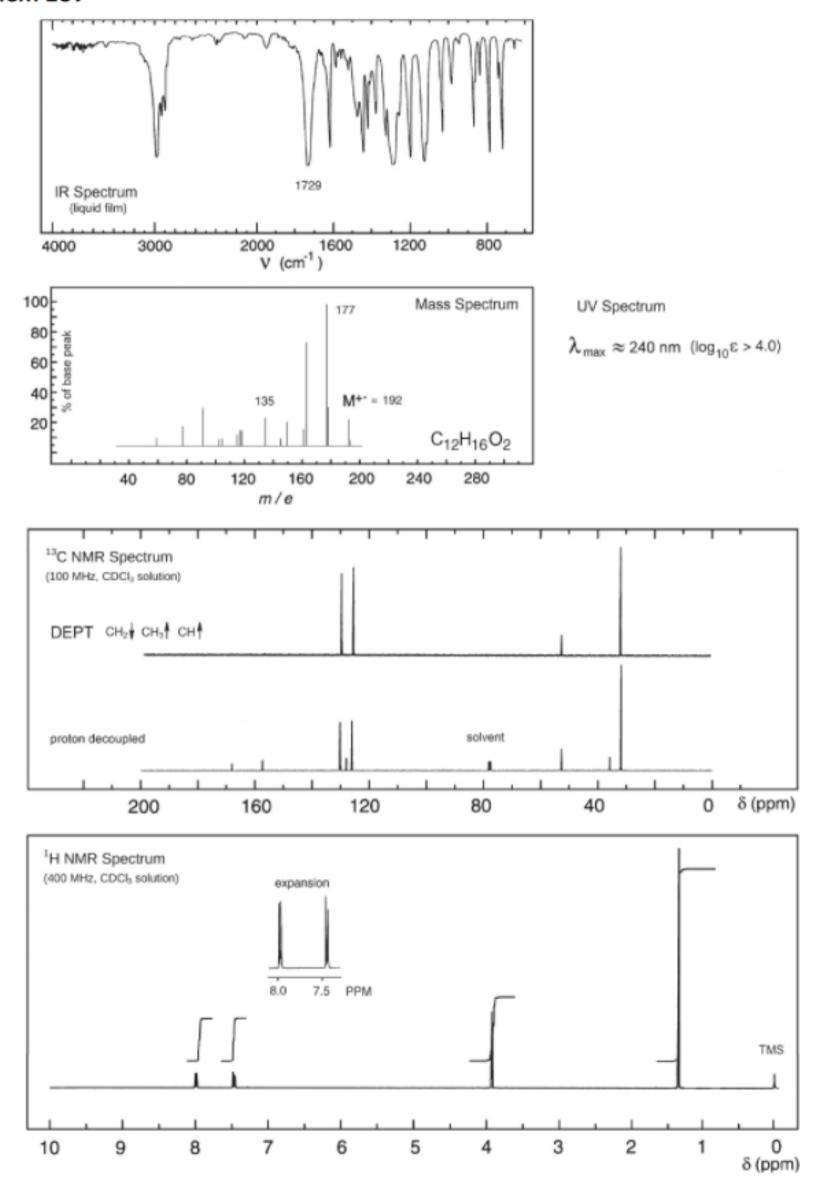


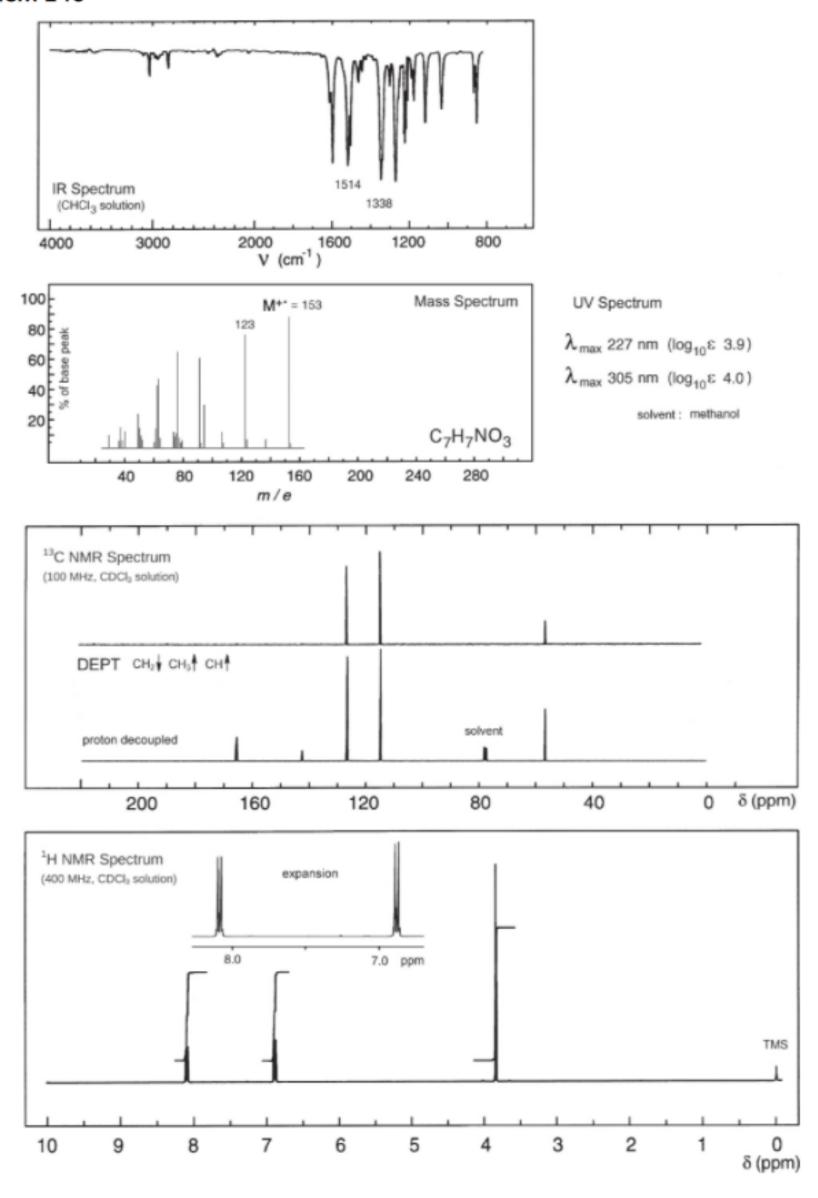


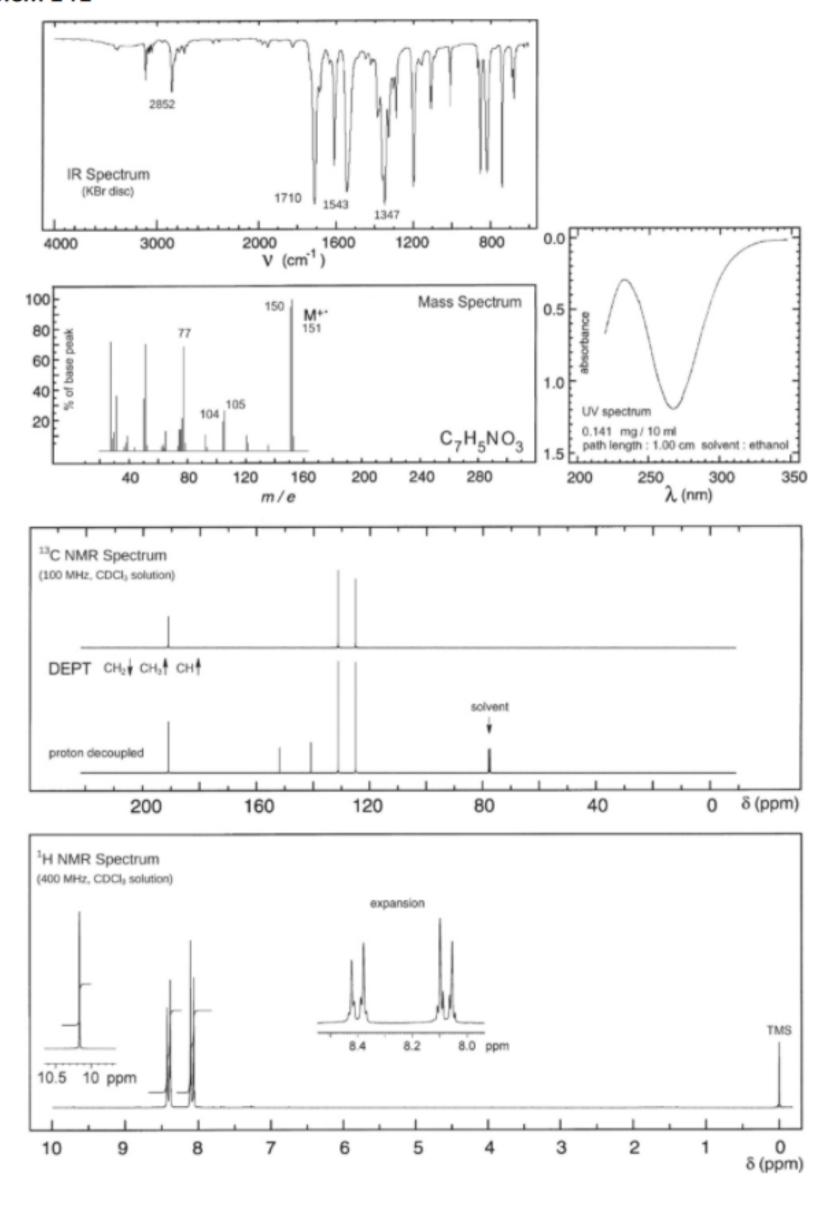


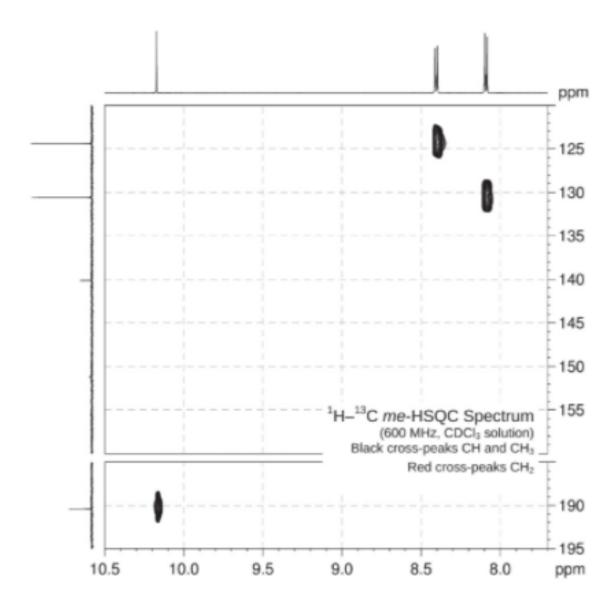


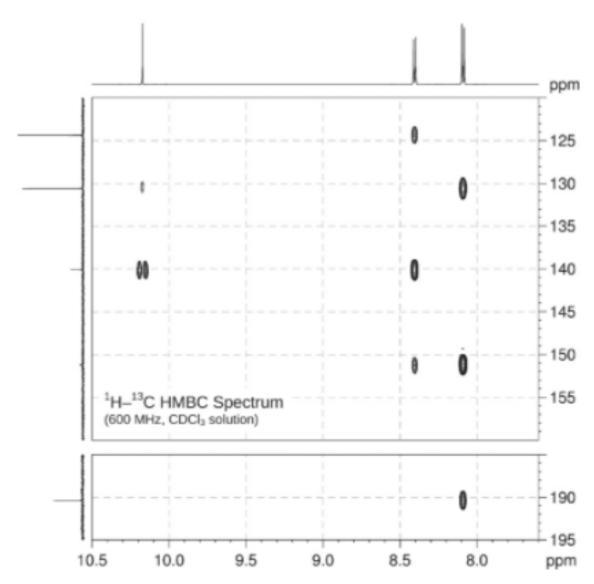


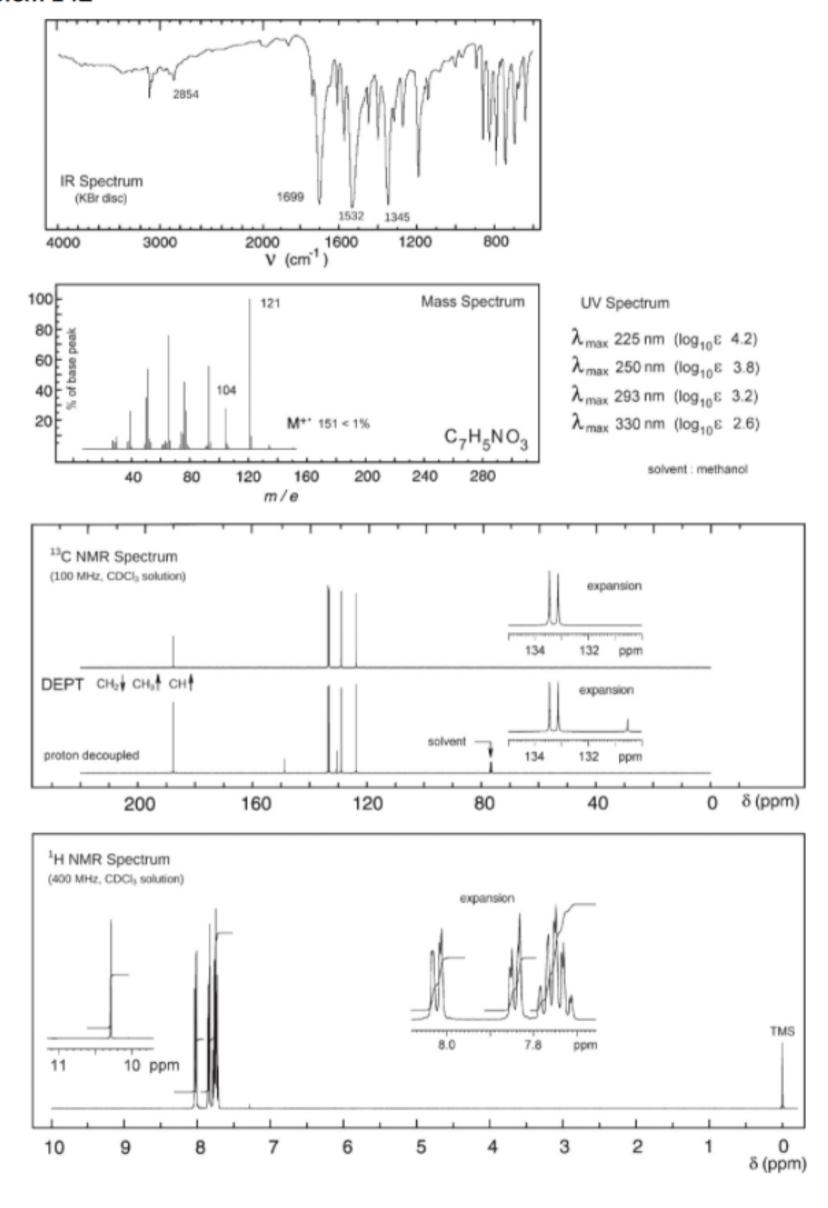


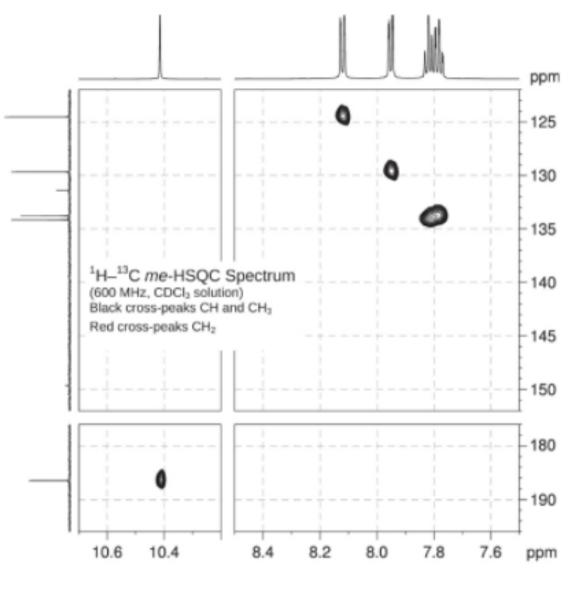


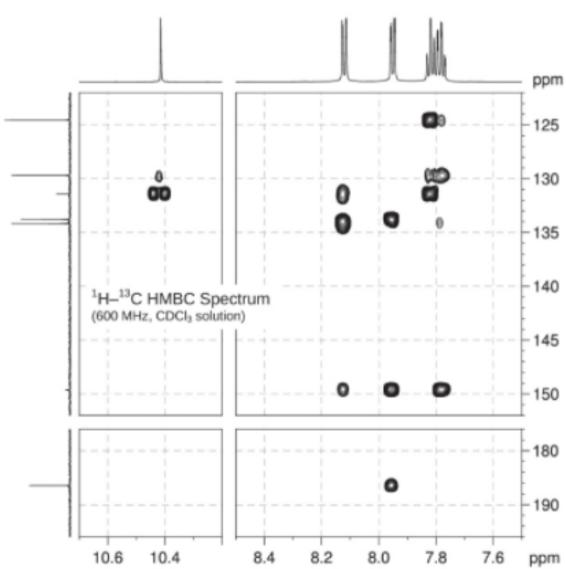


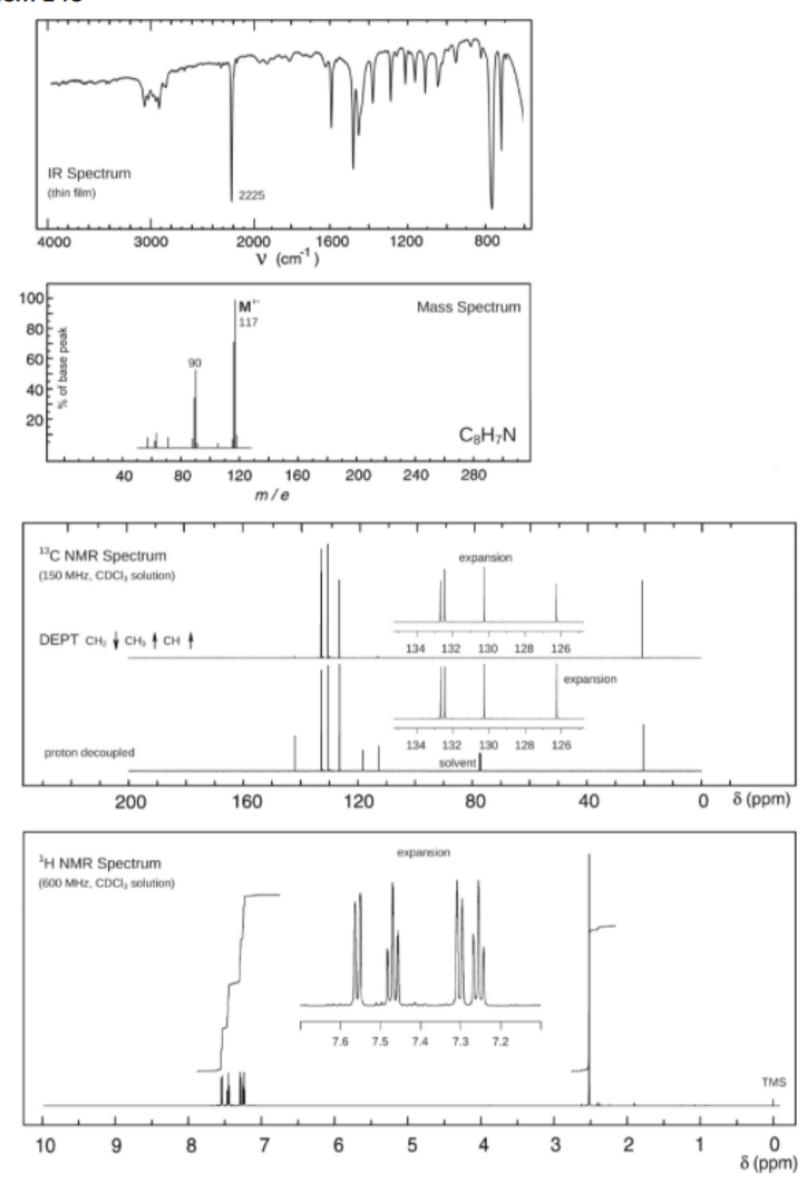


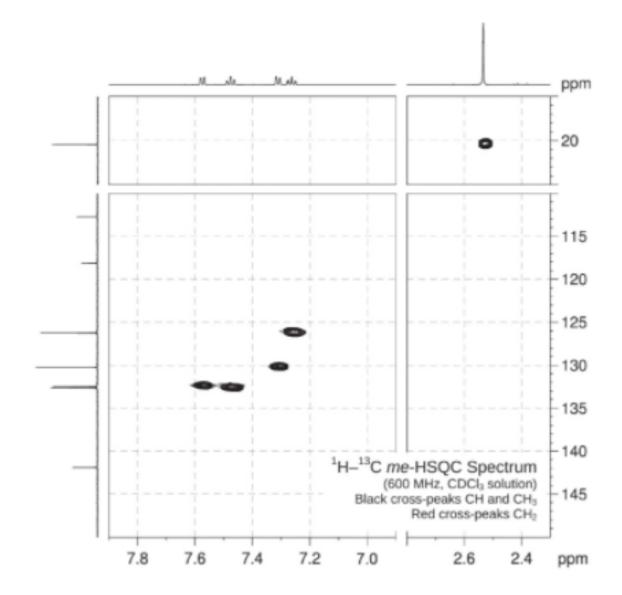


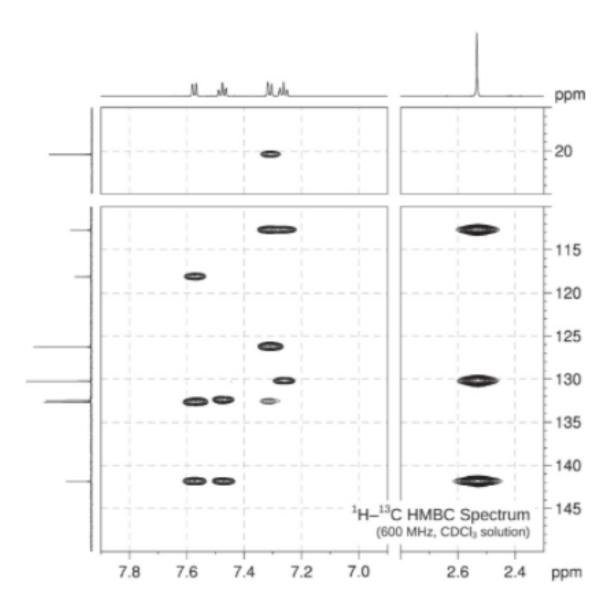


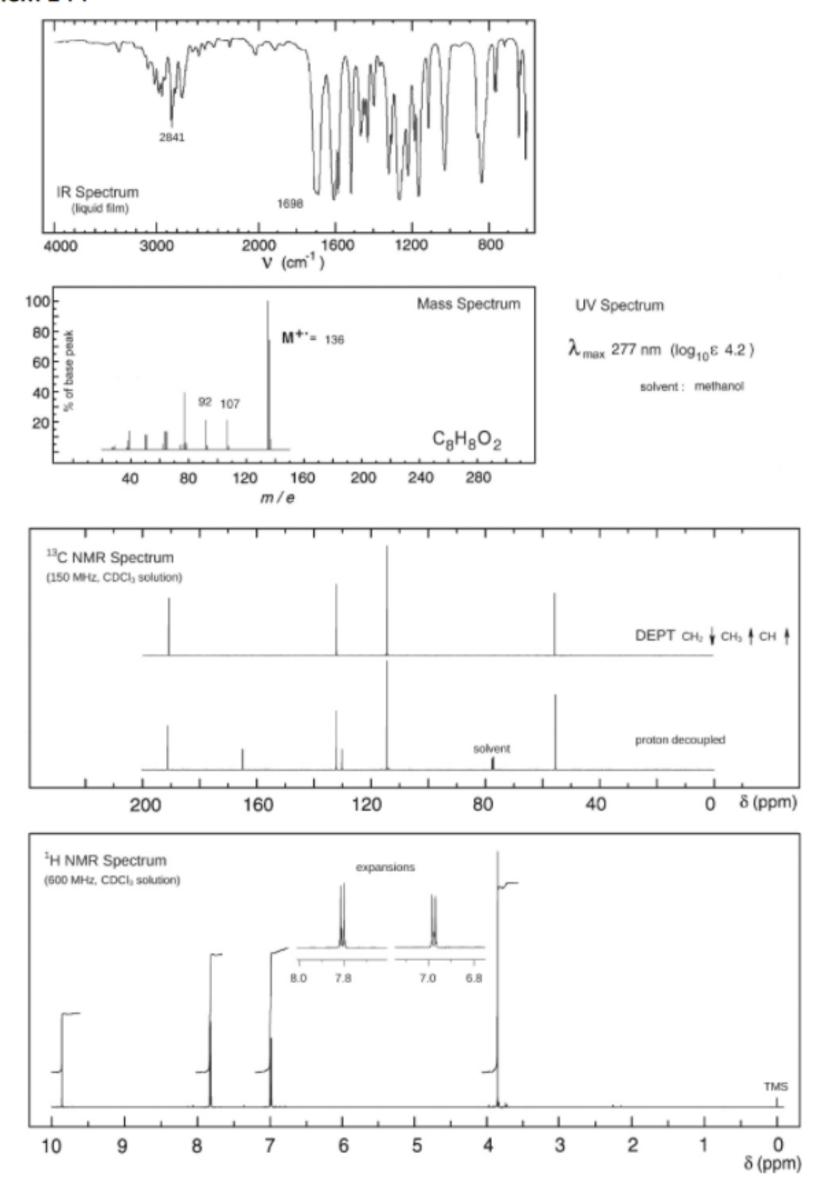


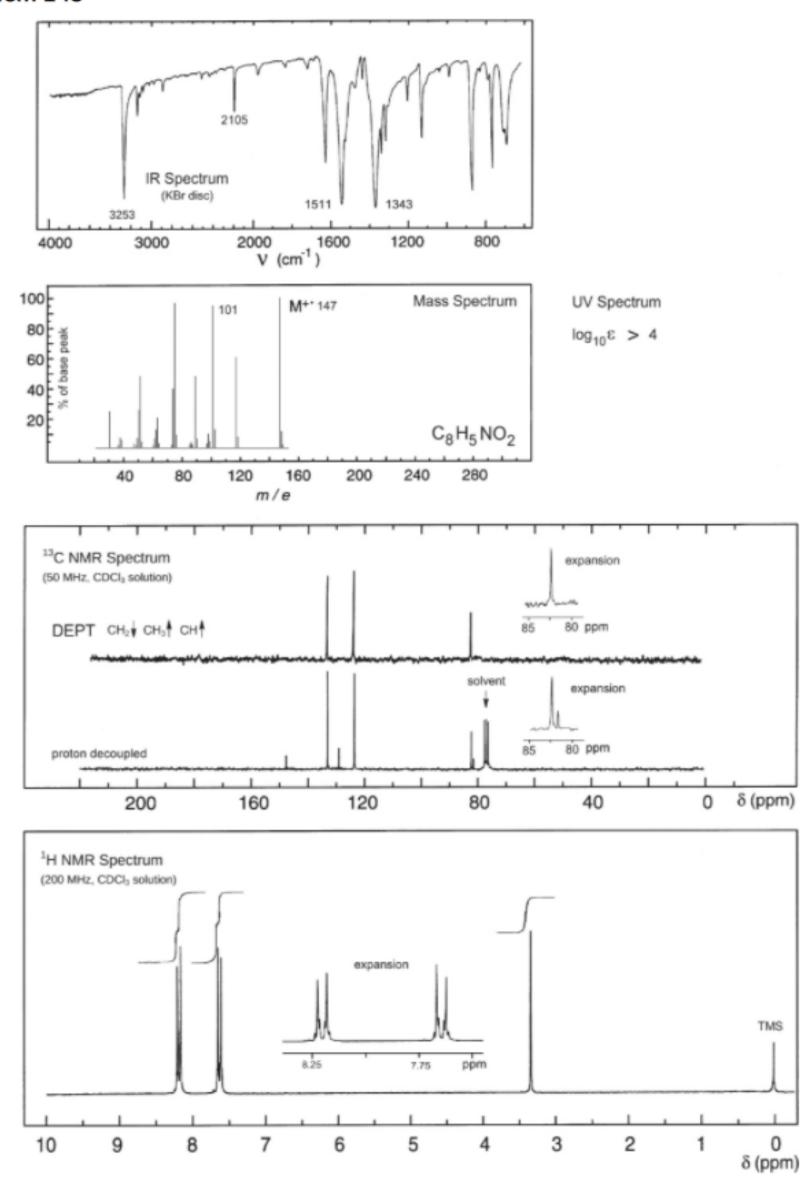


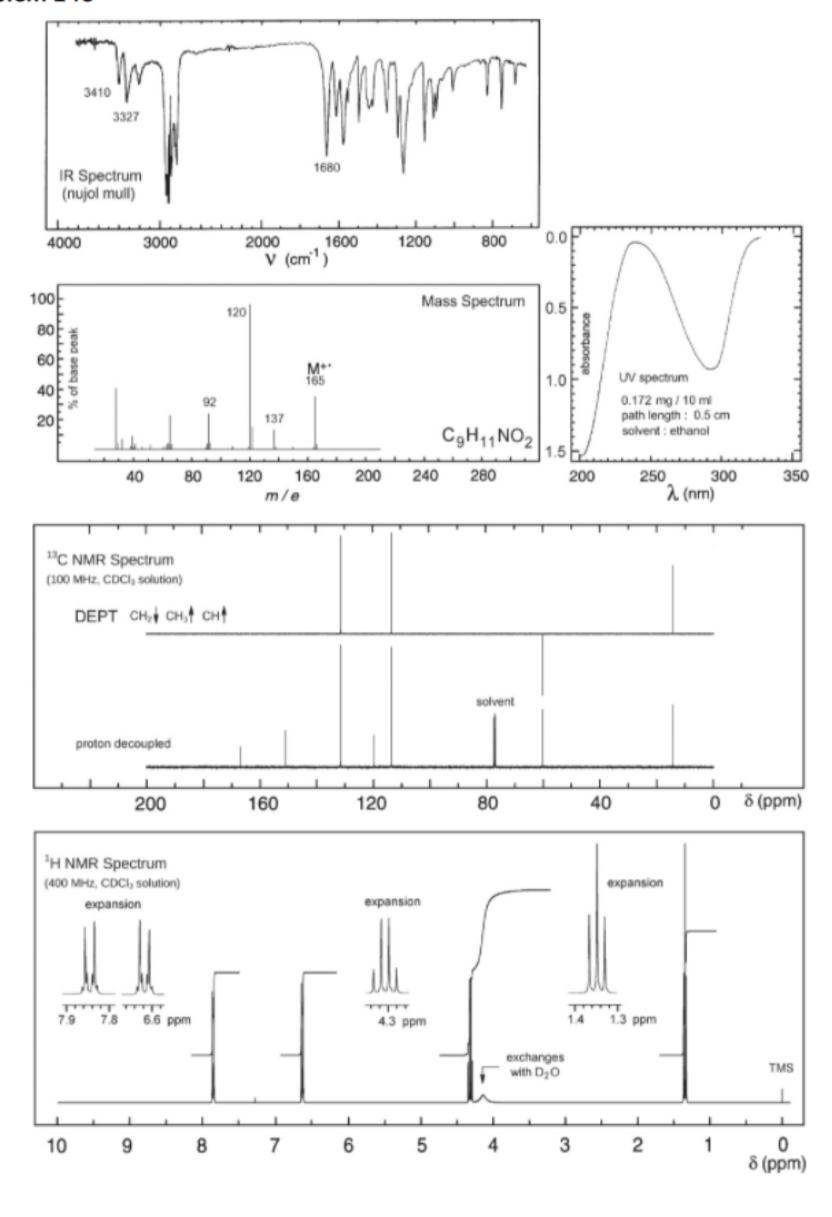


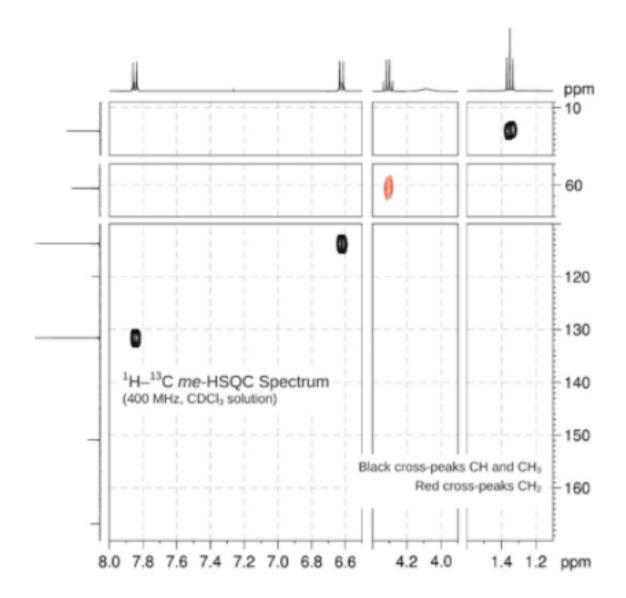


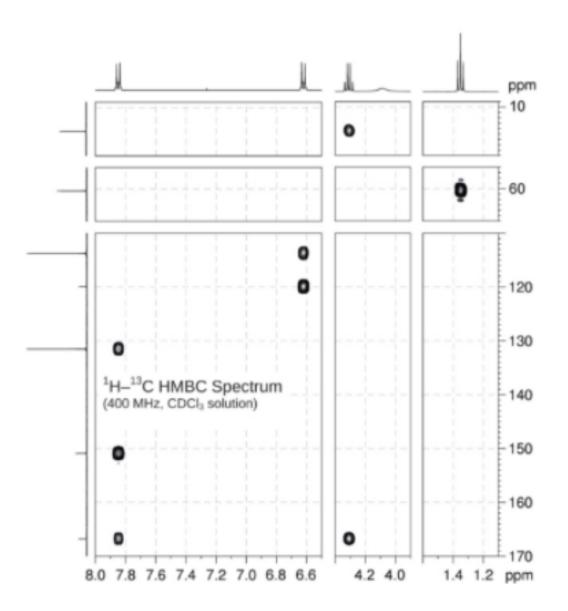


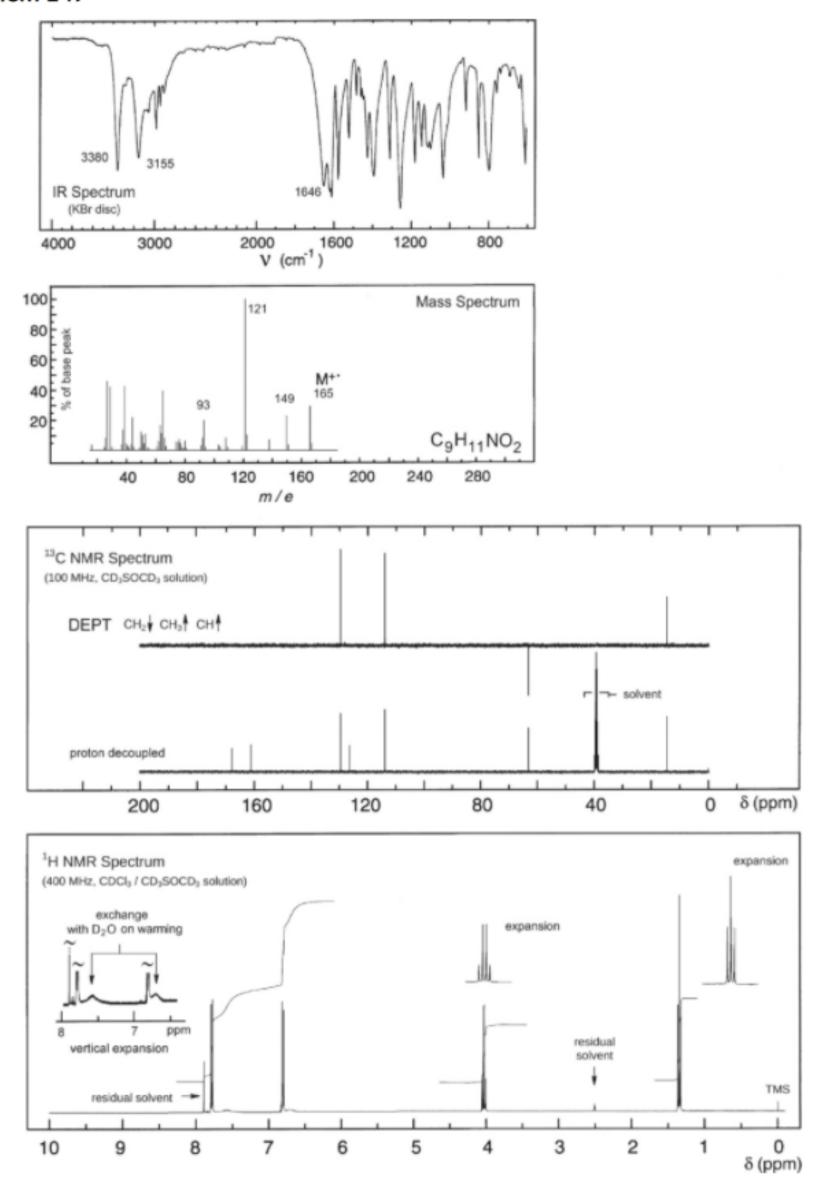


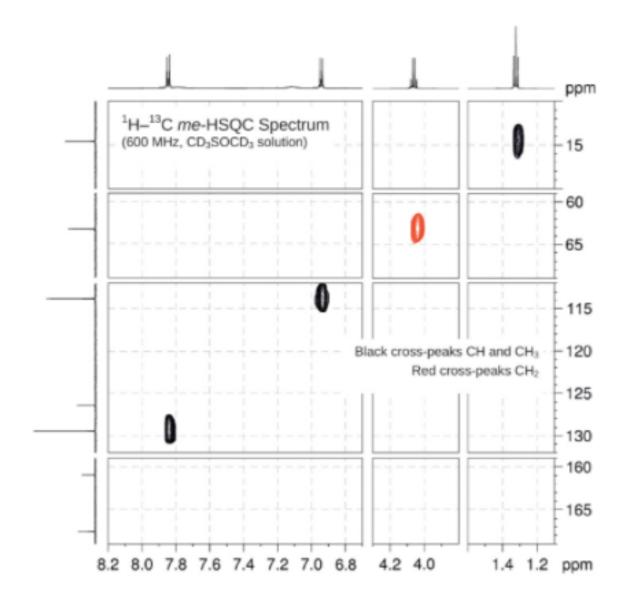


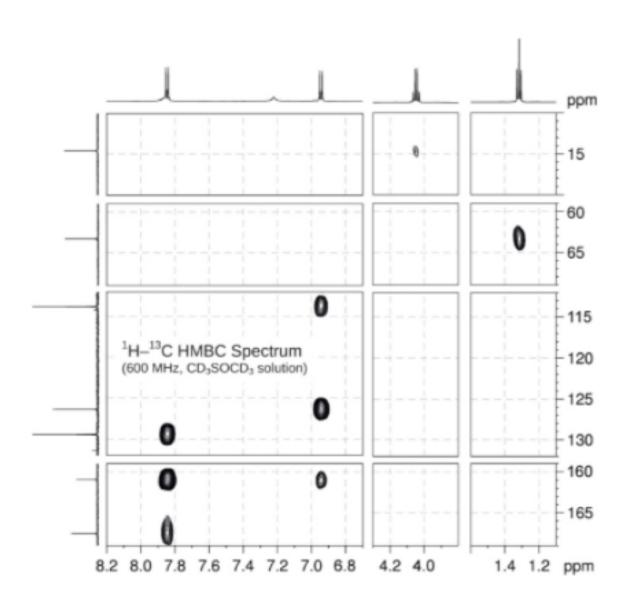


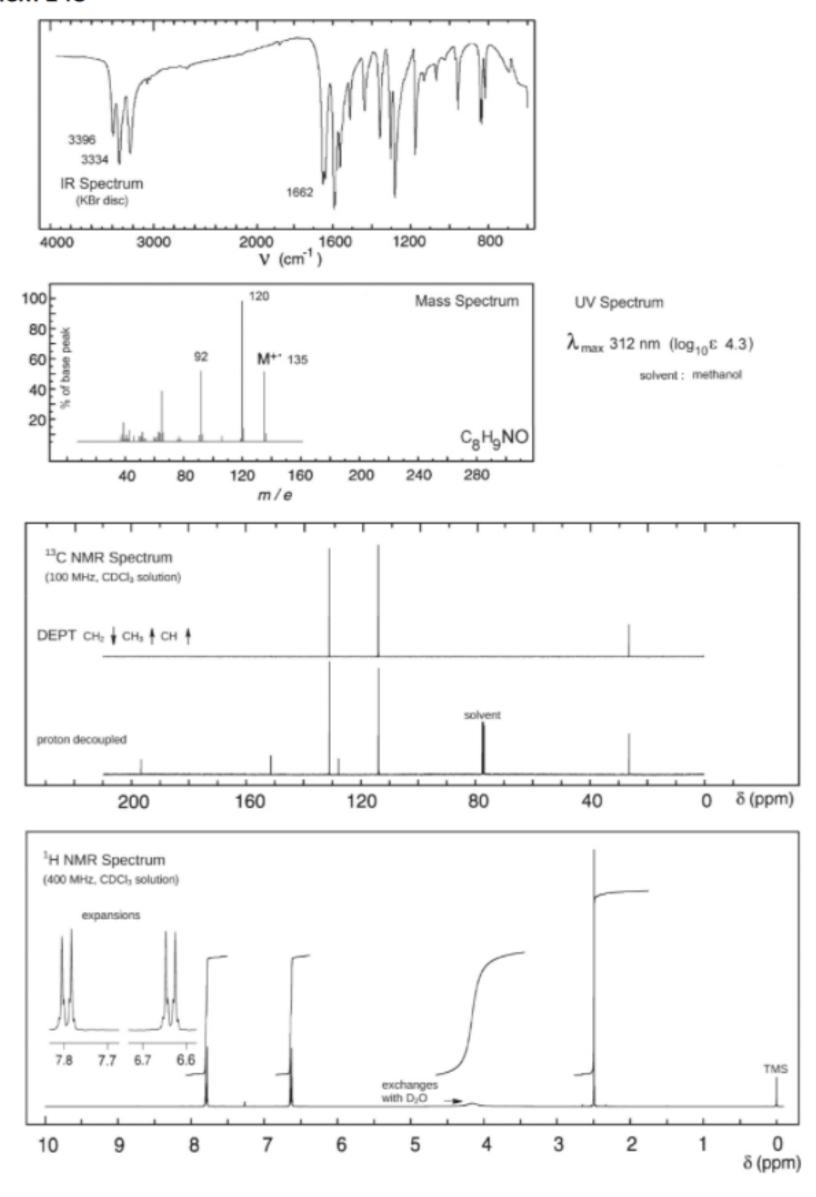


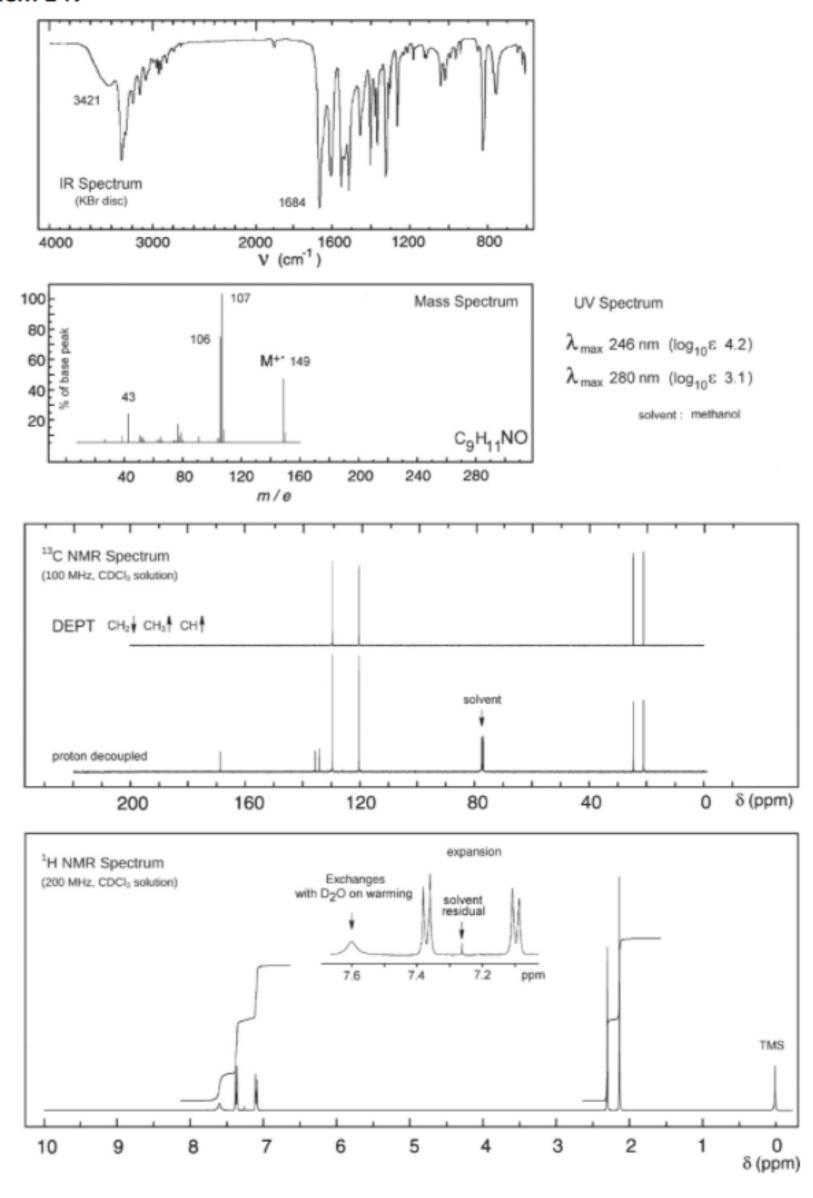


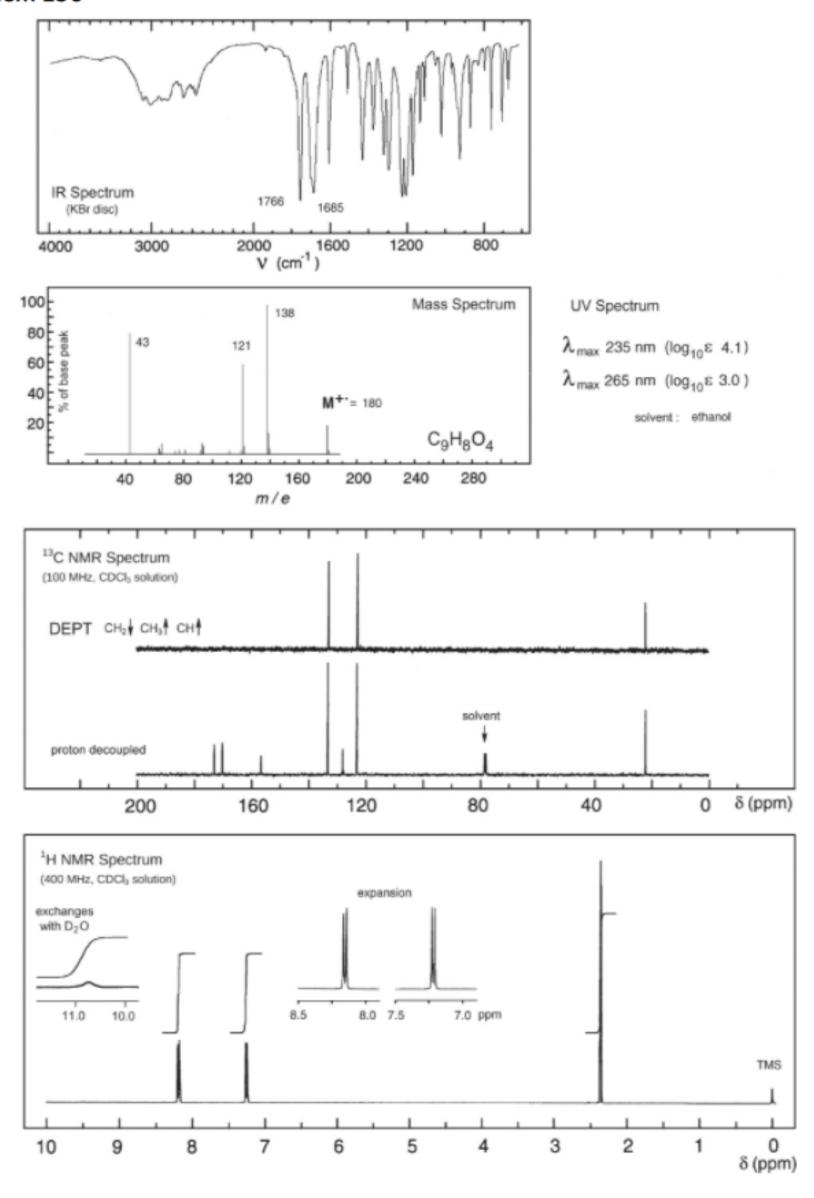


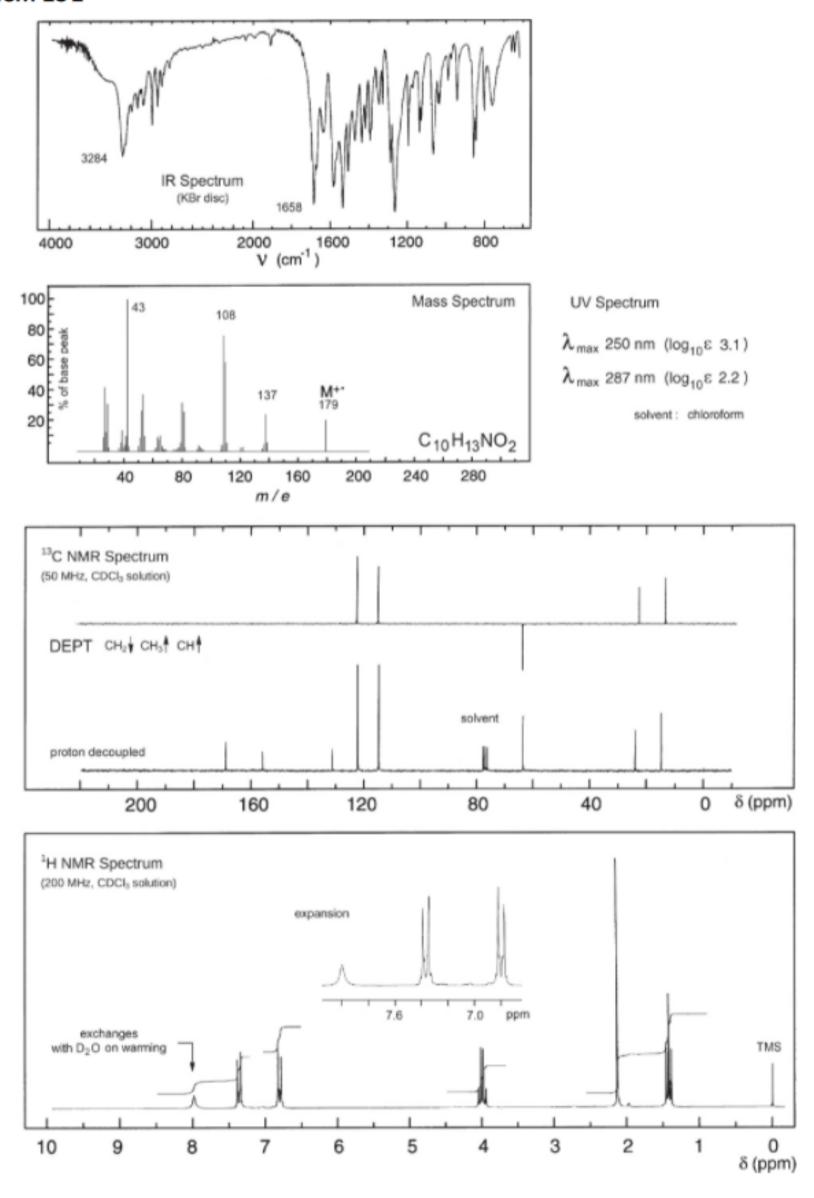


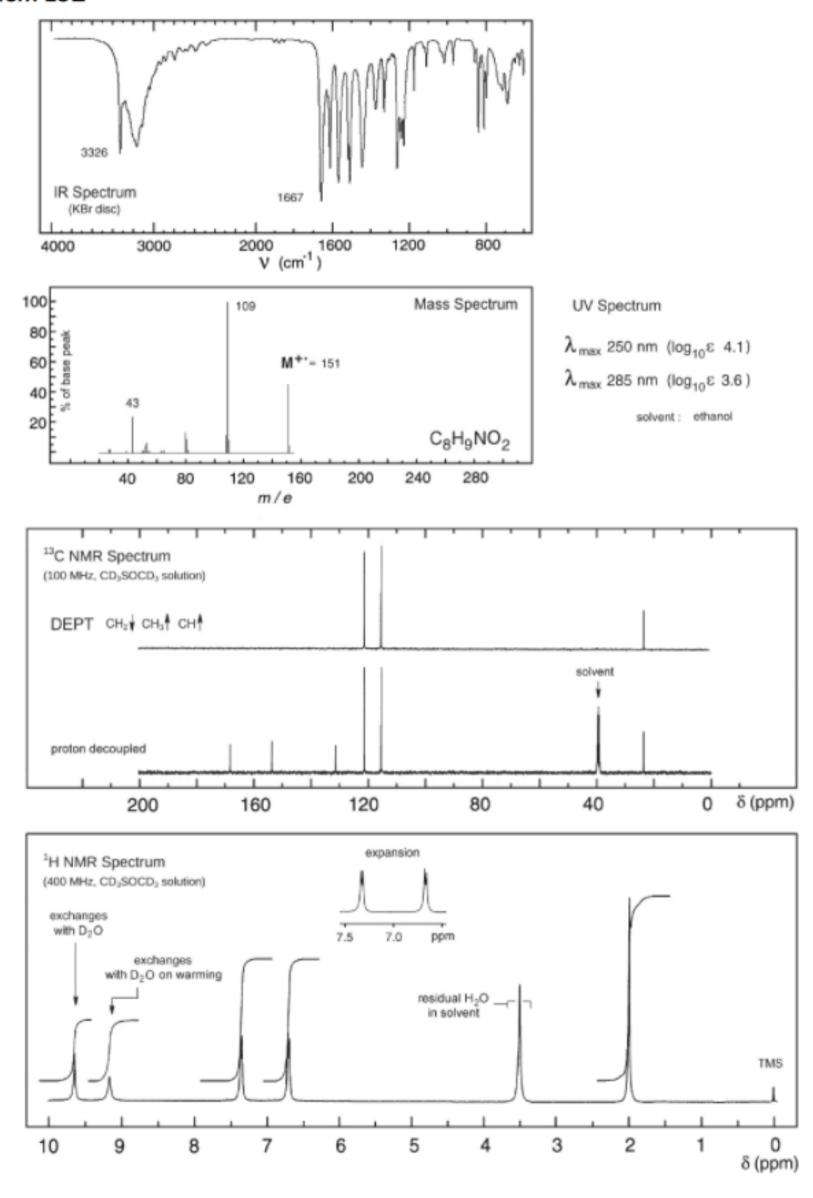


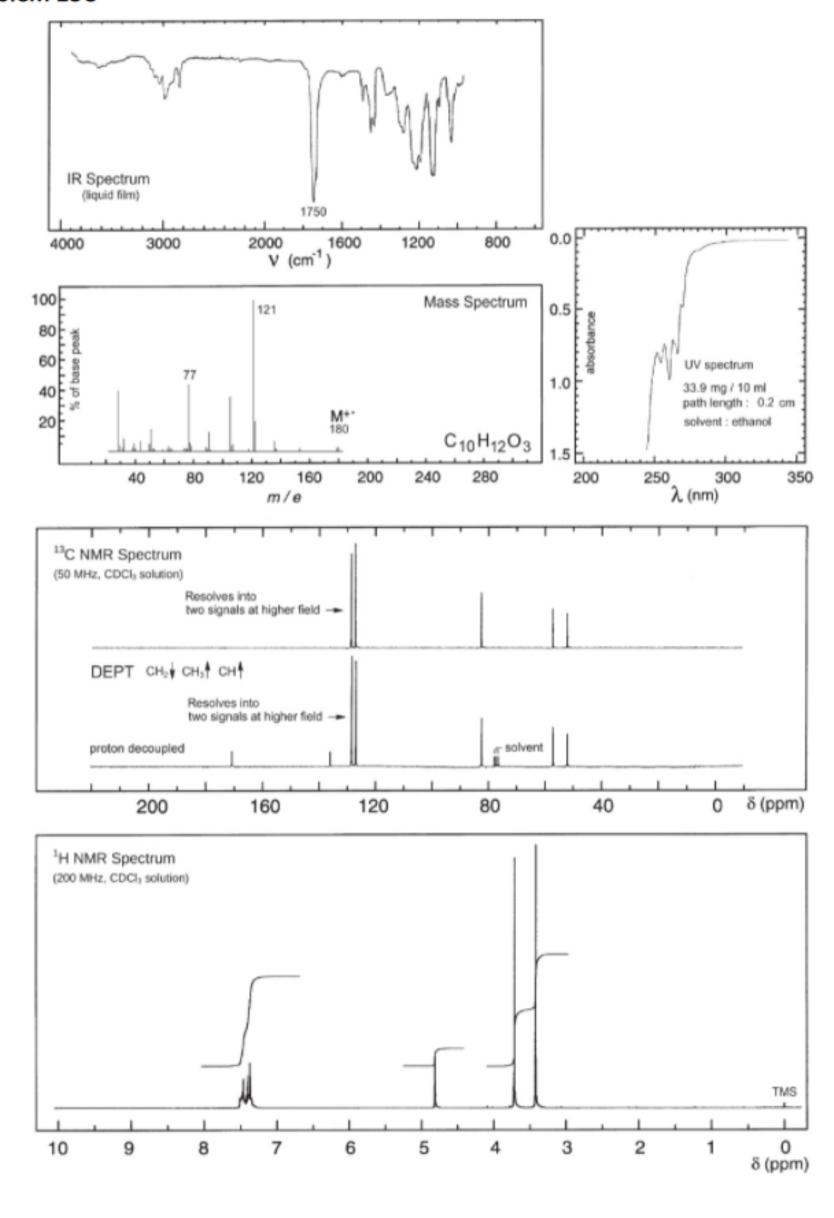


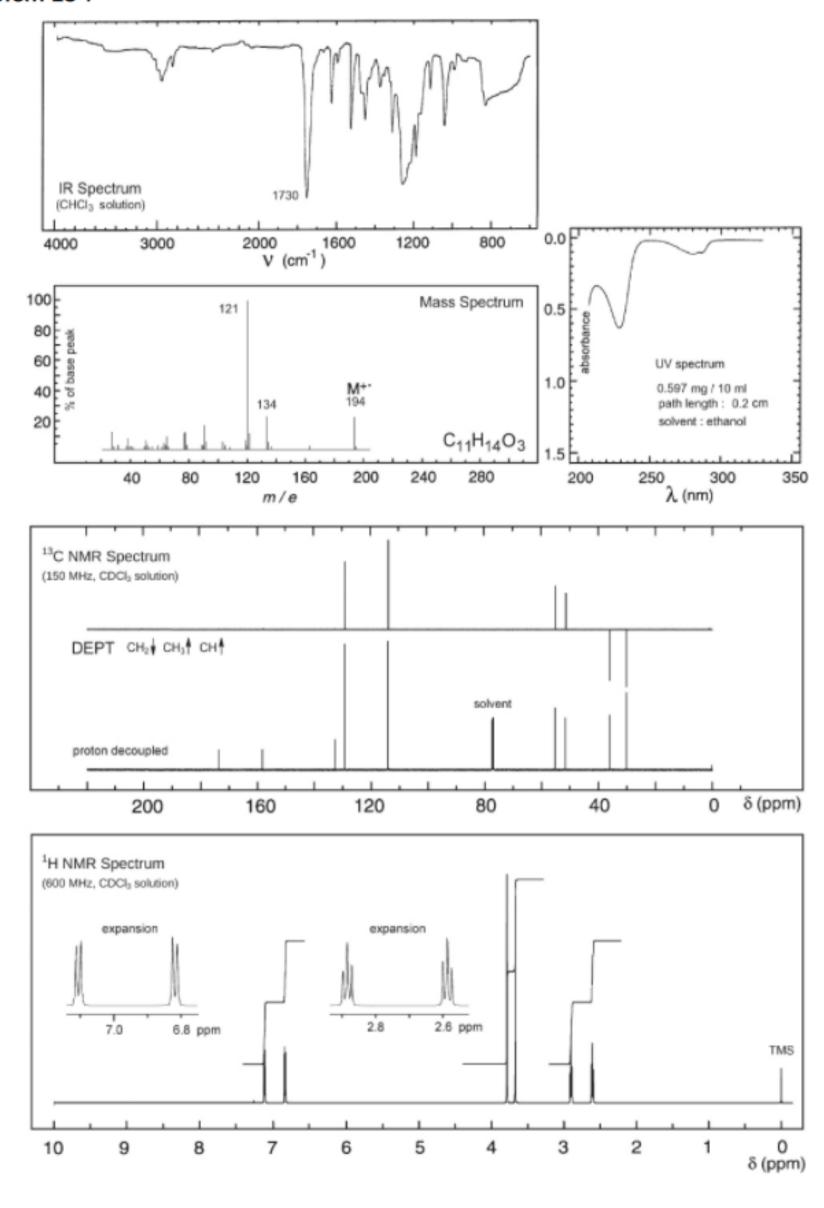


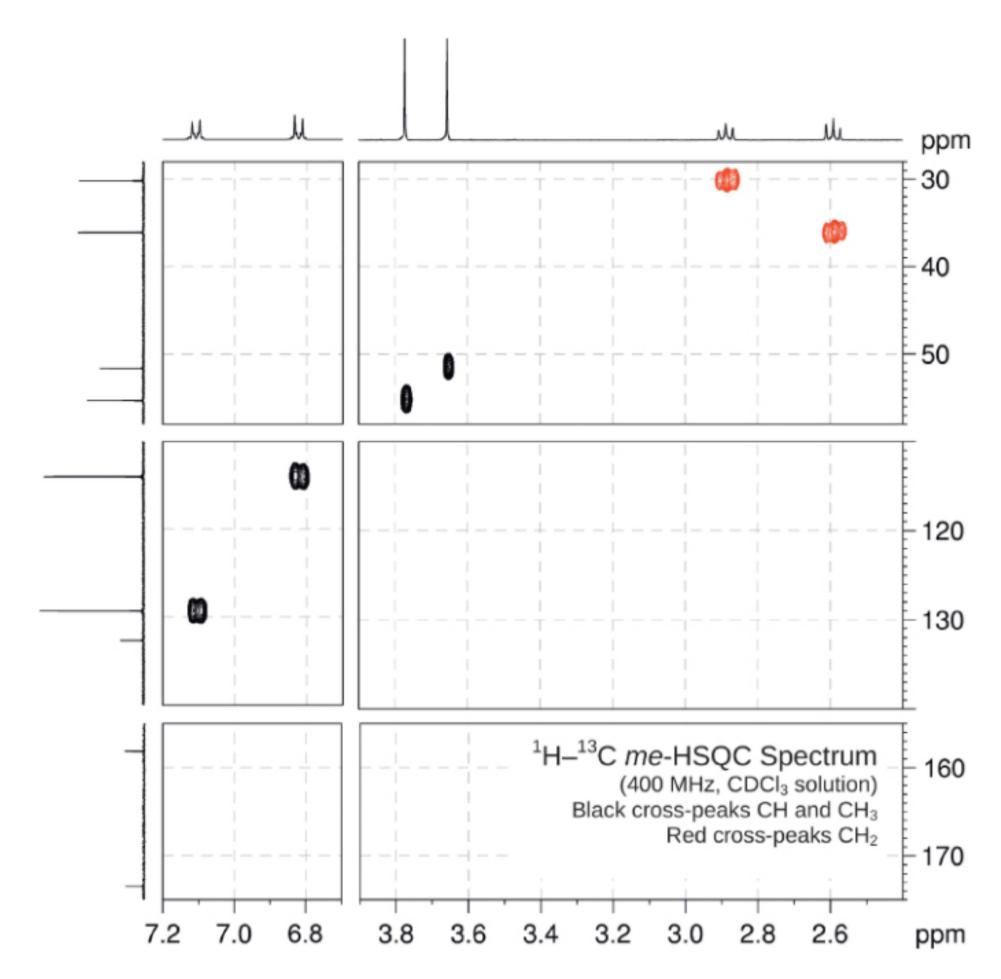




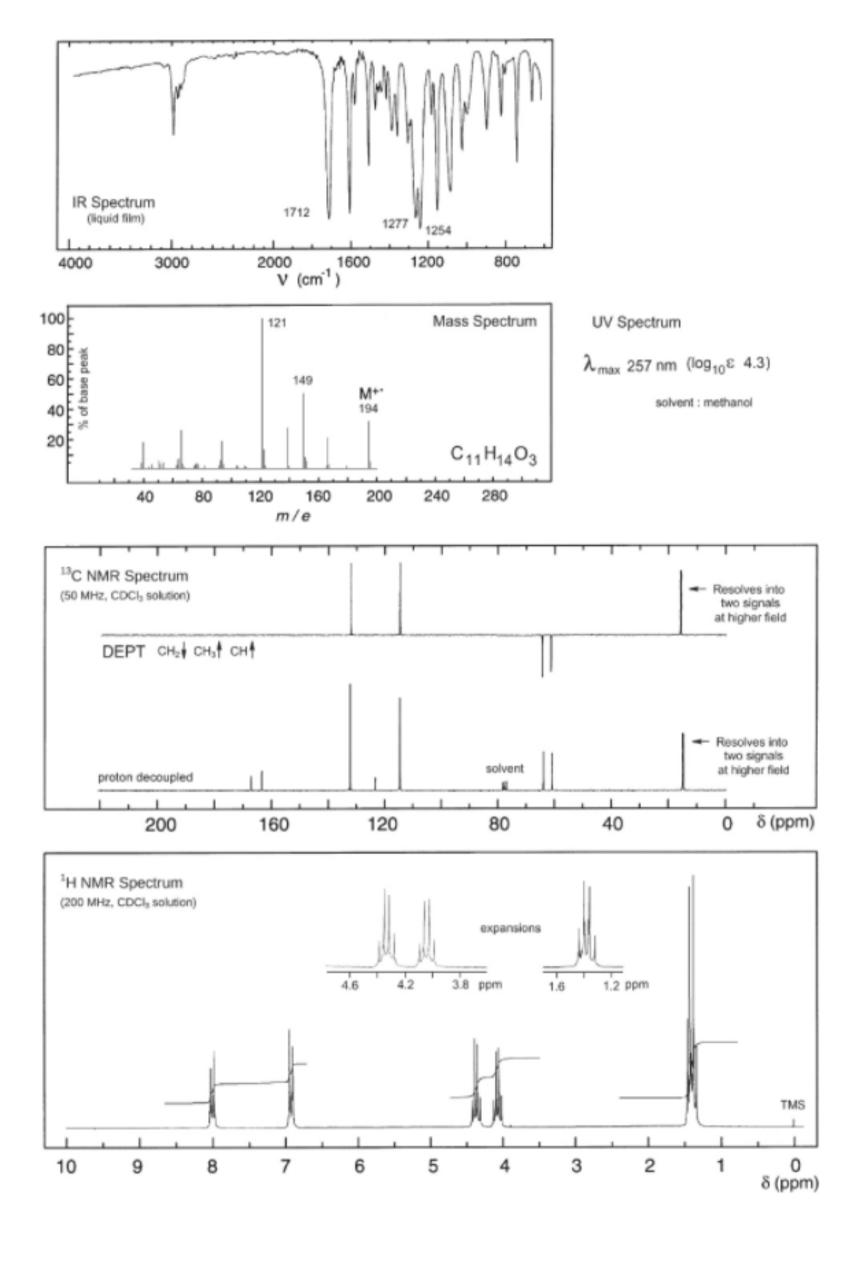


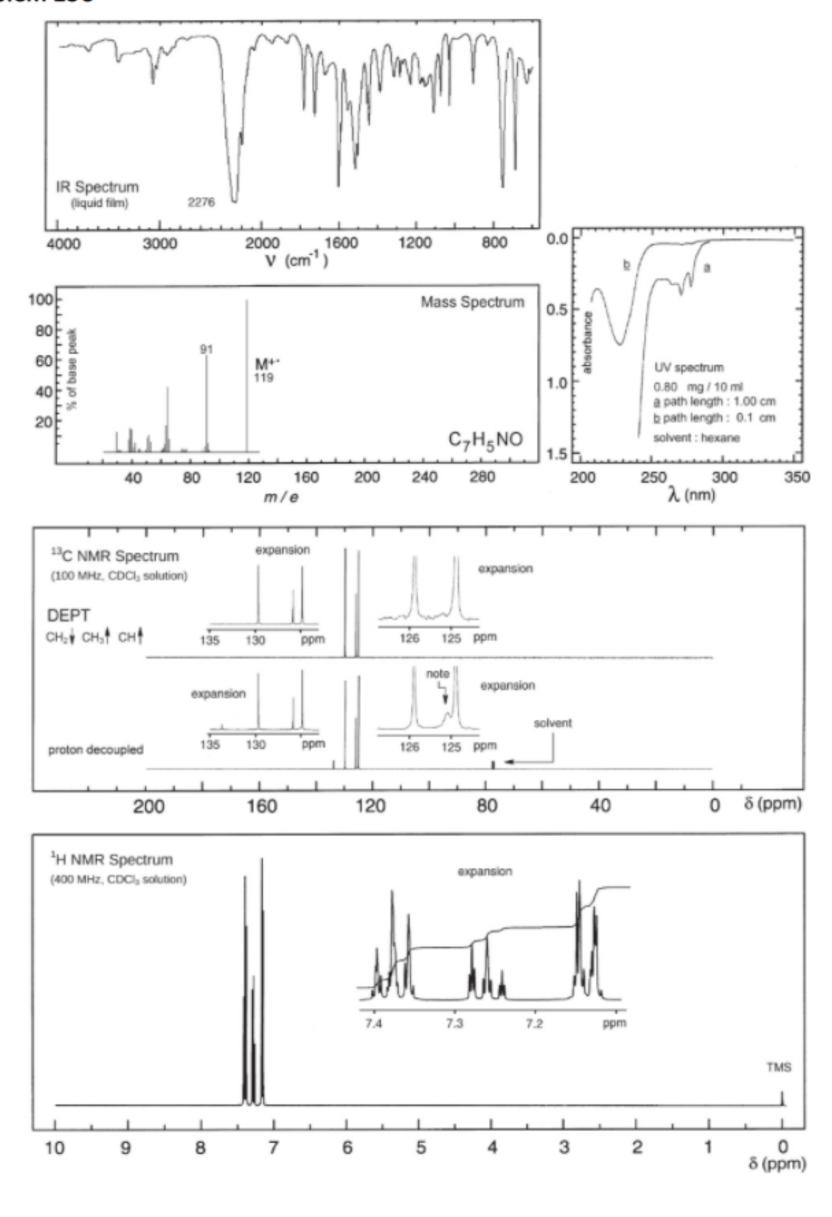


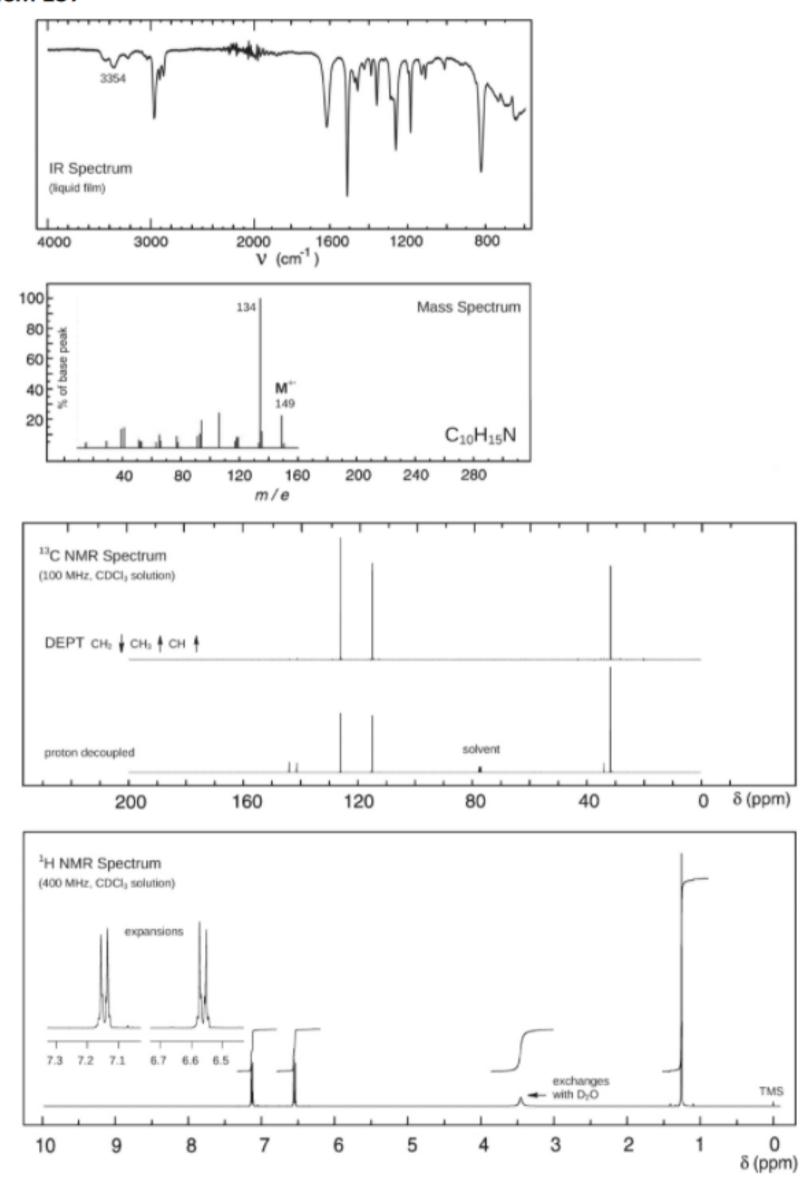


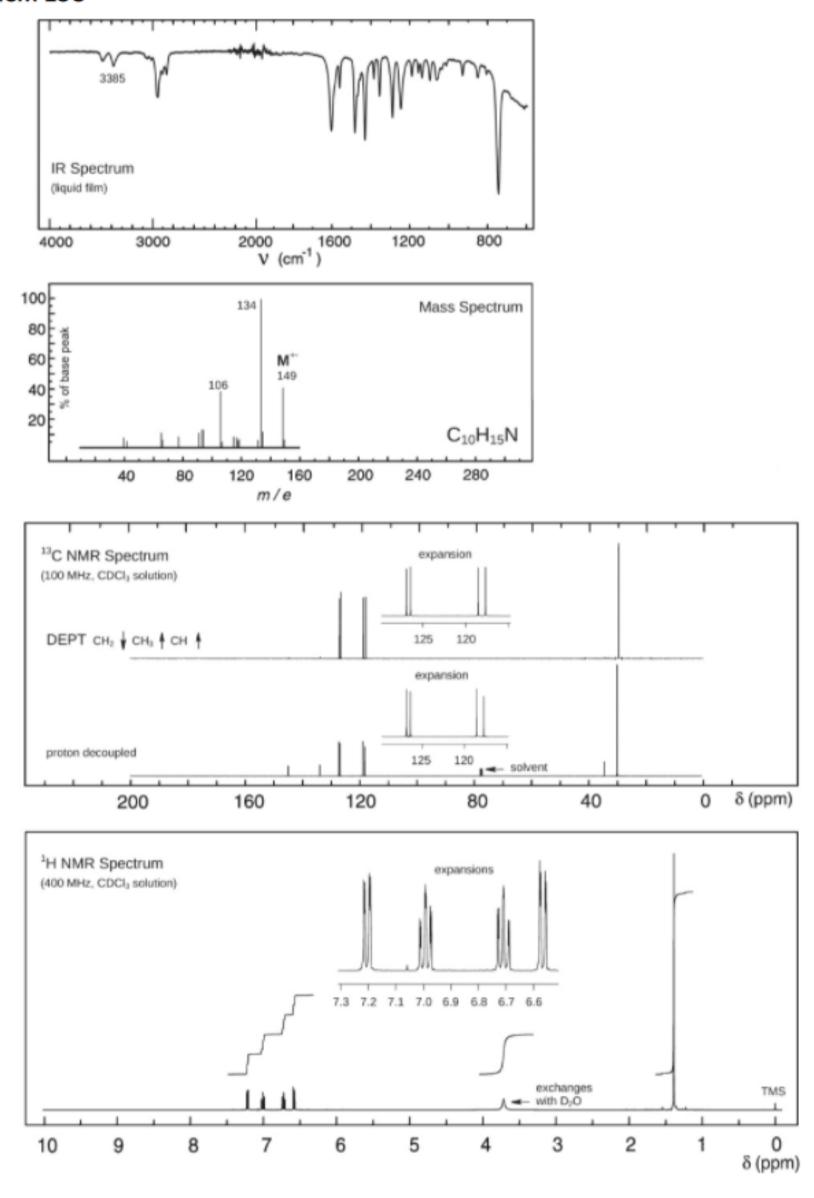


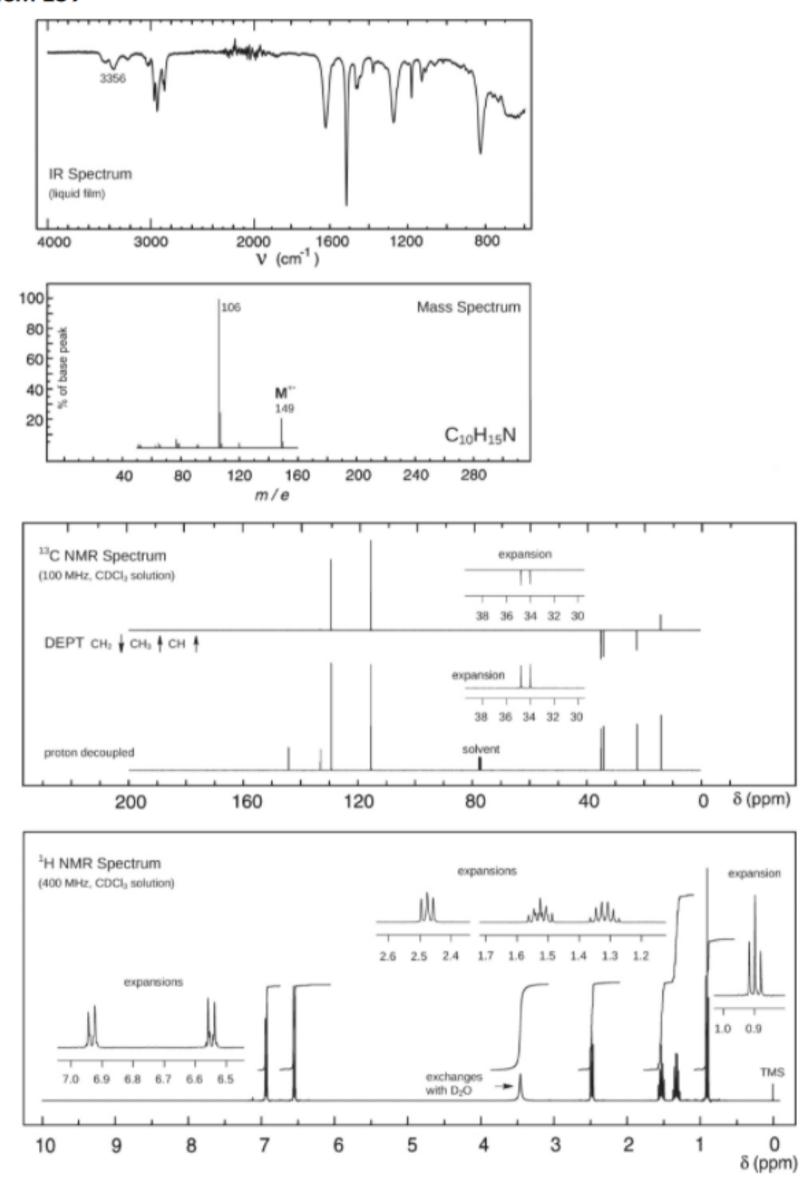
Problem 155

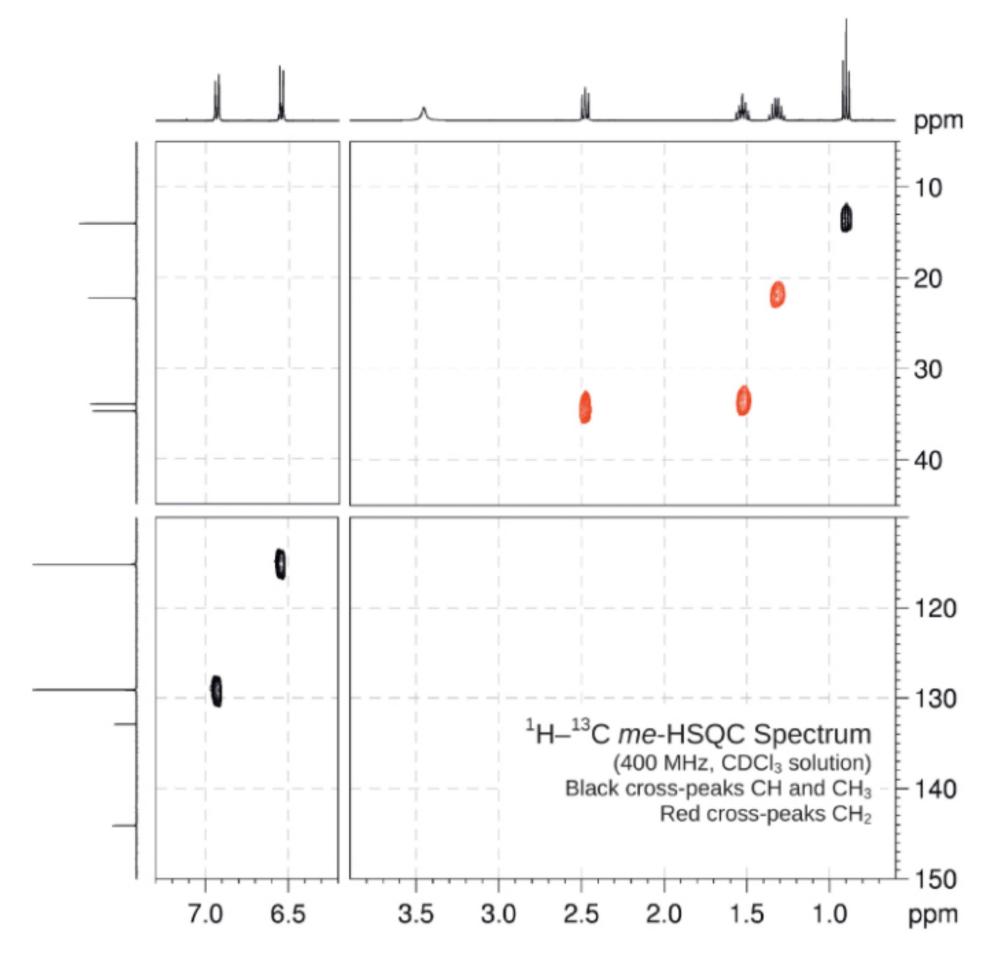




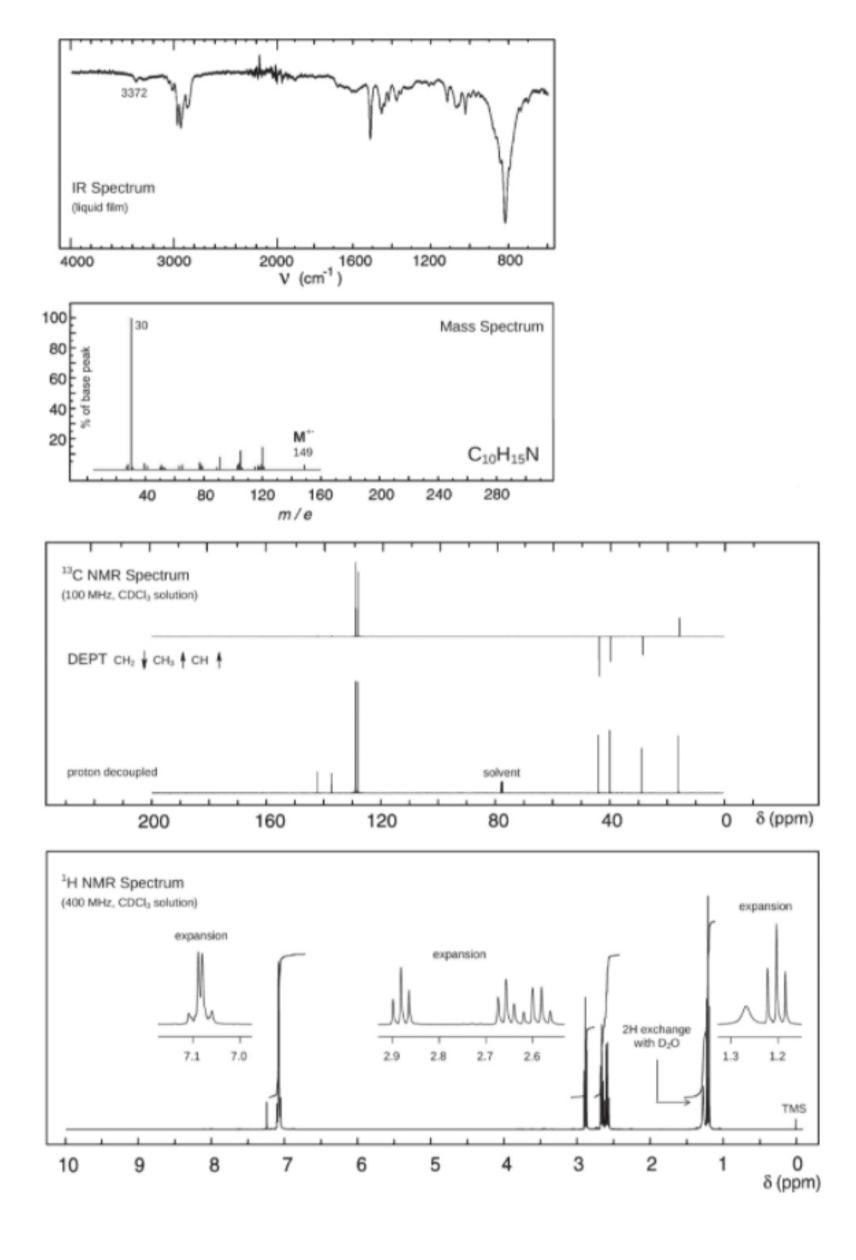


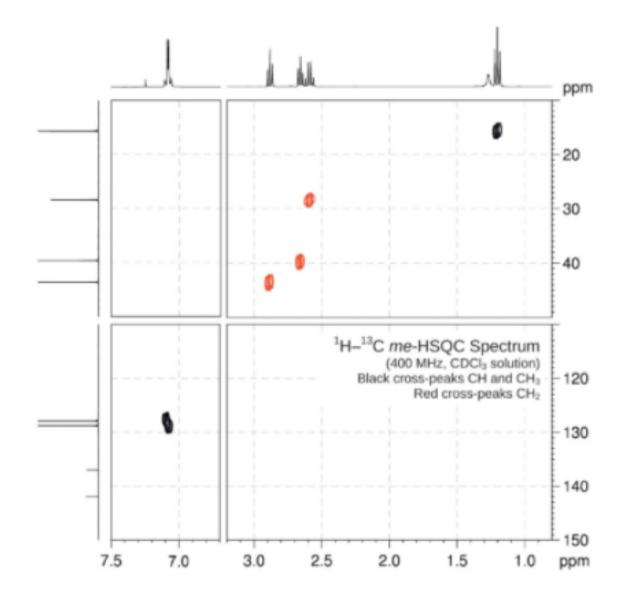


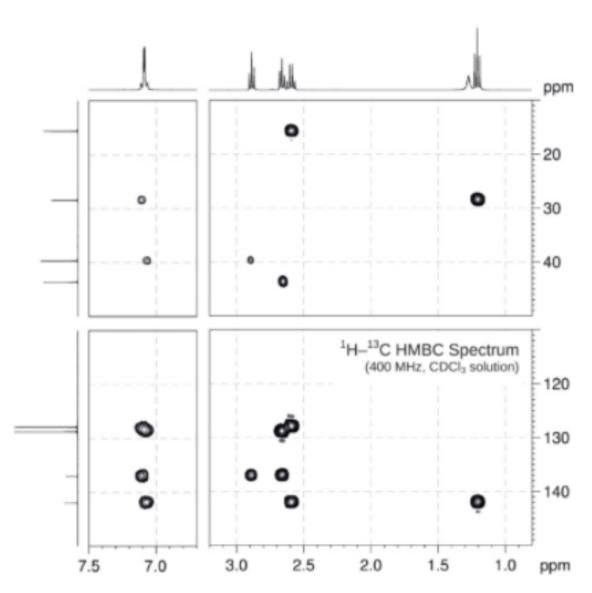


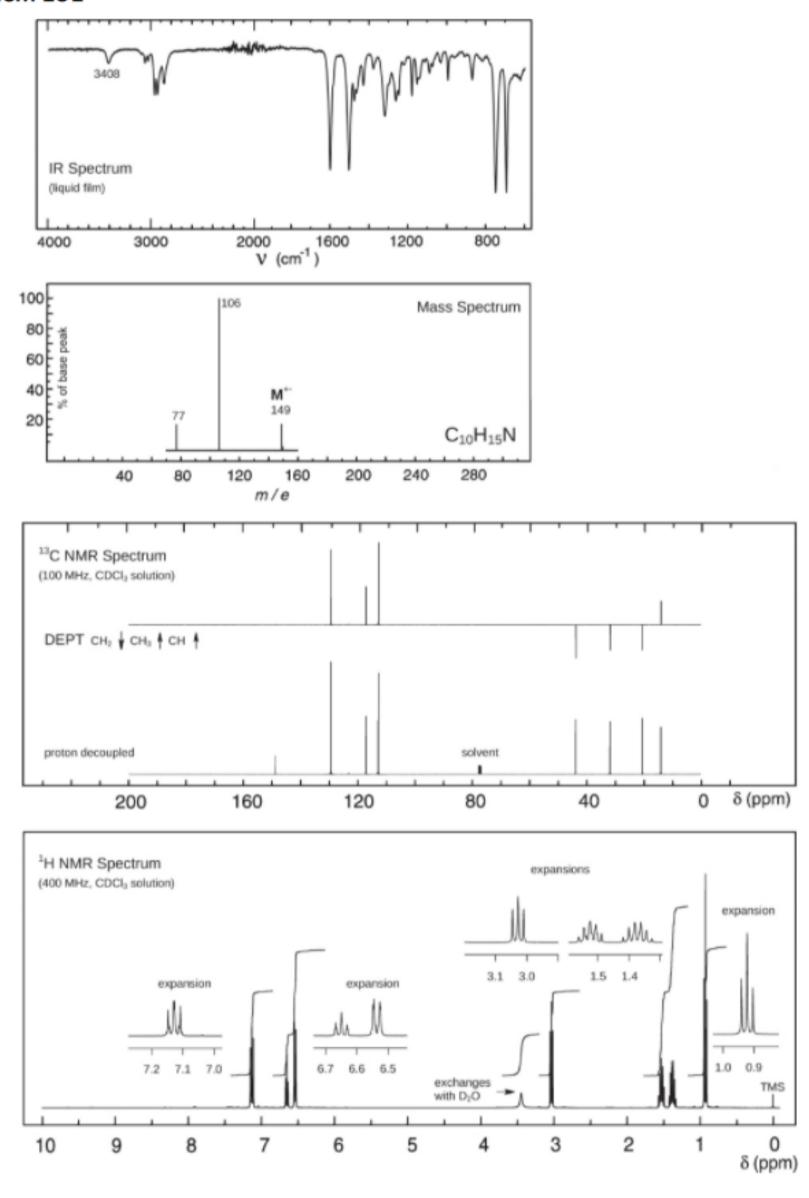


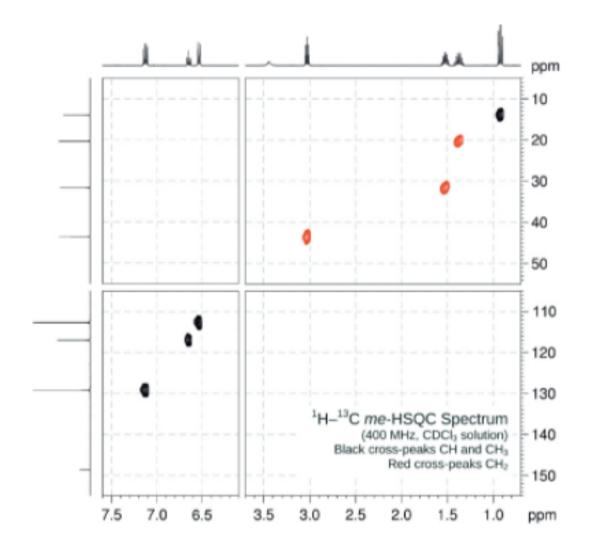
Problem 160

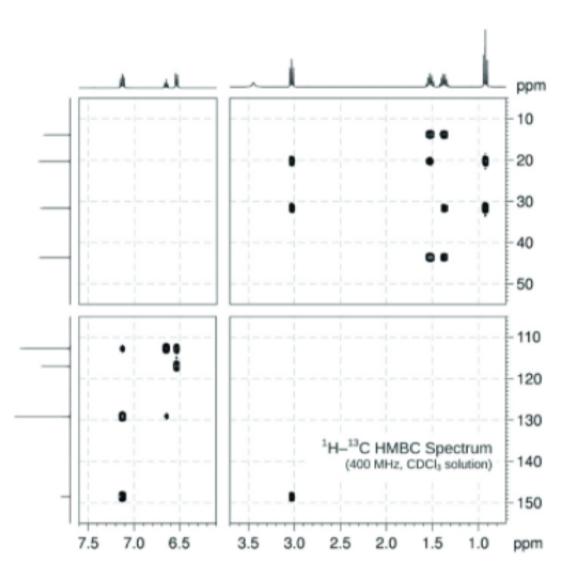


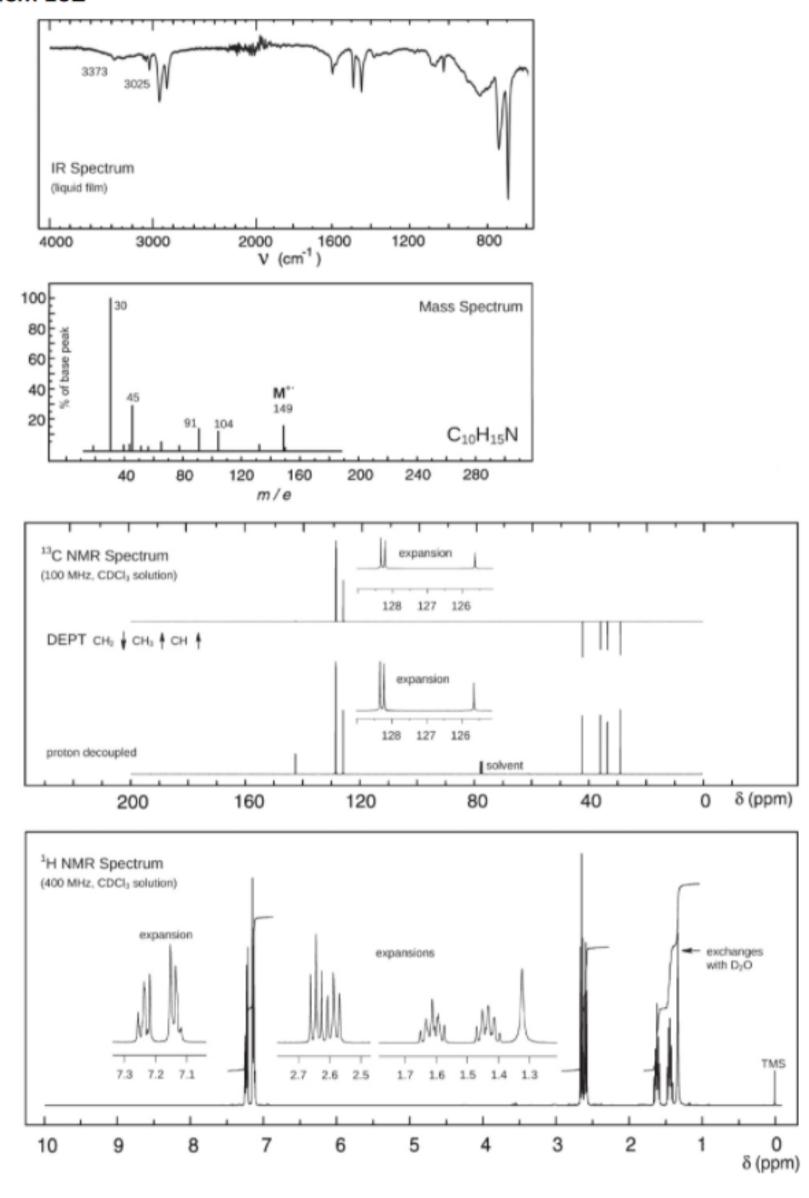


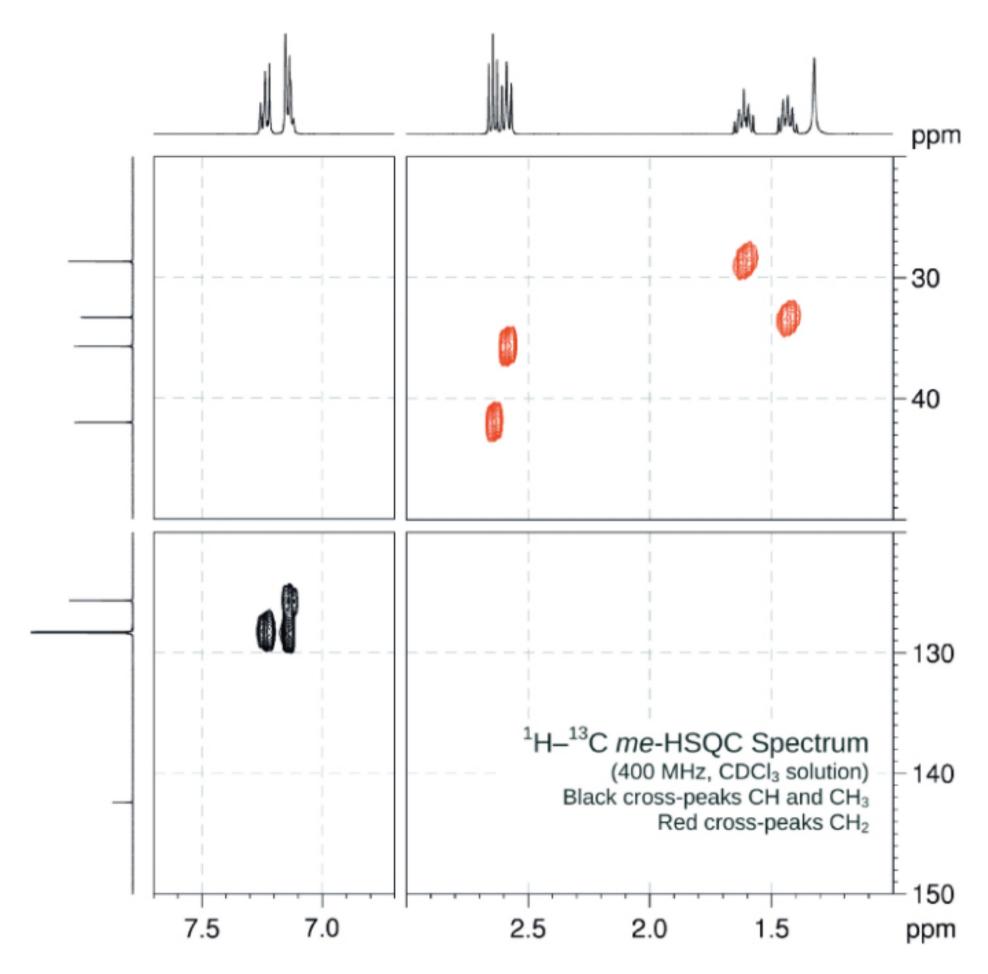




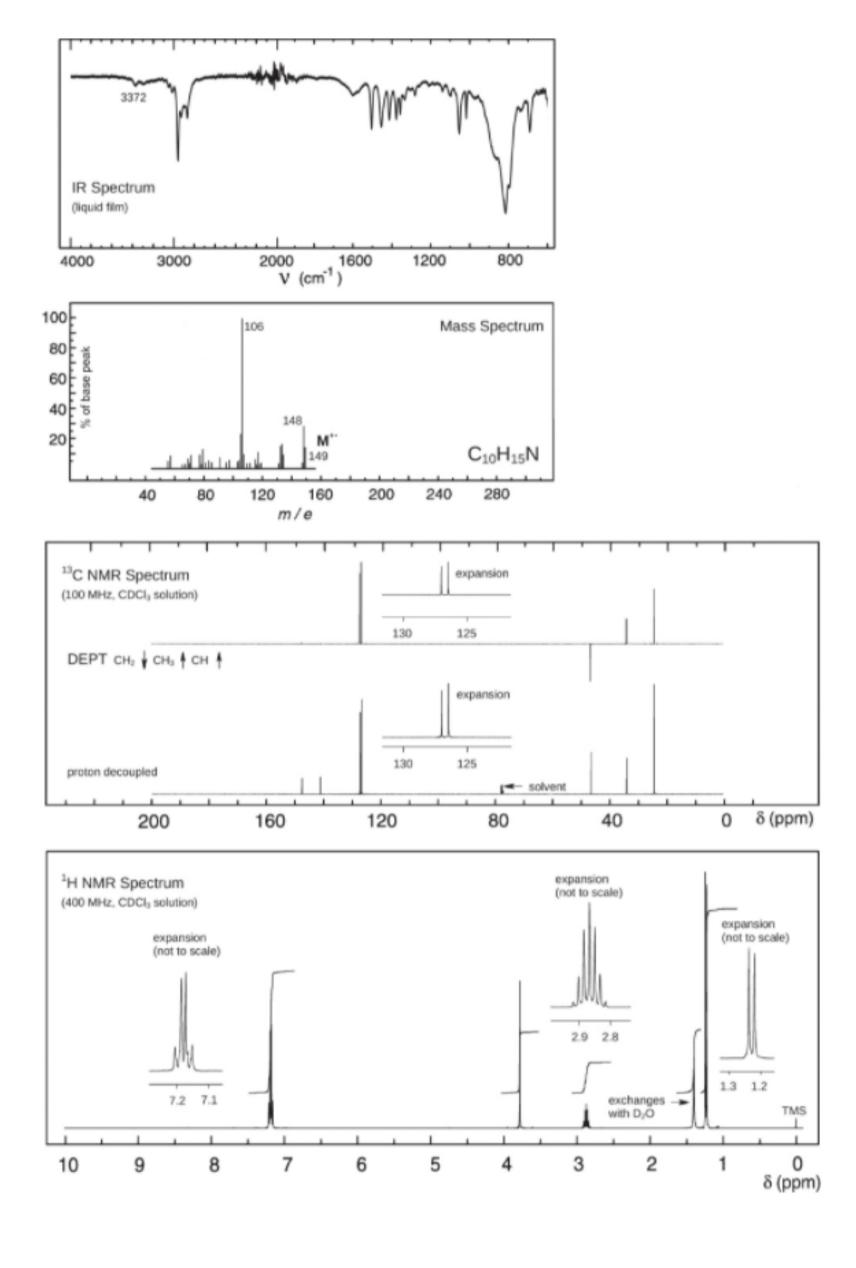


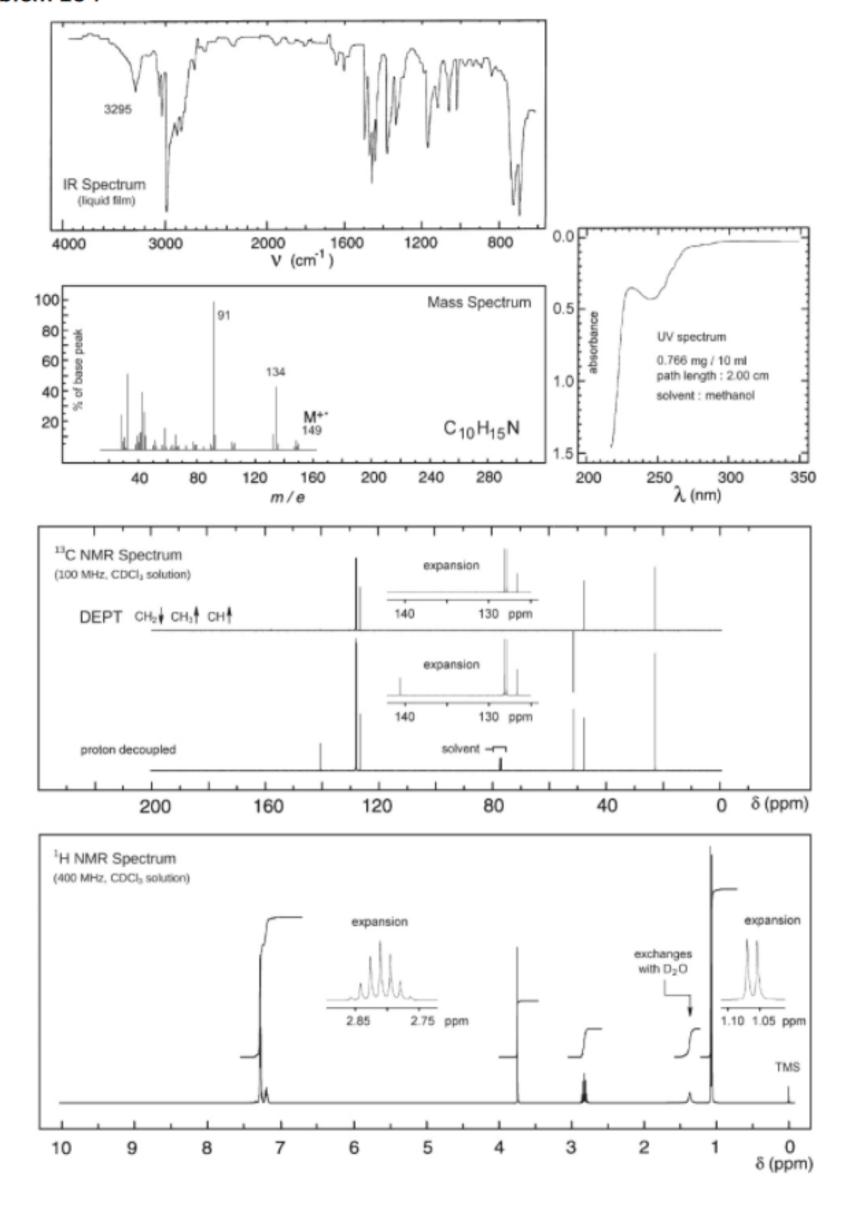


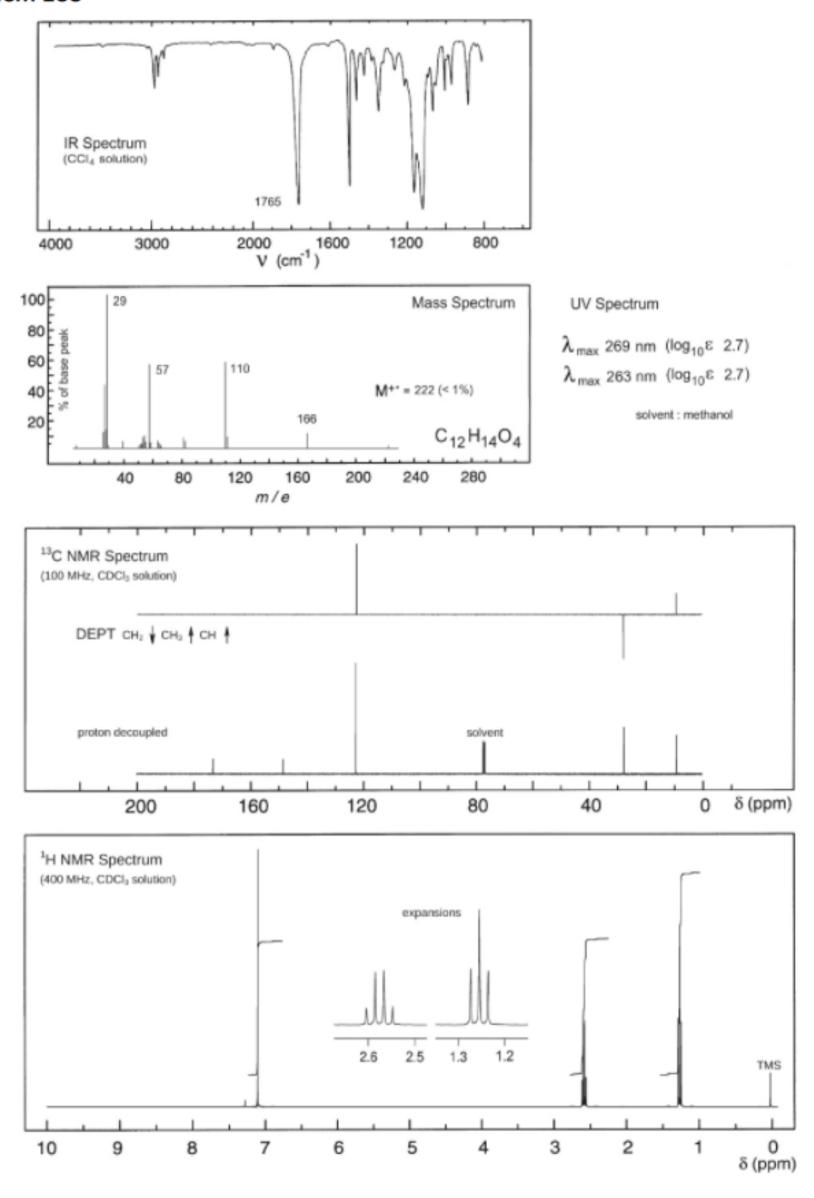


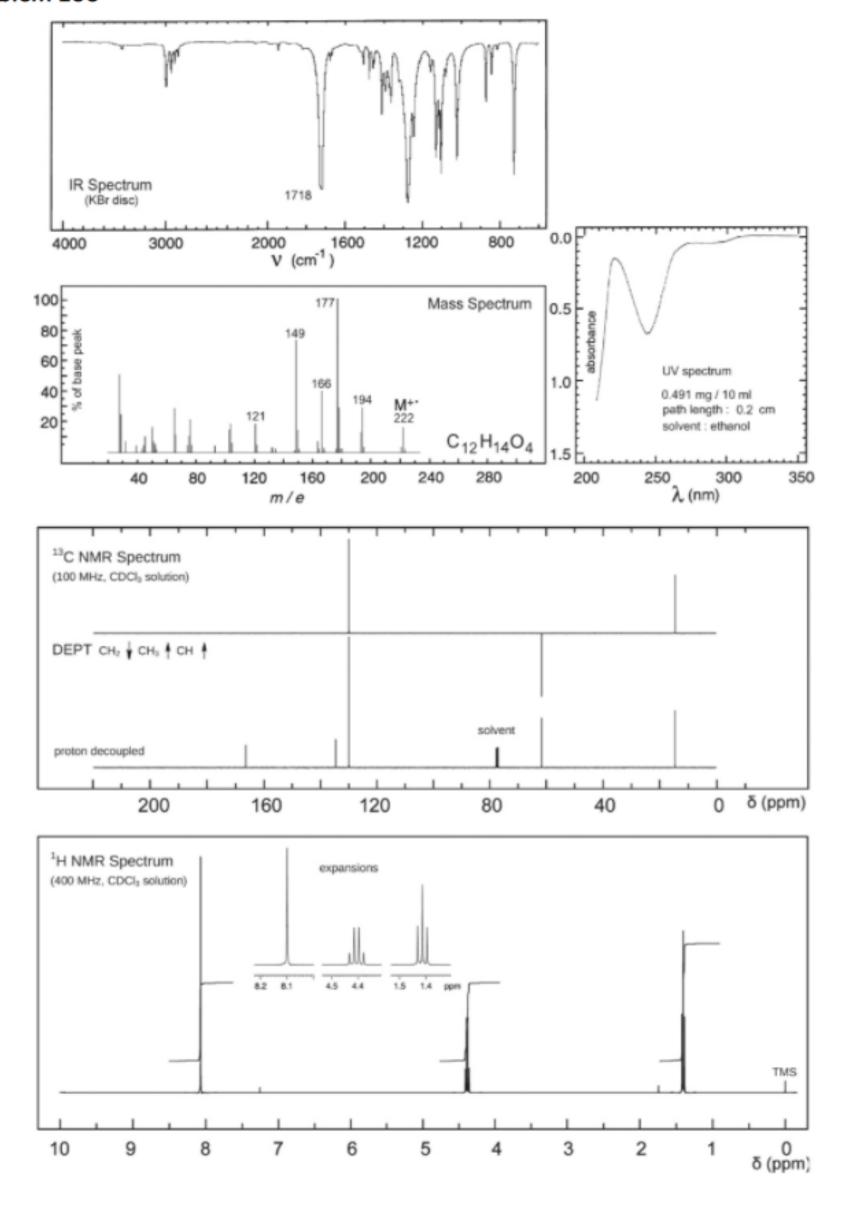


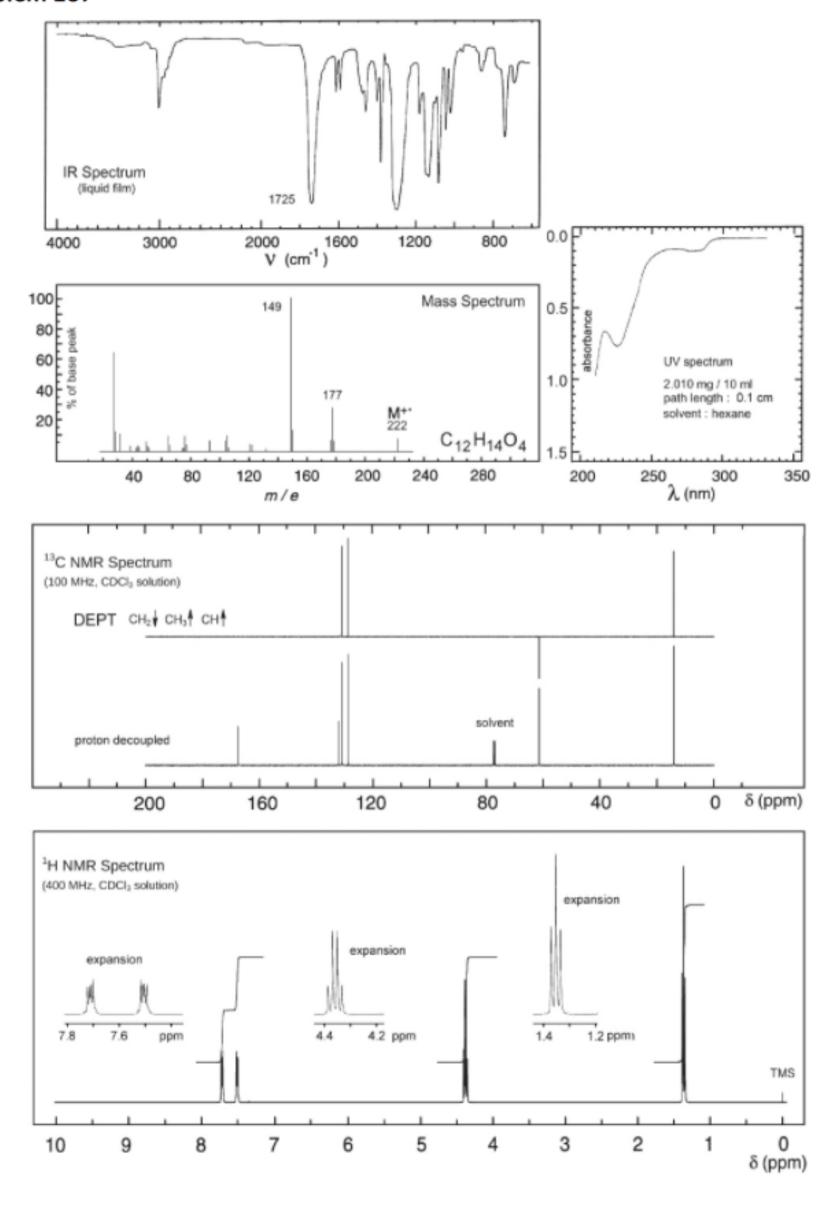
Problem 163

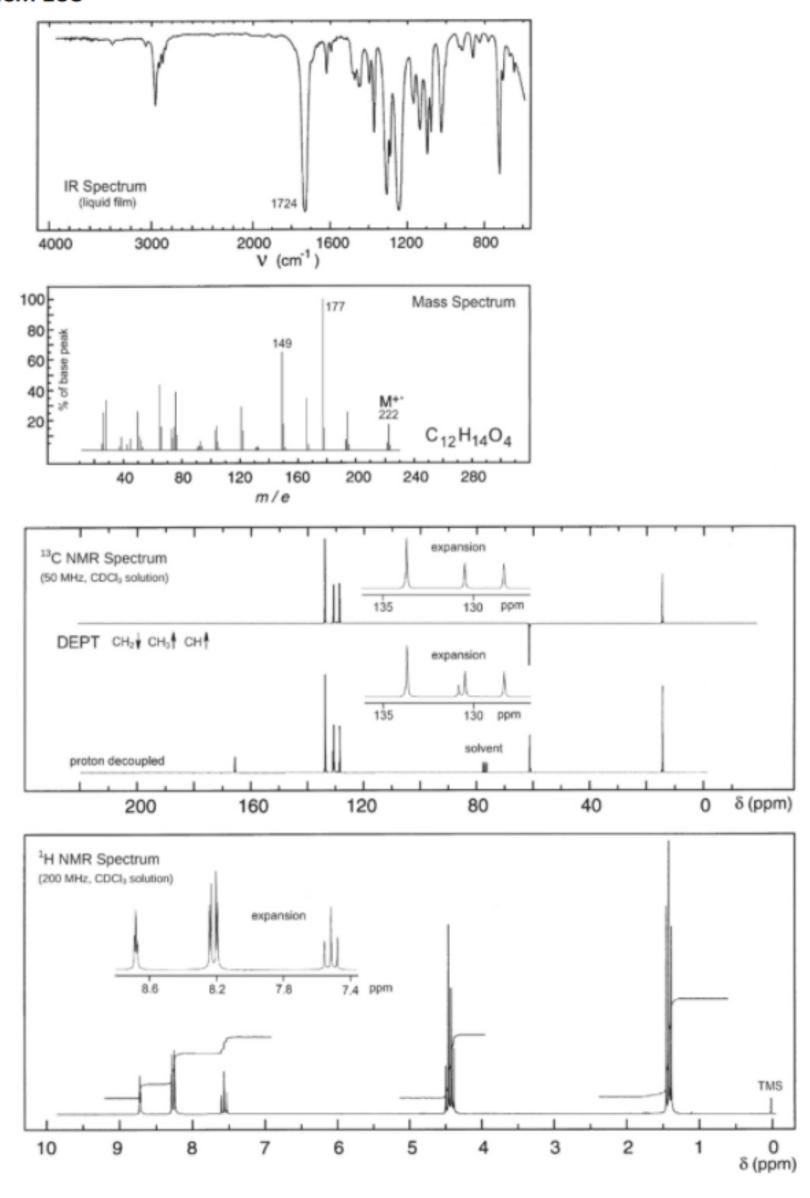


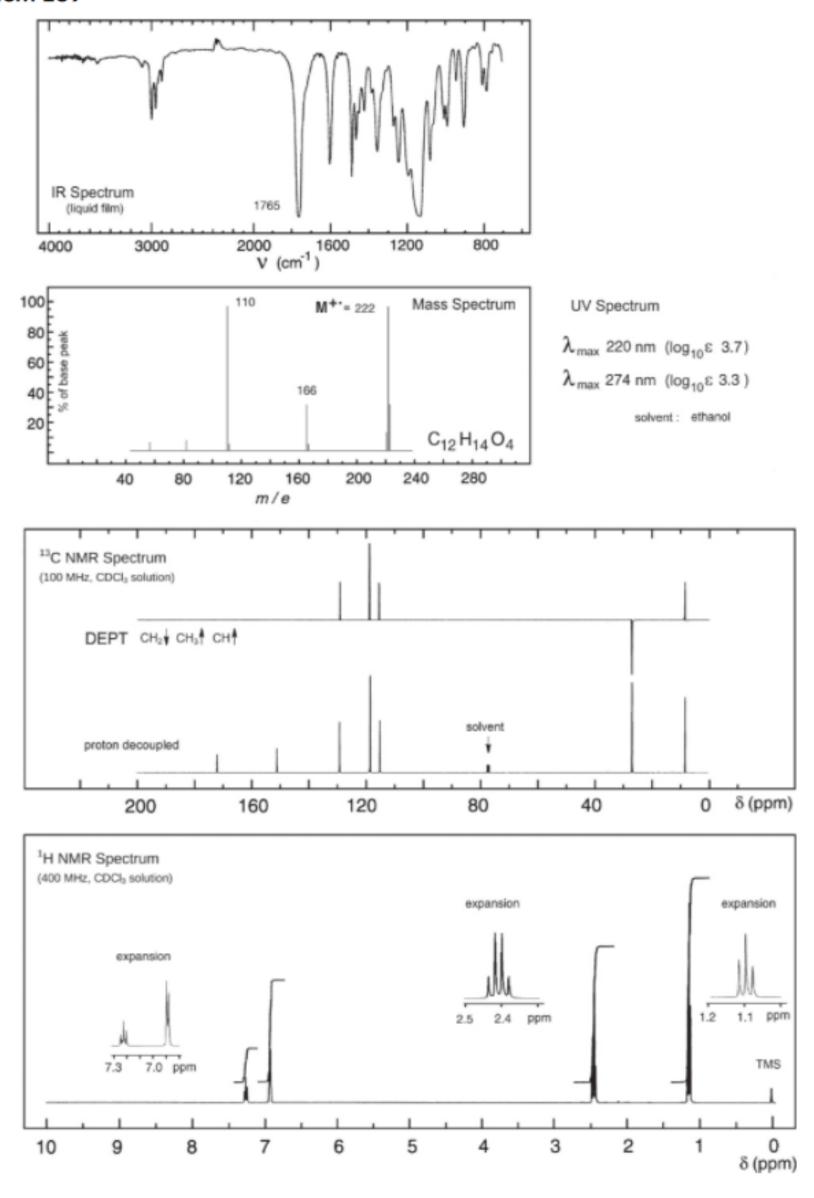


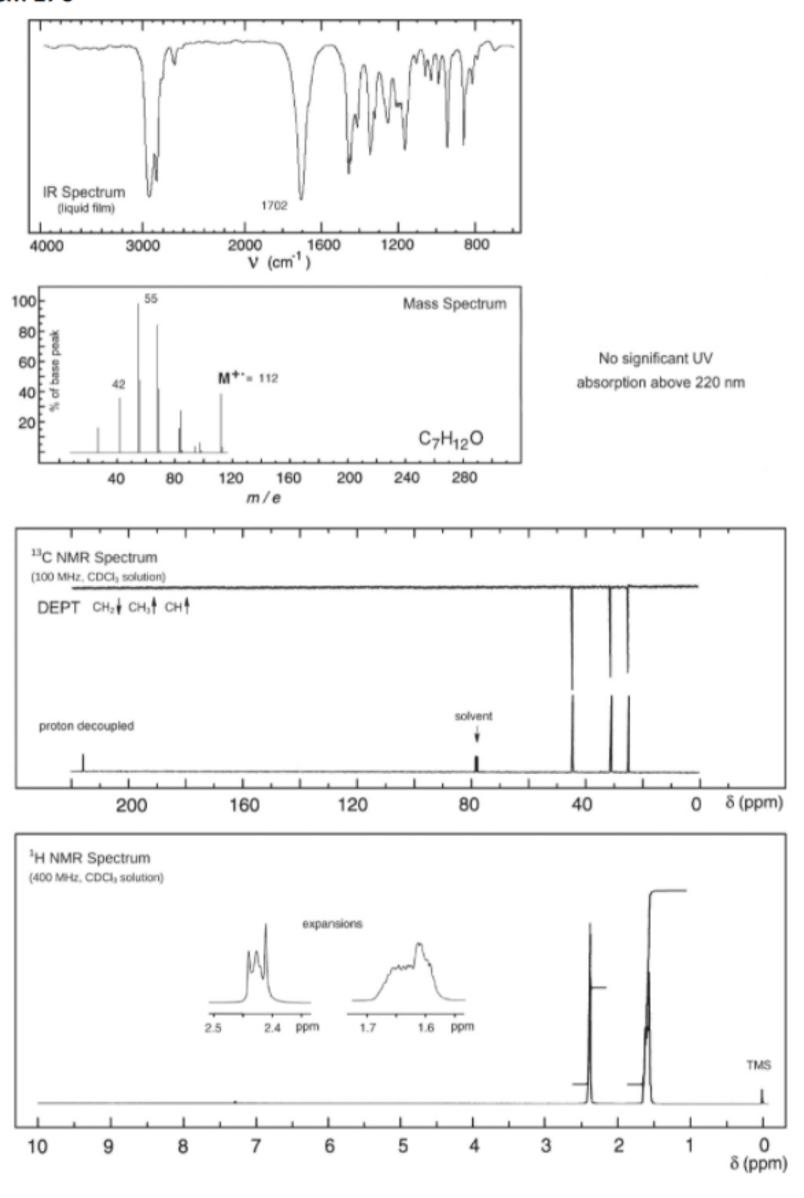


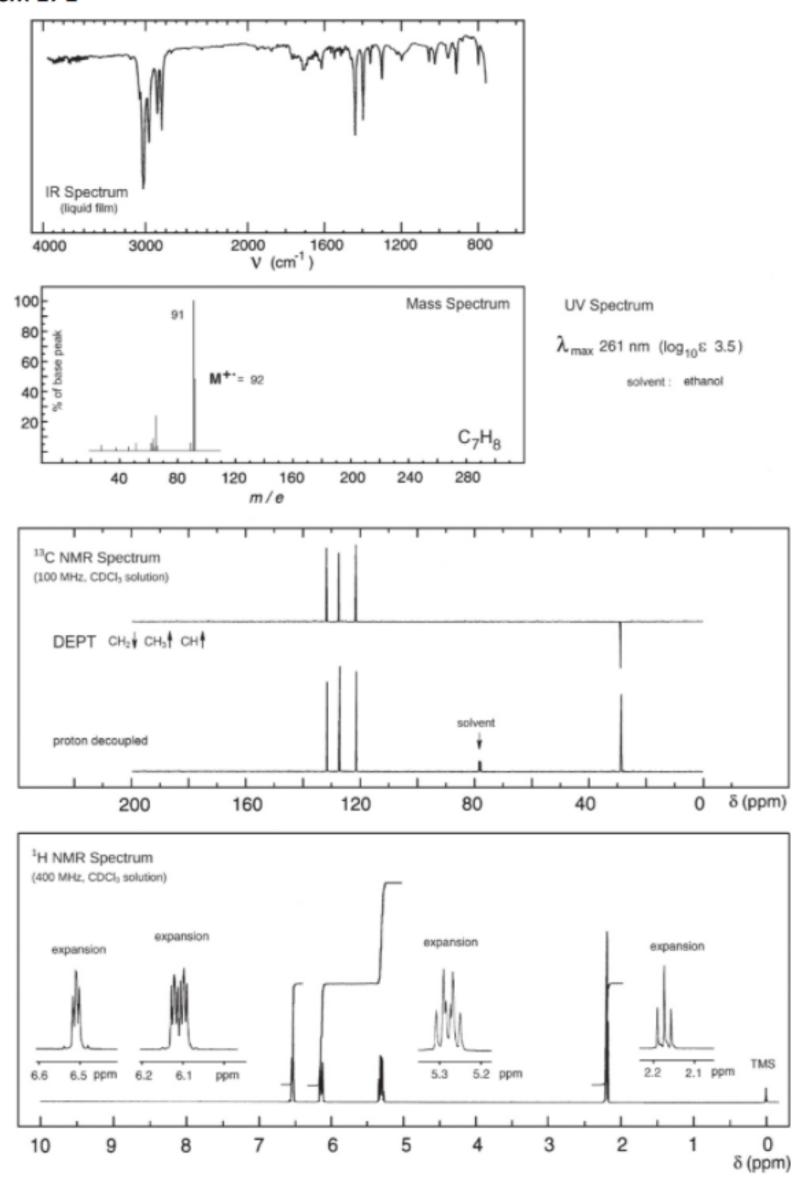


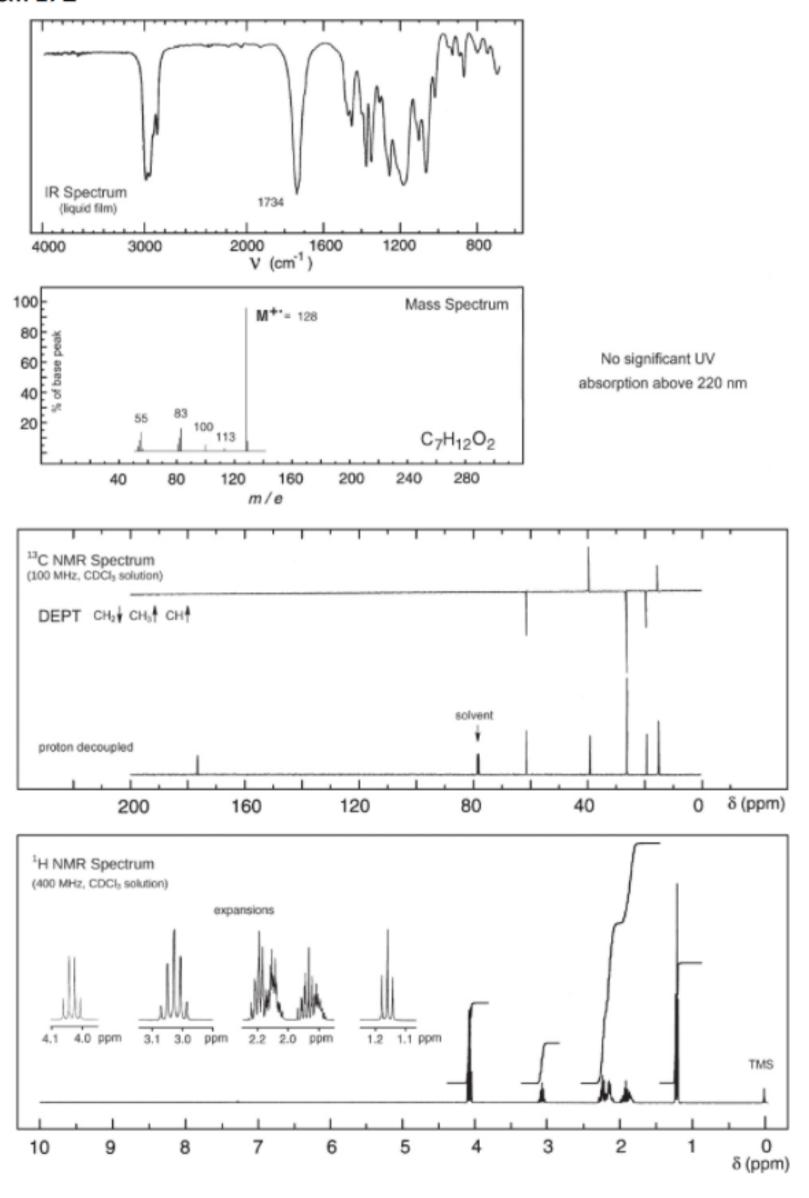


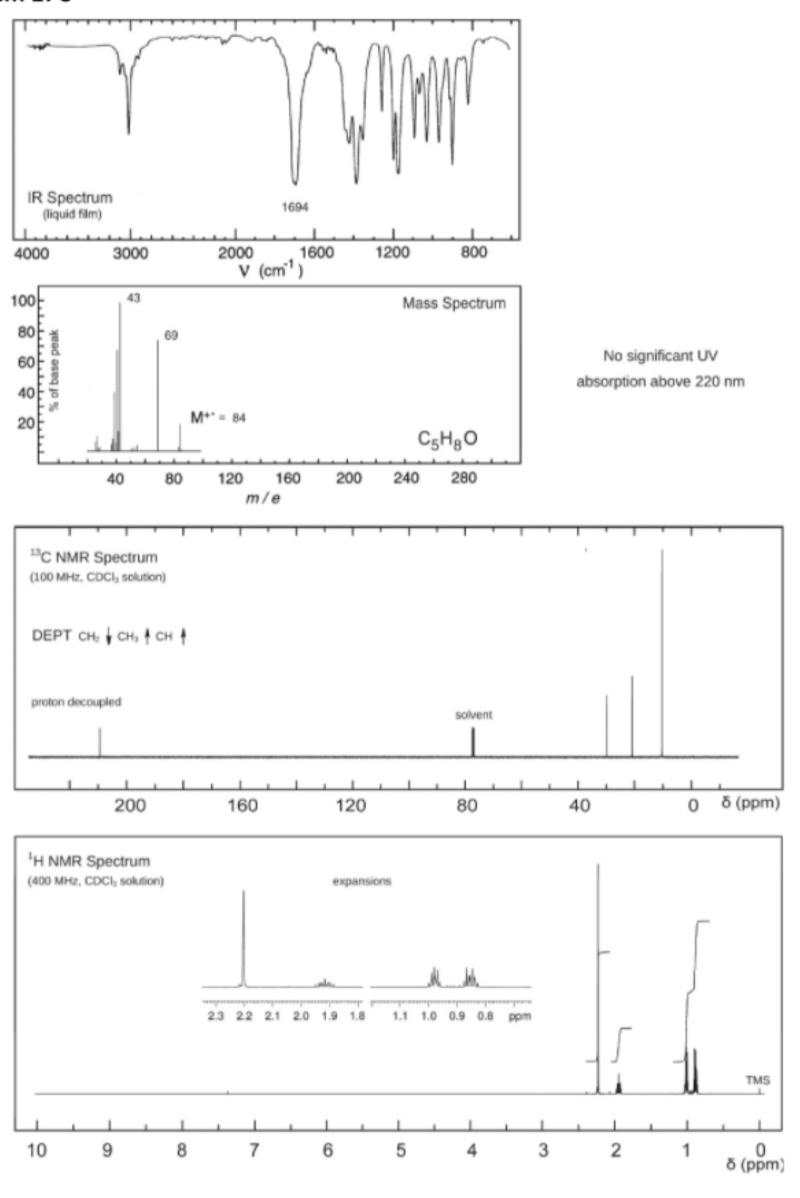


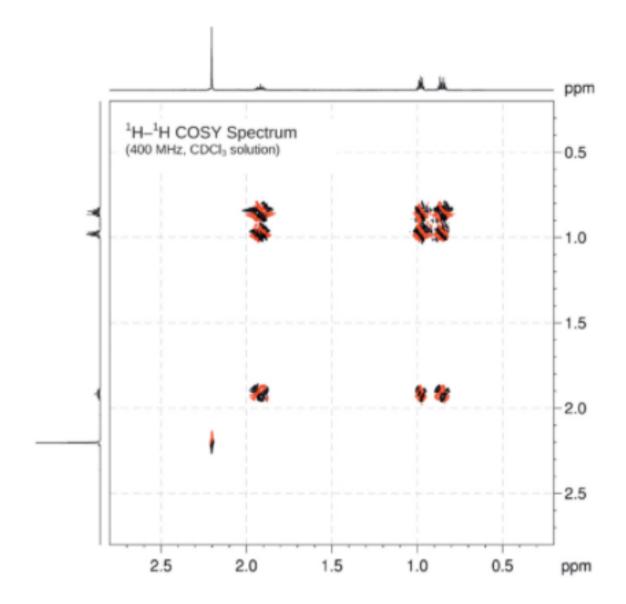


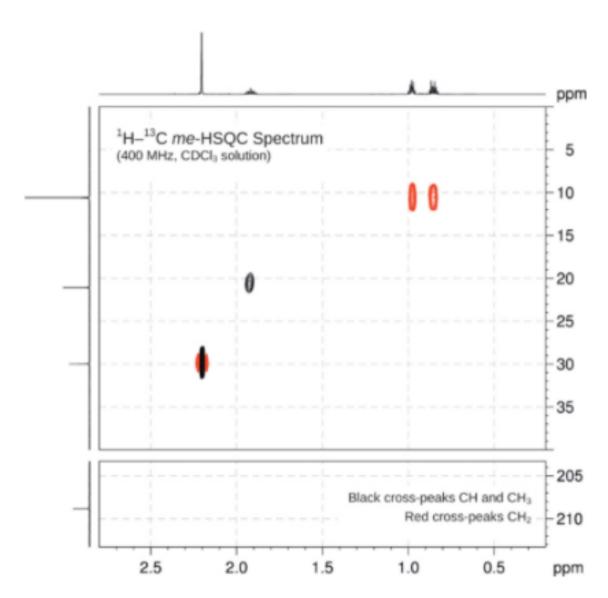


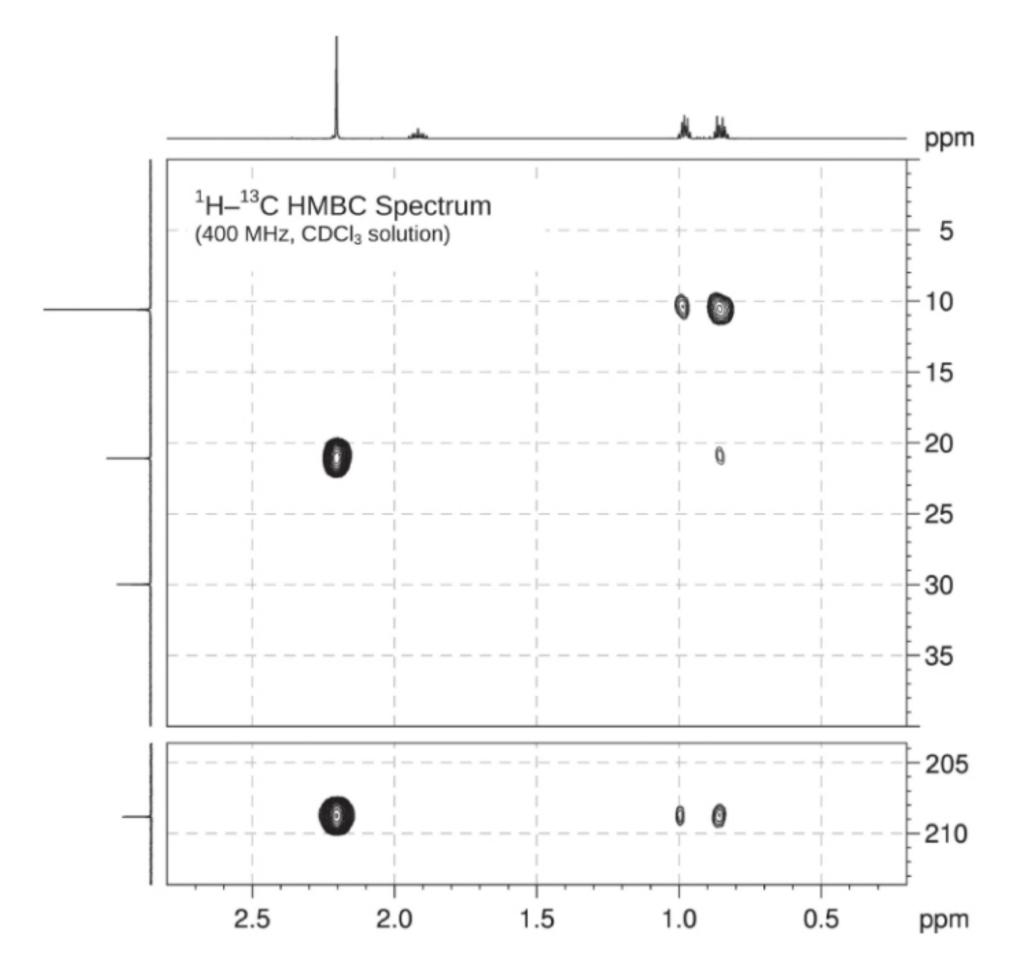




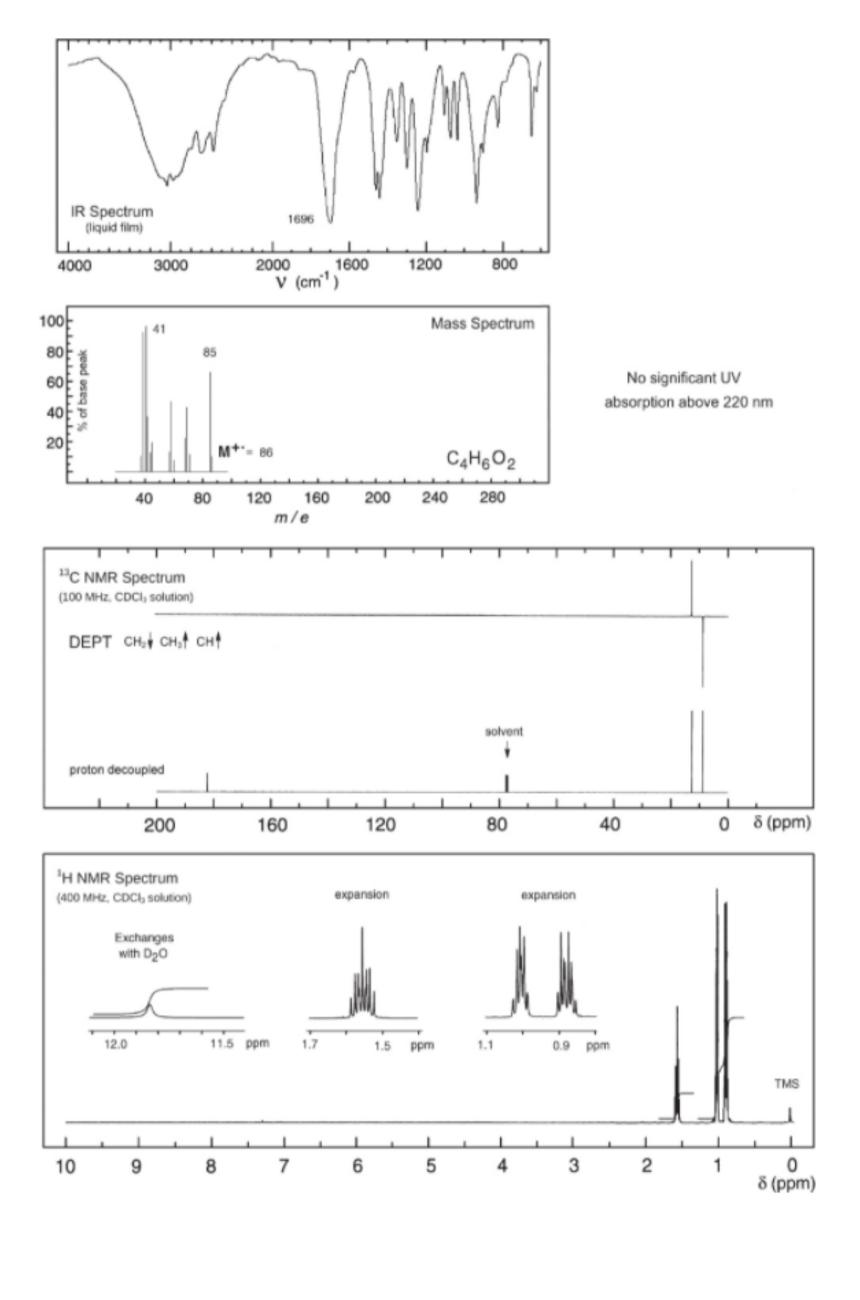


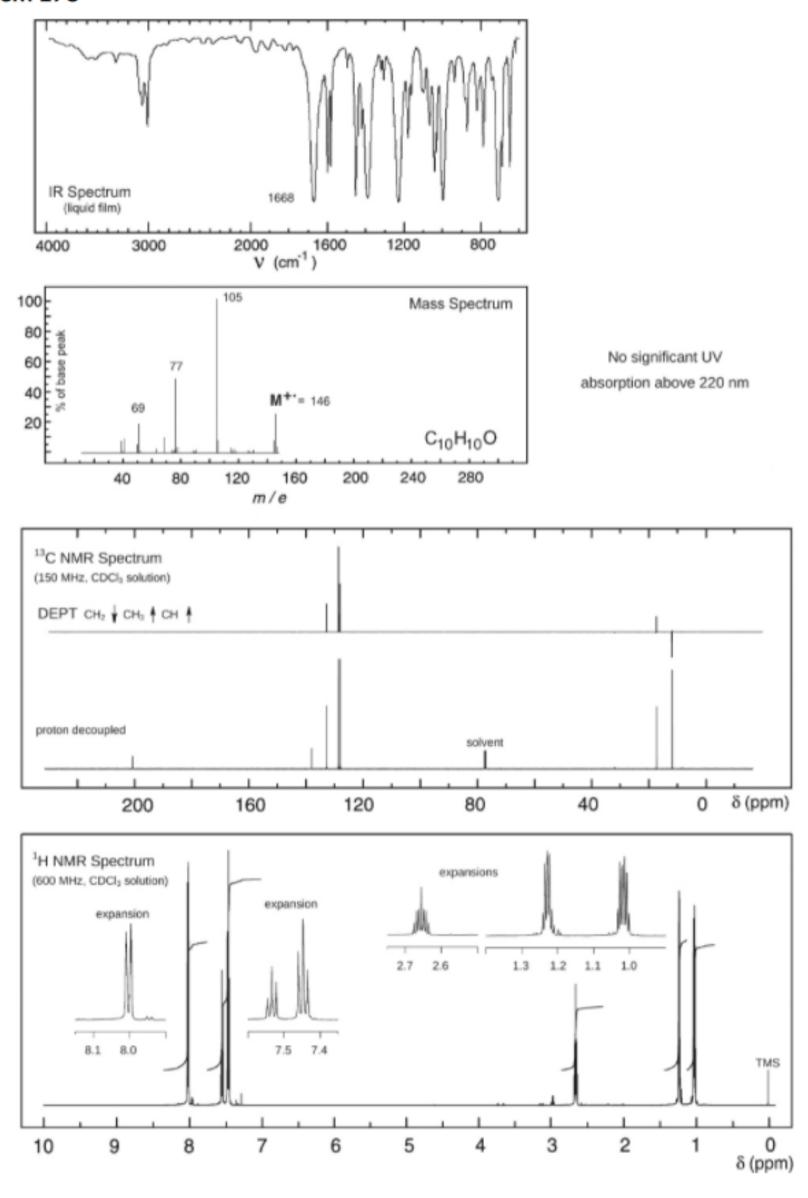


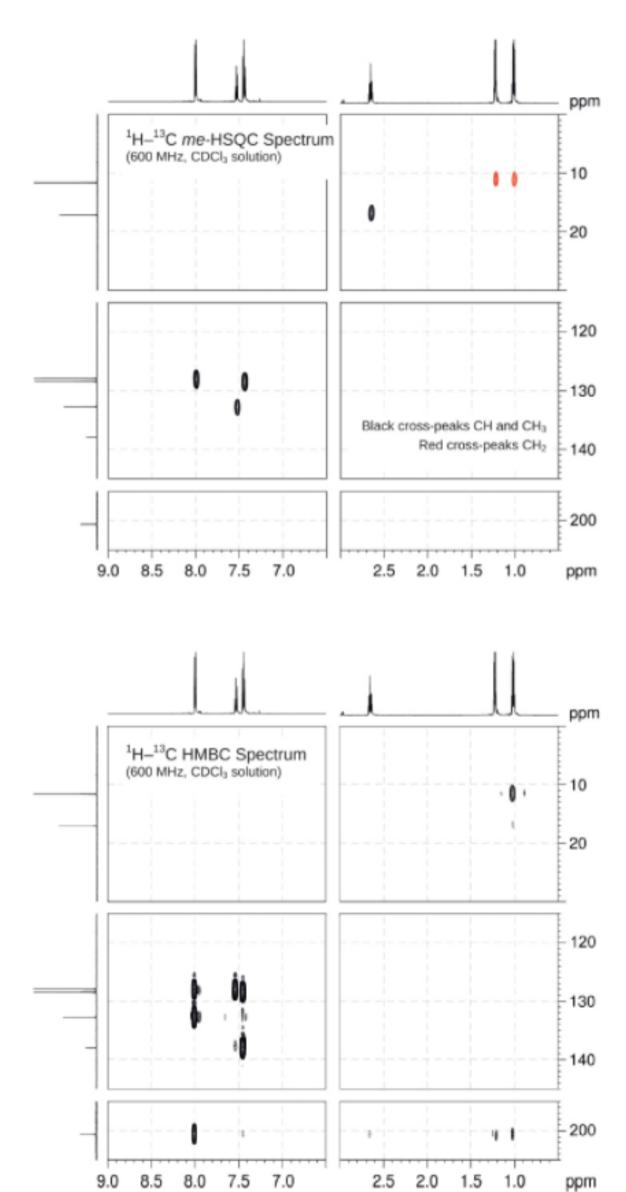


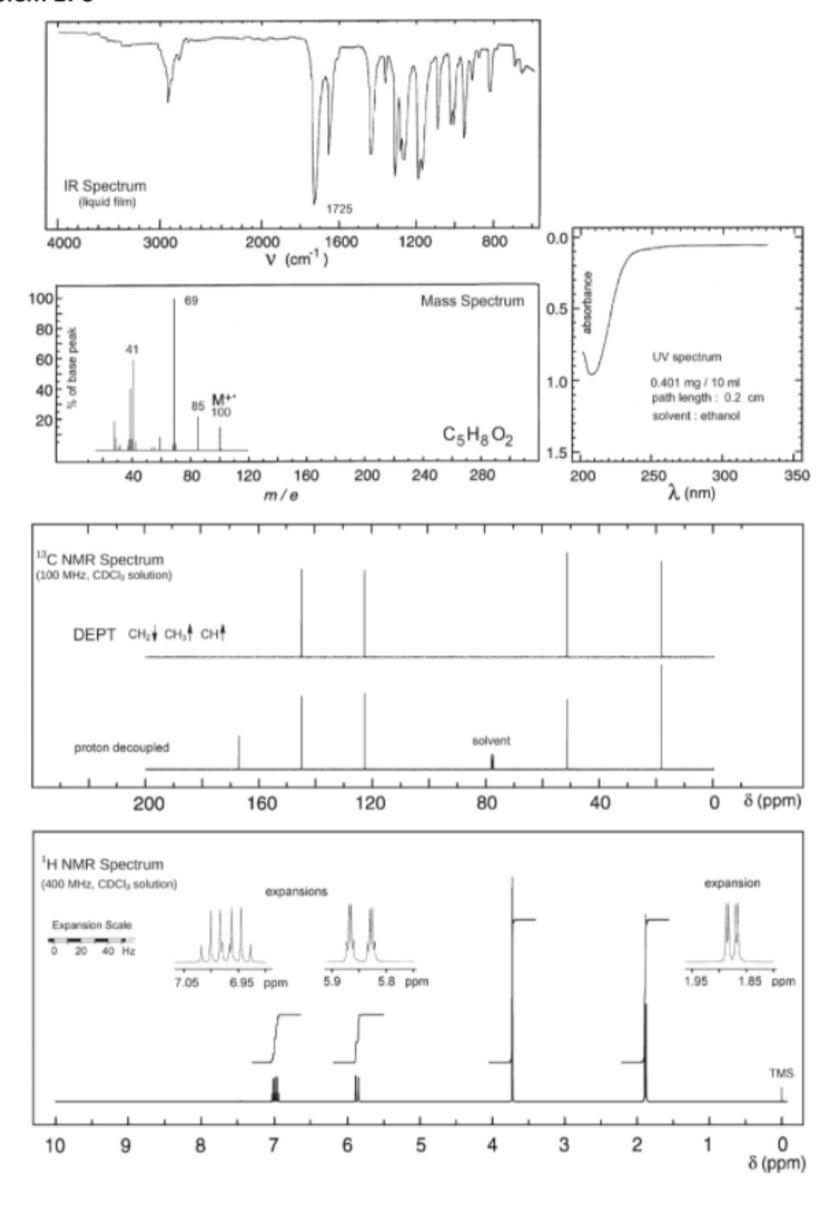


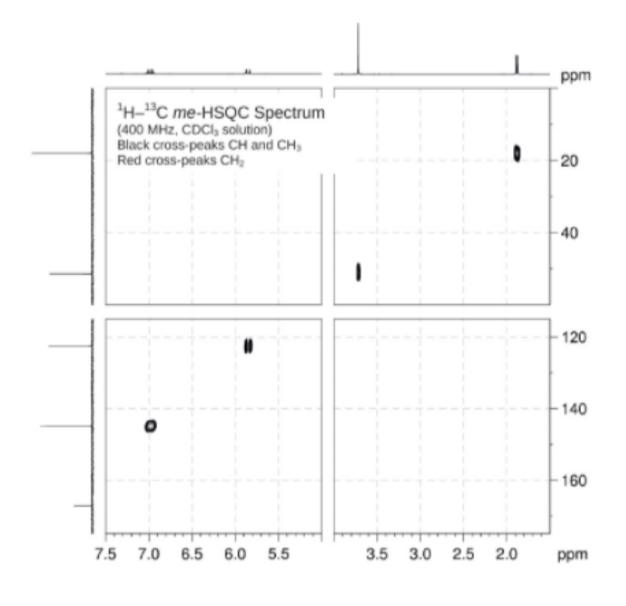
Problem 174

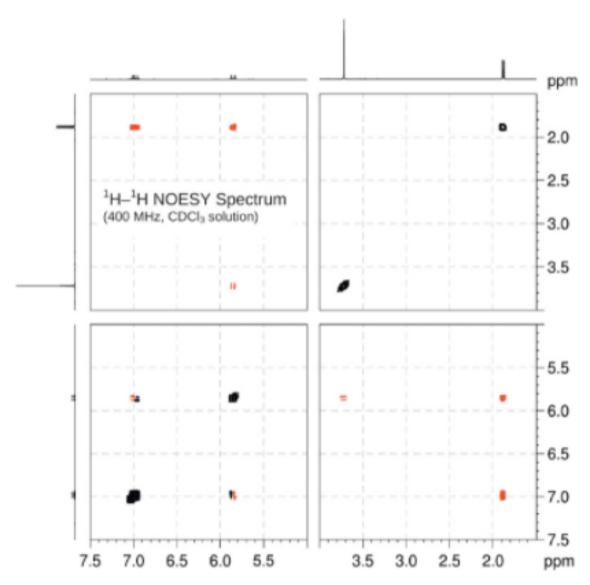


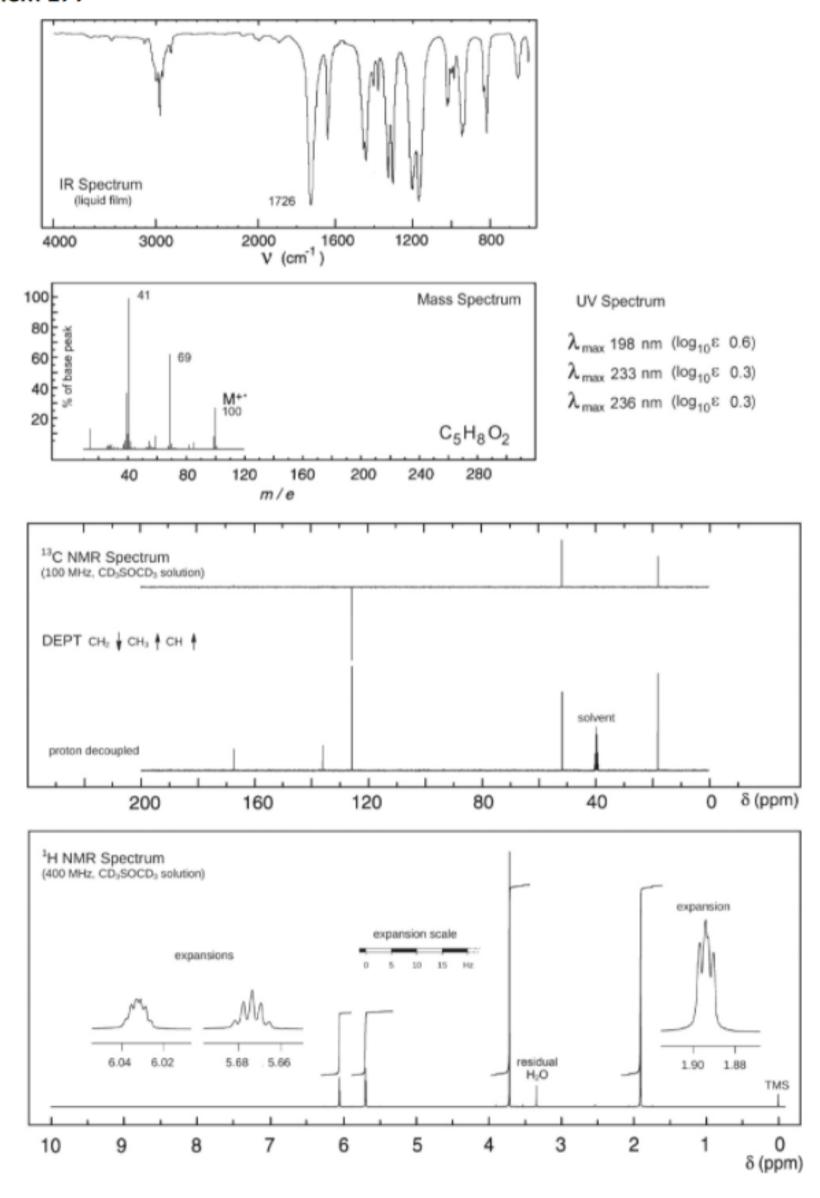


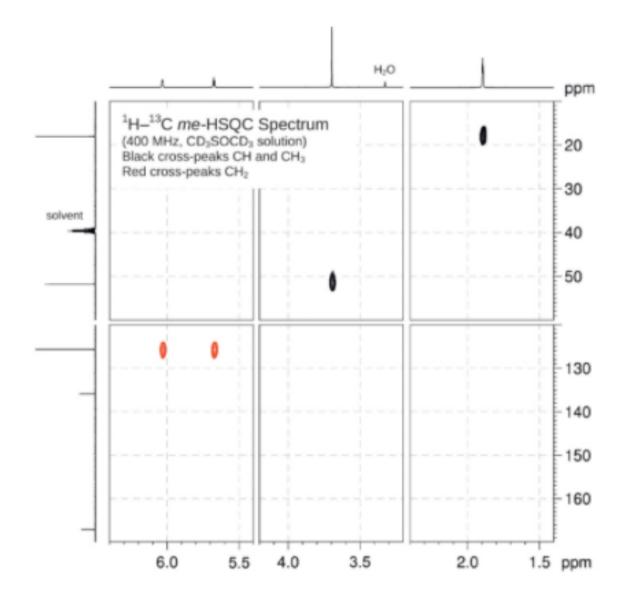


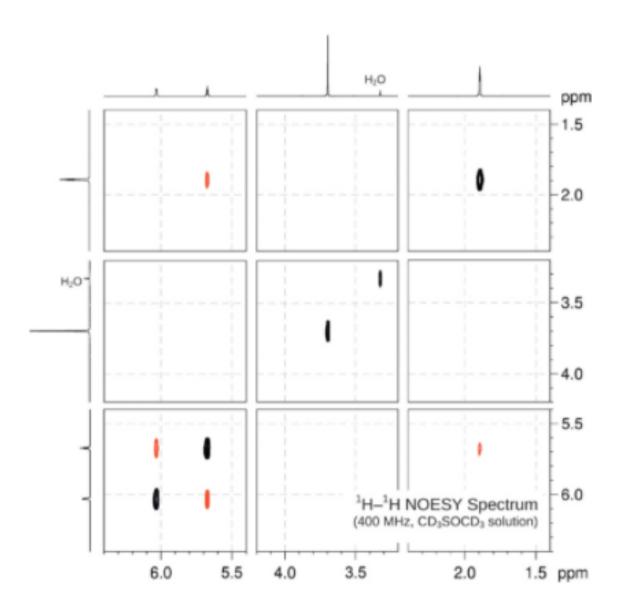


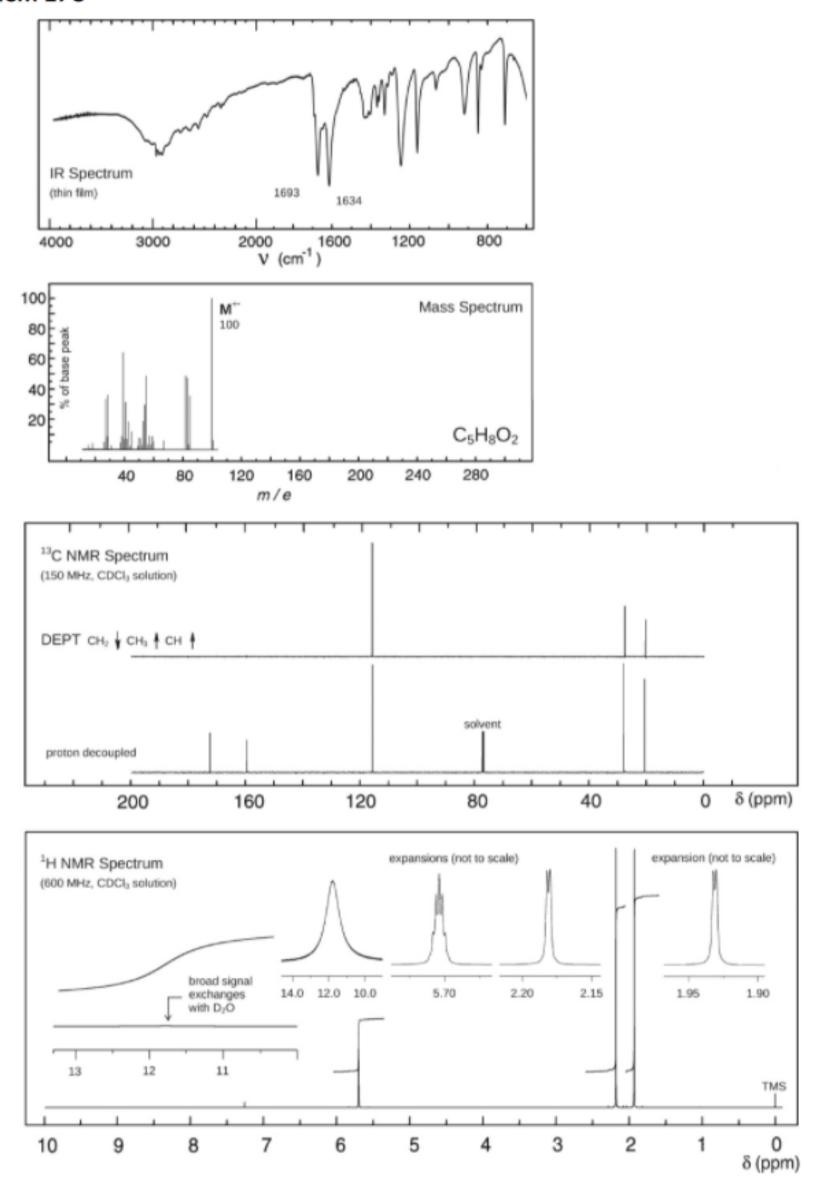


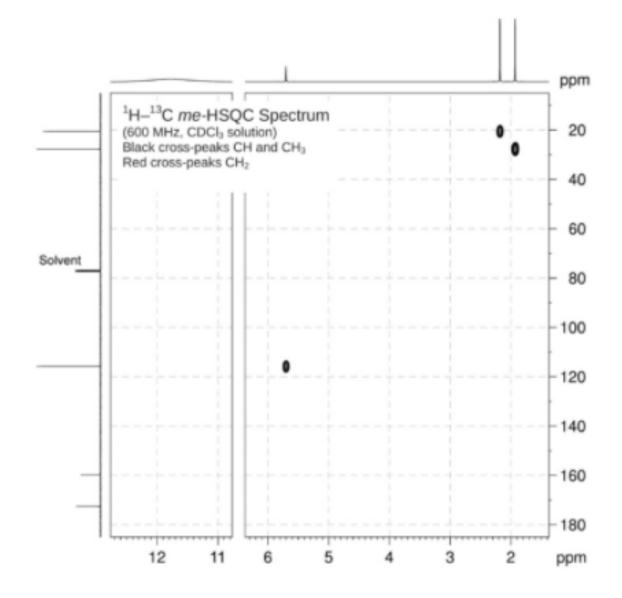


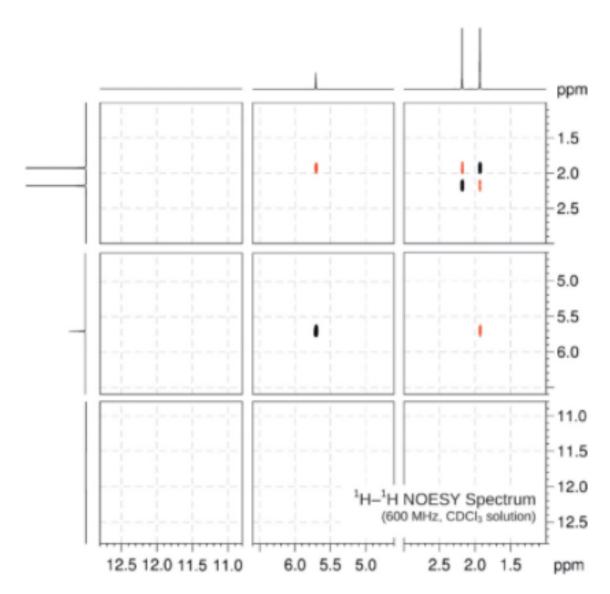


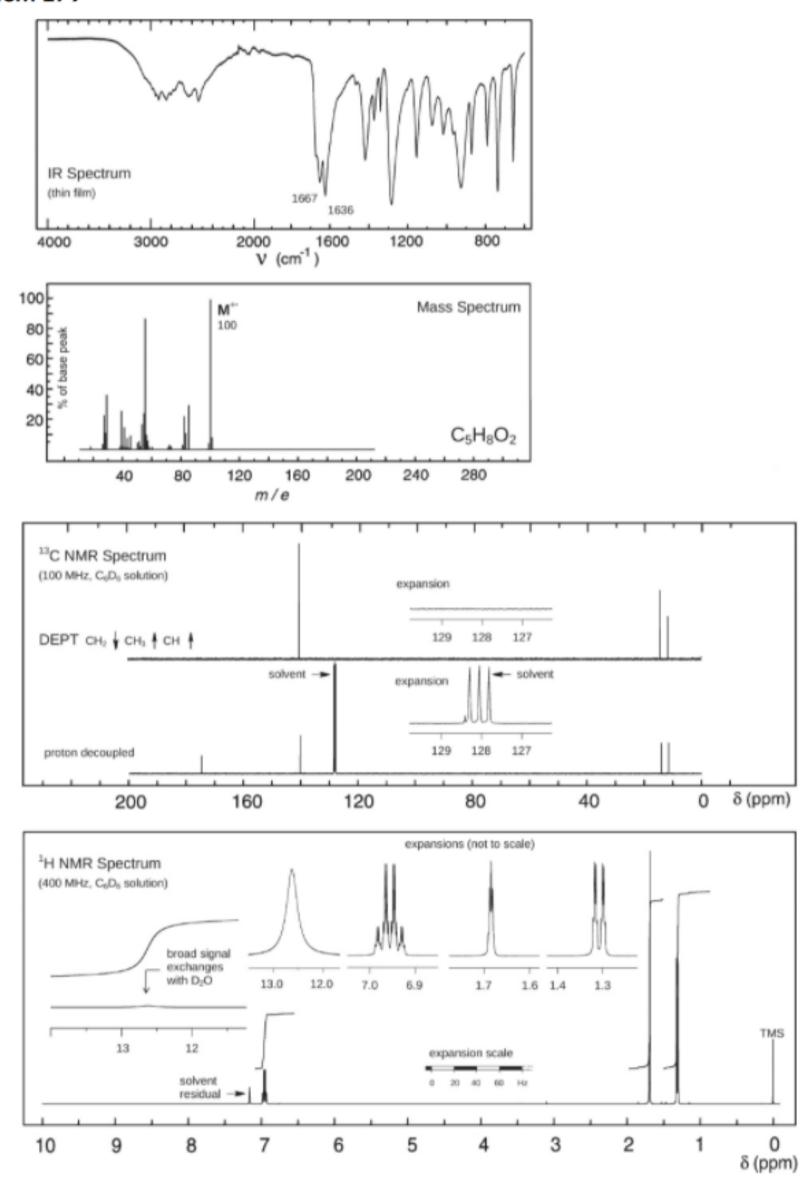


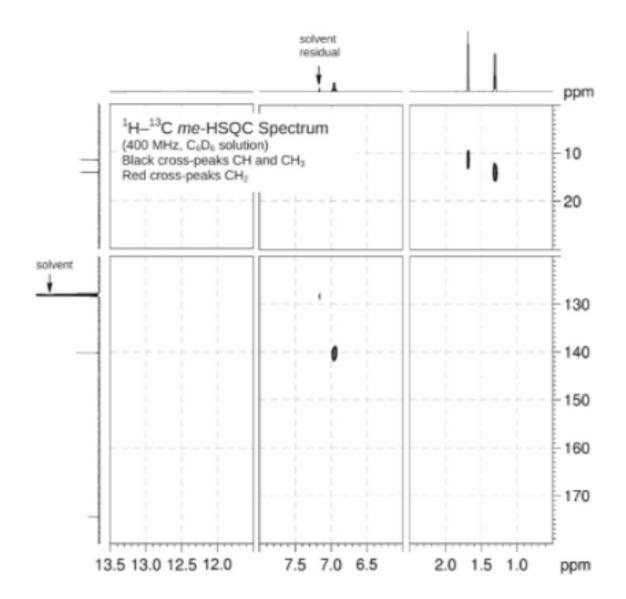


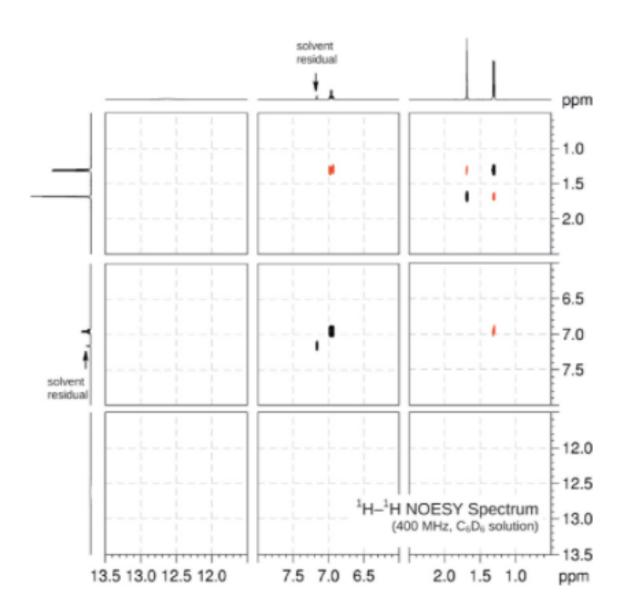


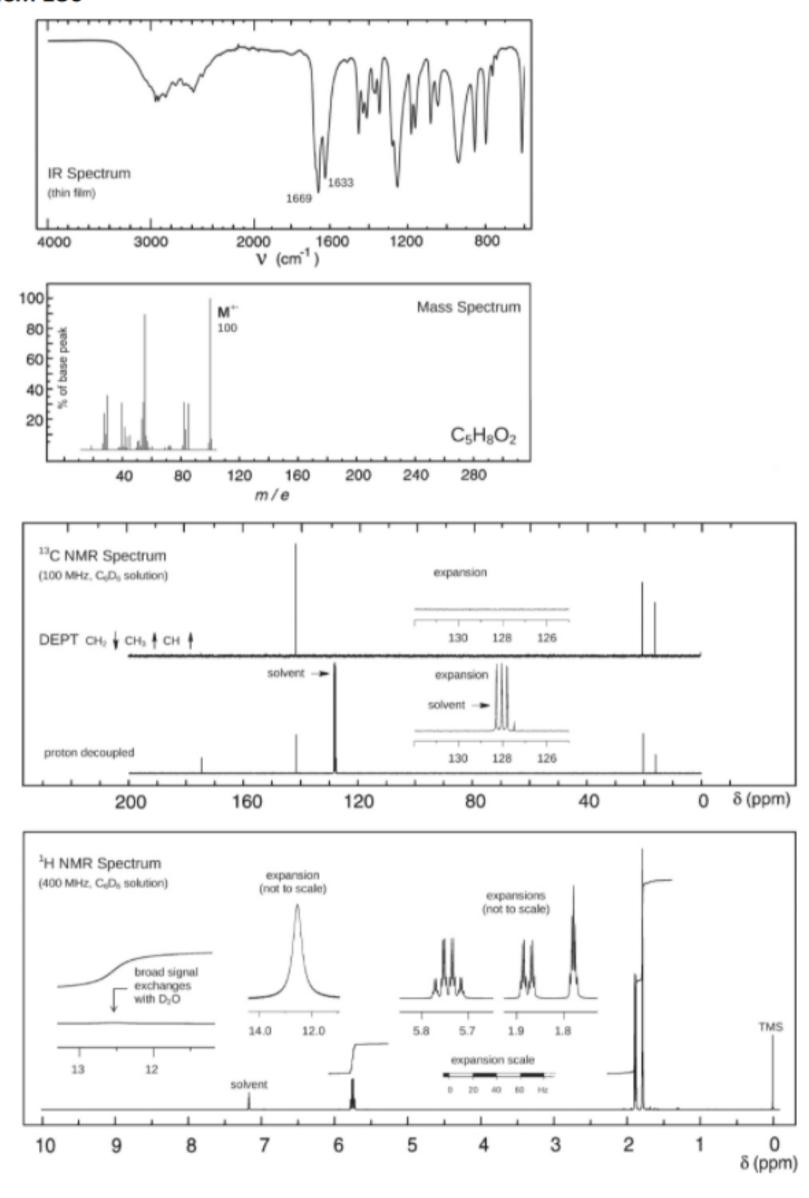


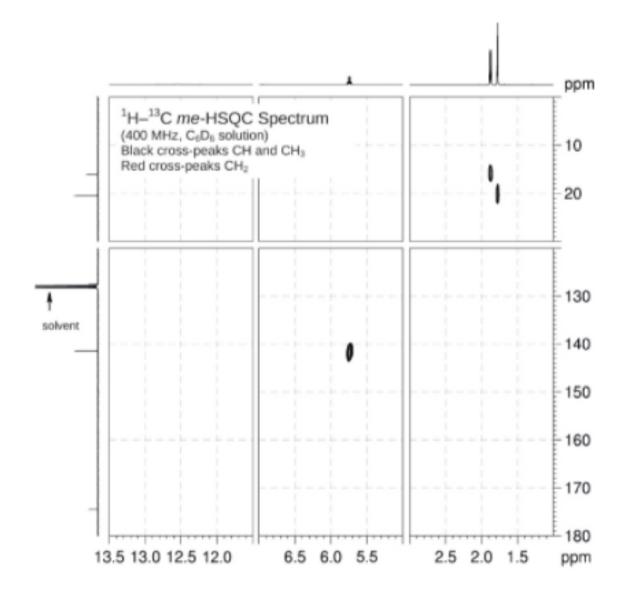


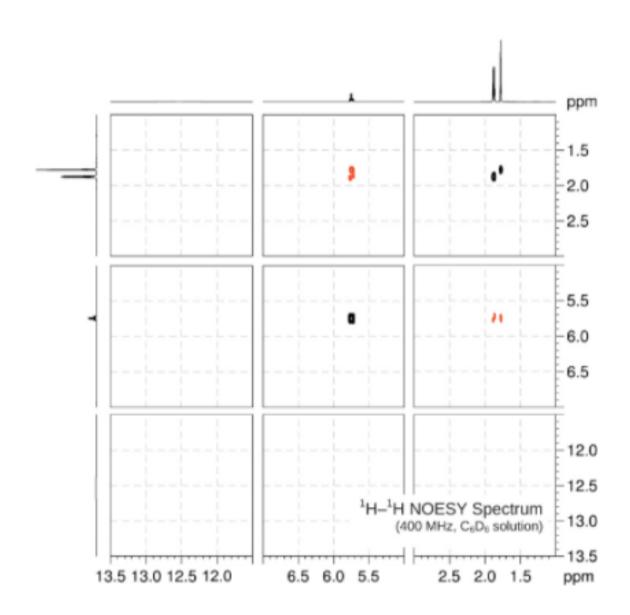


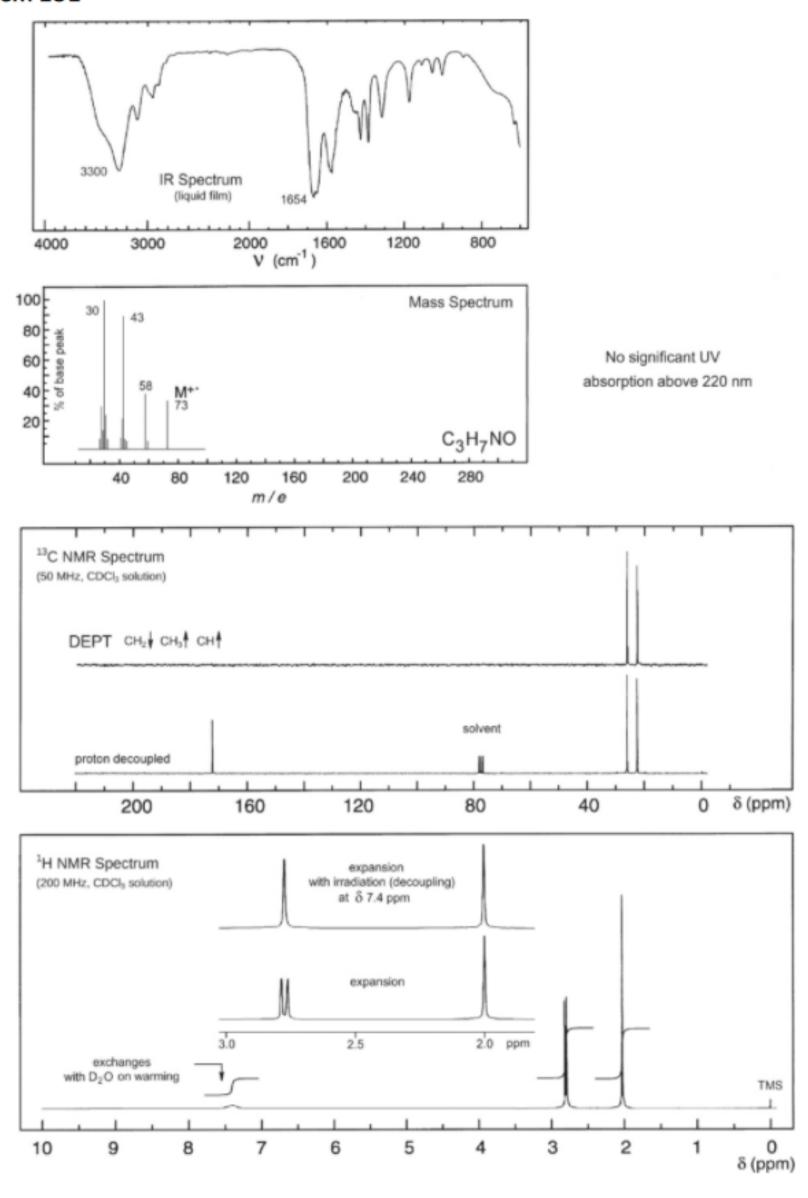


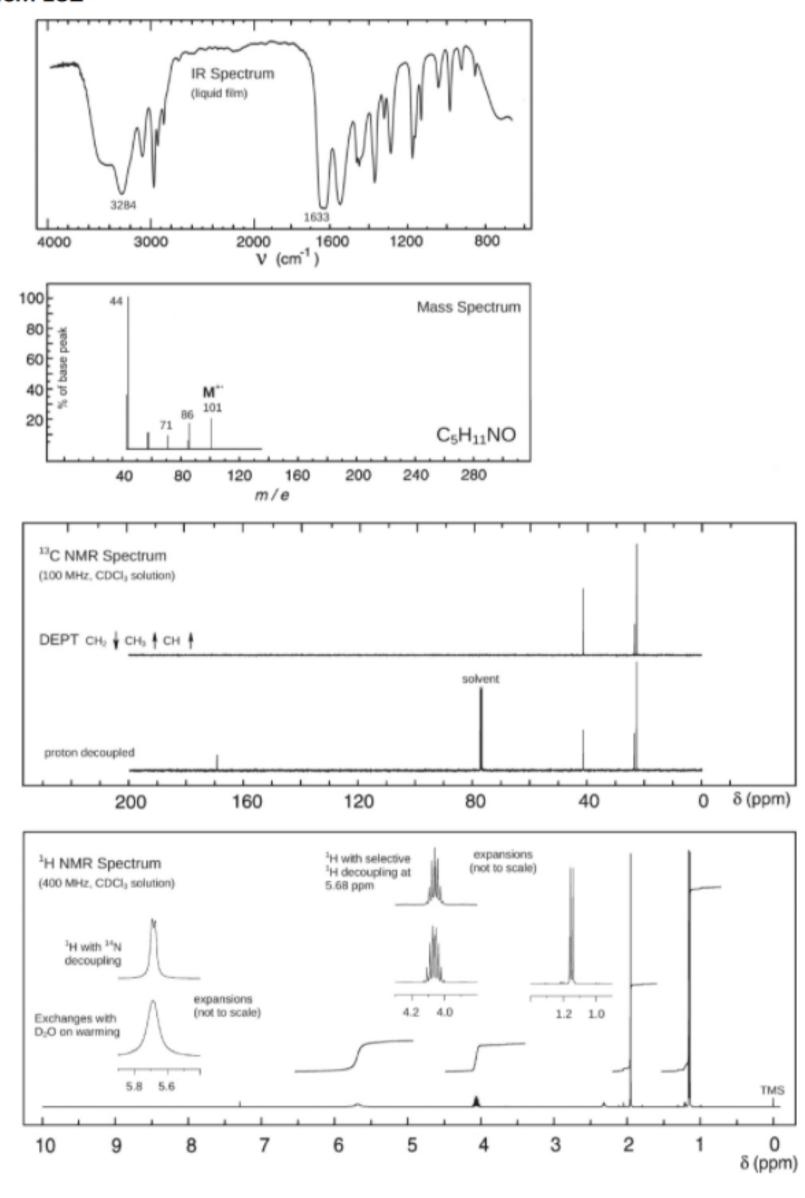


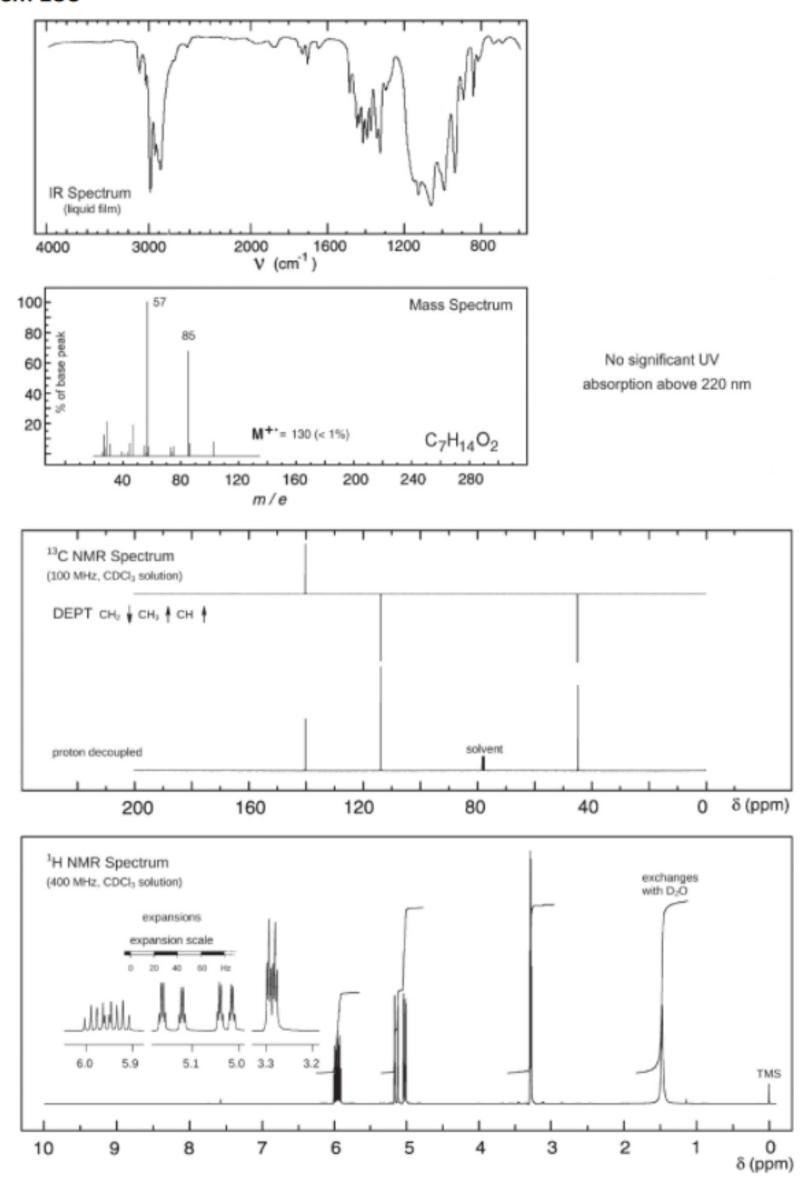


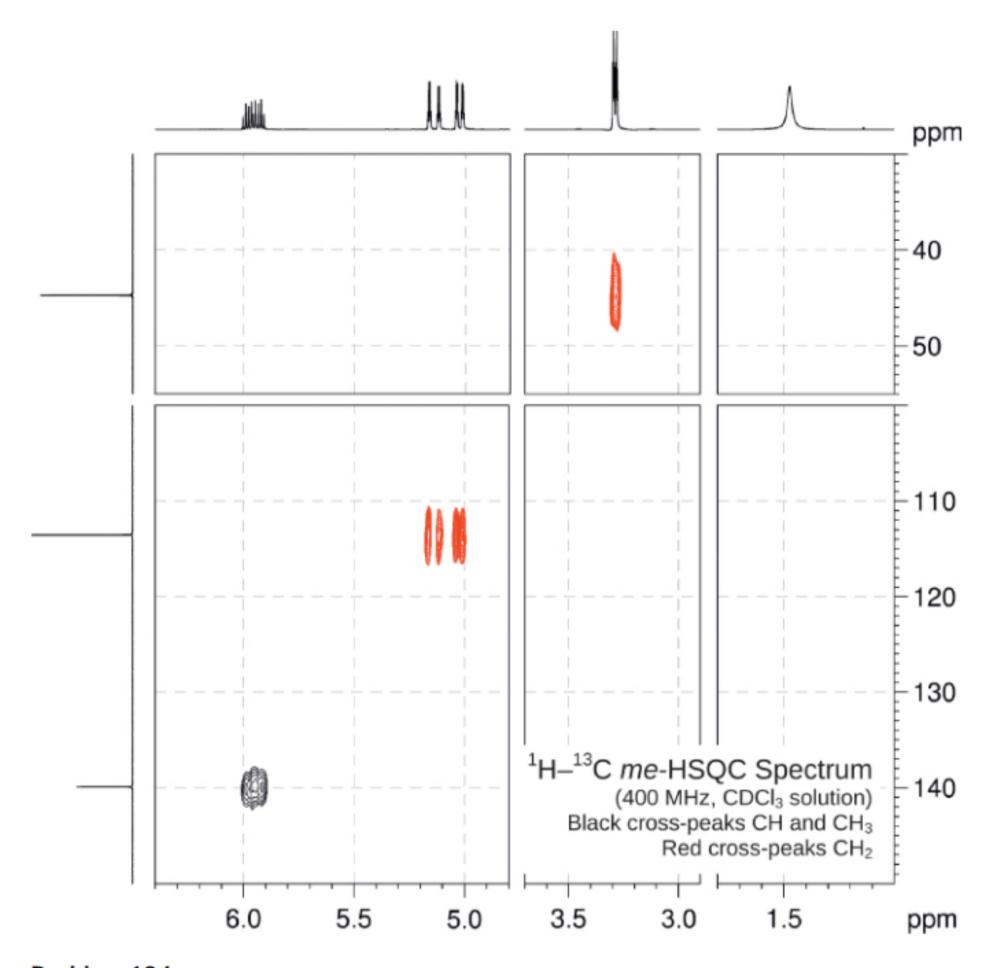




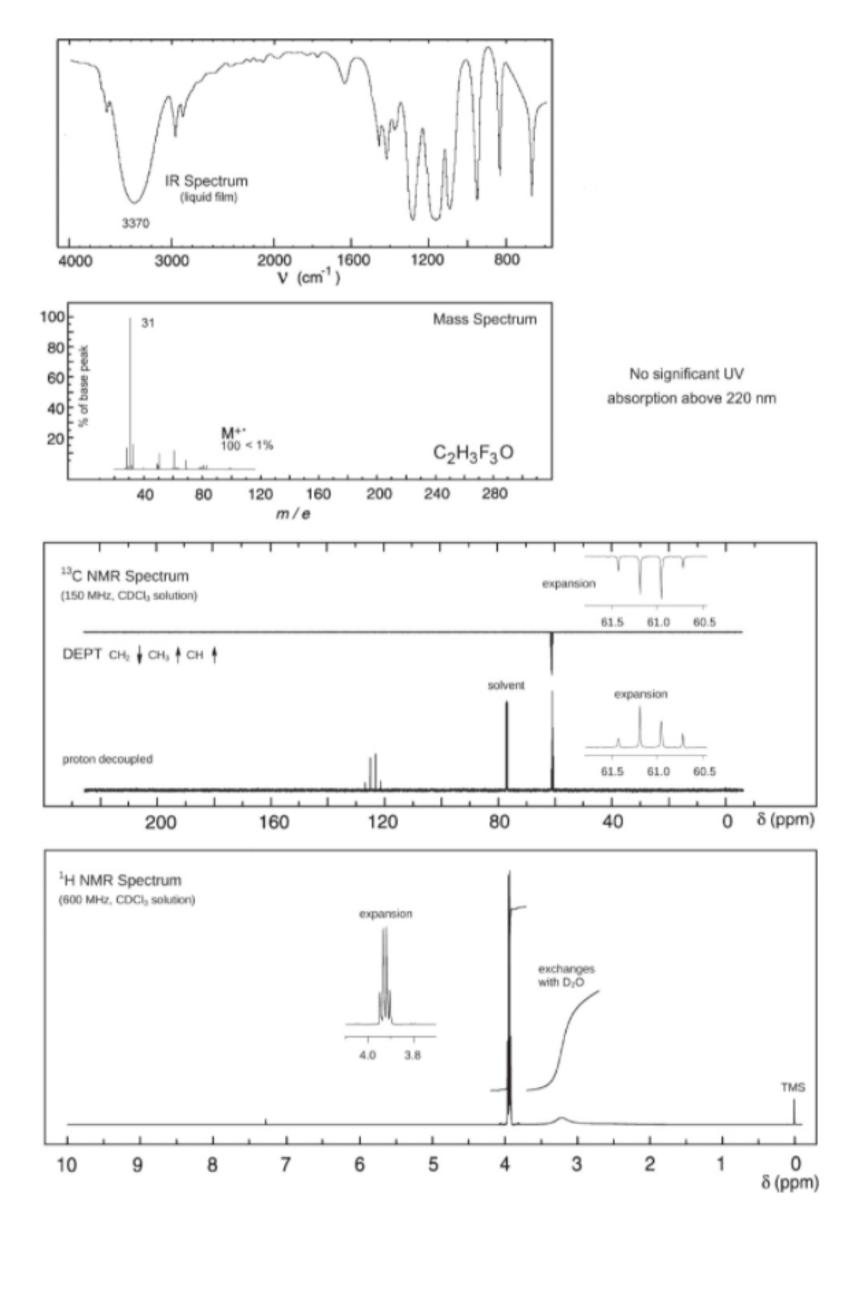


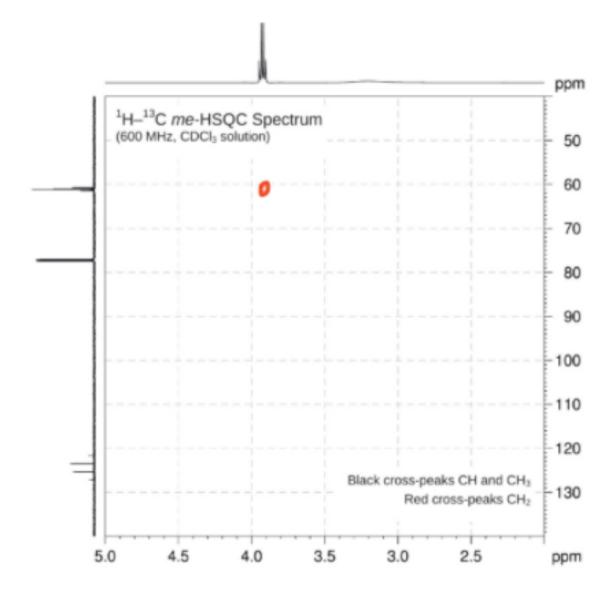


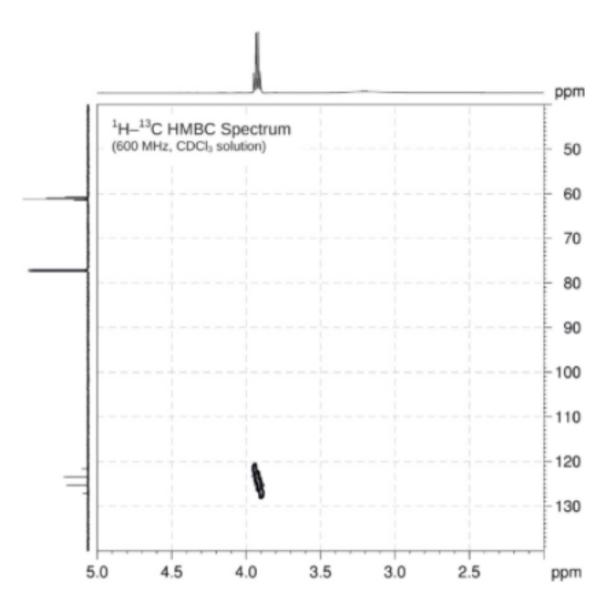


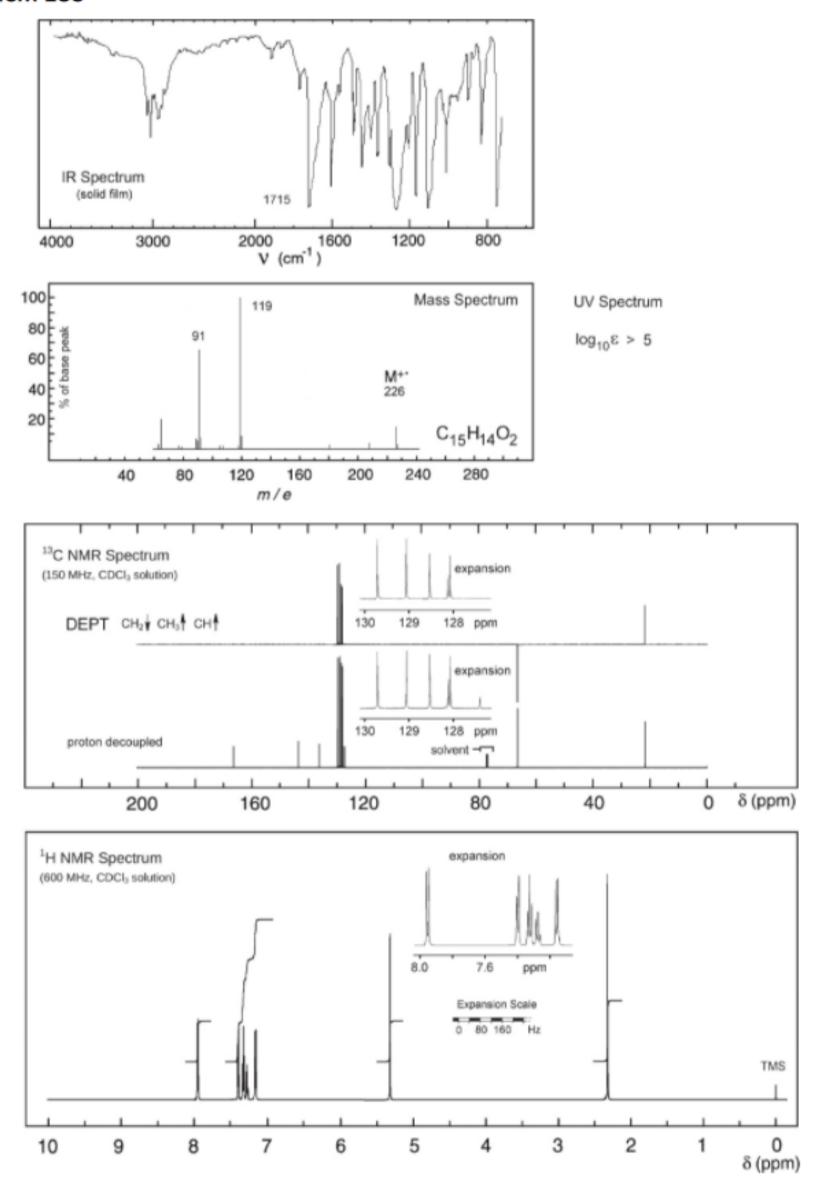


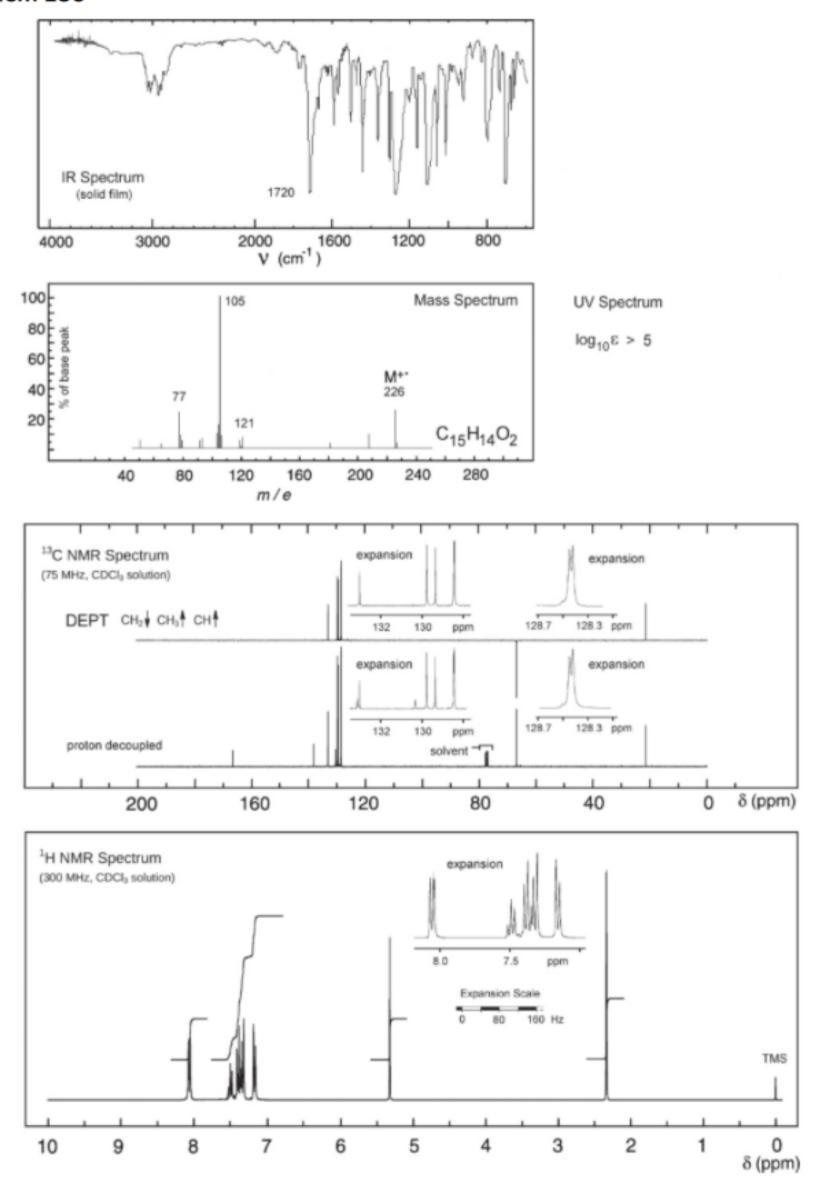
Problem 184

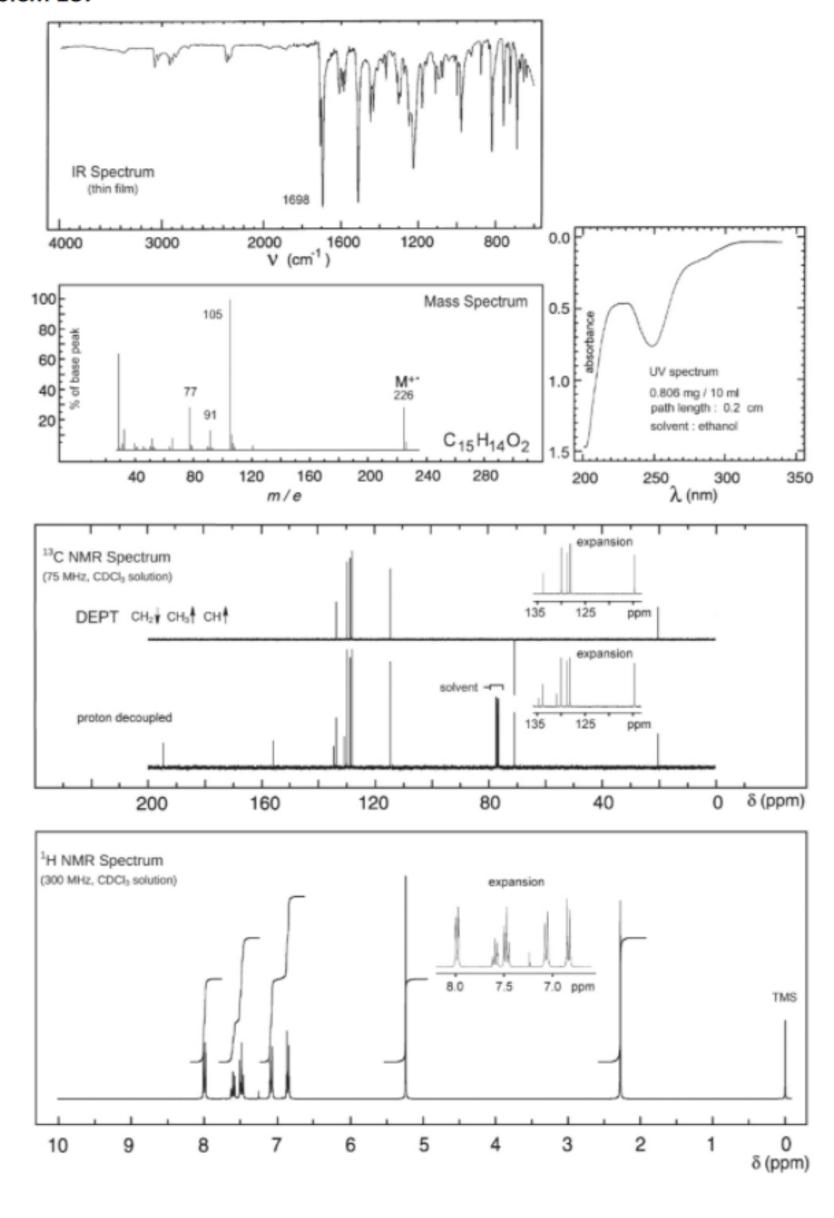


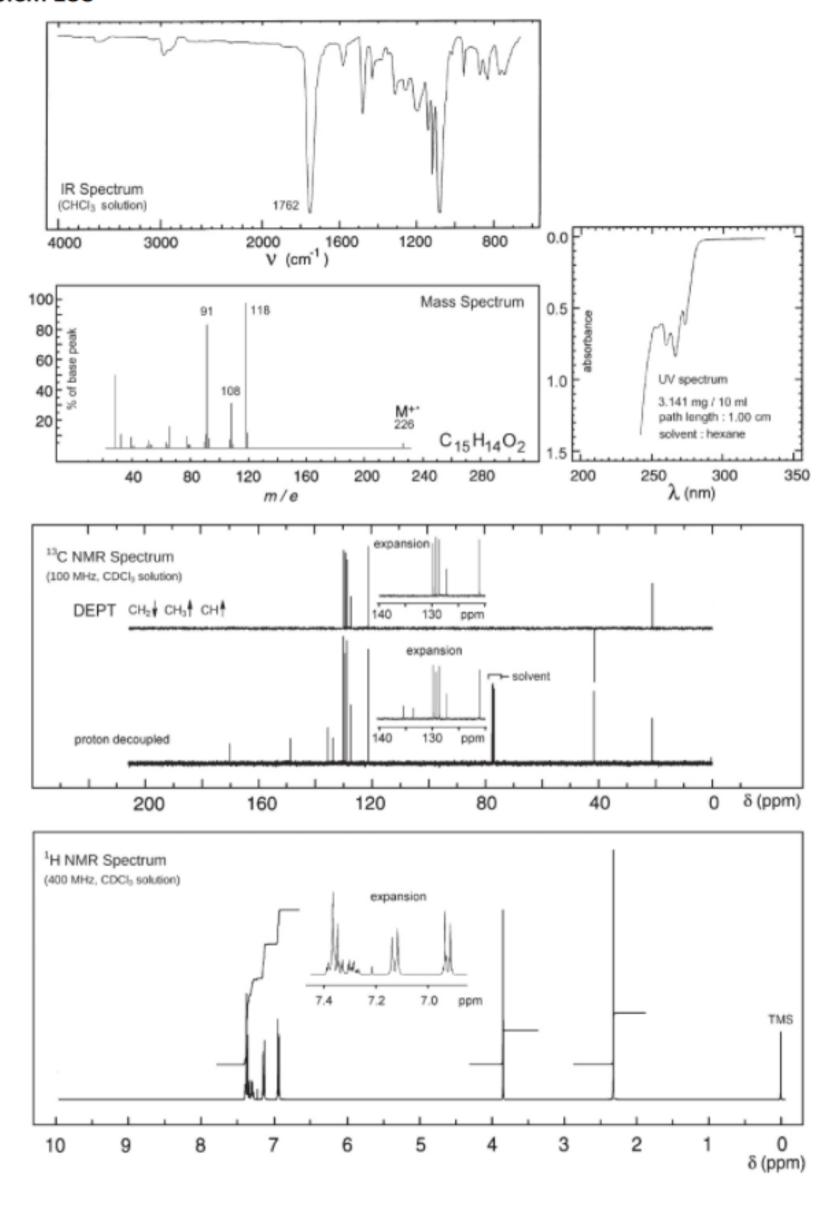


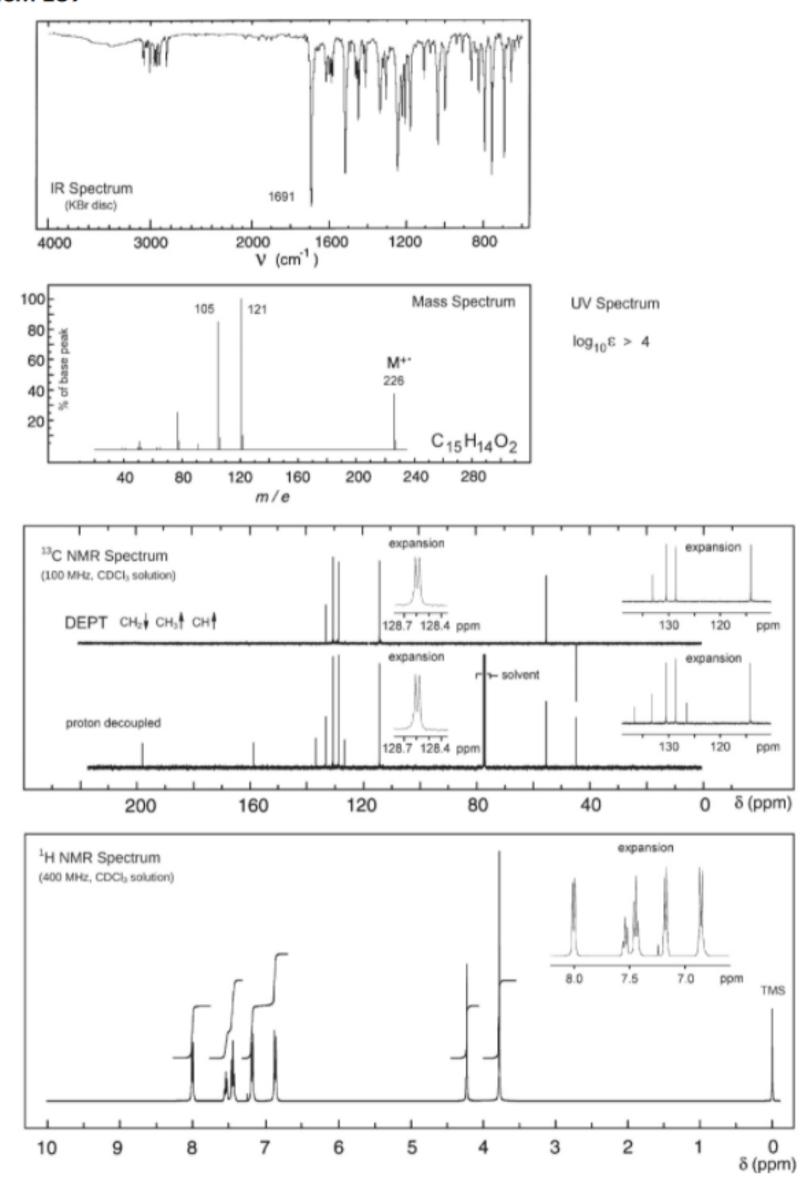


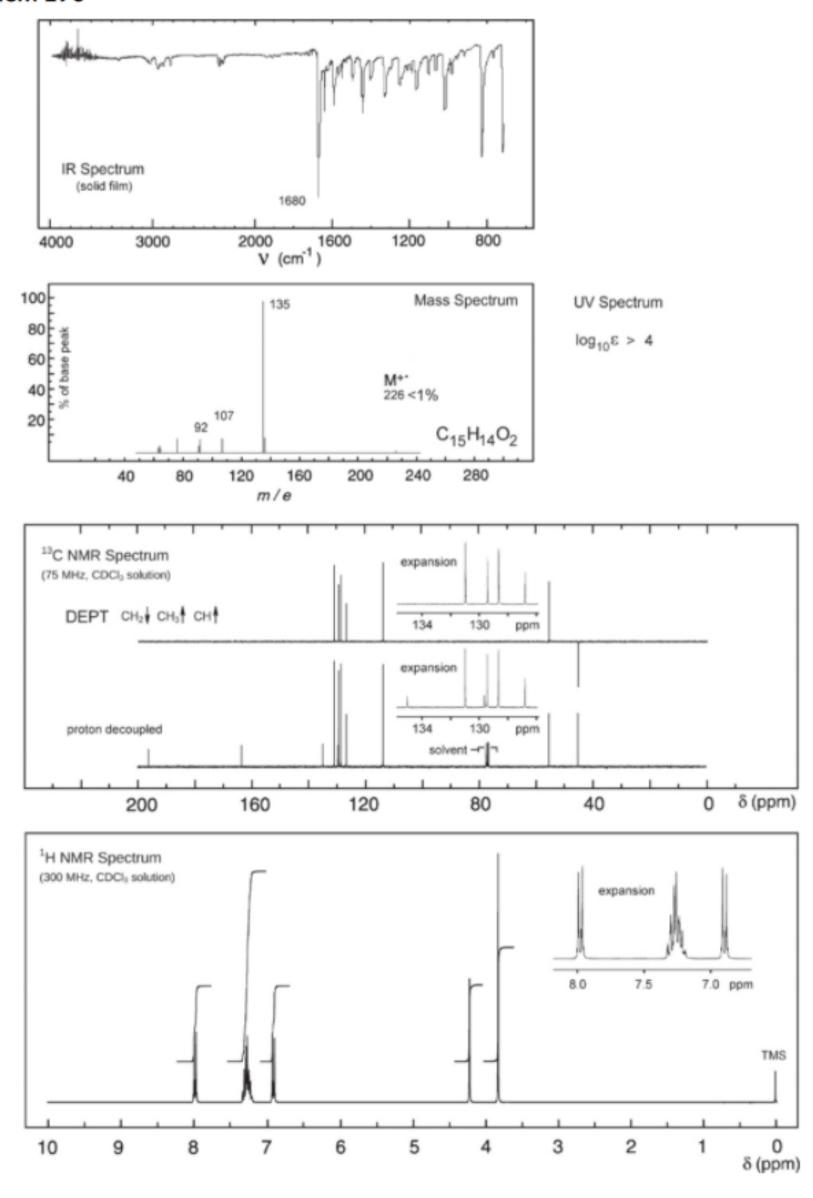


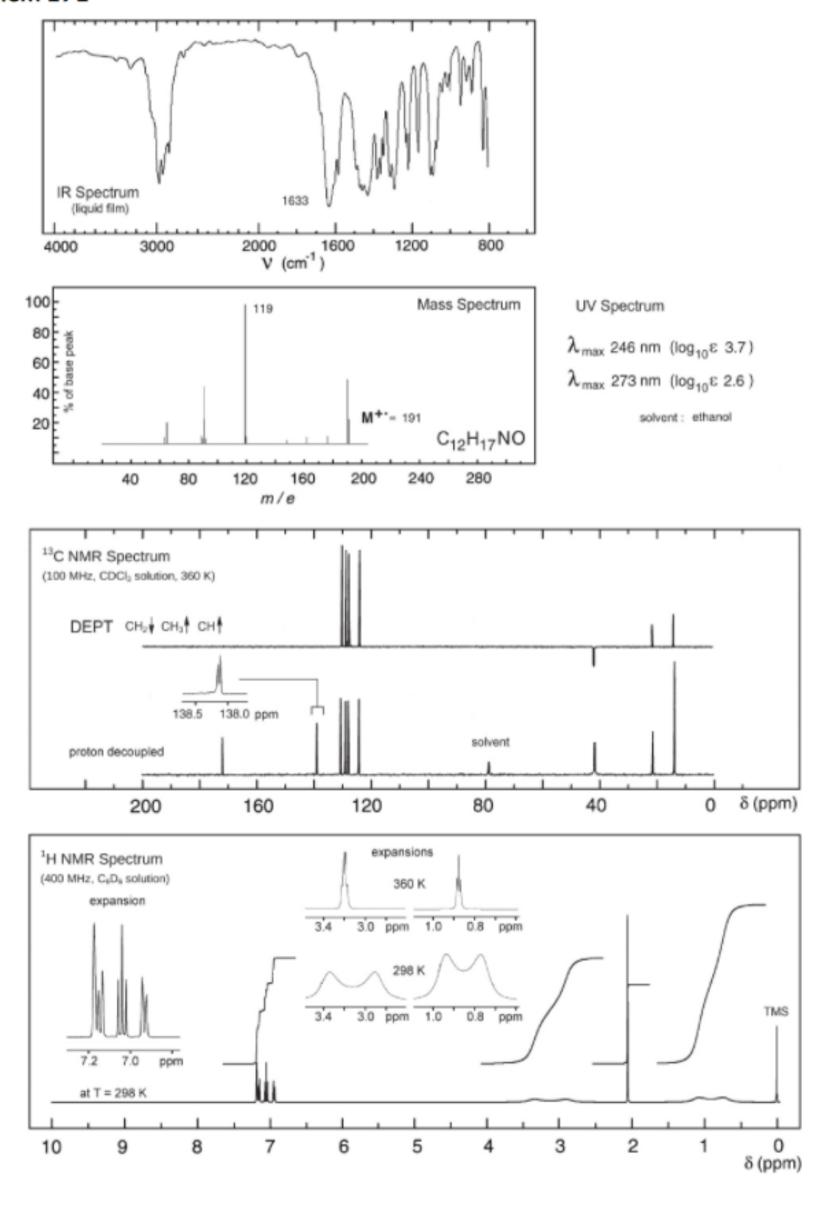


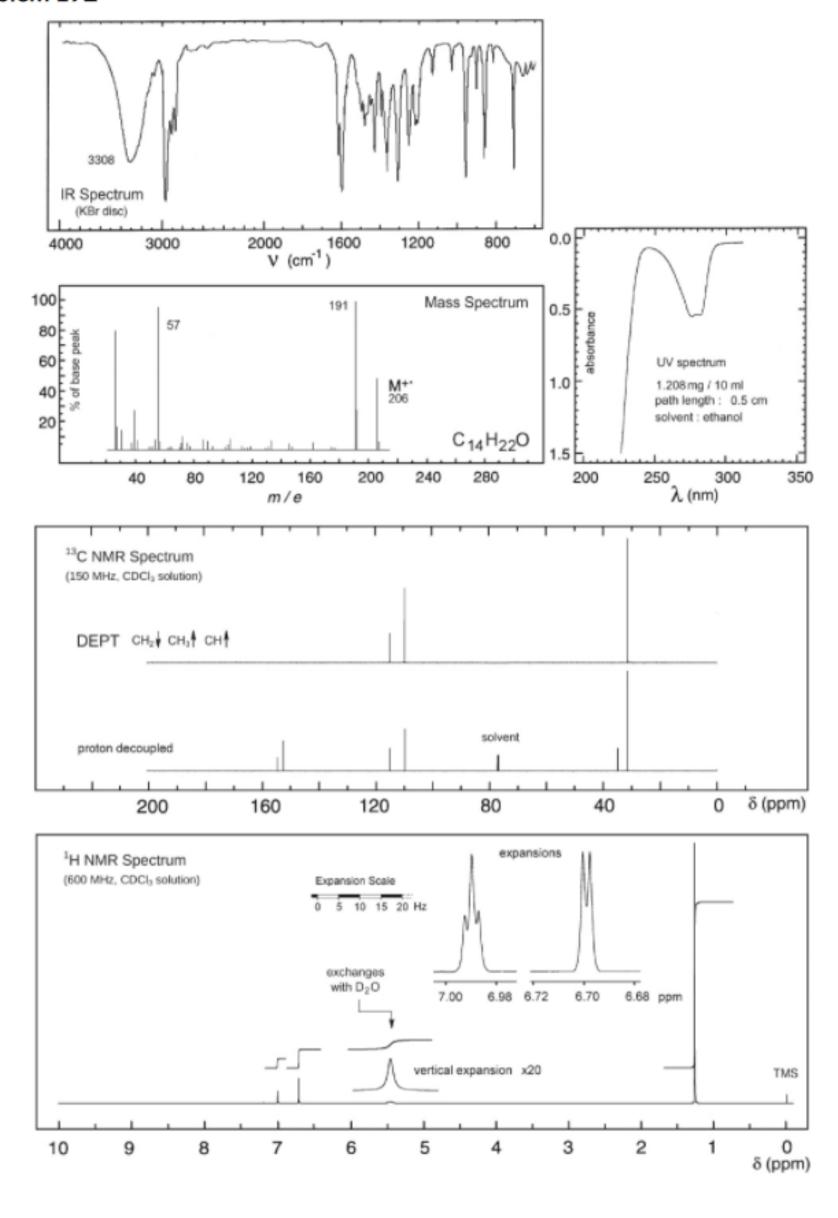


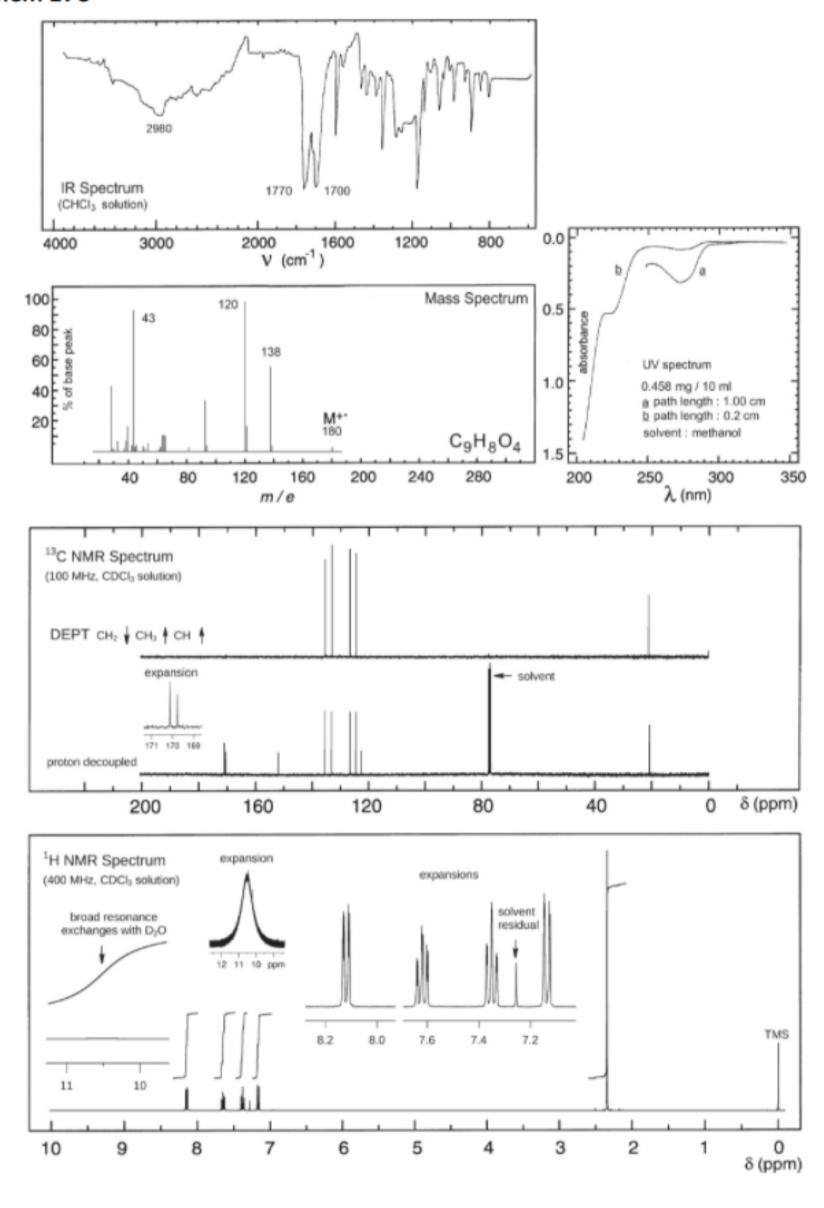


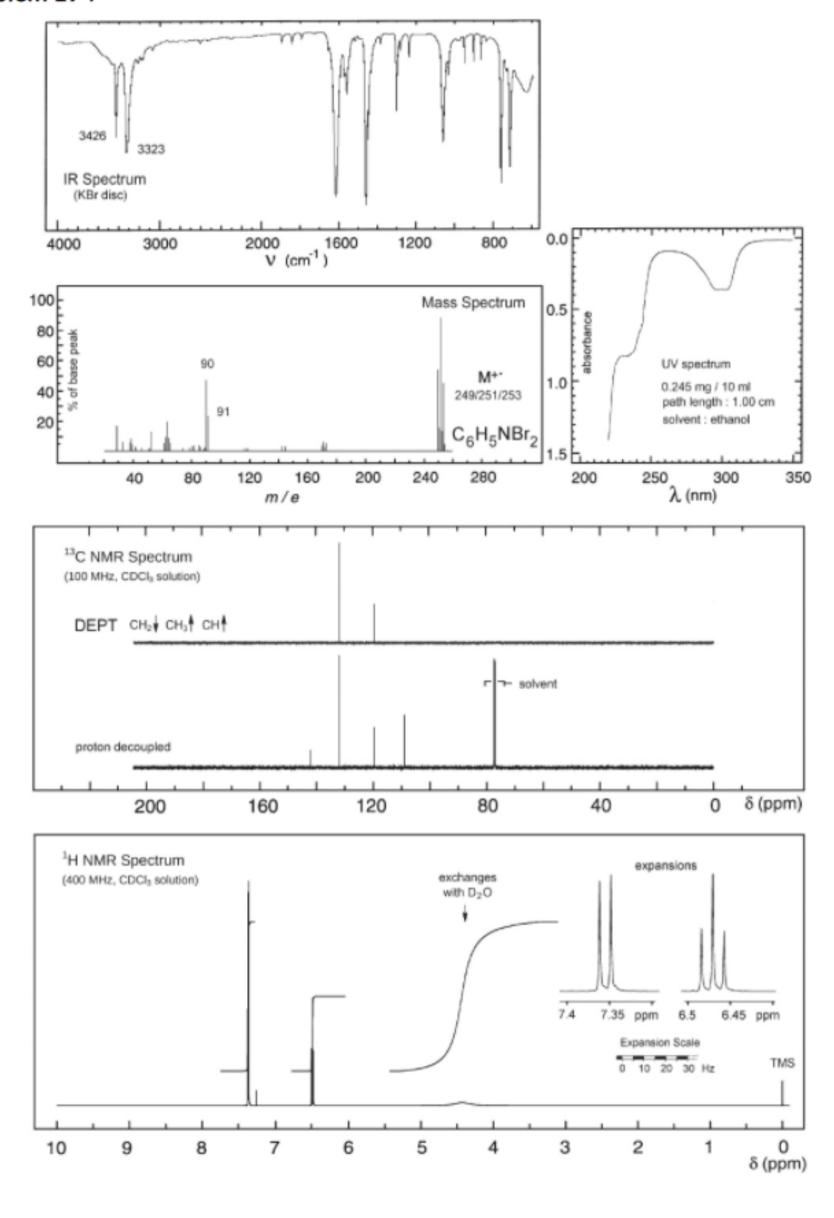


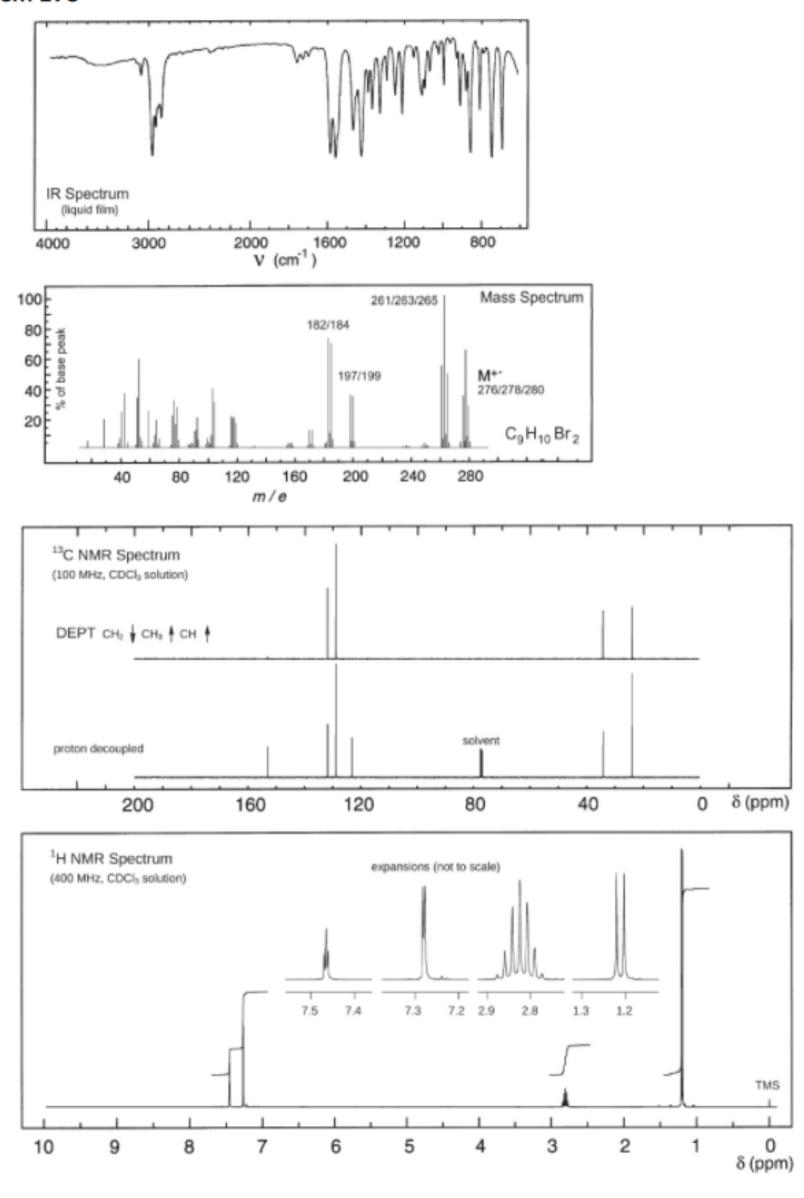


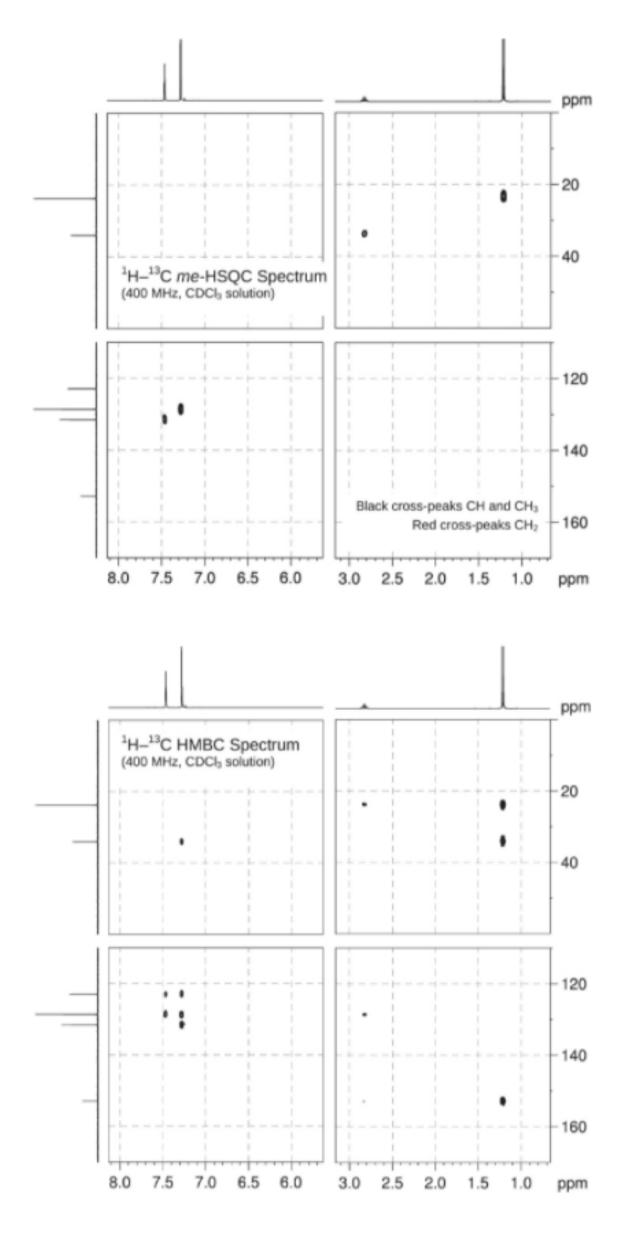


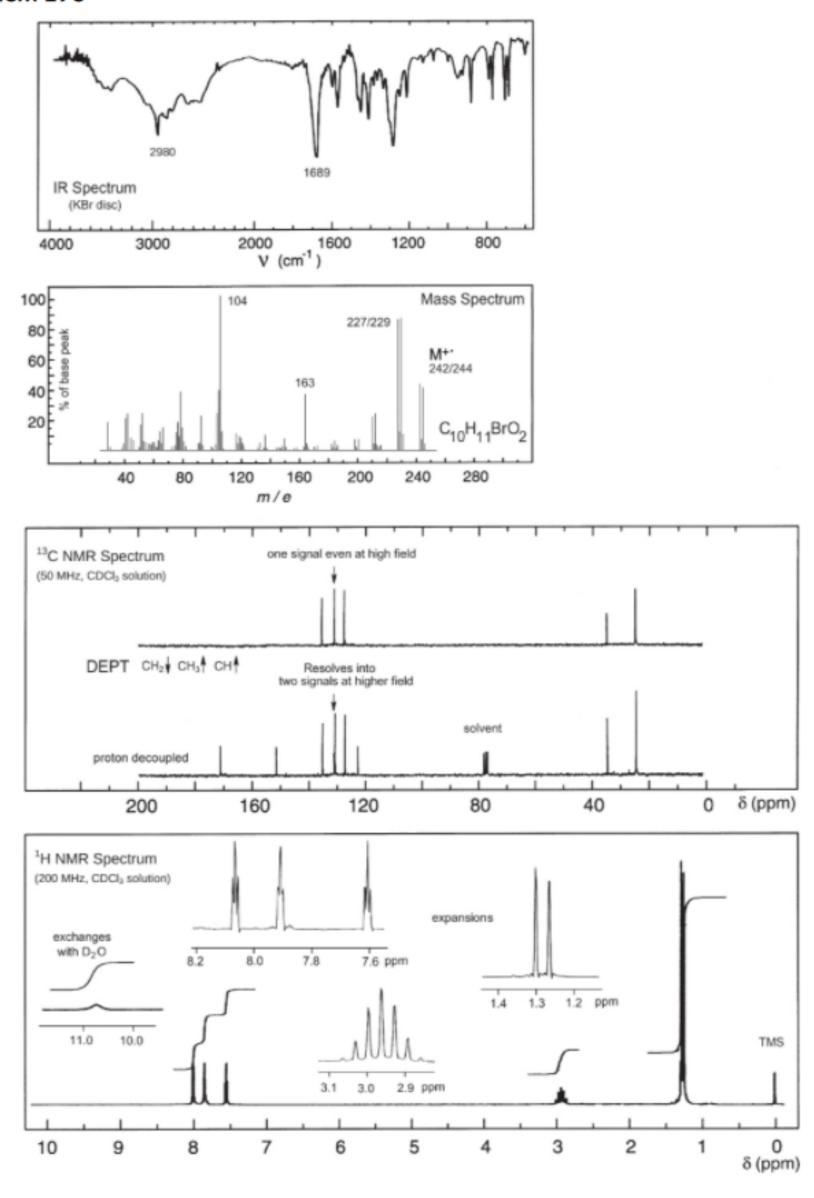


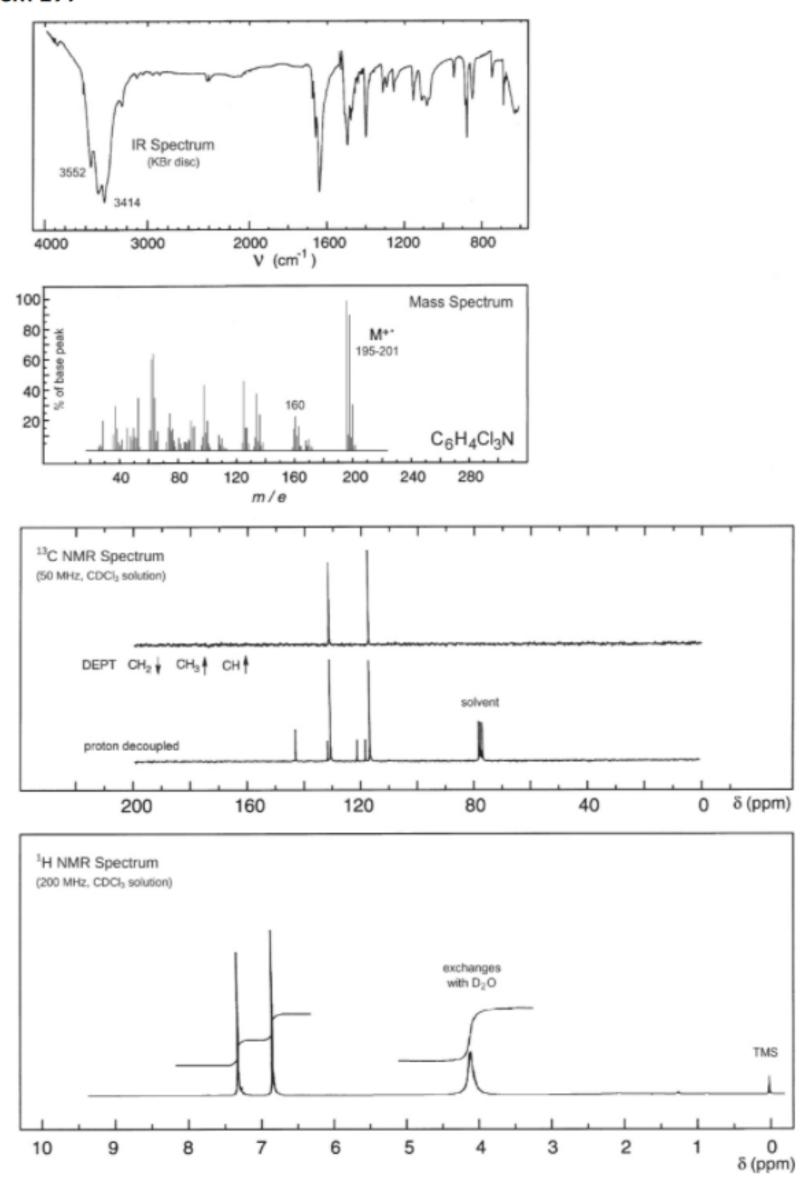


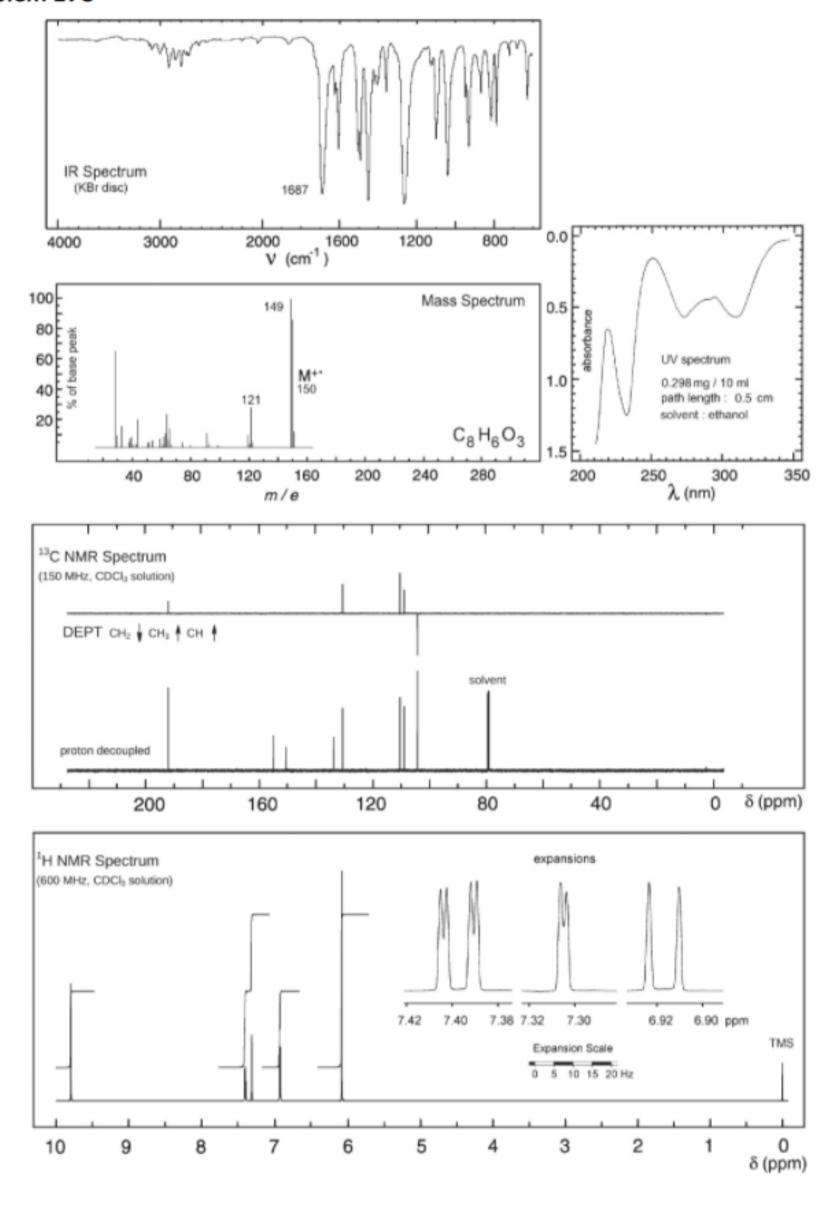


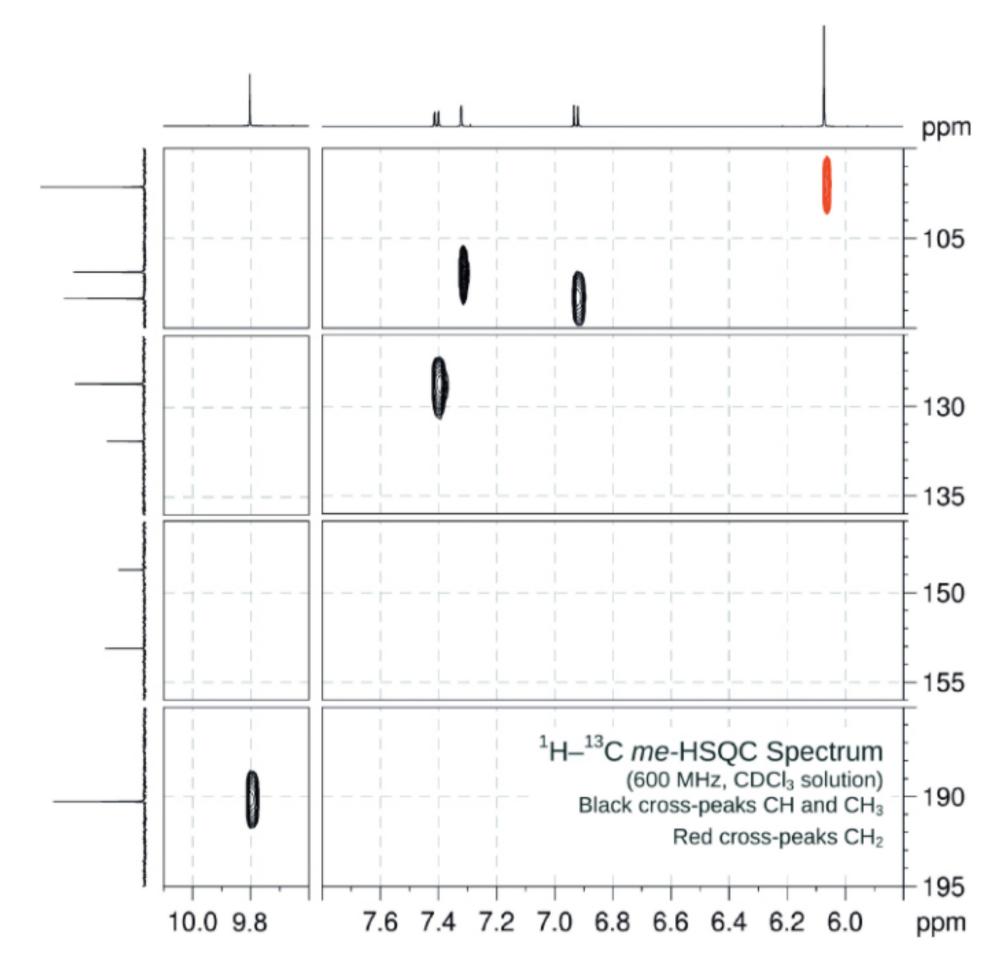




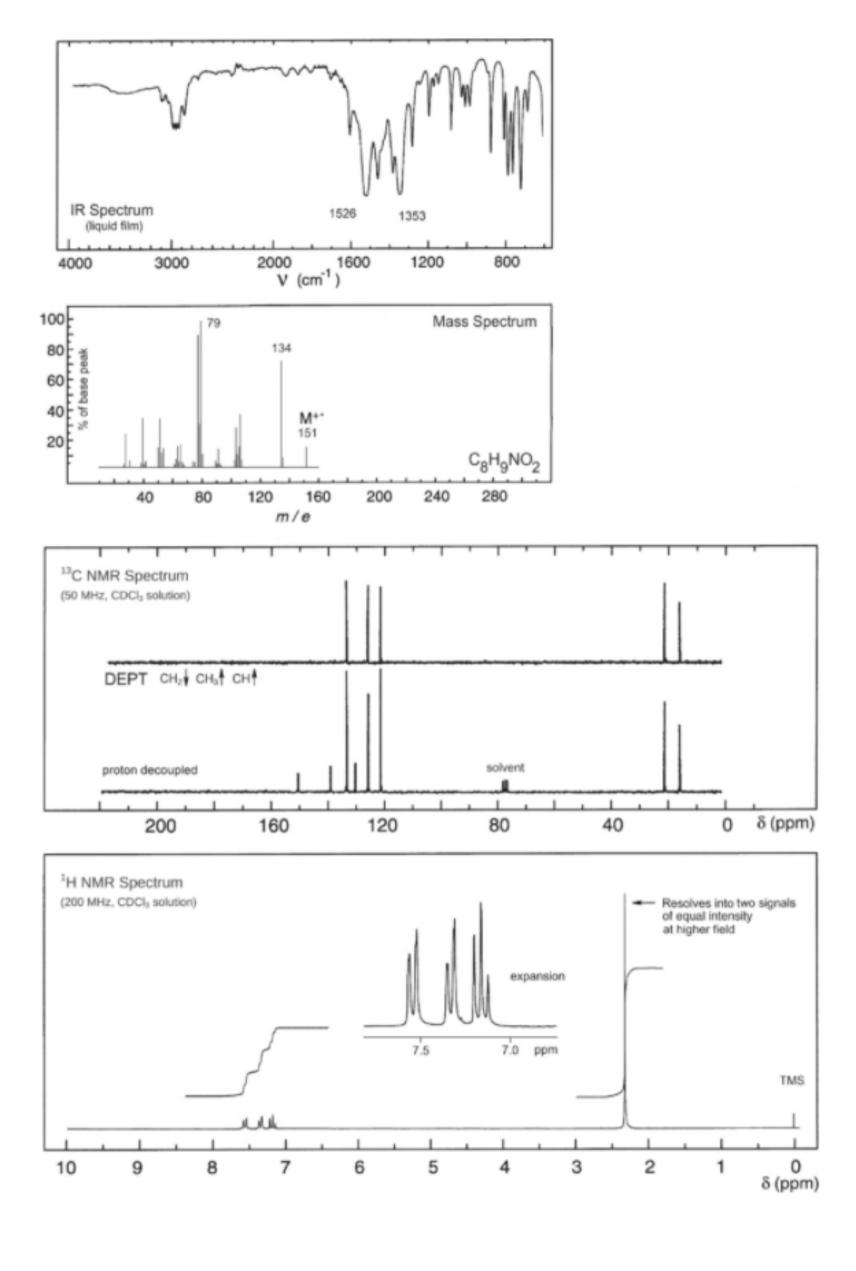


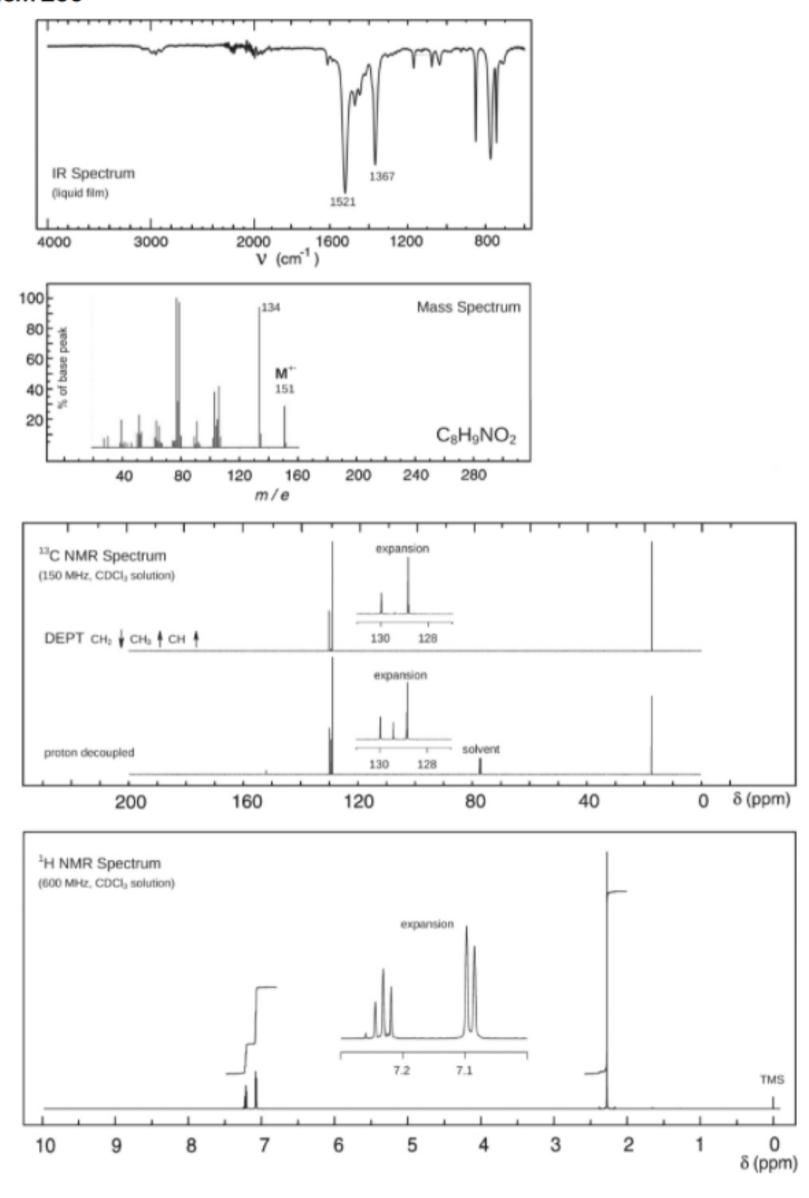


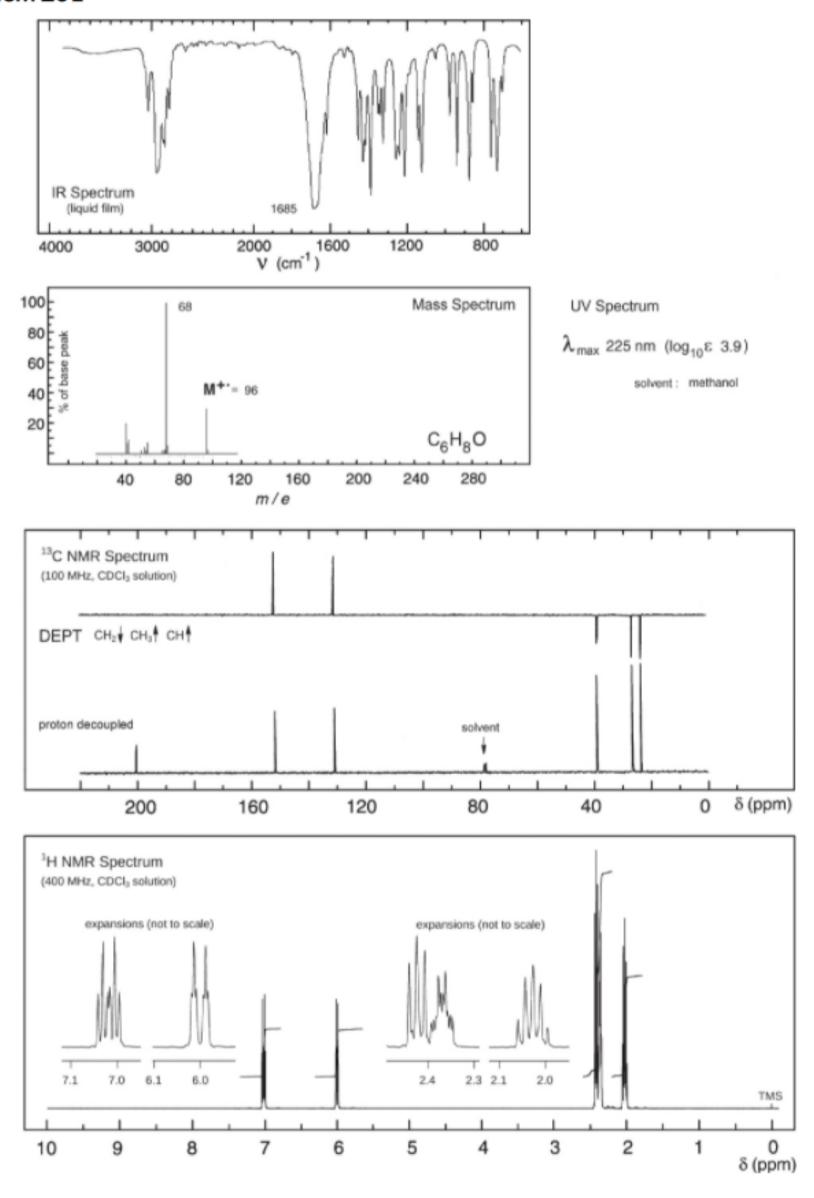


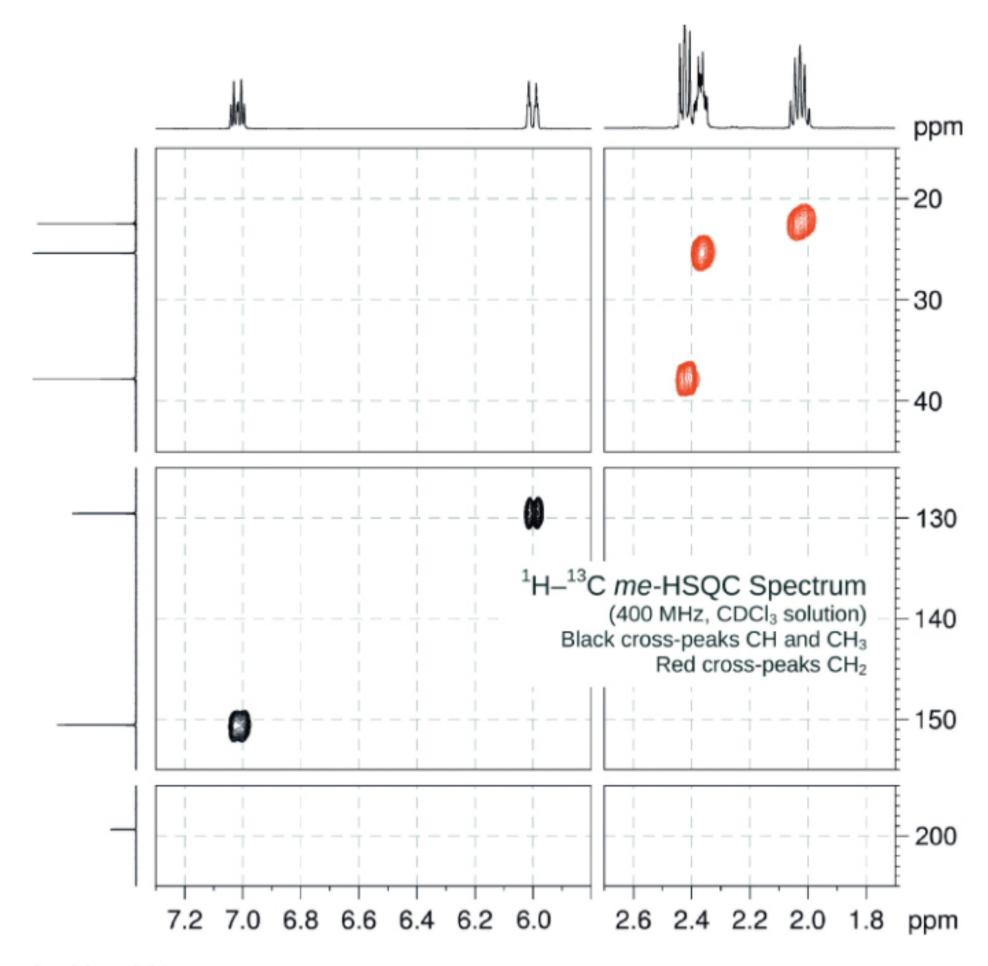


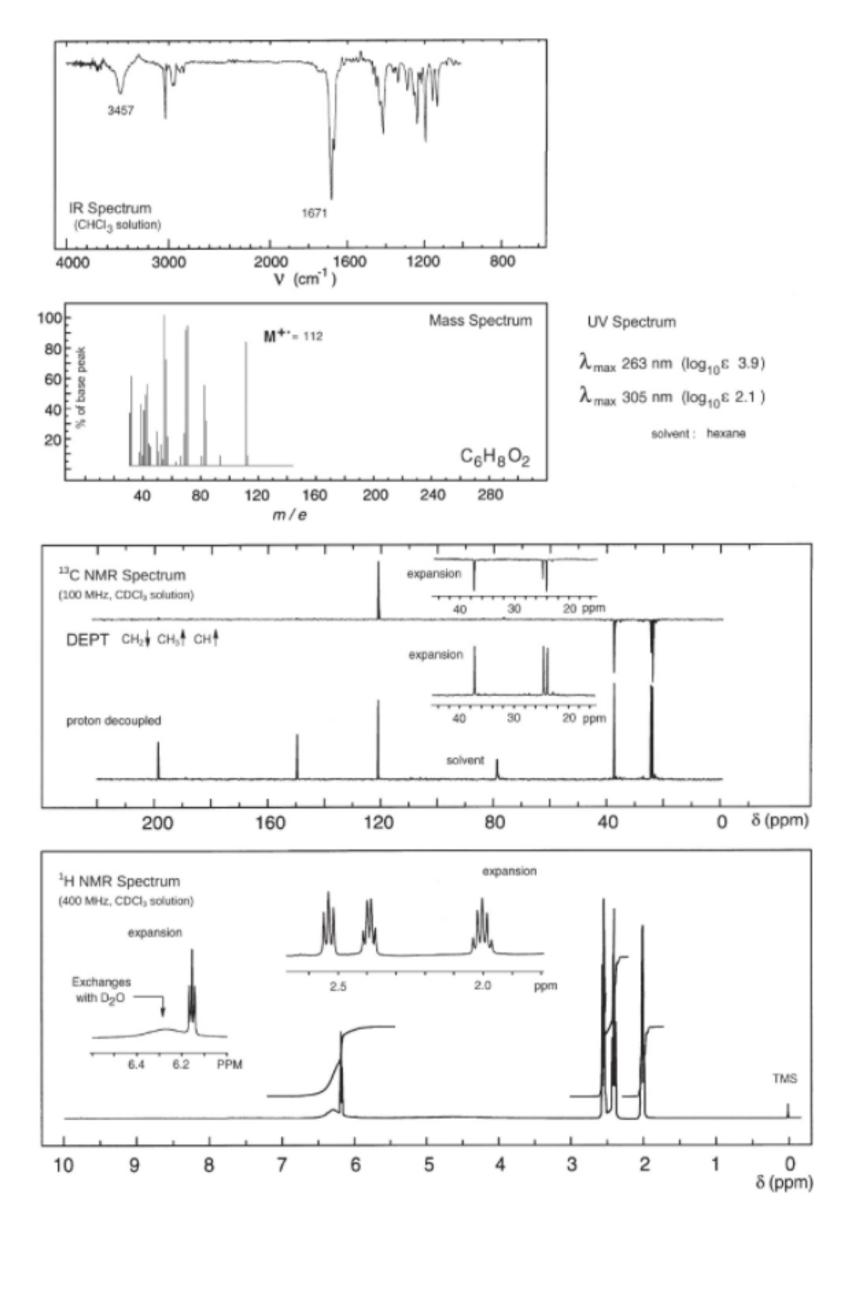
Problem 199

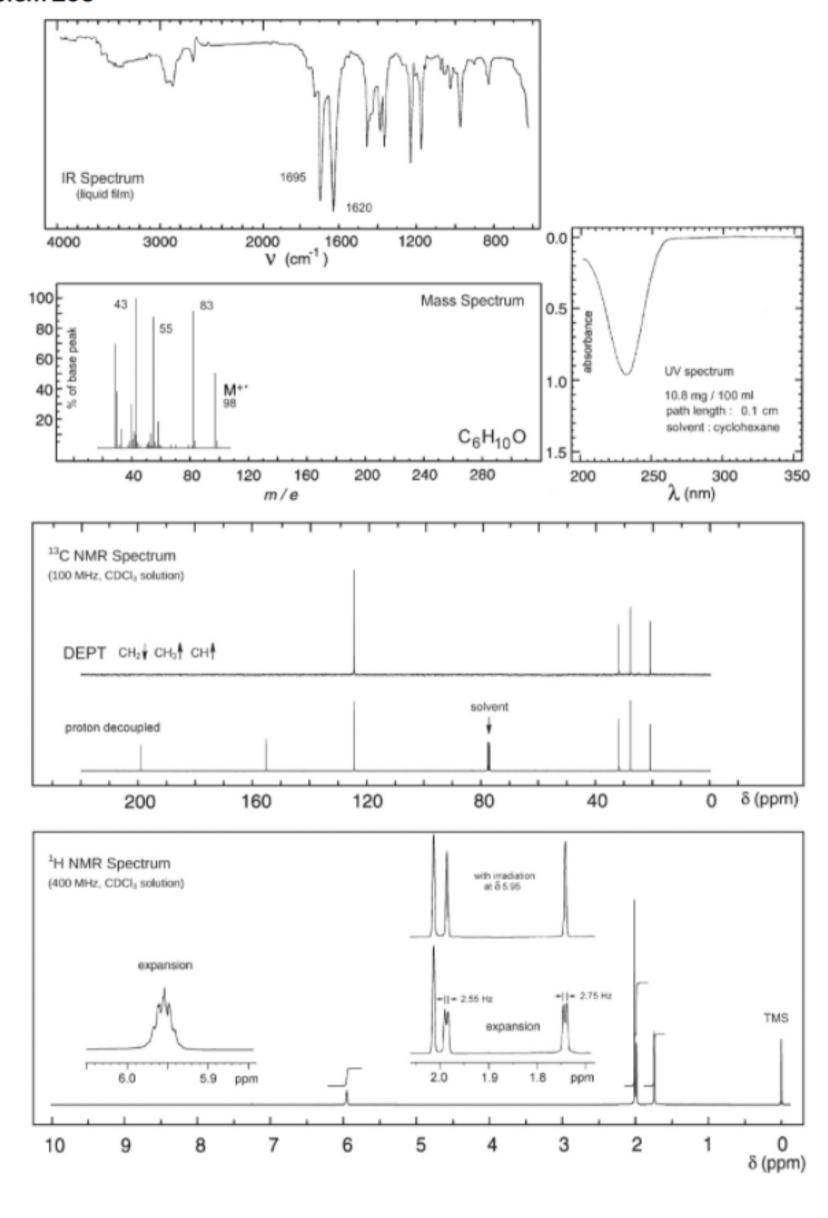


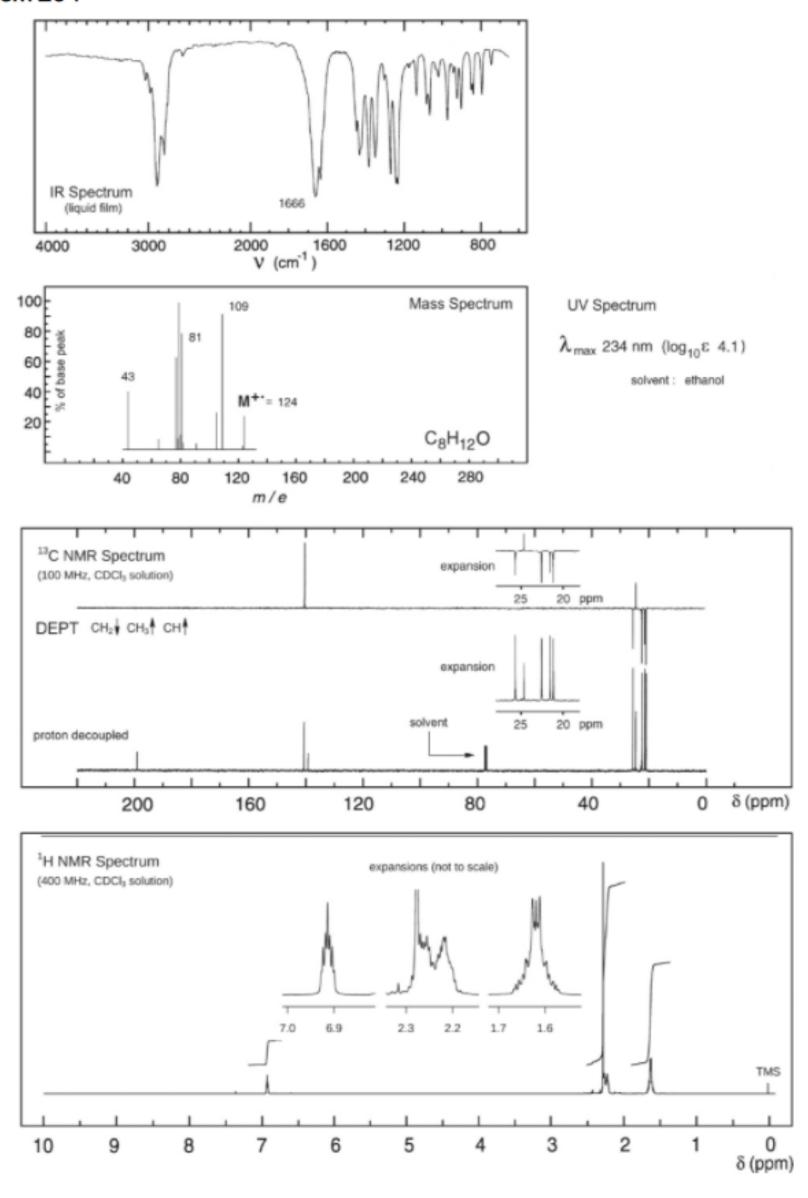


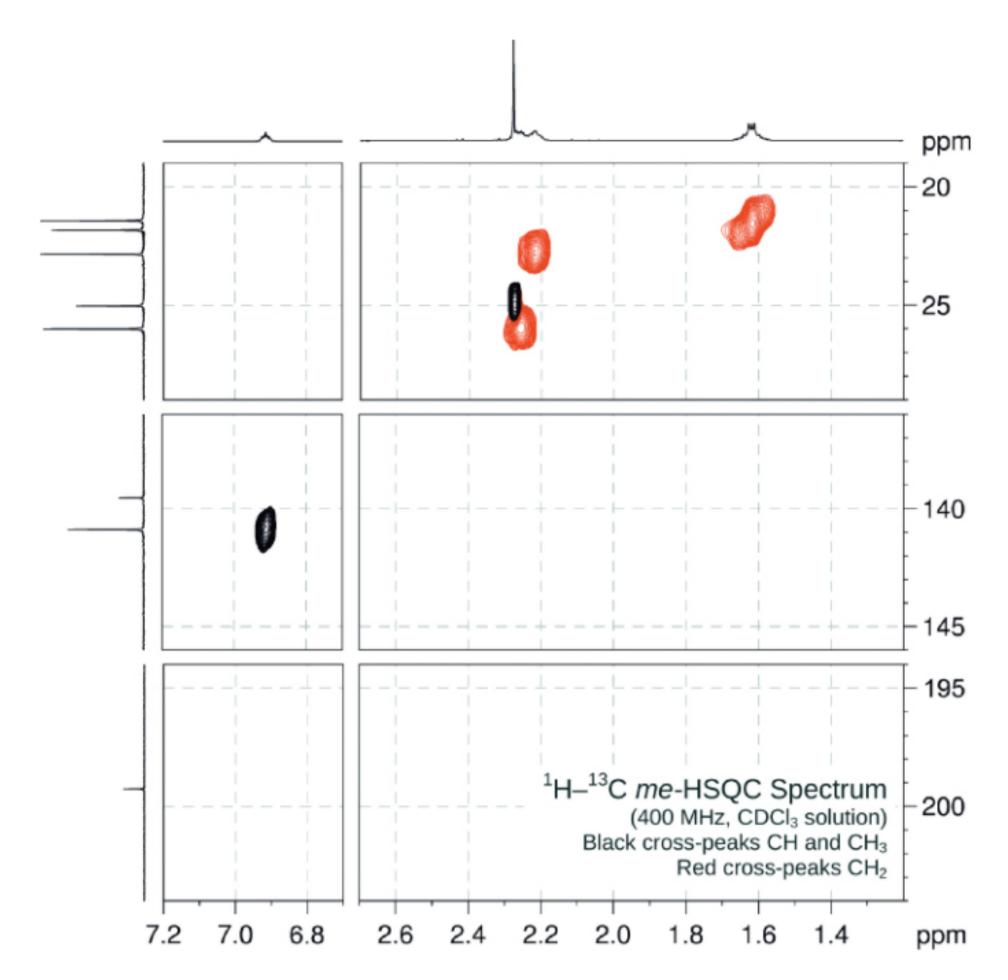


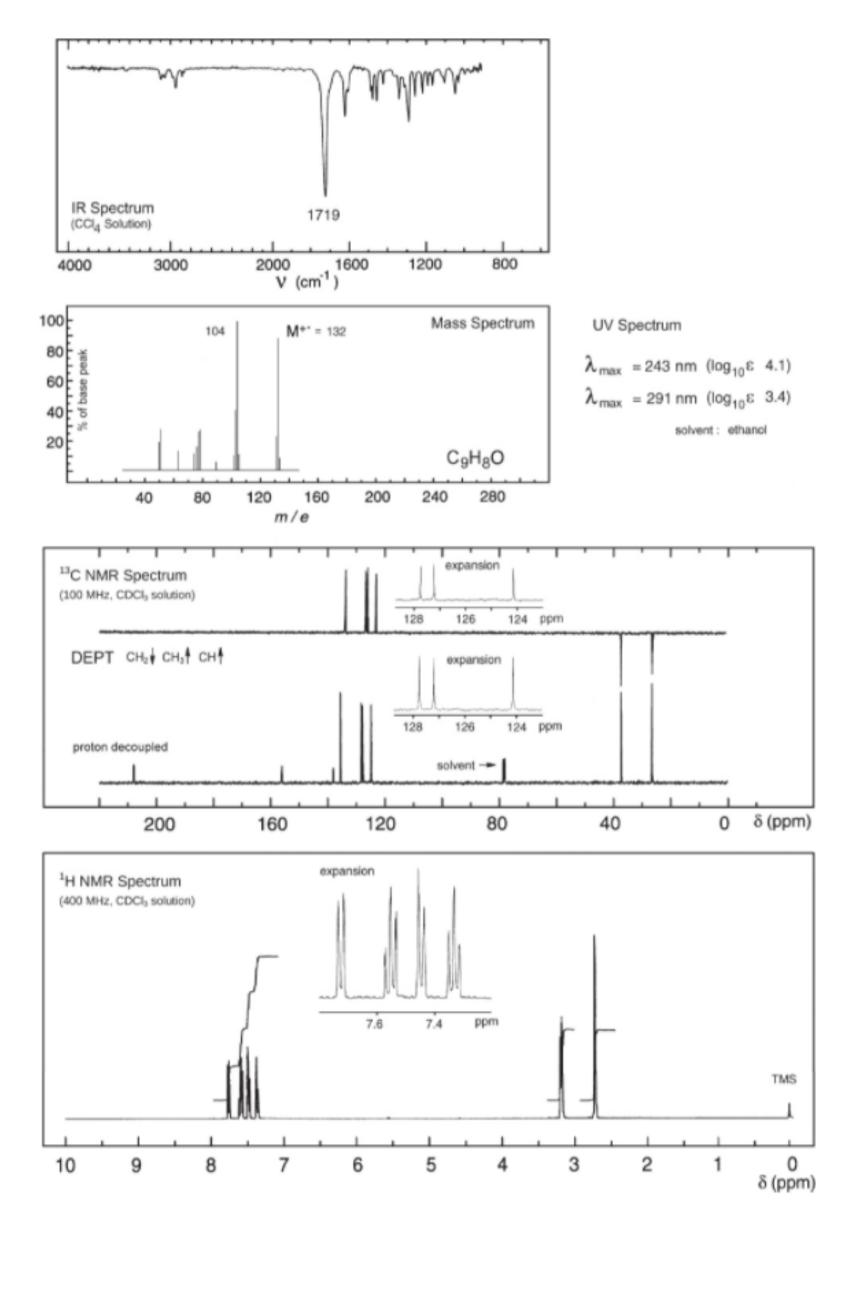


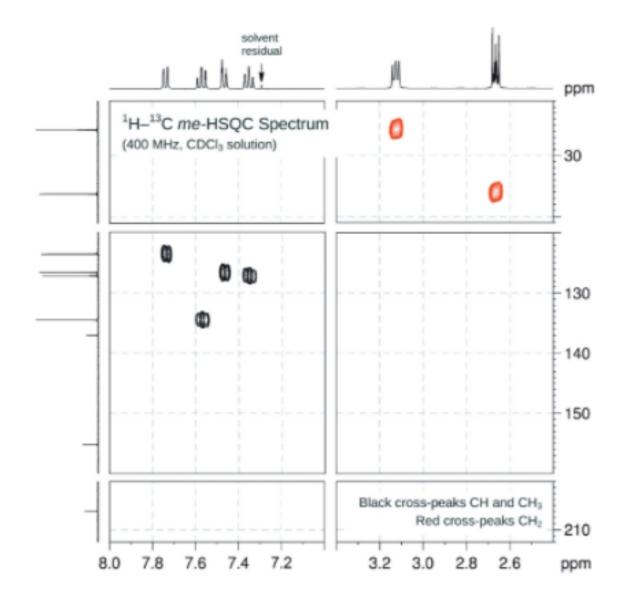


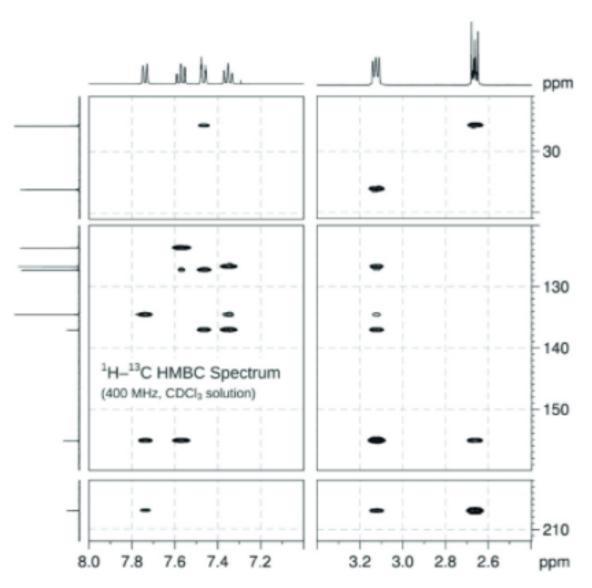


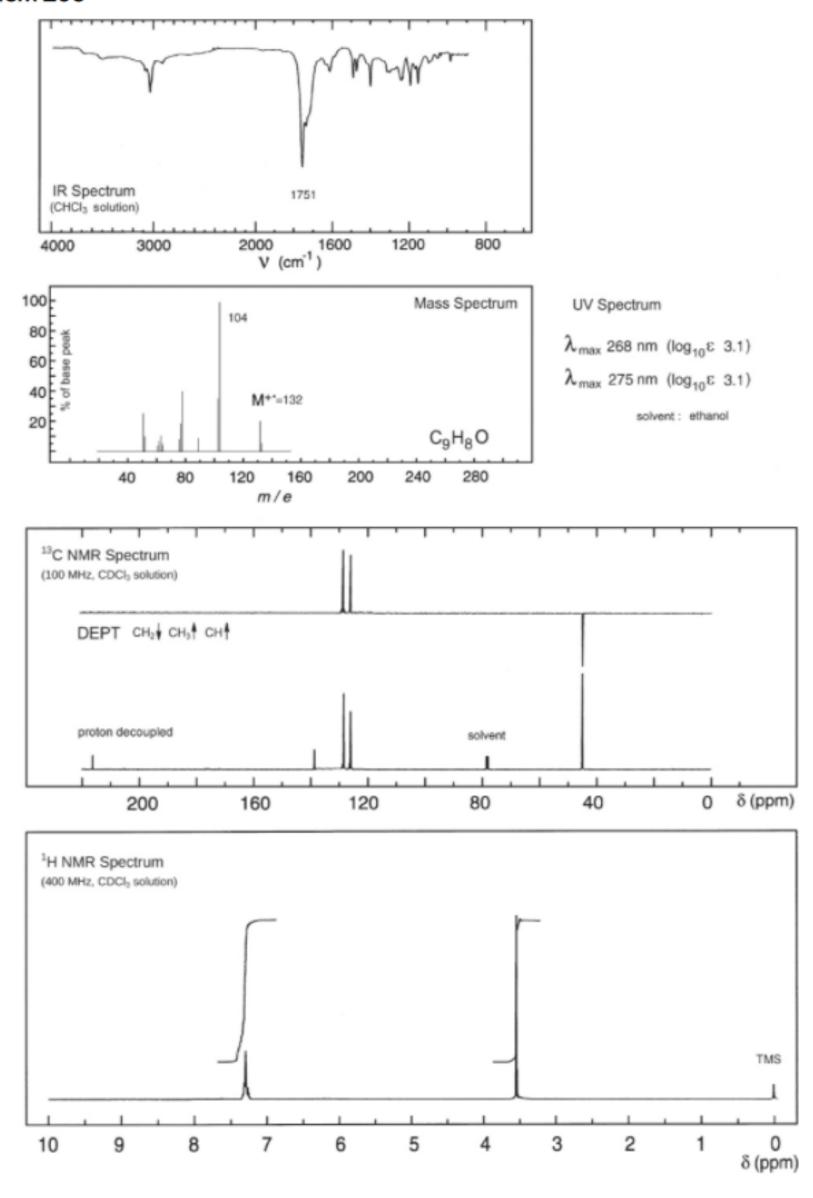


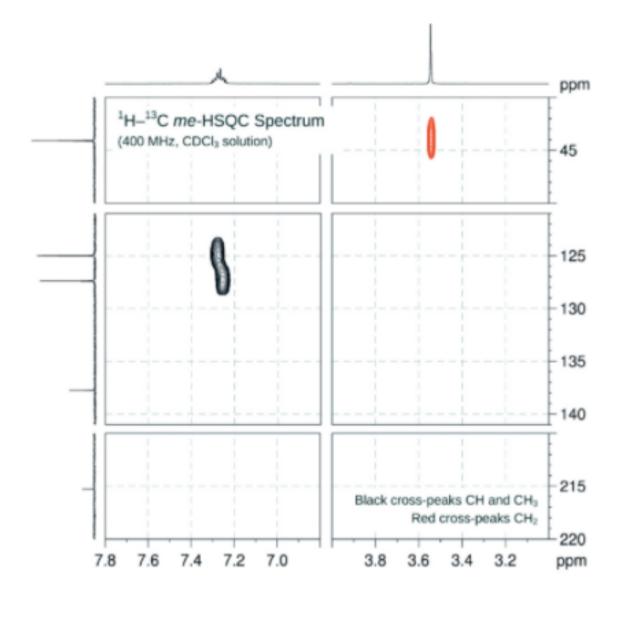


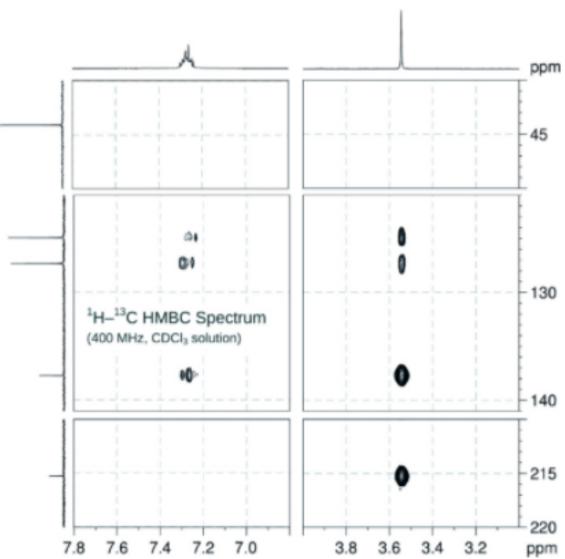


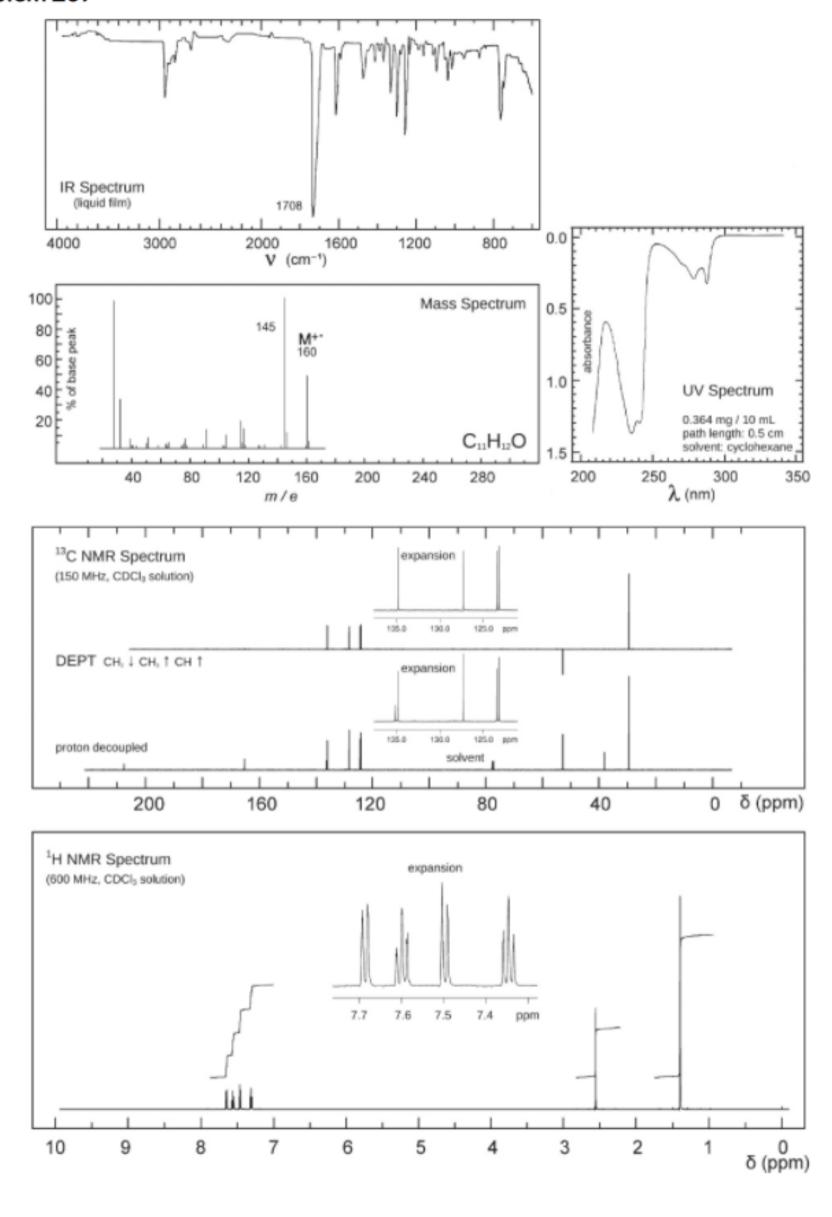


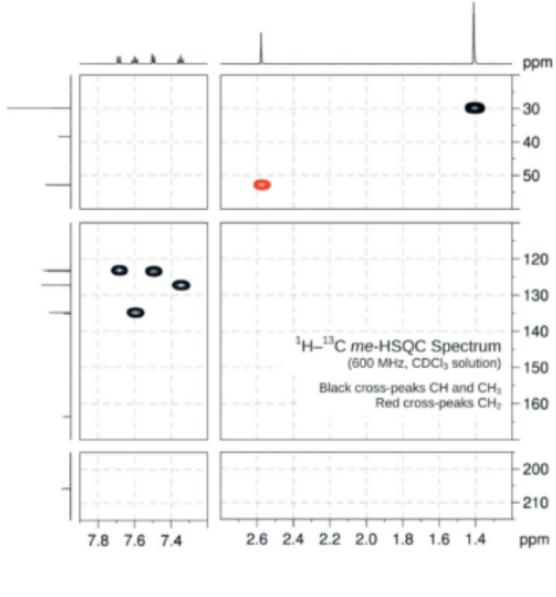


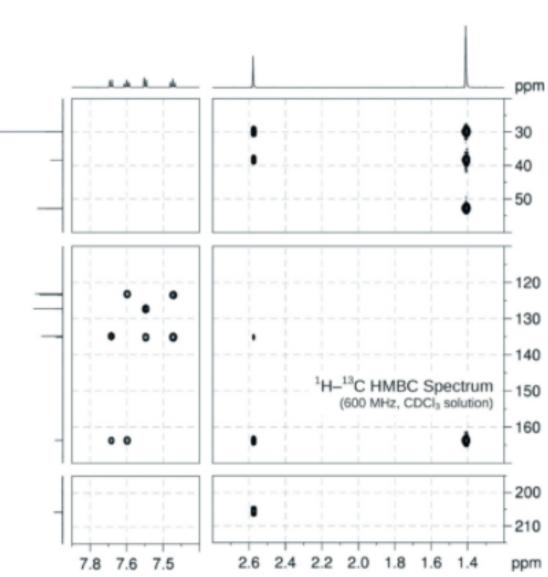


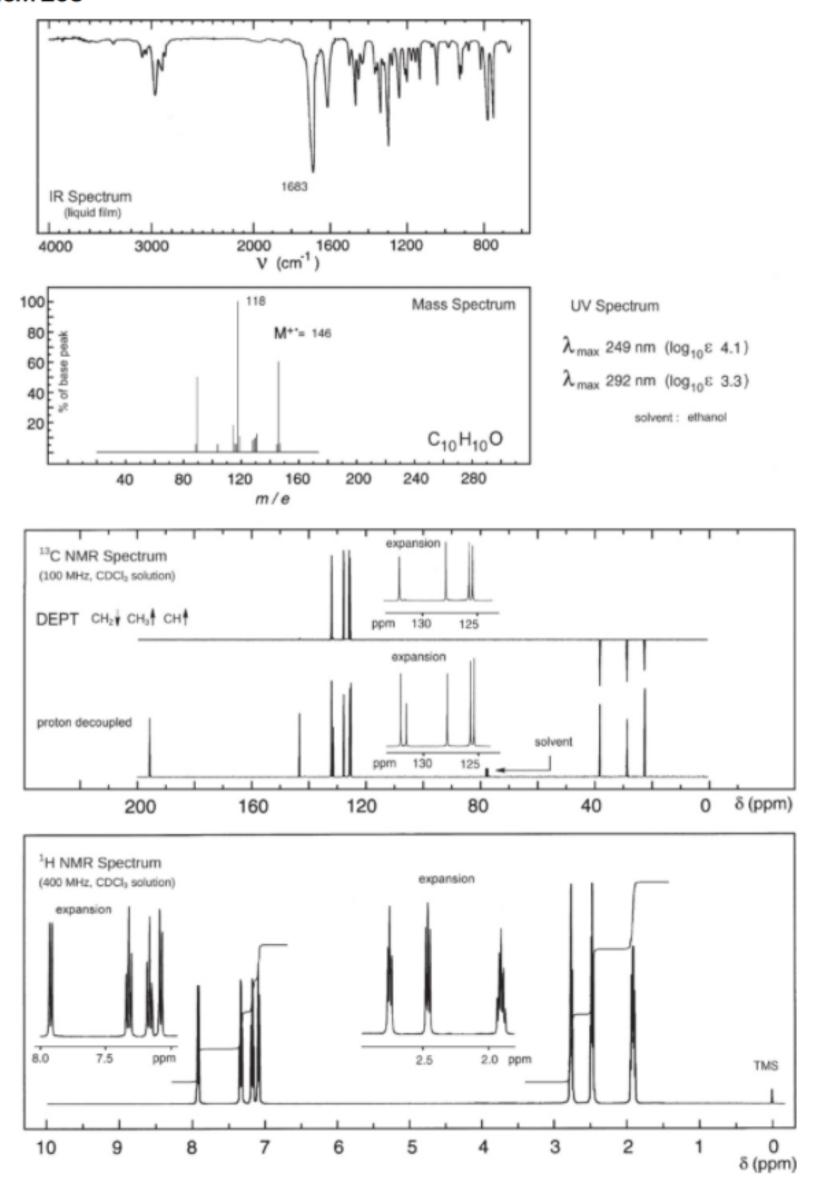


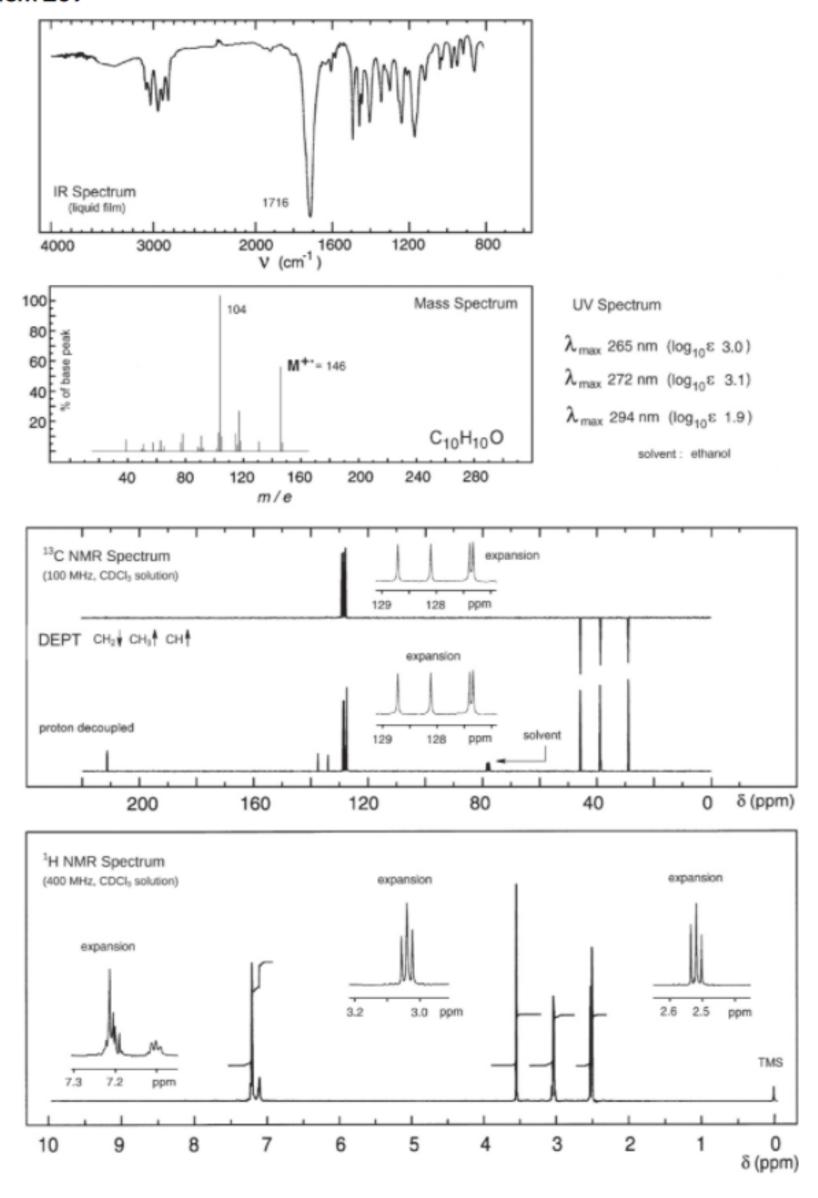


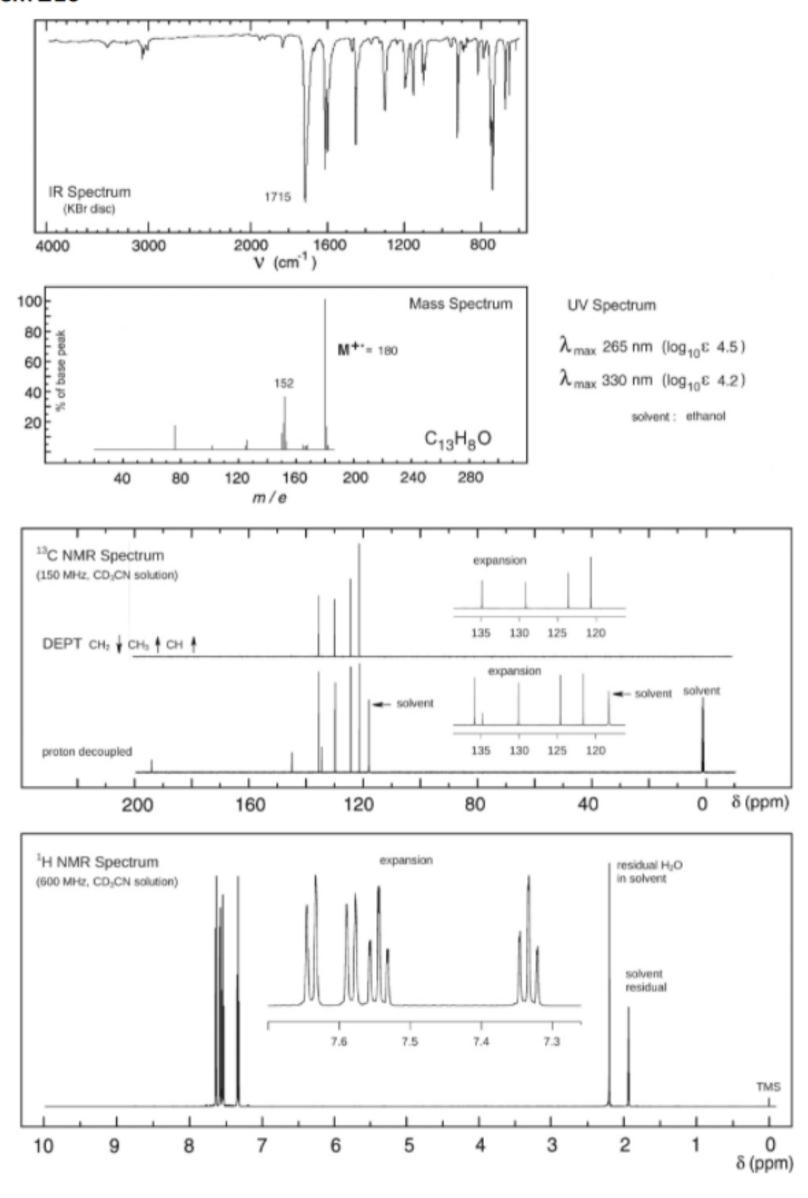


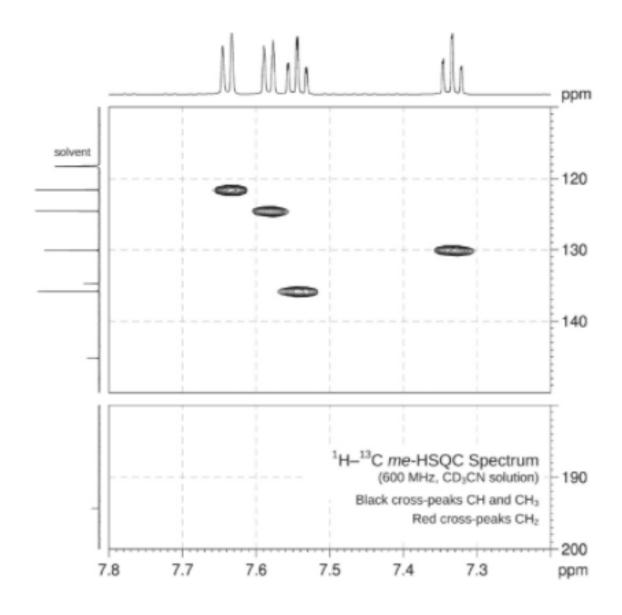


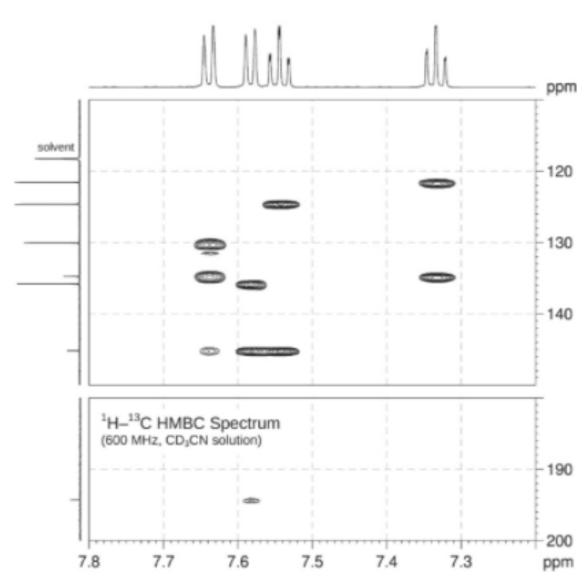


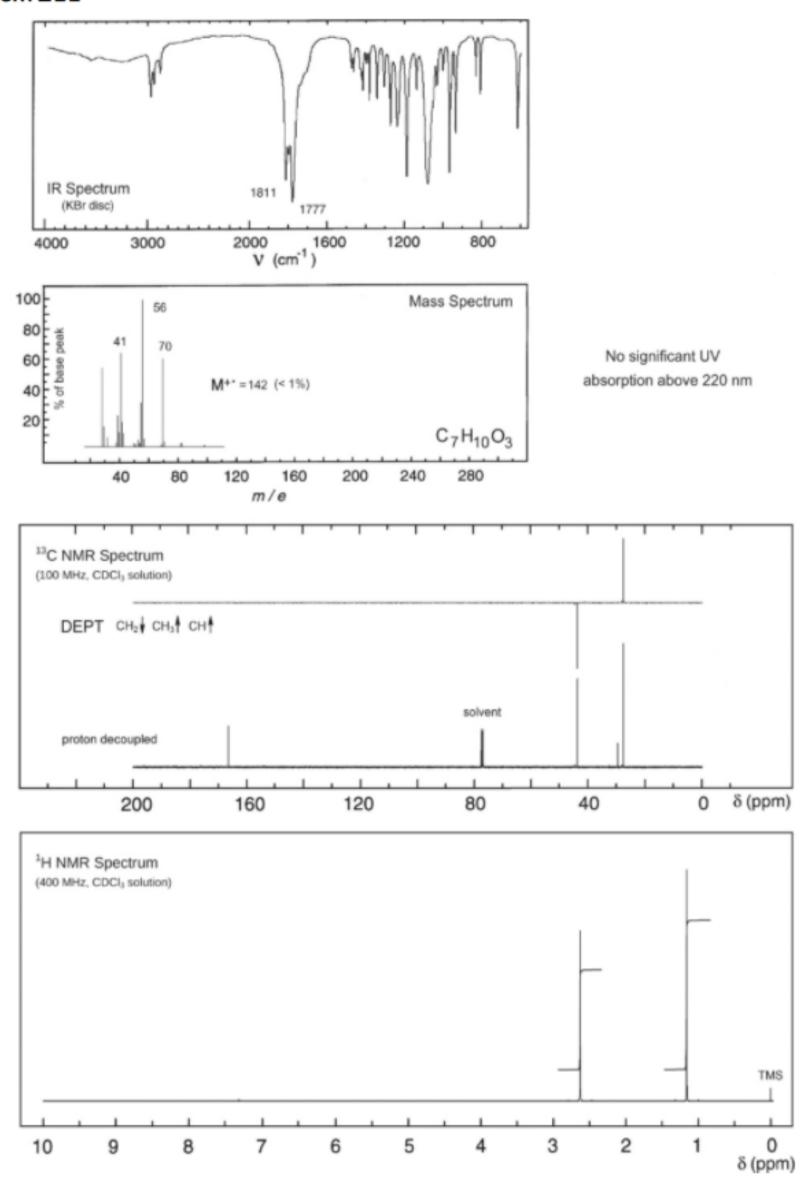


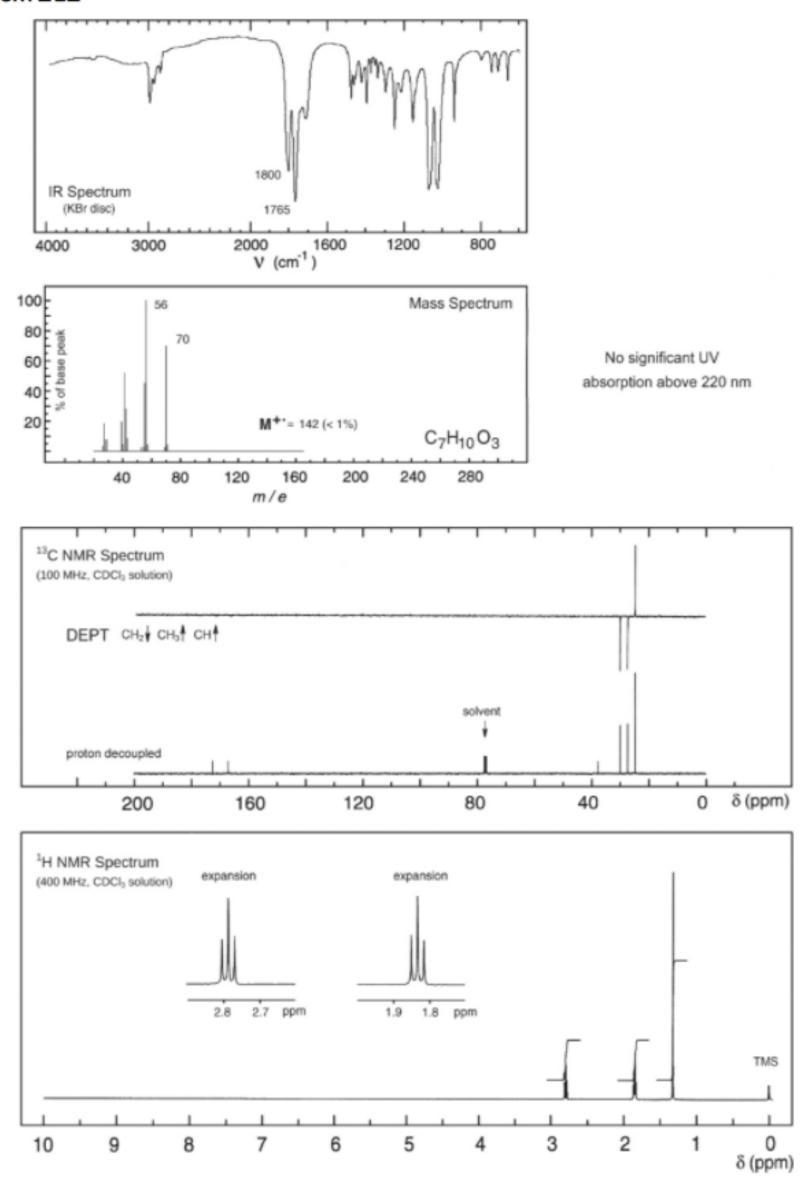


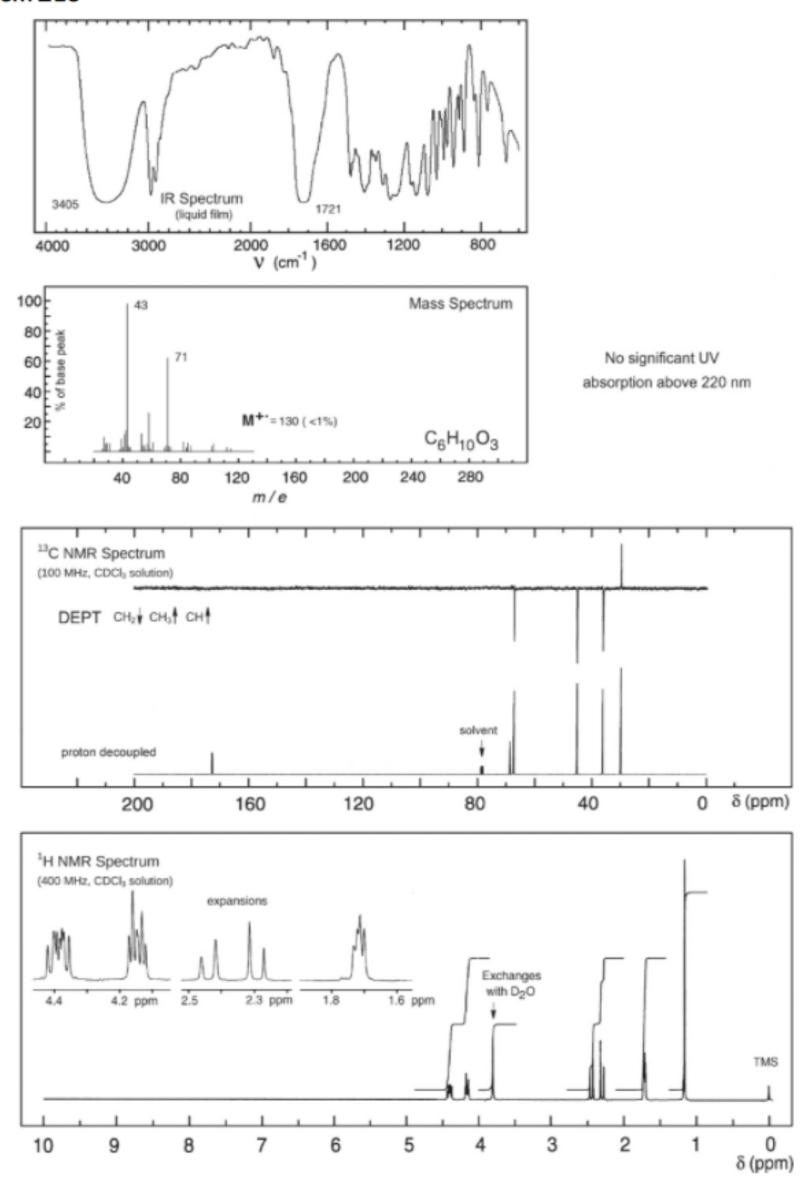


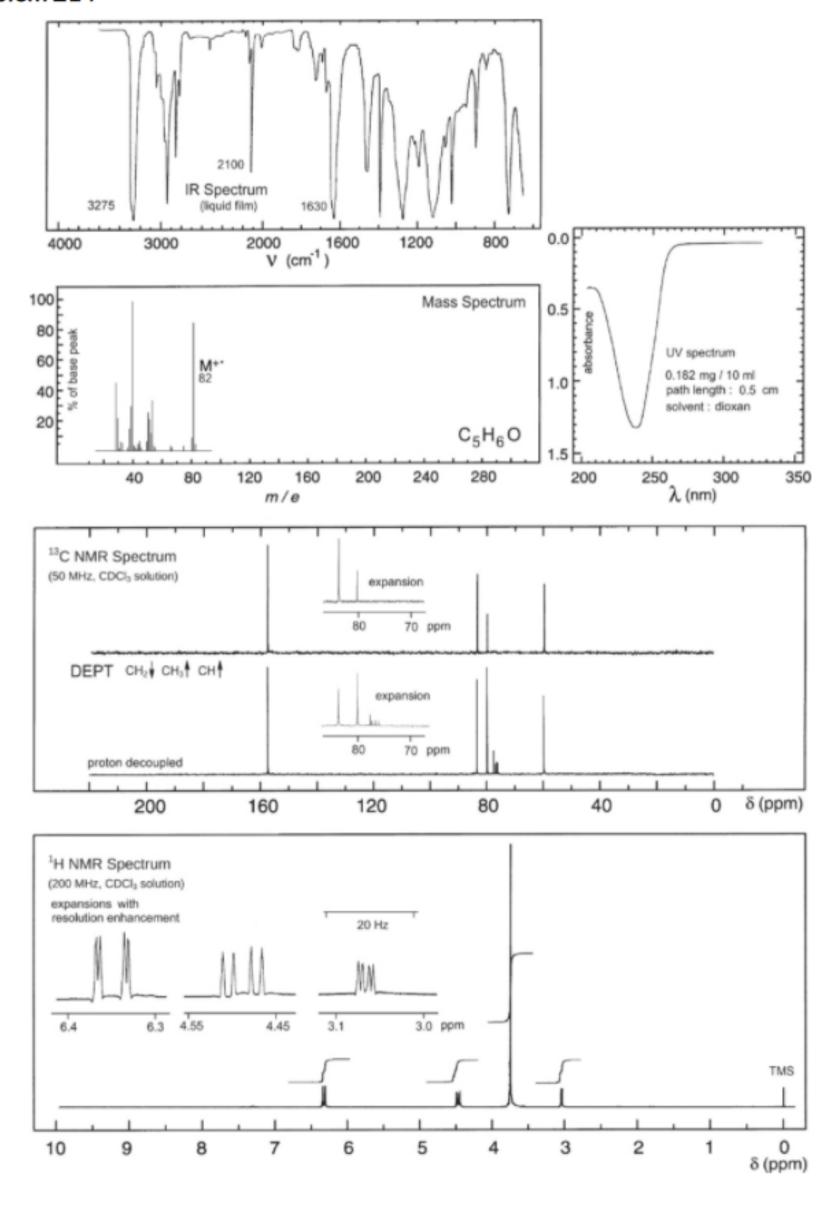


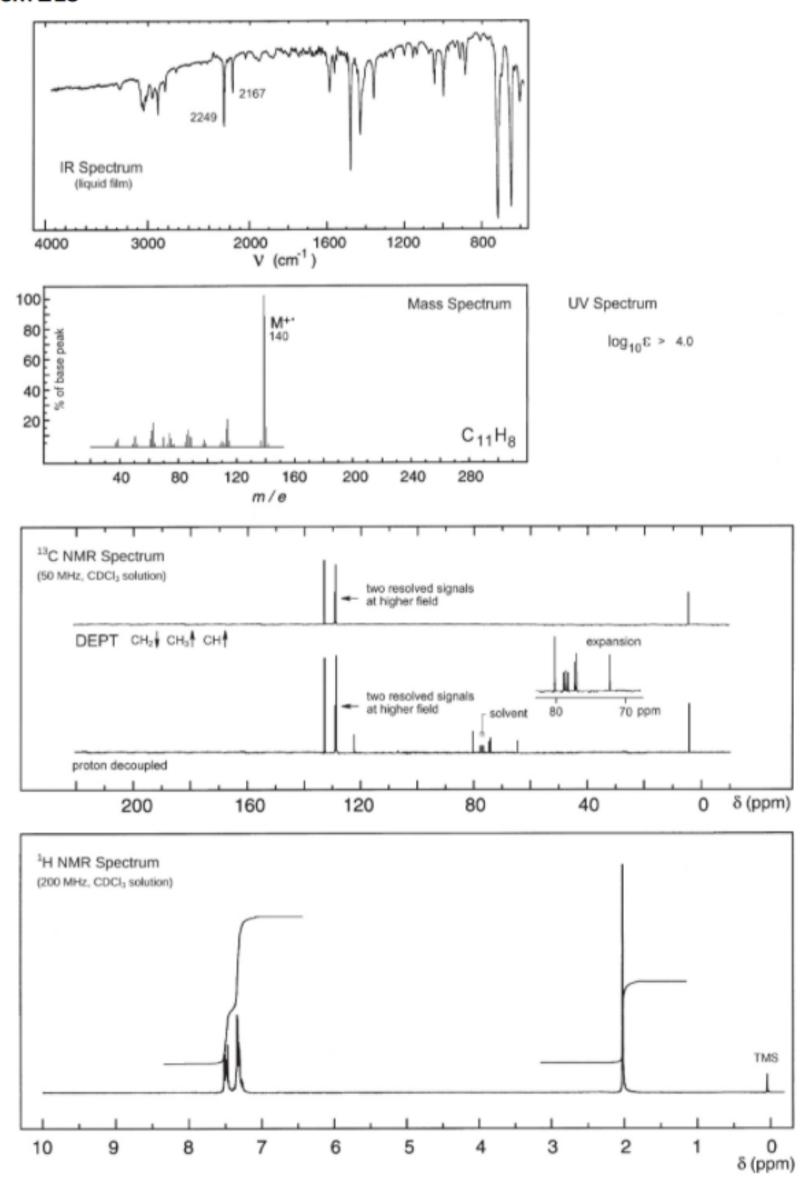


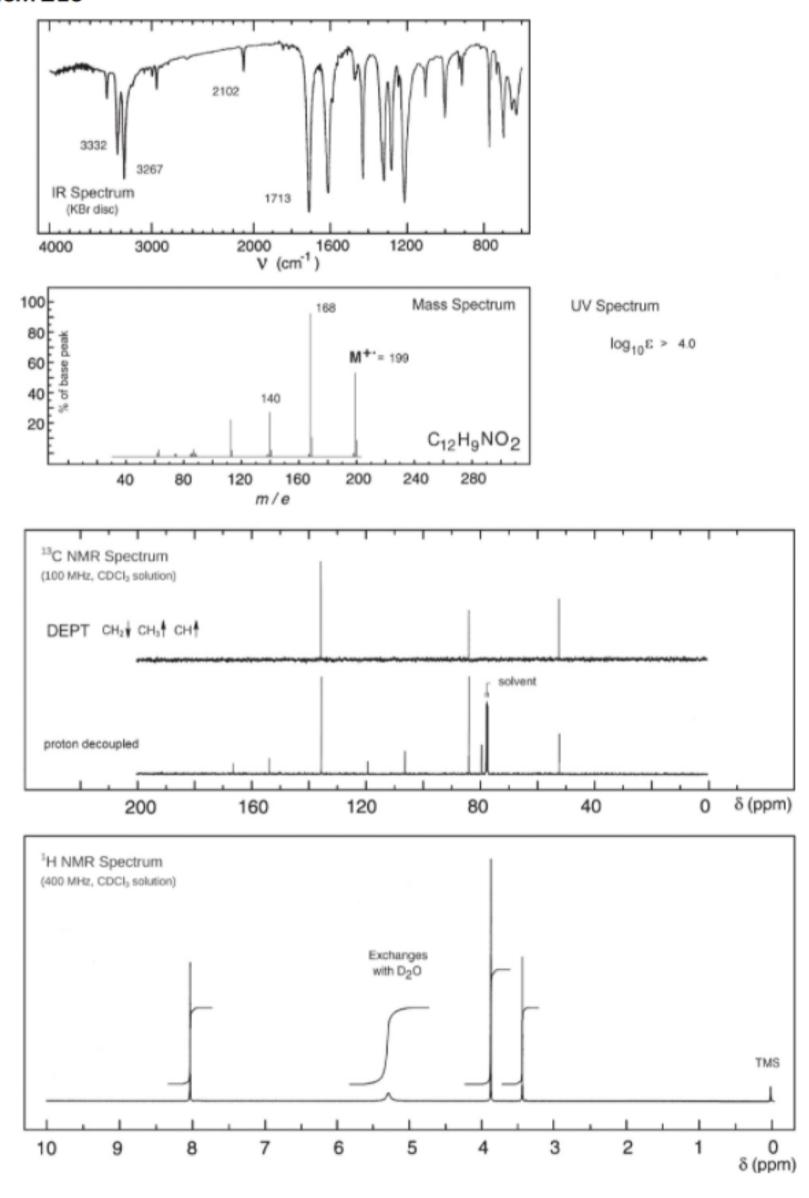


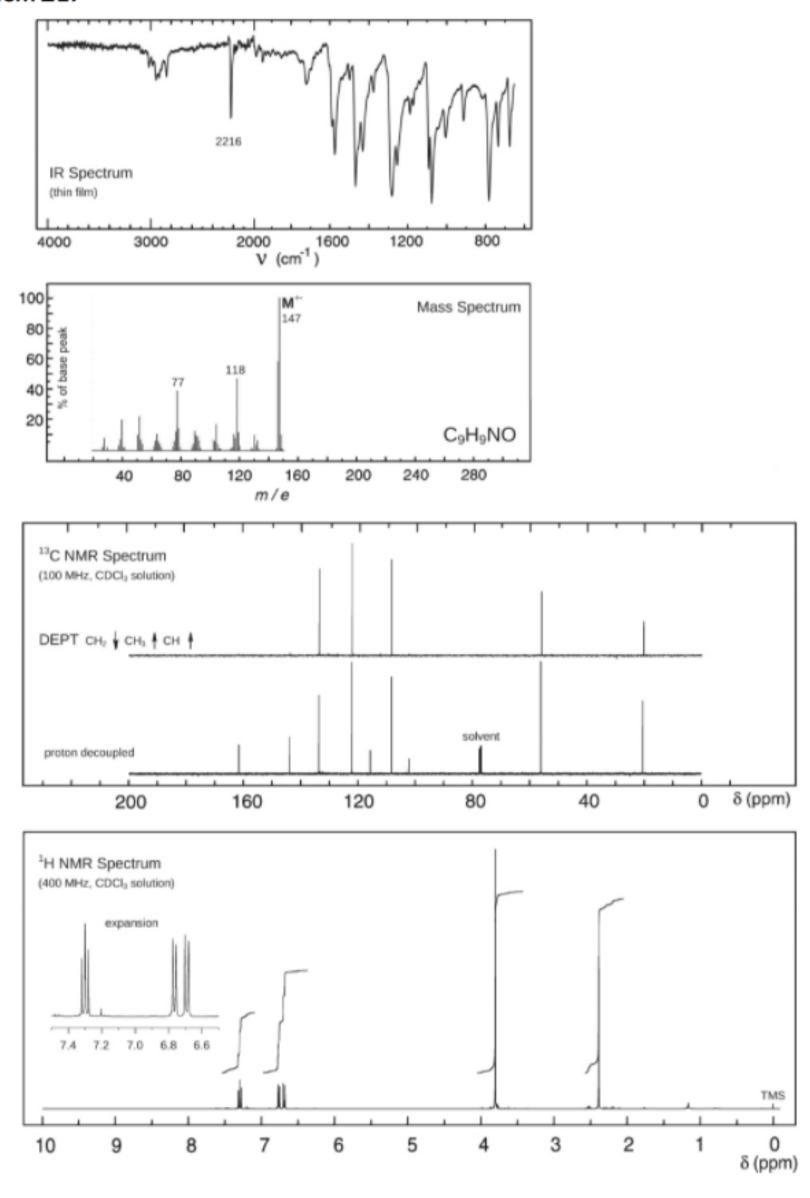


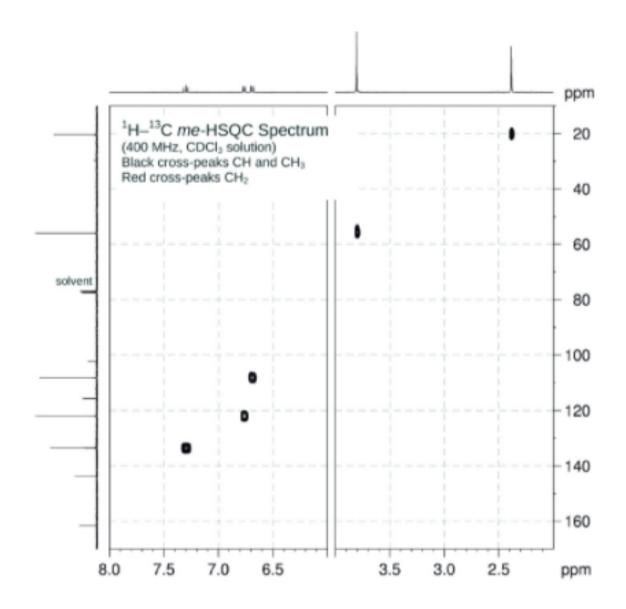


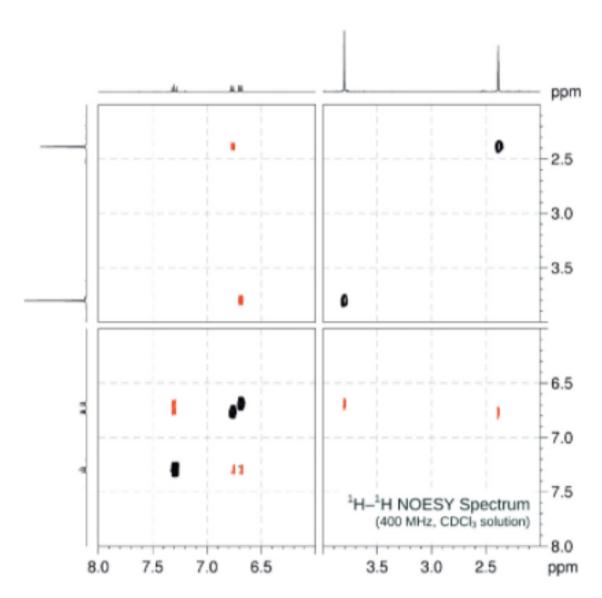


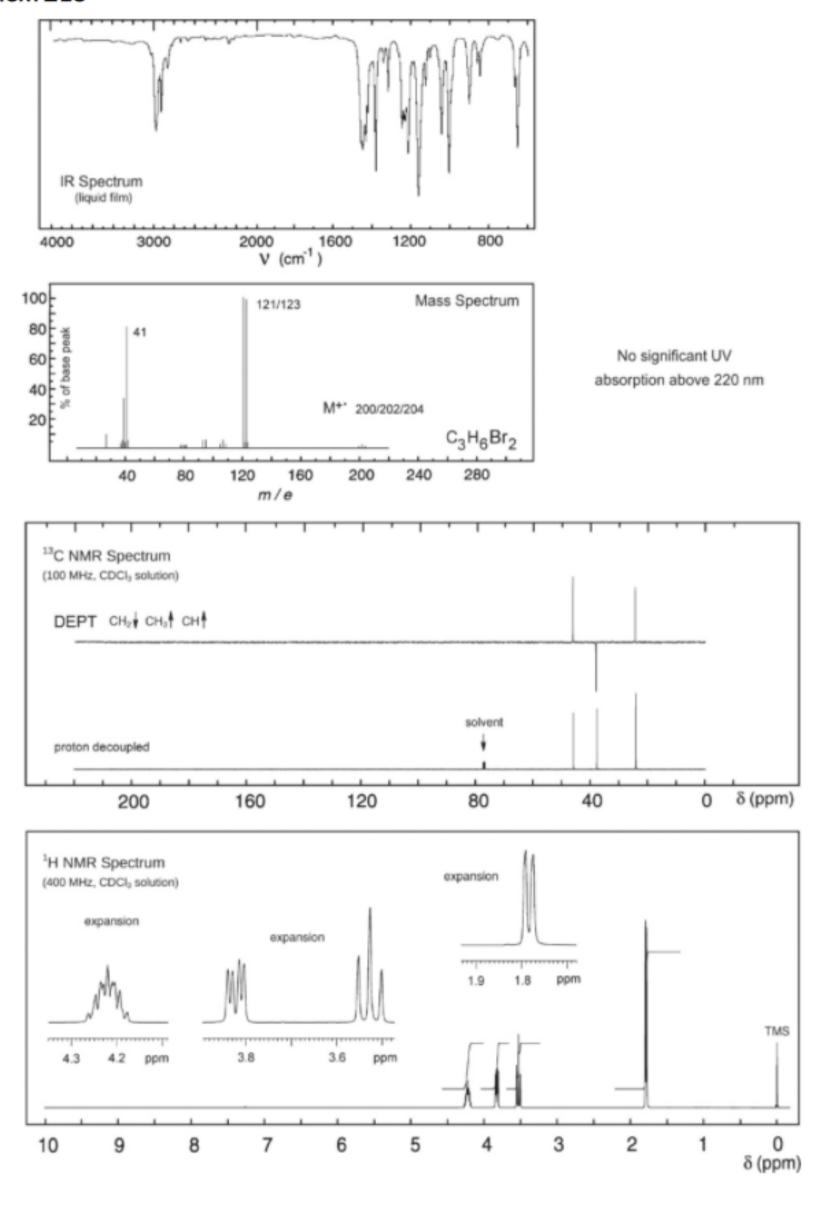


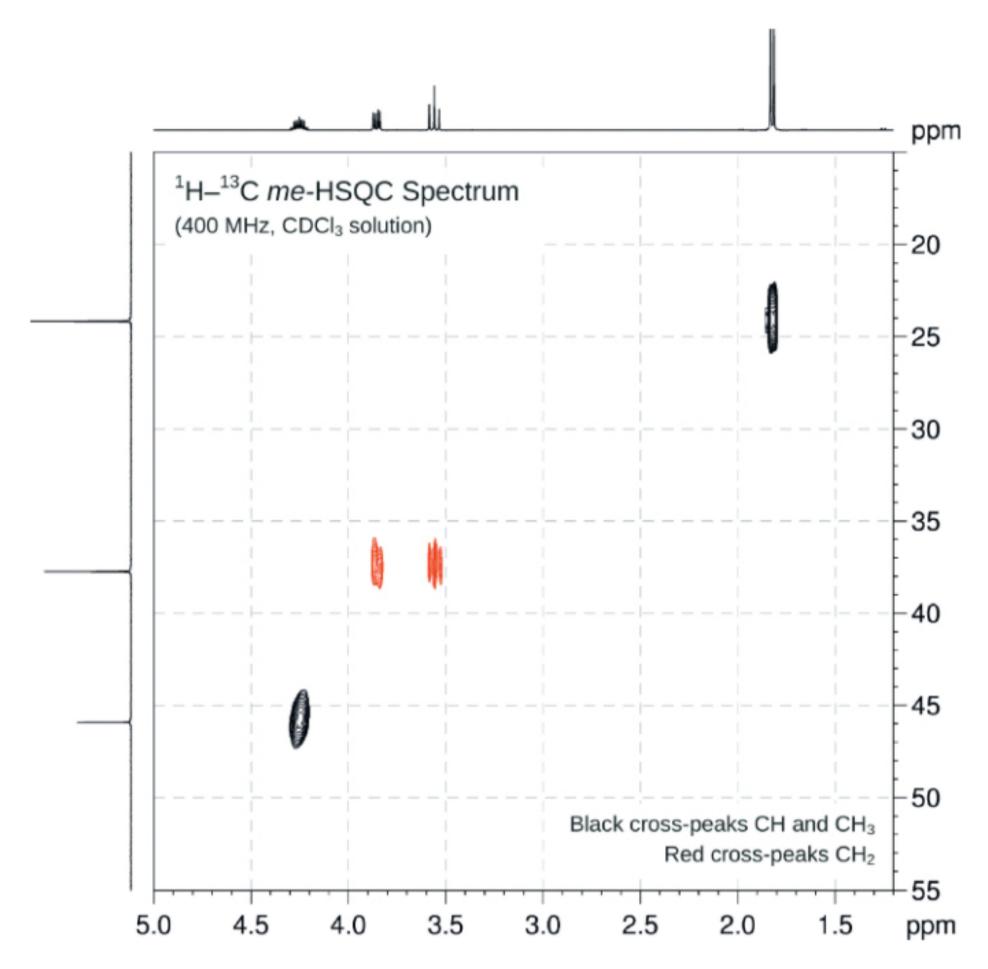




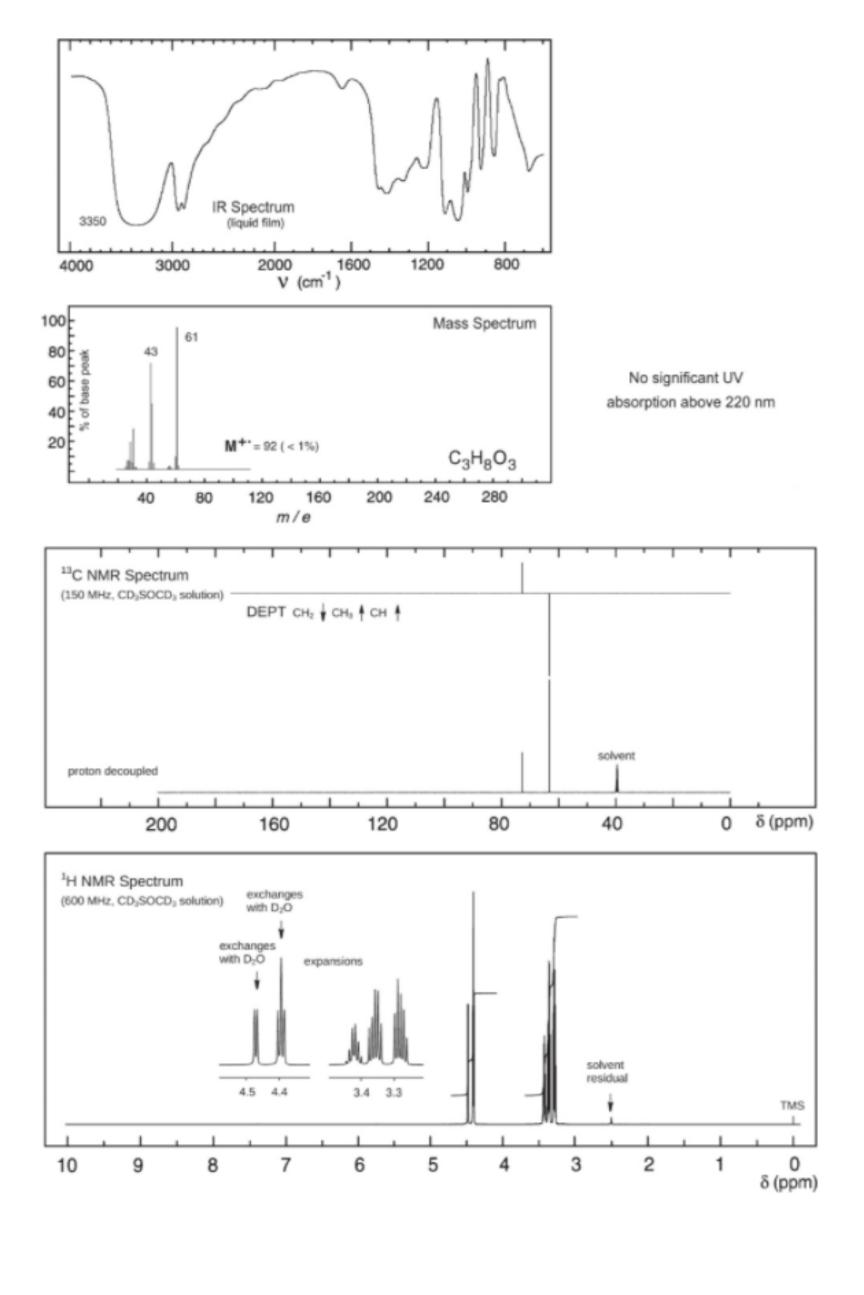


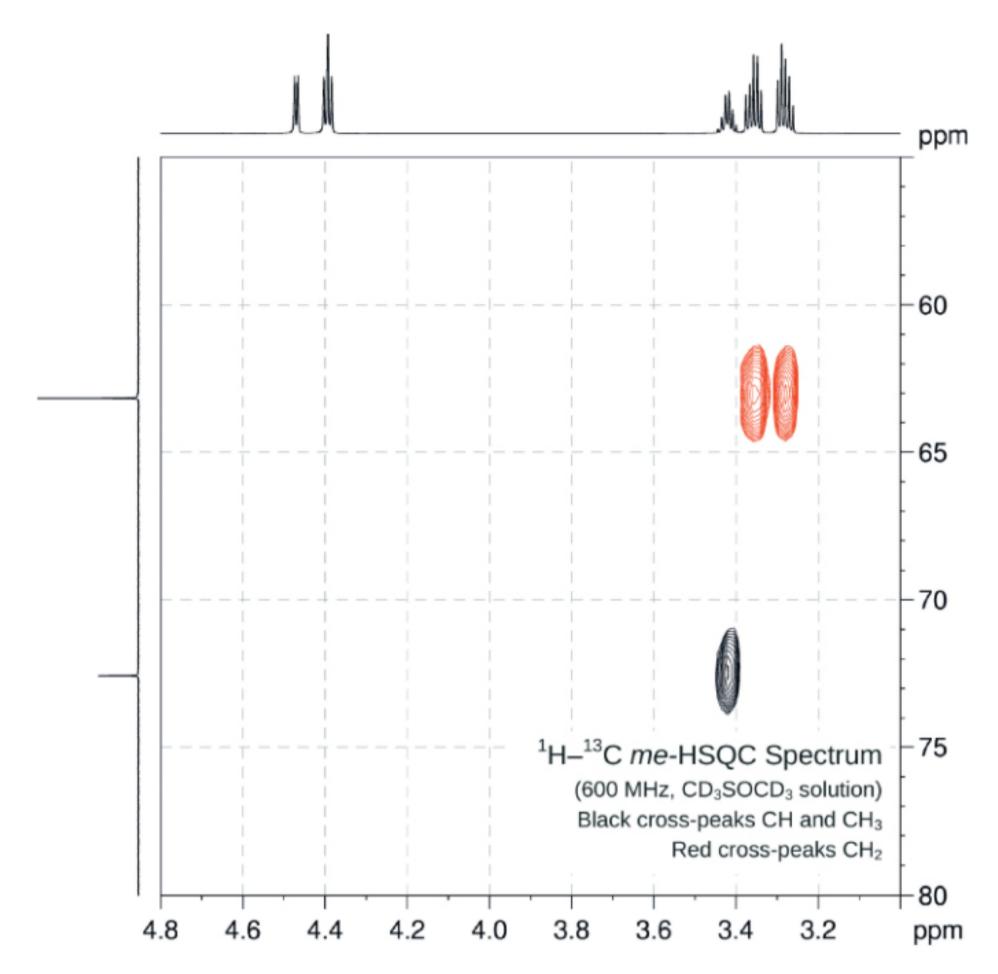


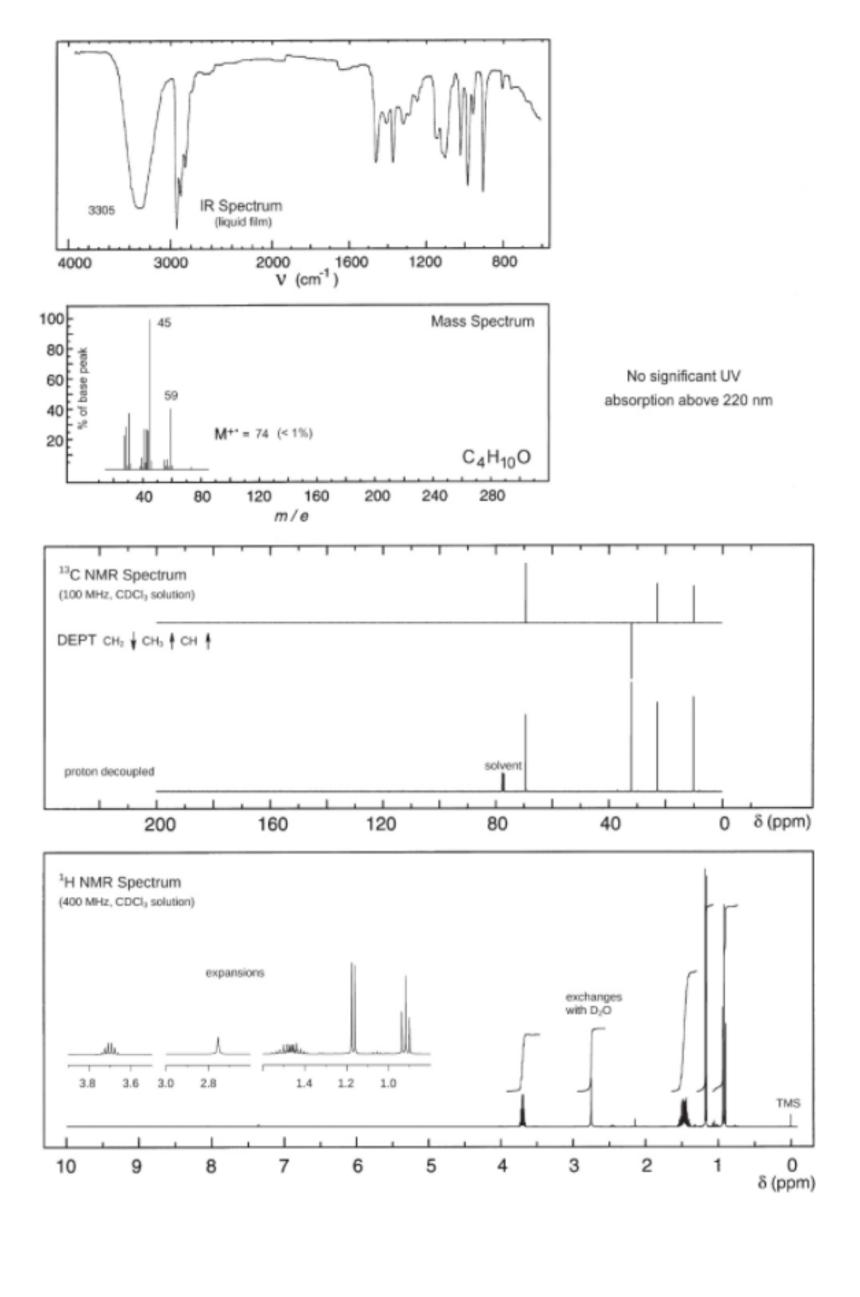


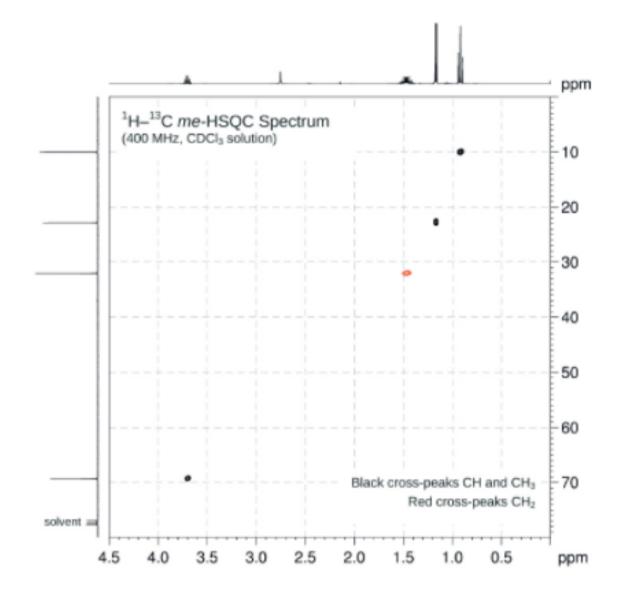


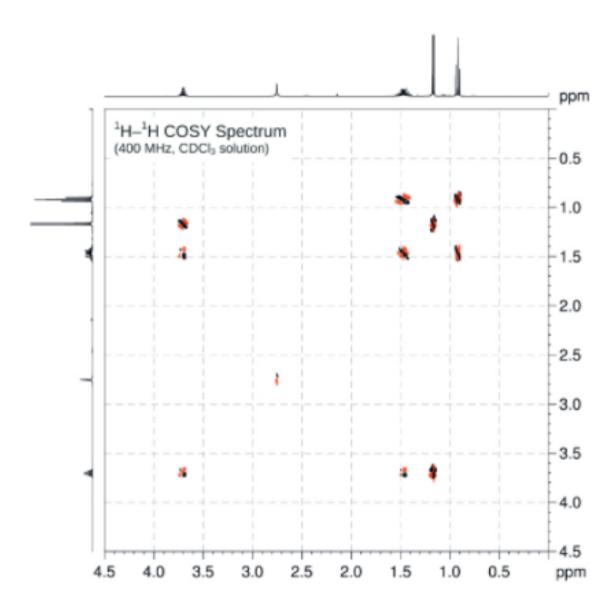
Problem 219

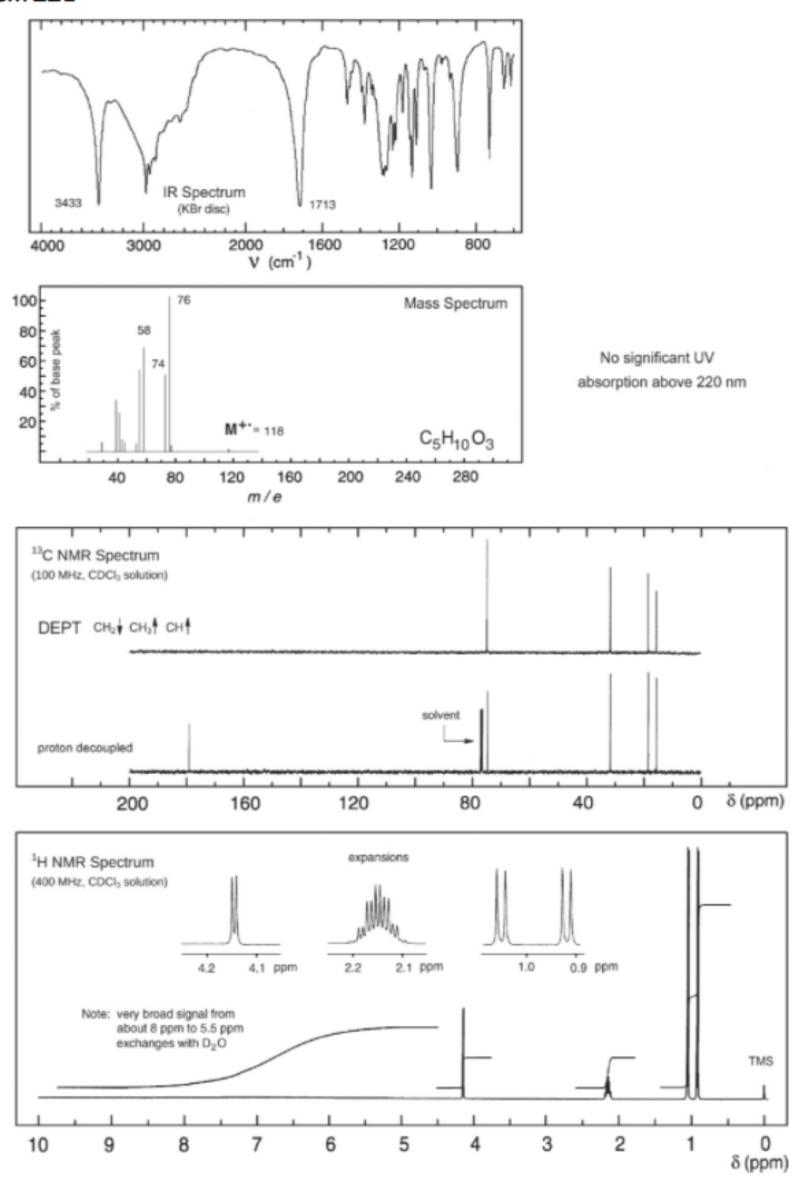


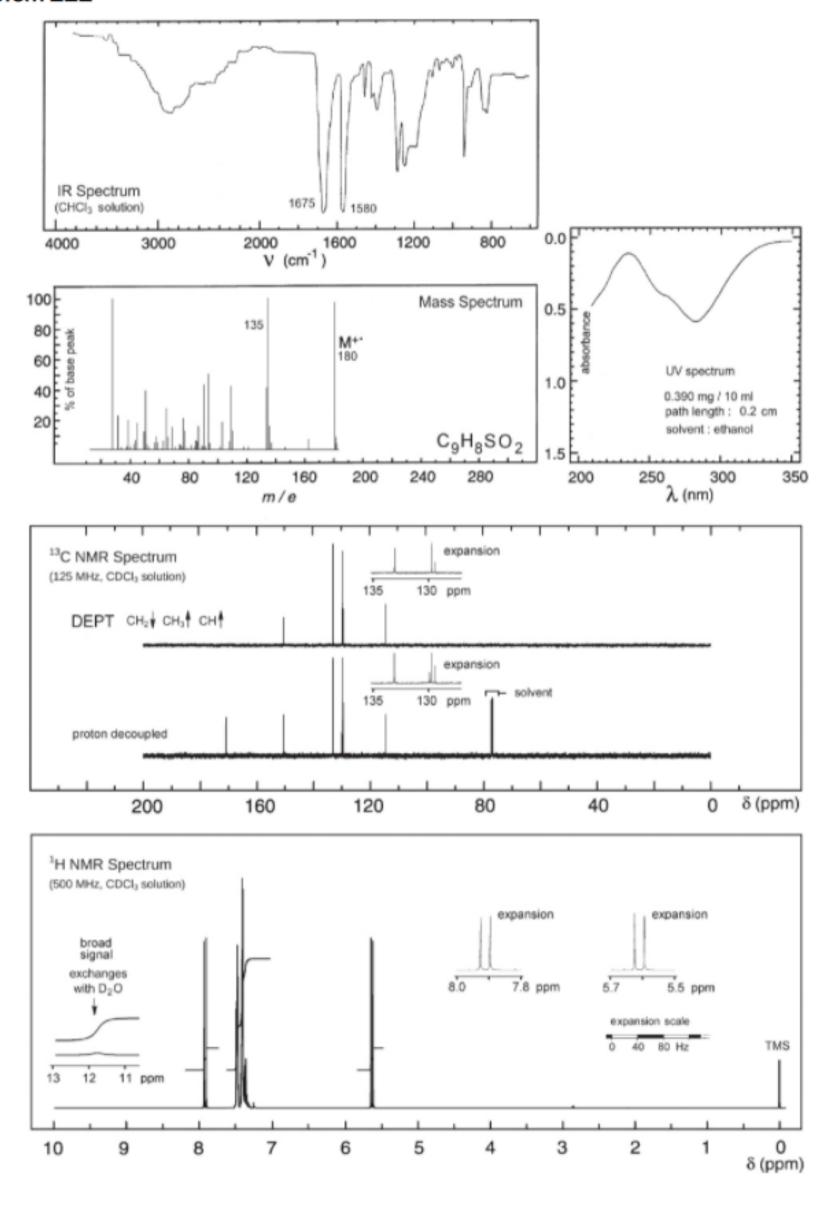


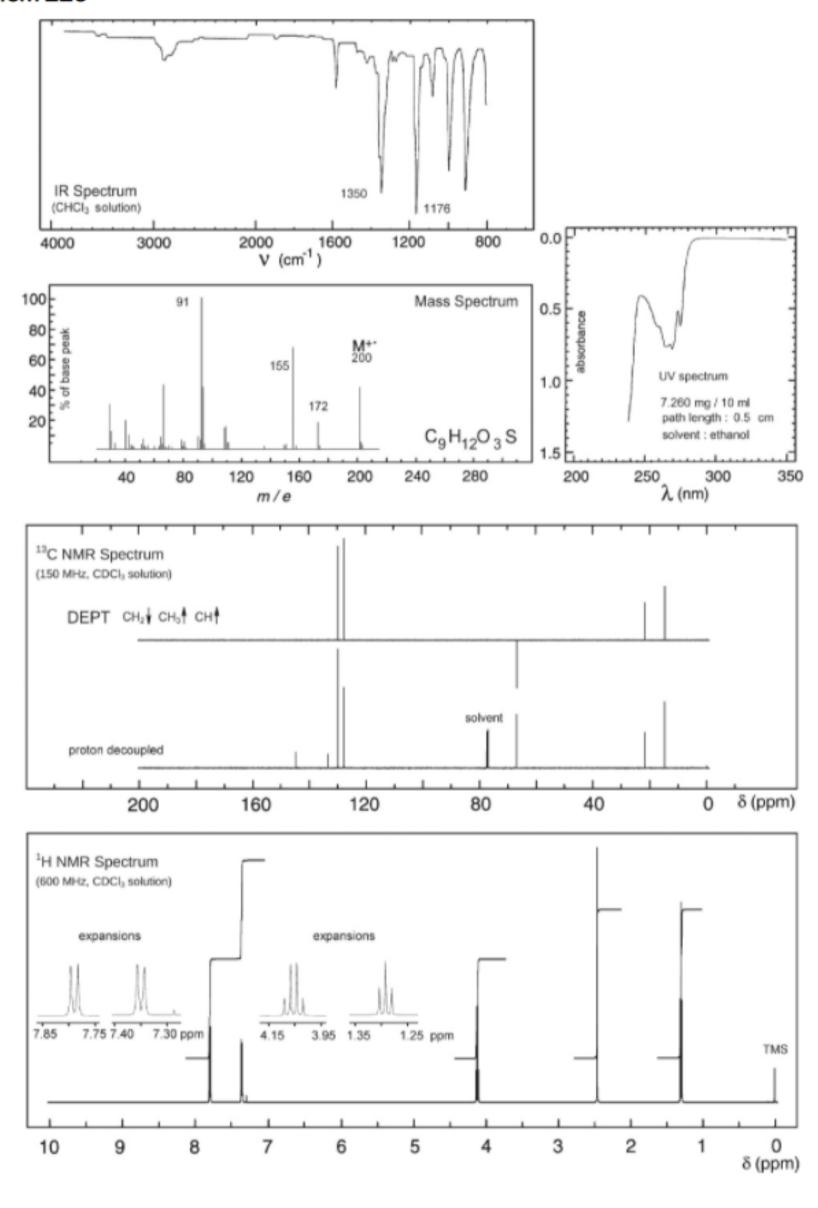


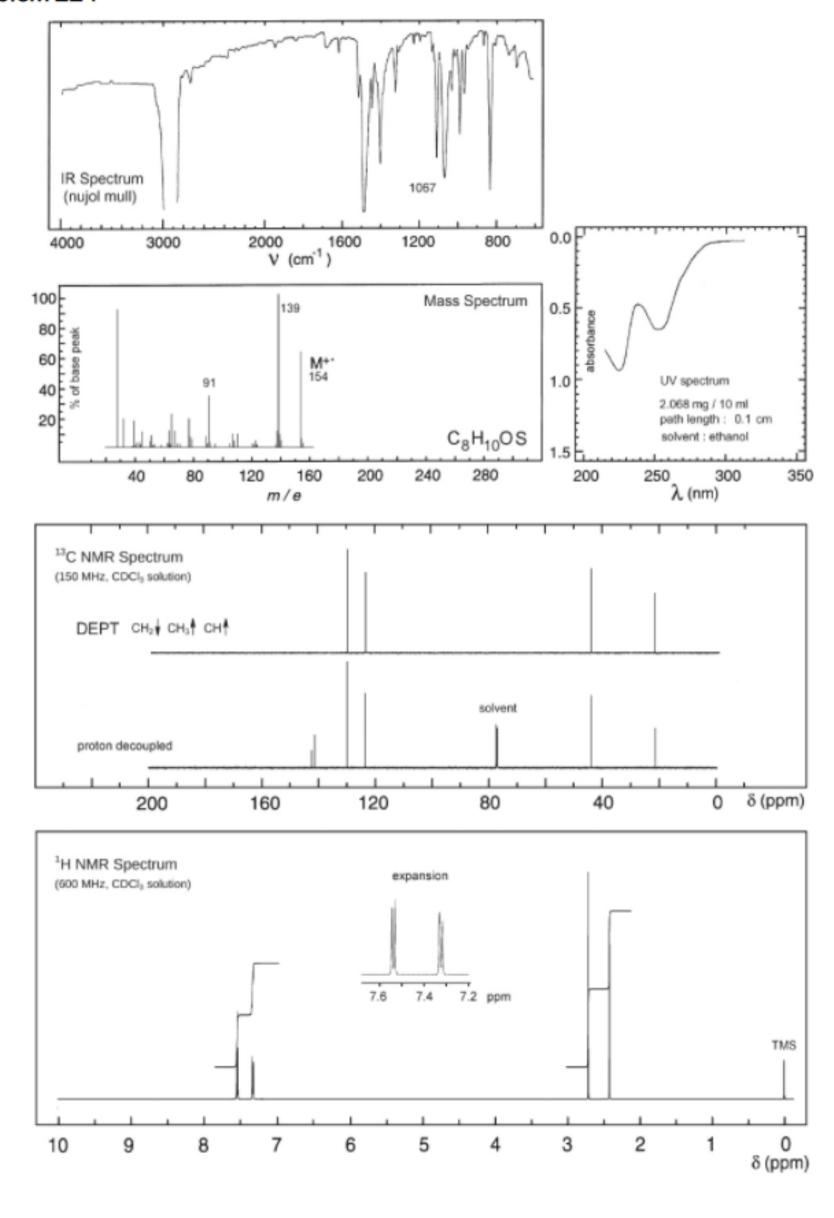


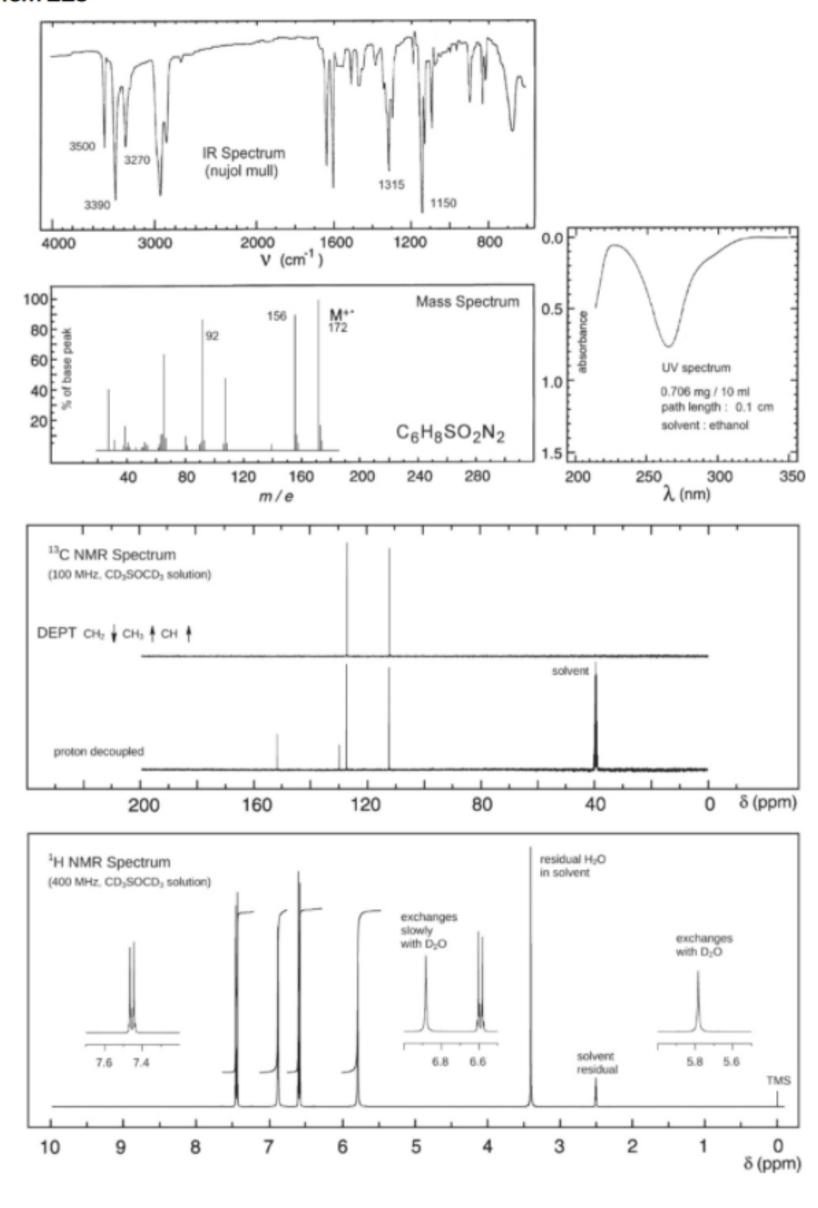


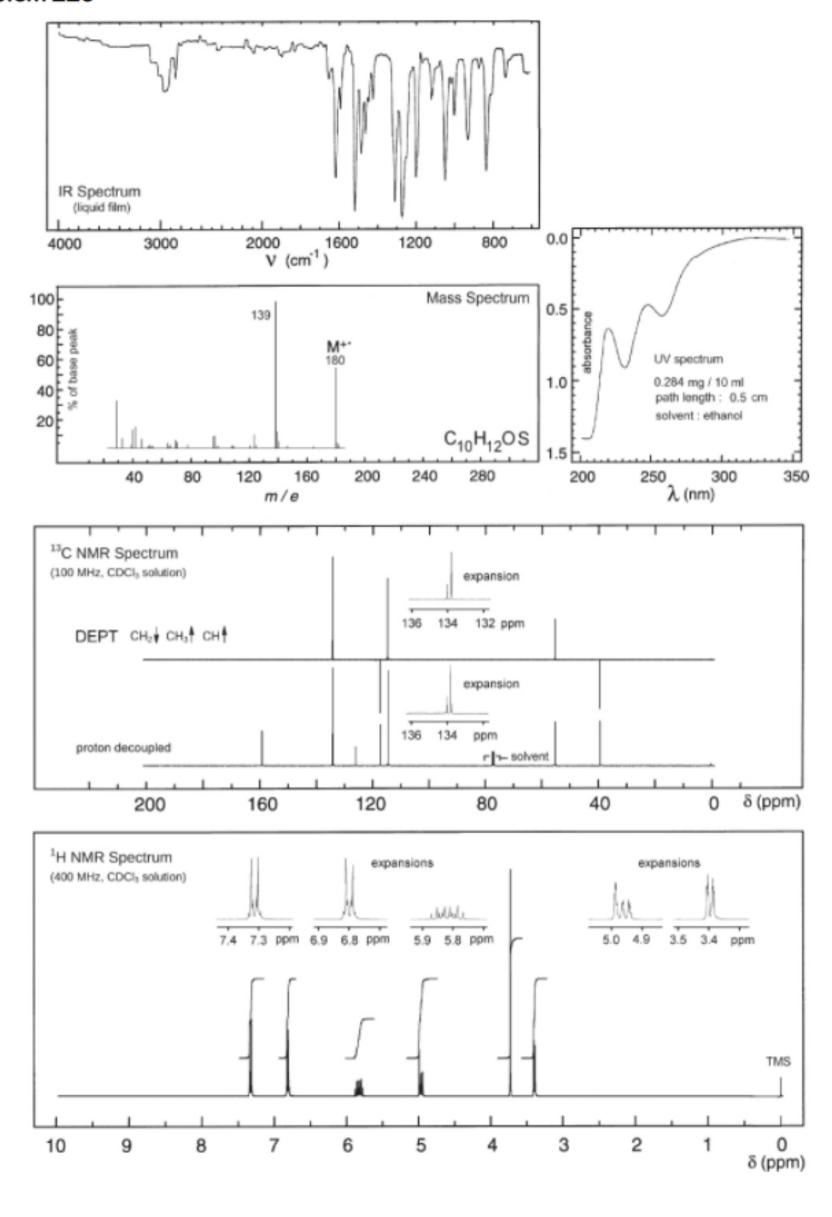


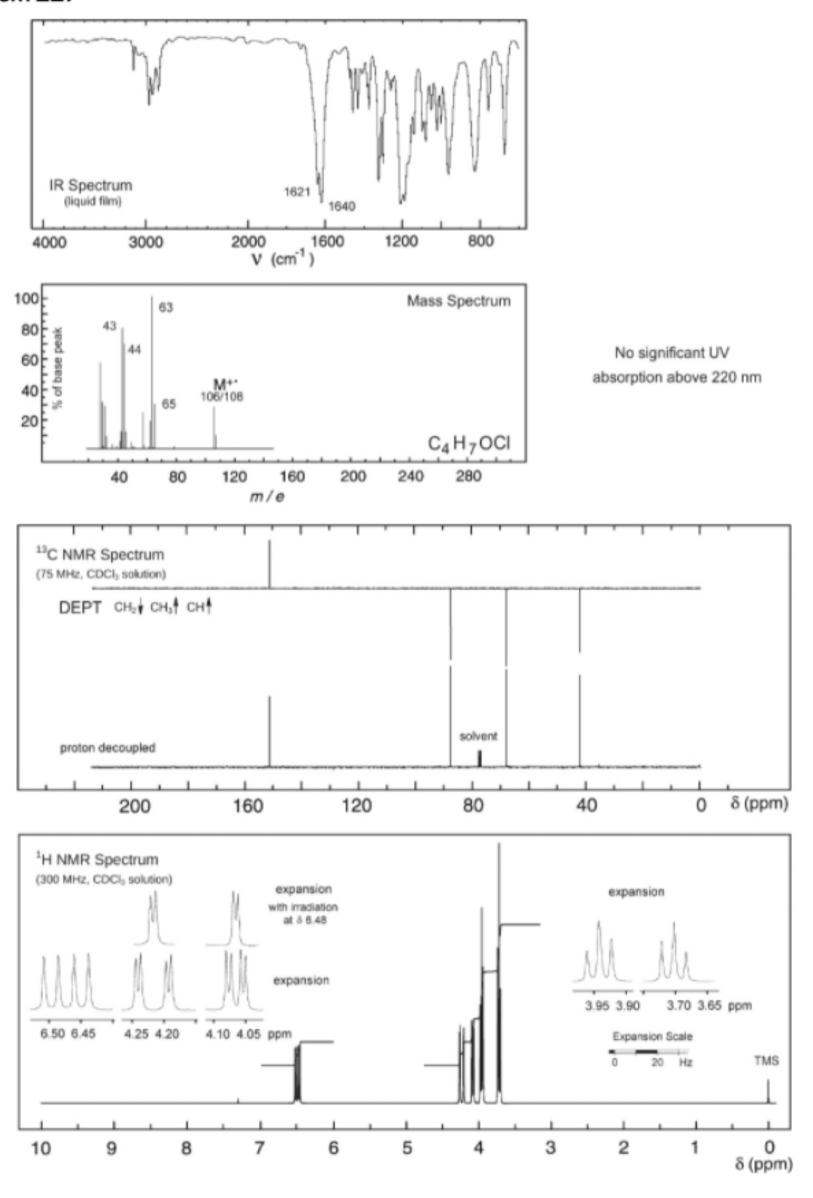


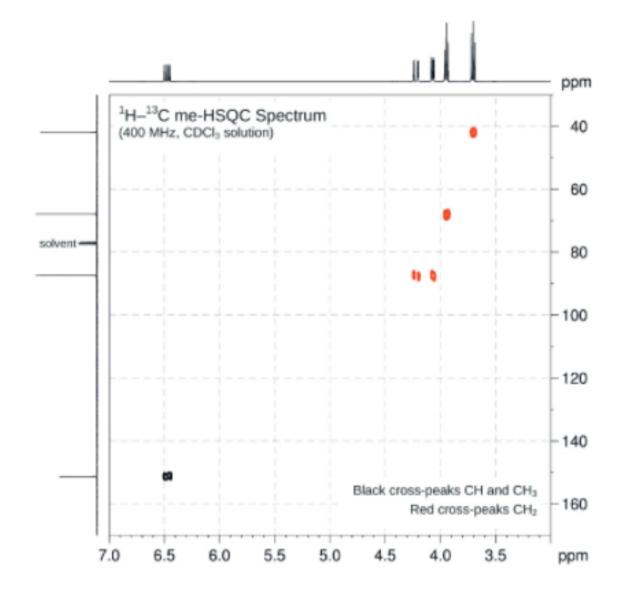


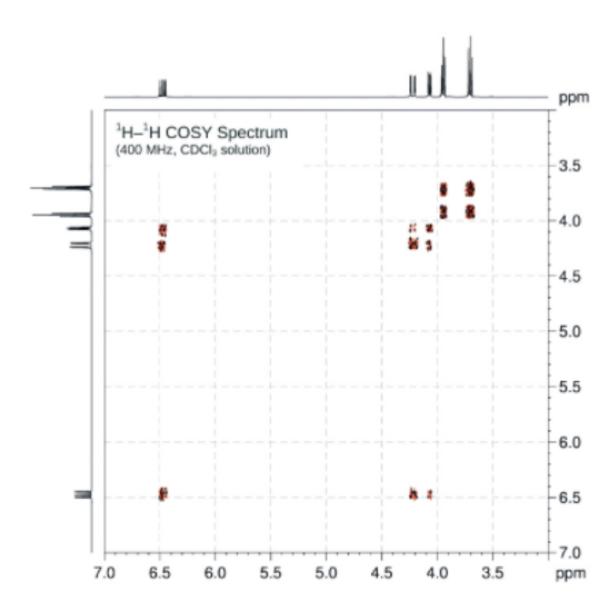


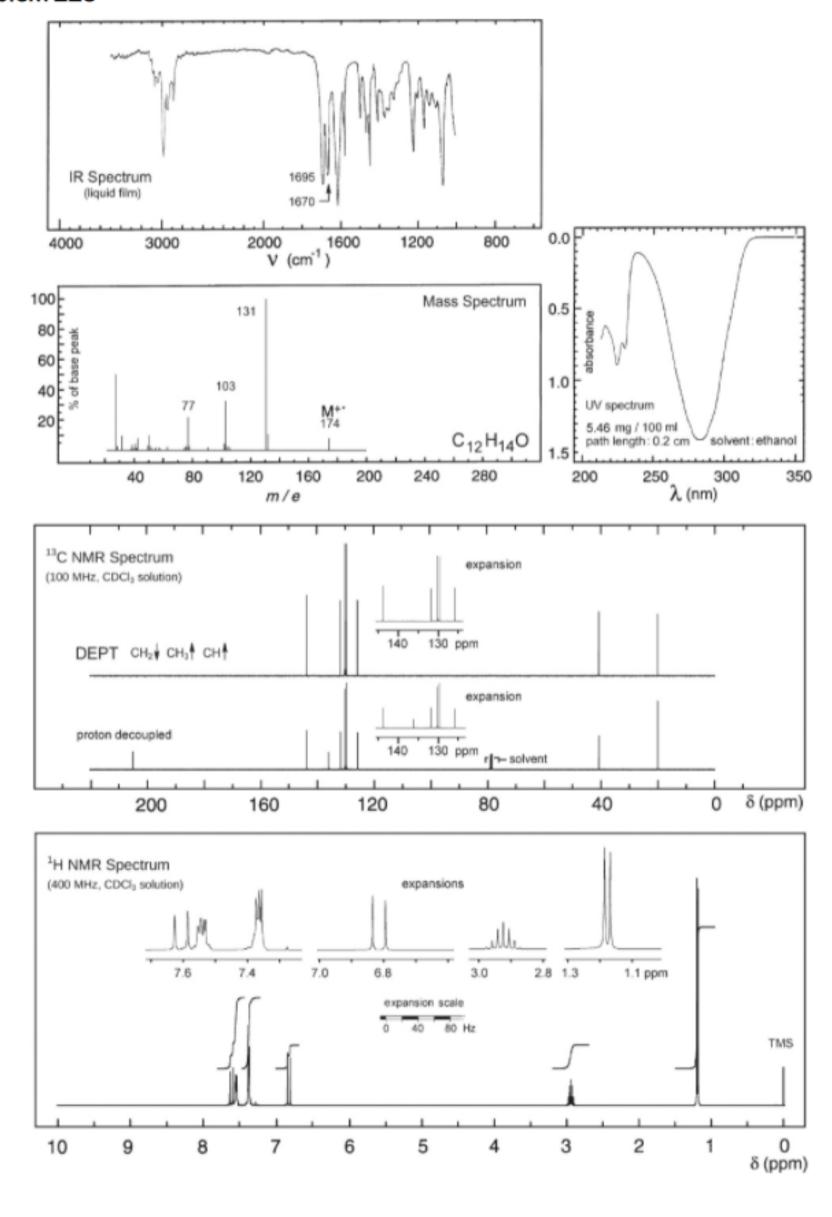


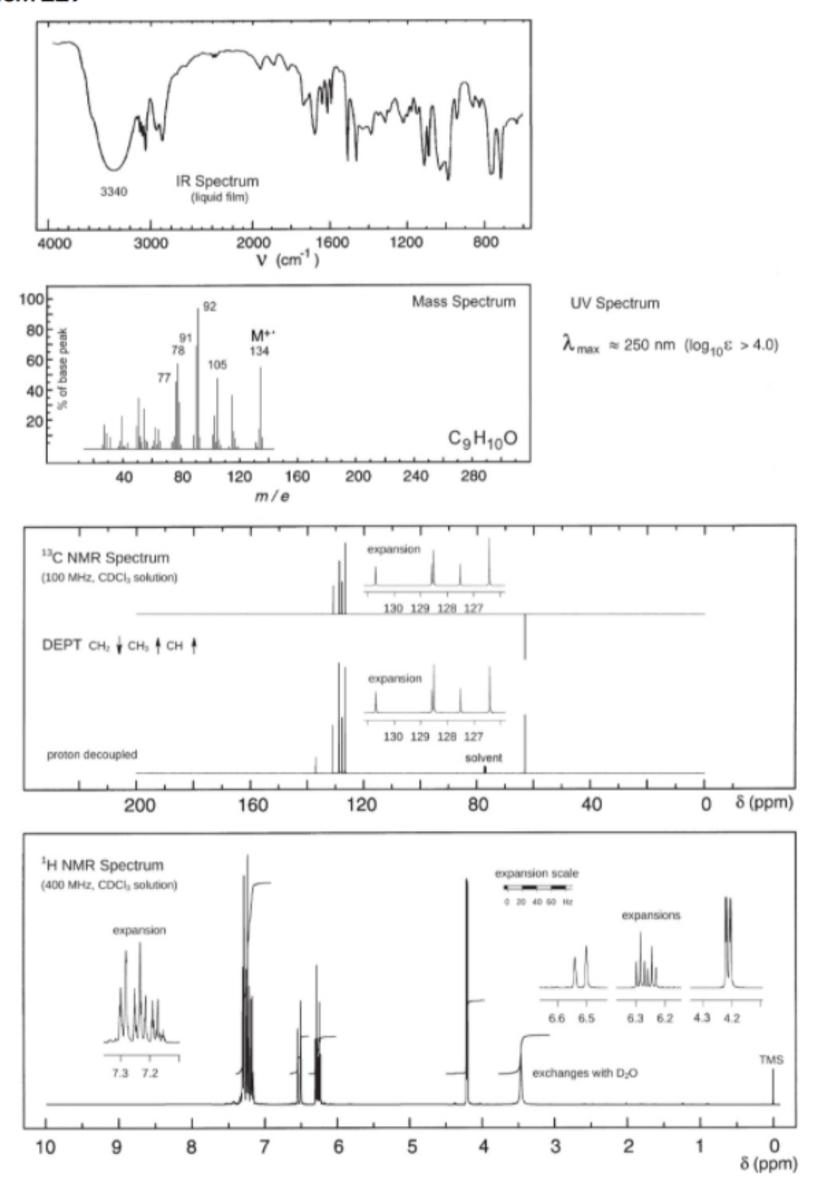


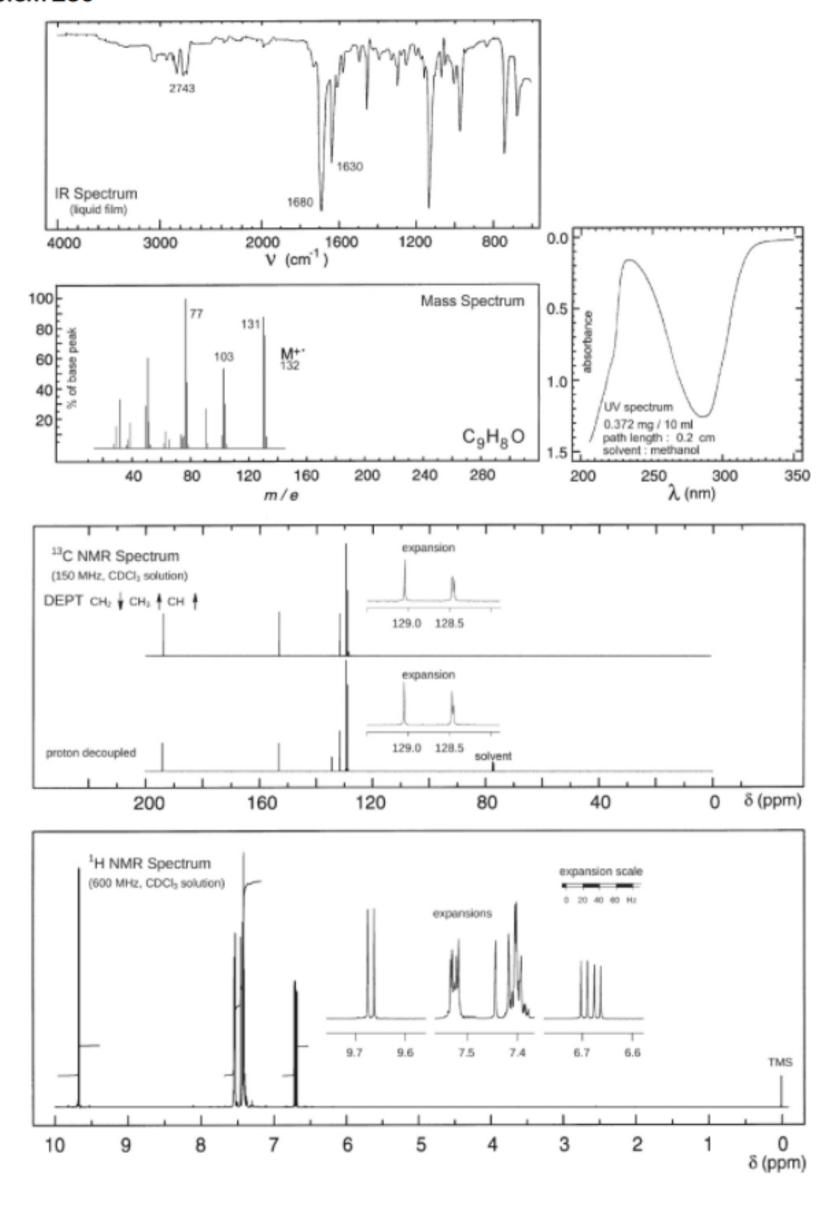


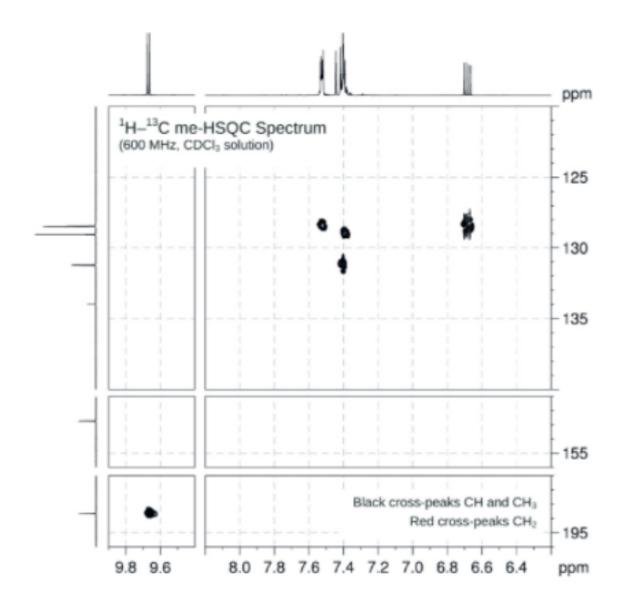


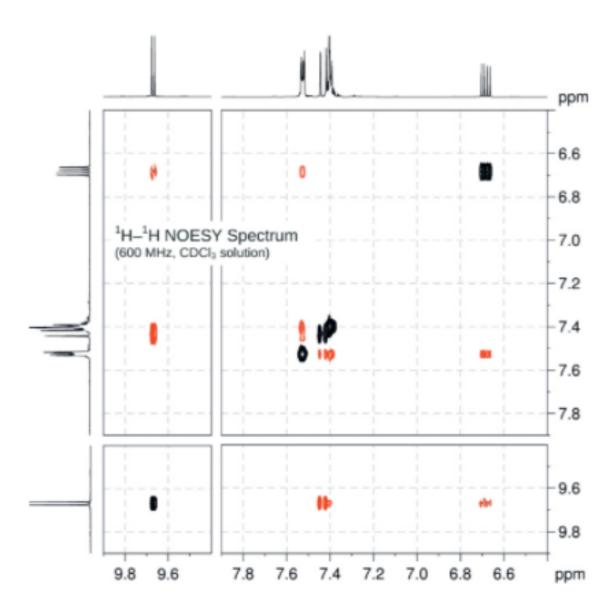


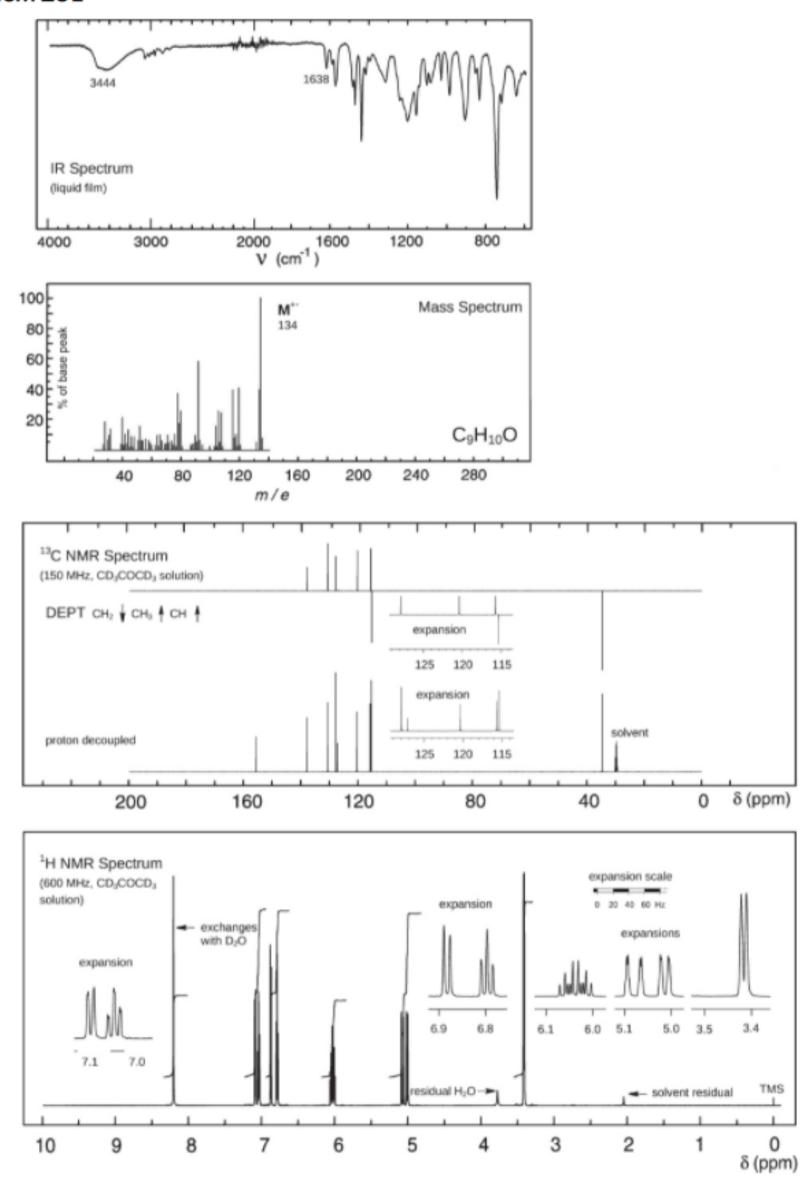


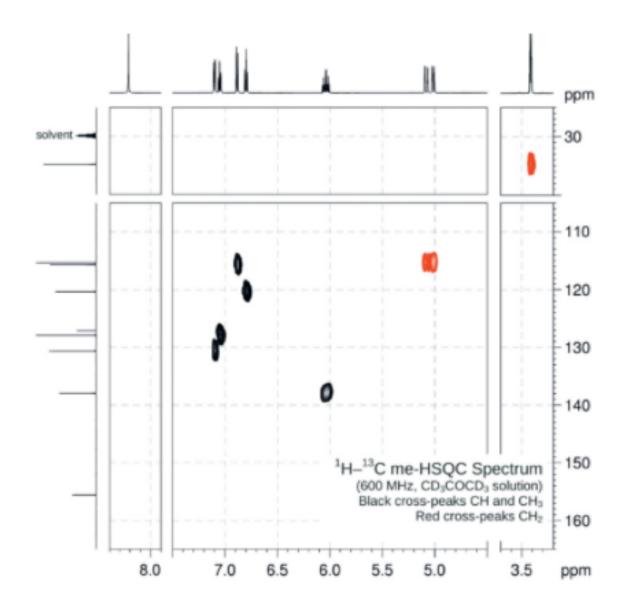


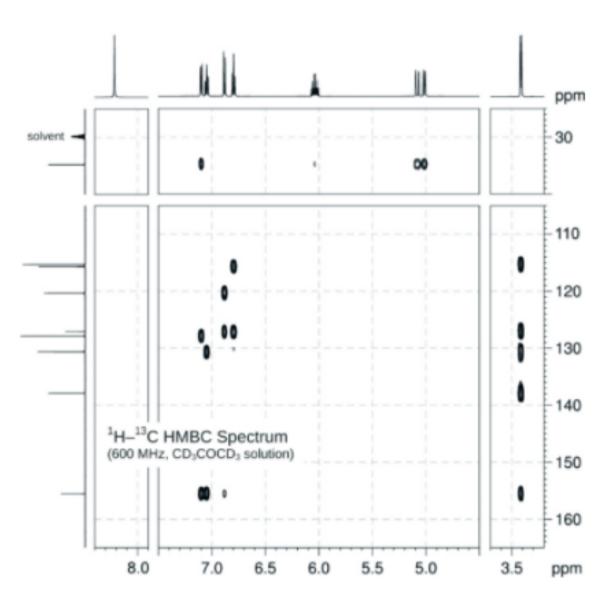


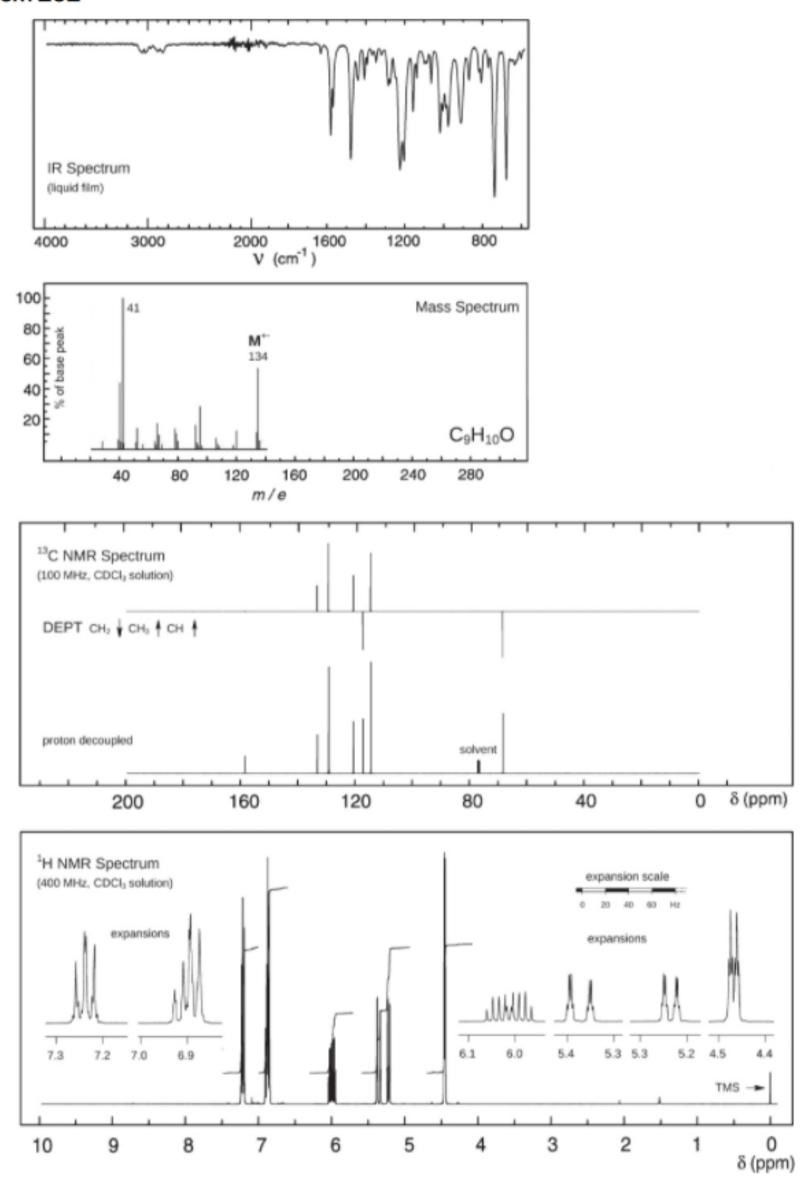


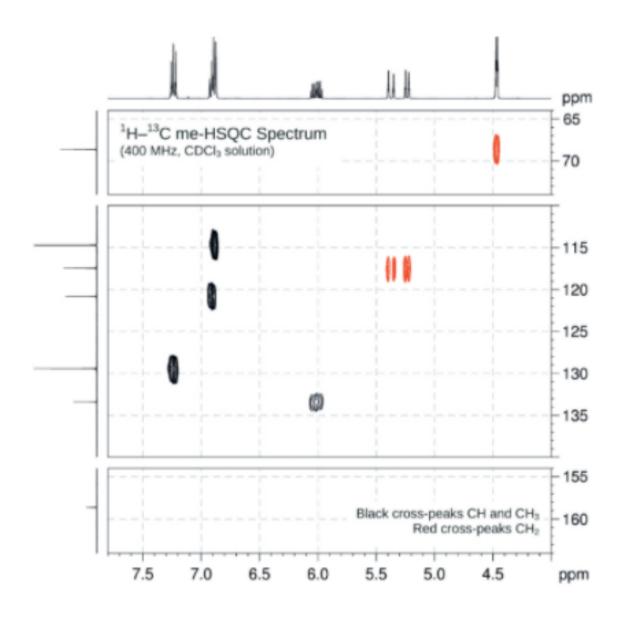


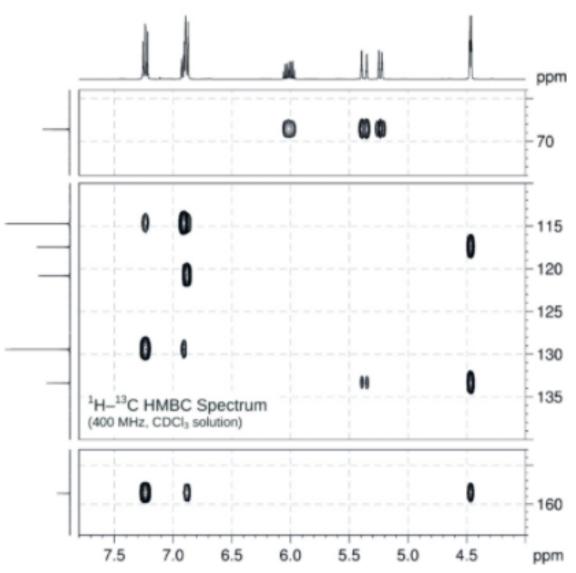


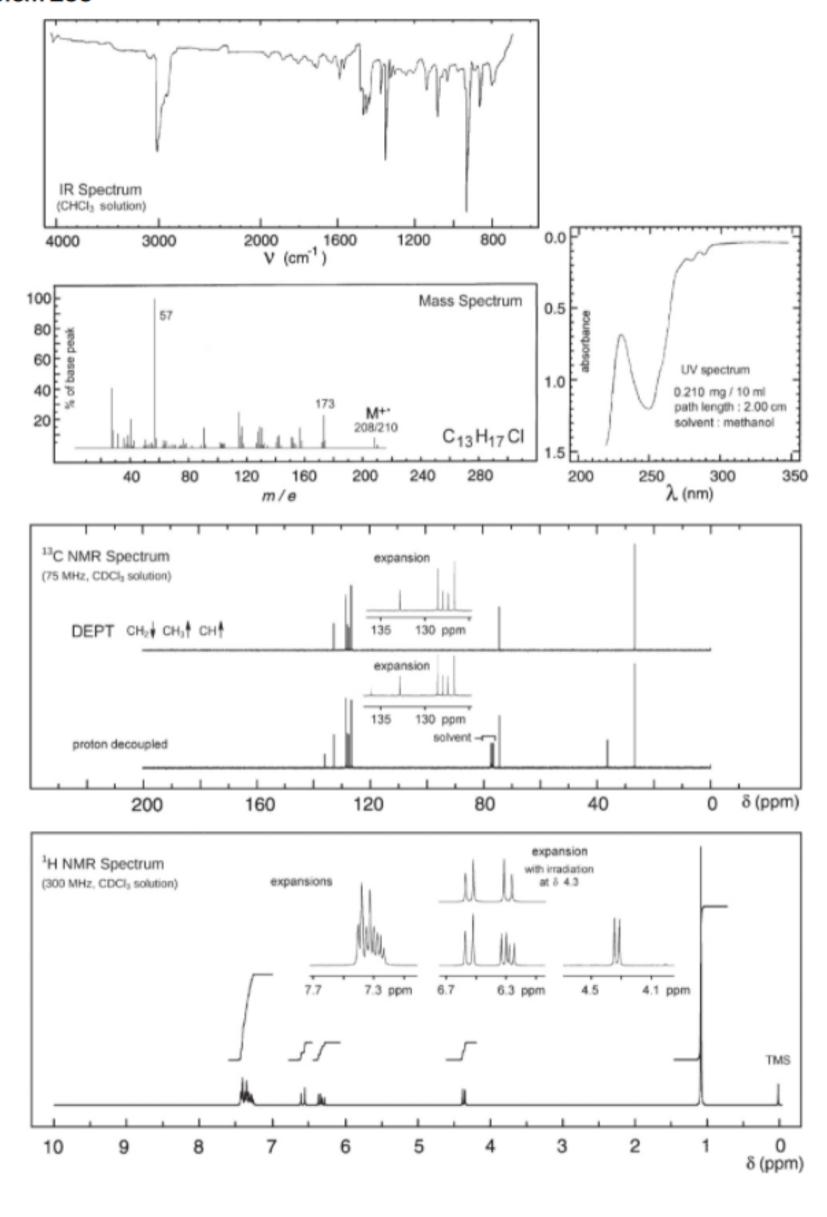


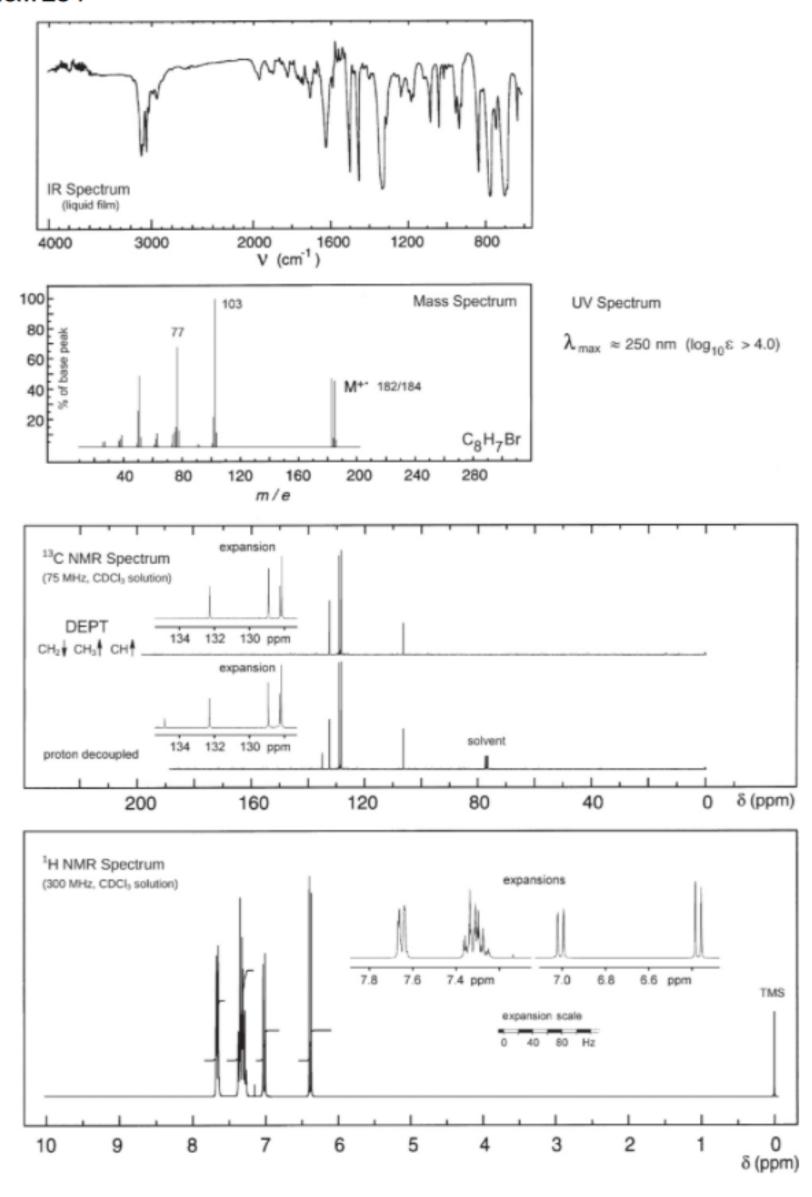


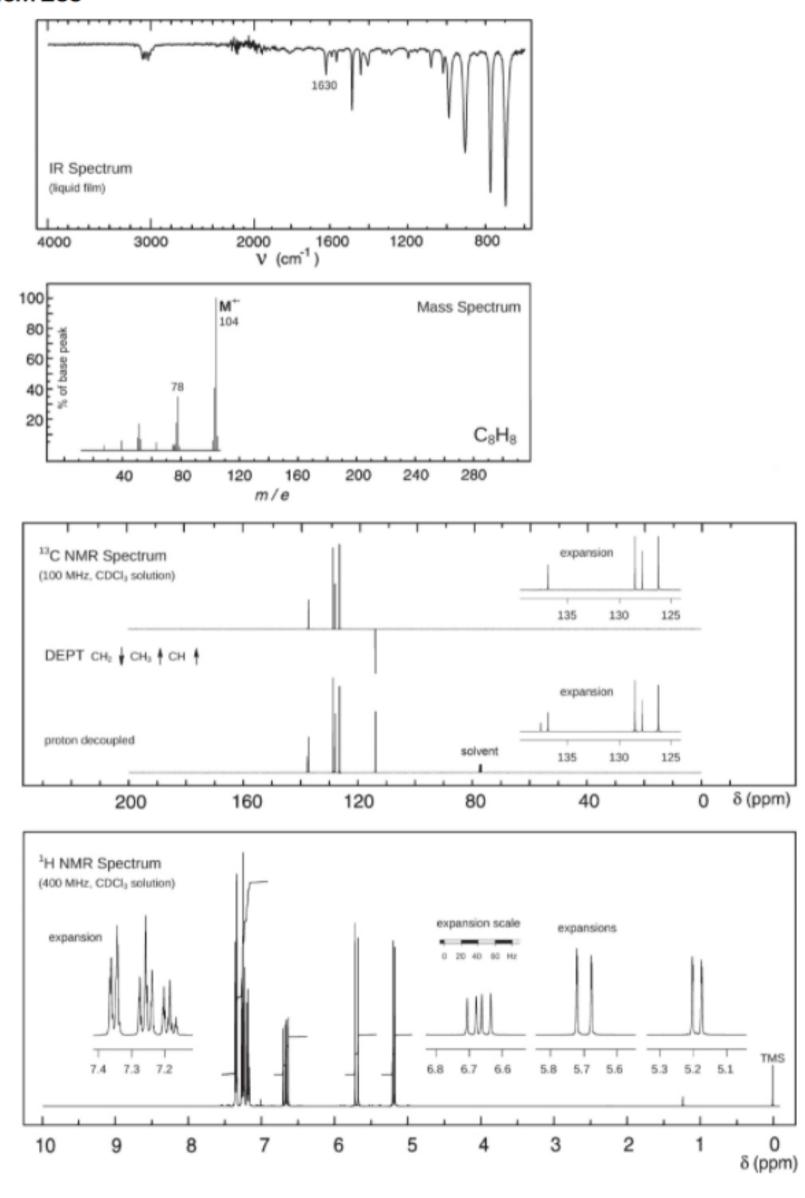


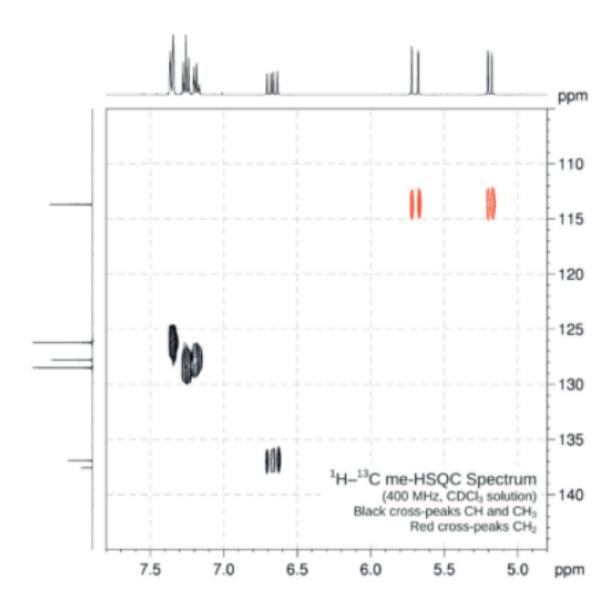


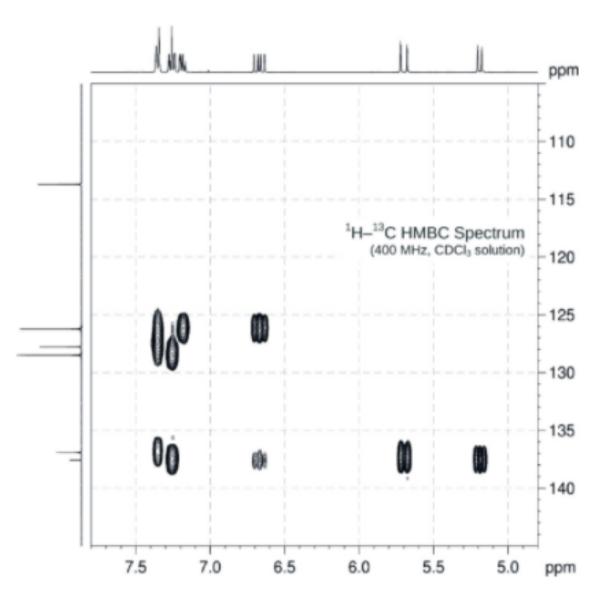


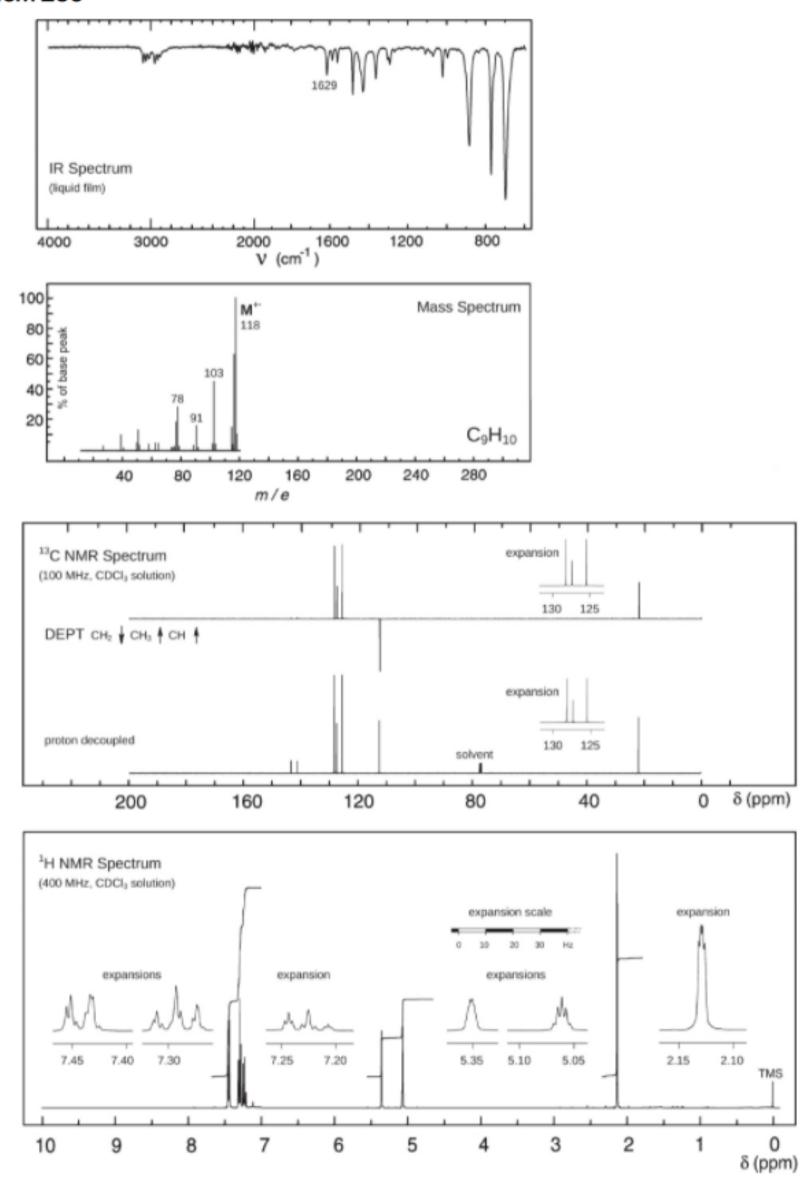


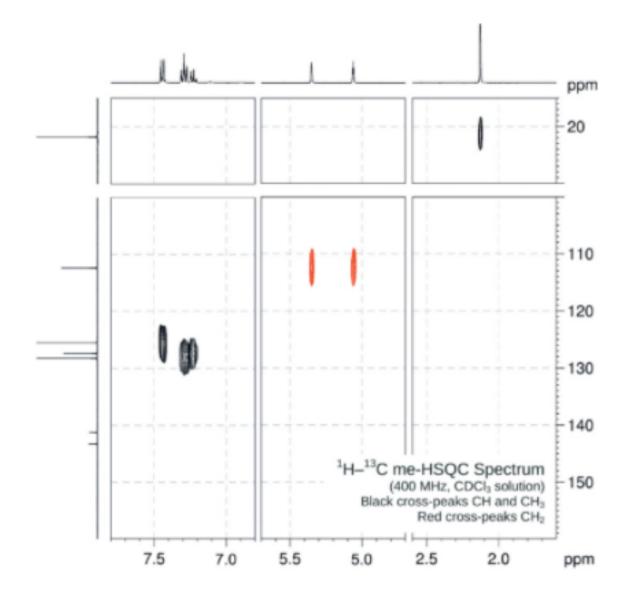


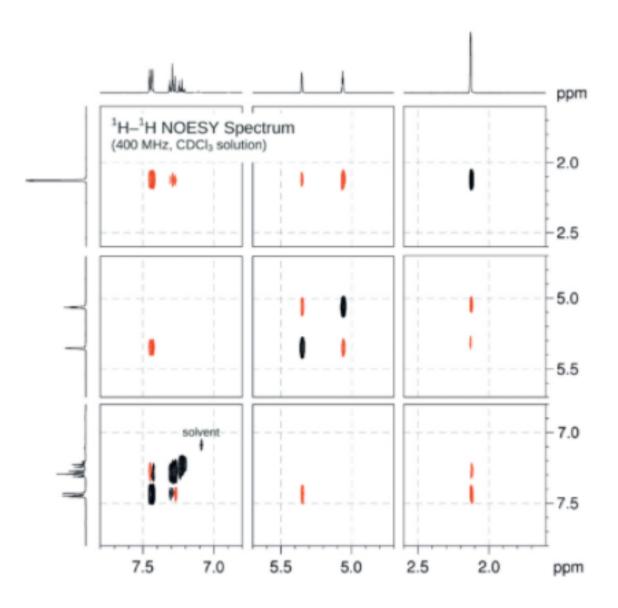


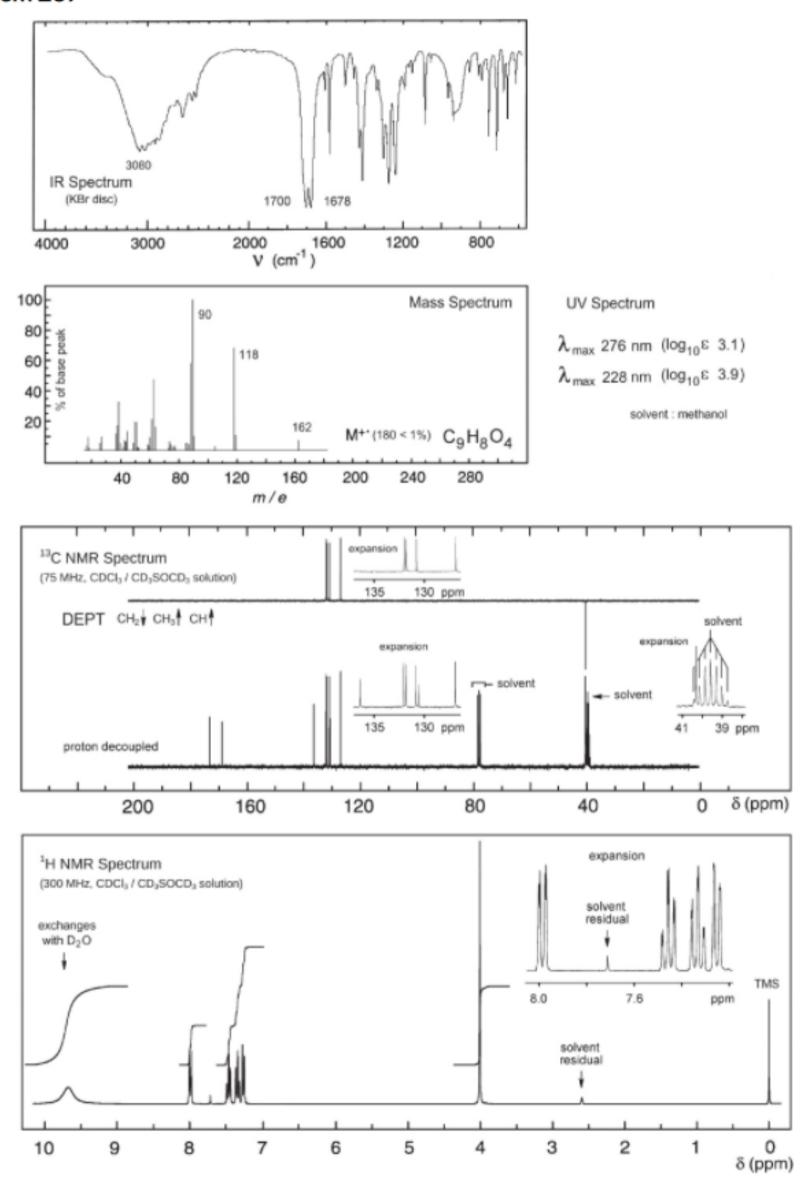


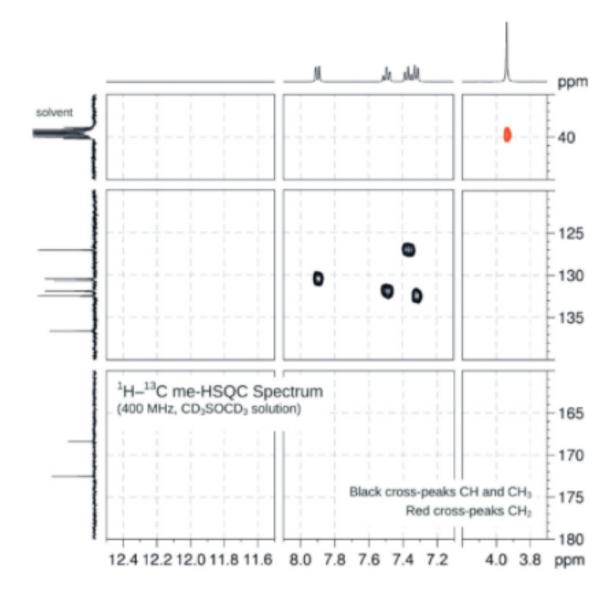


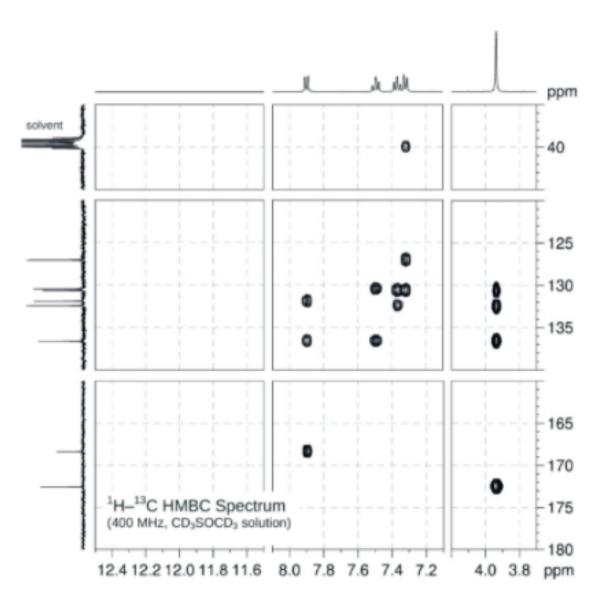


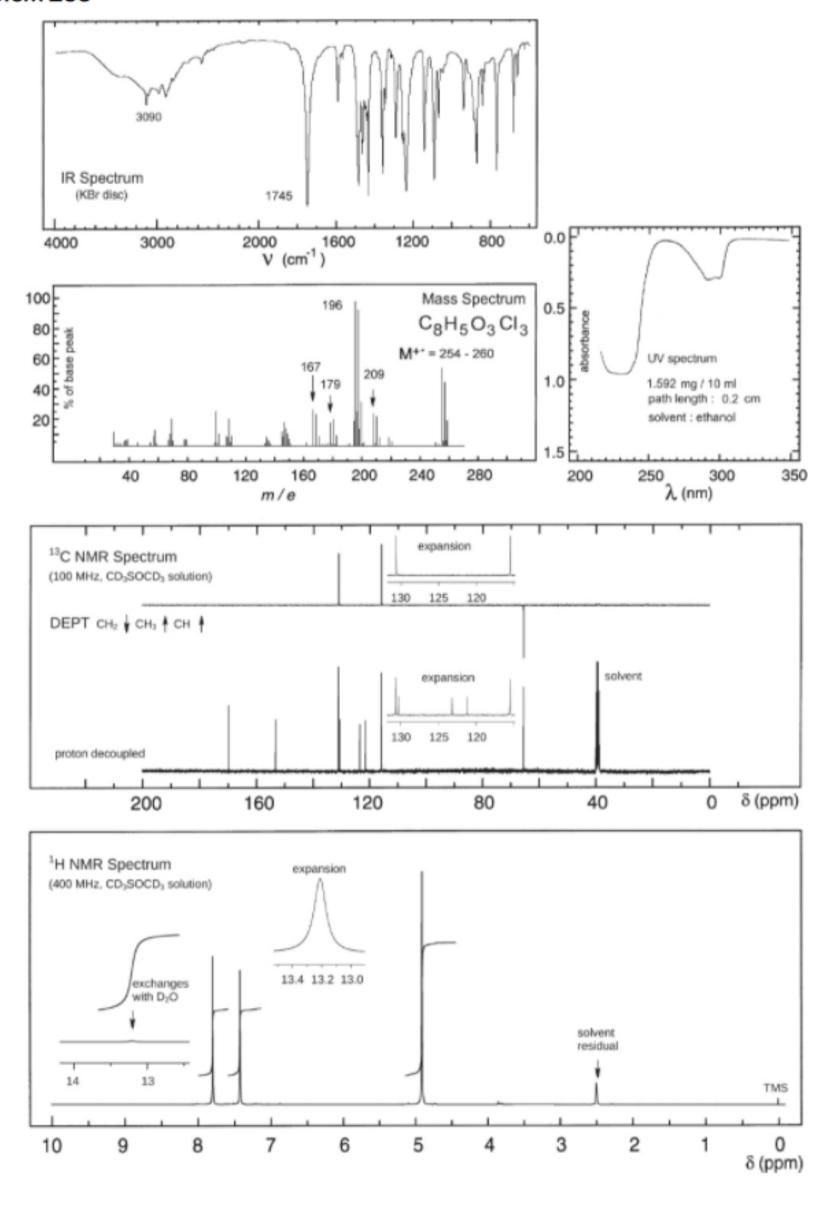


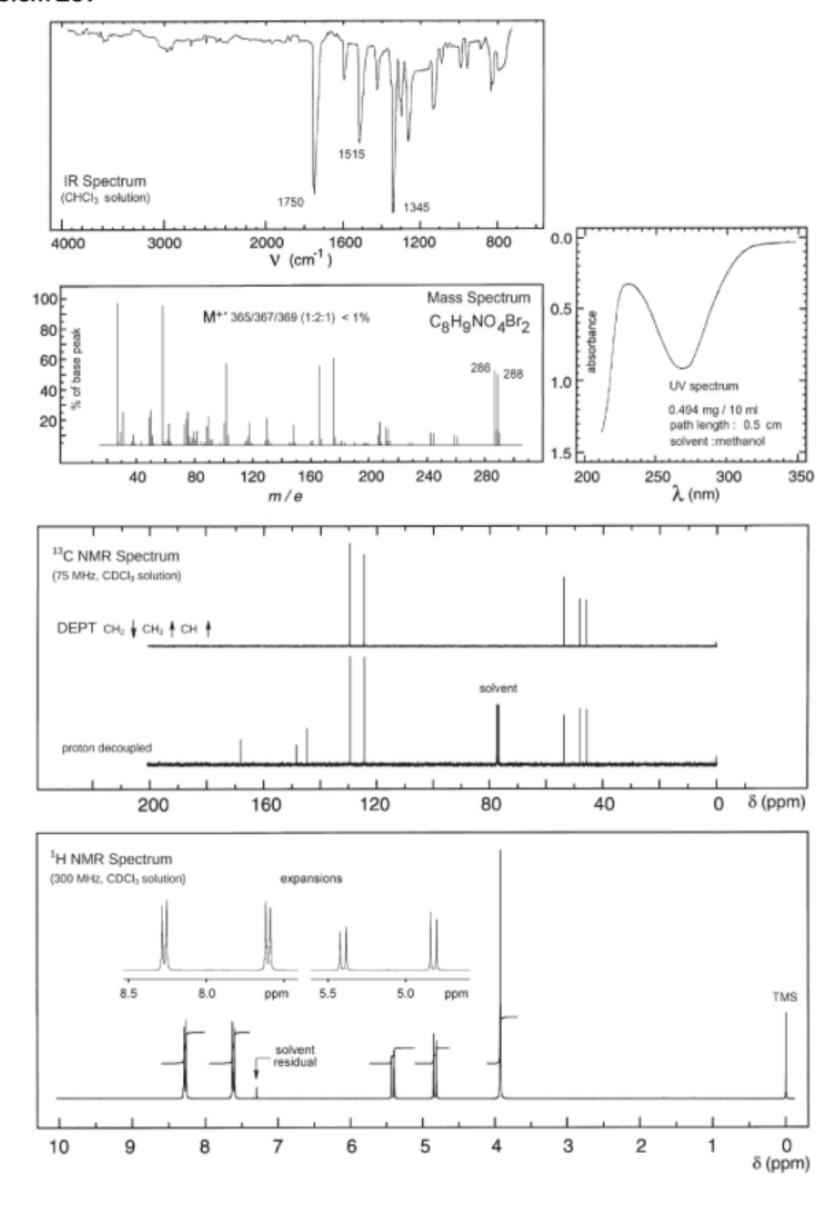


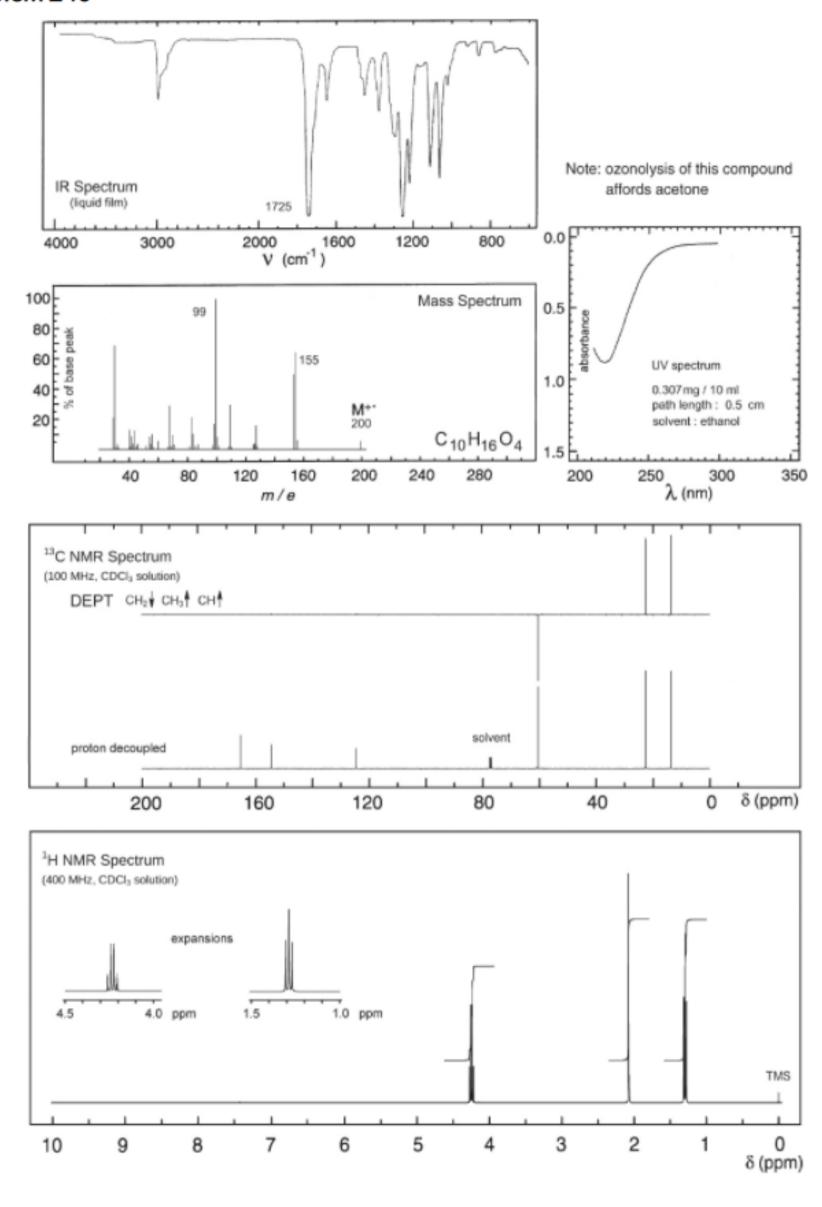


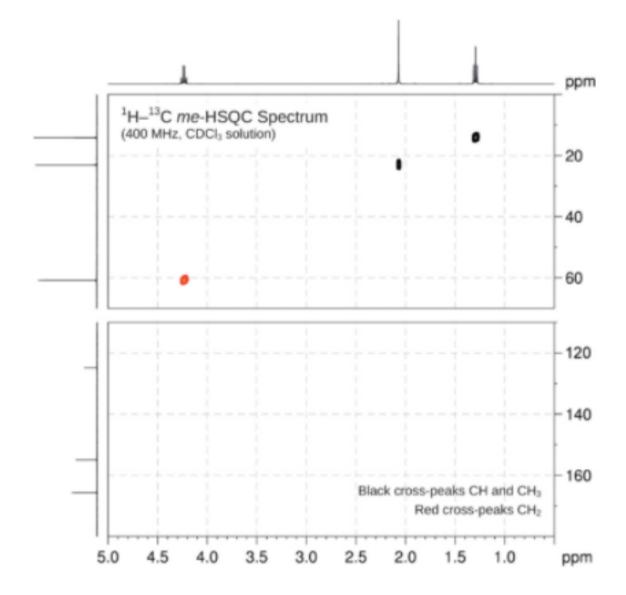


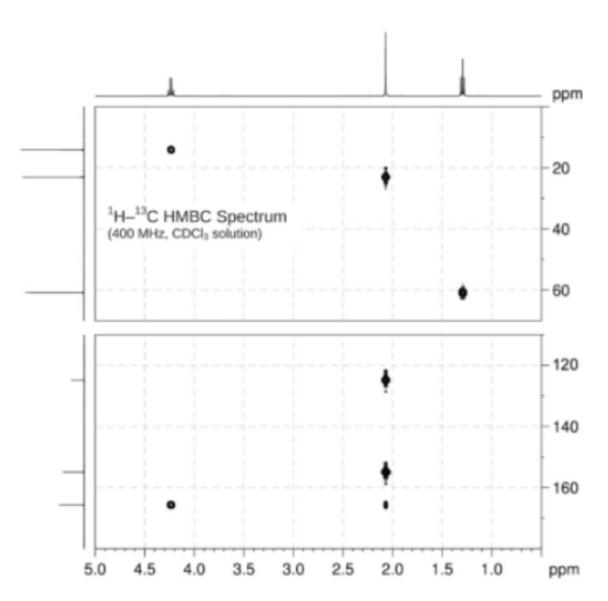


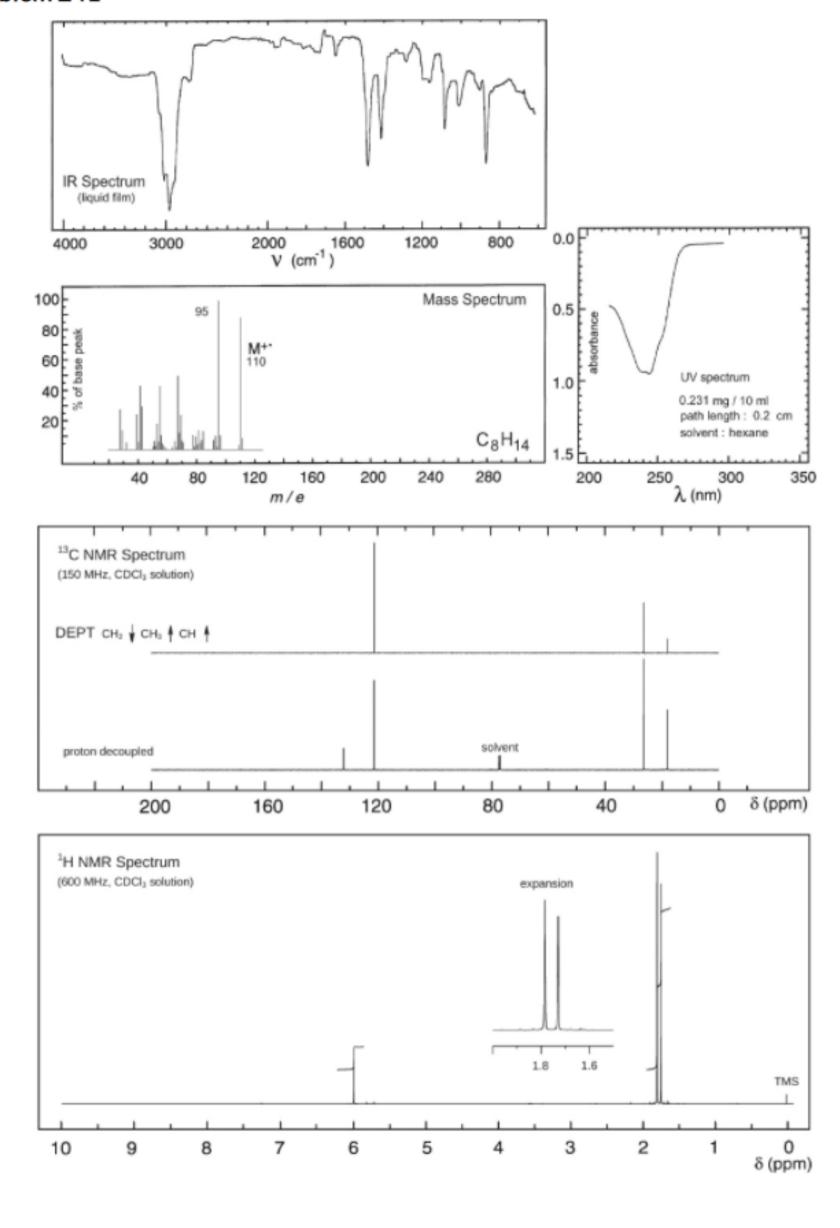


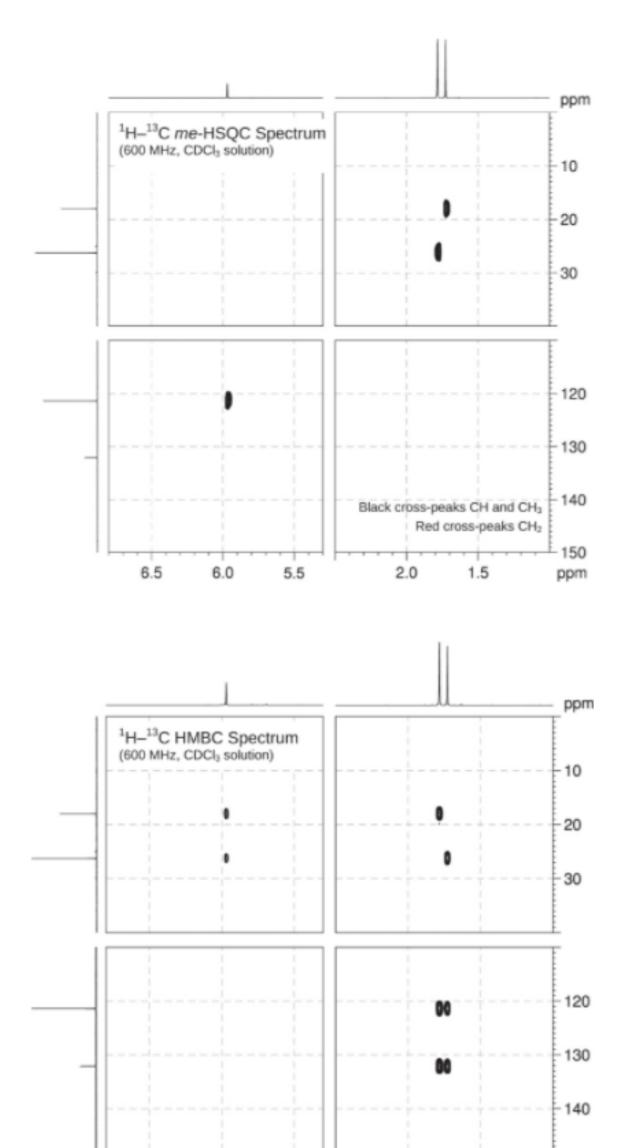












6.5

6.0

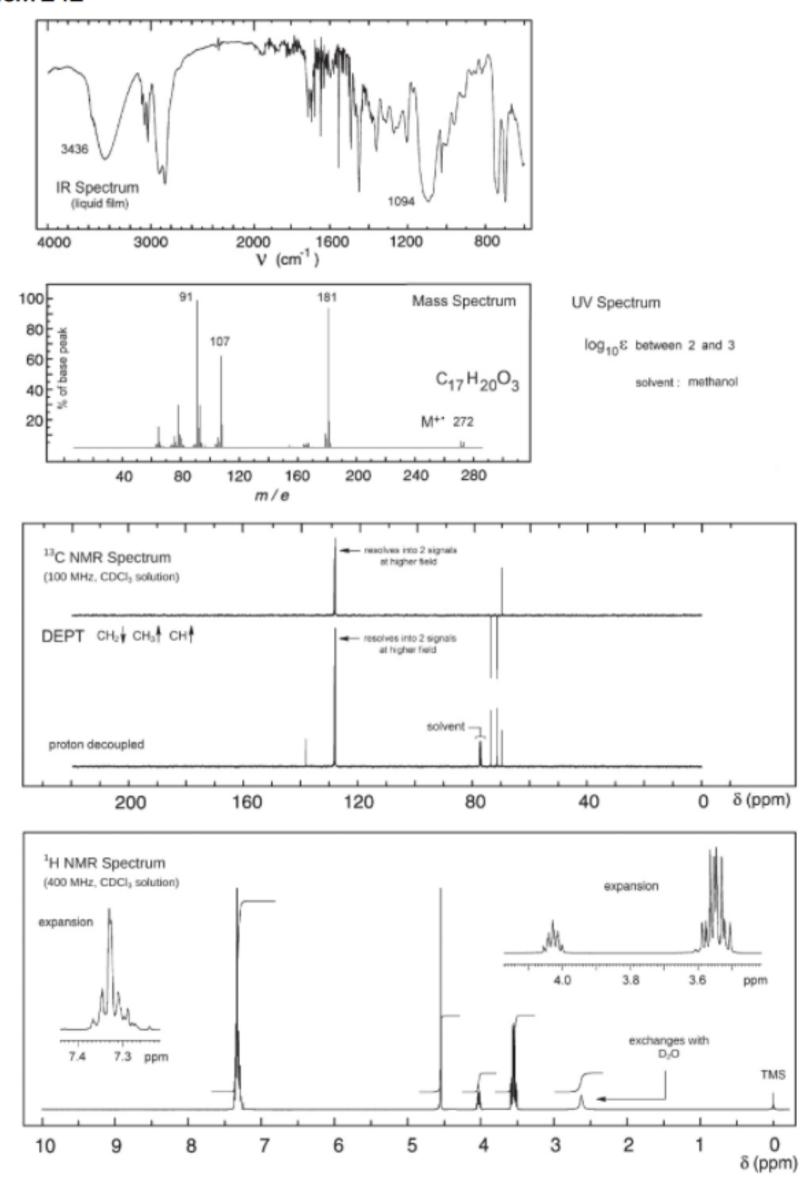
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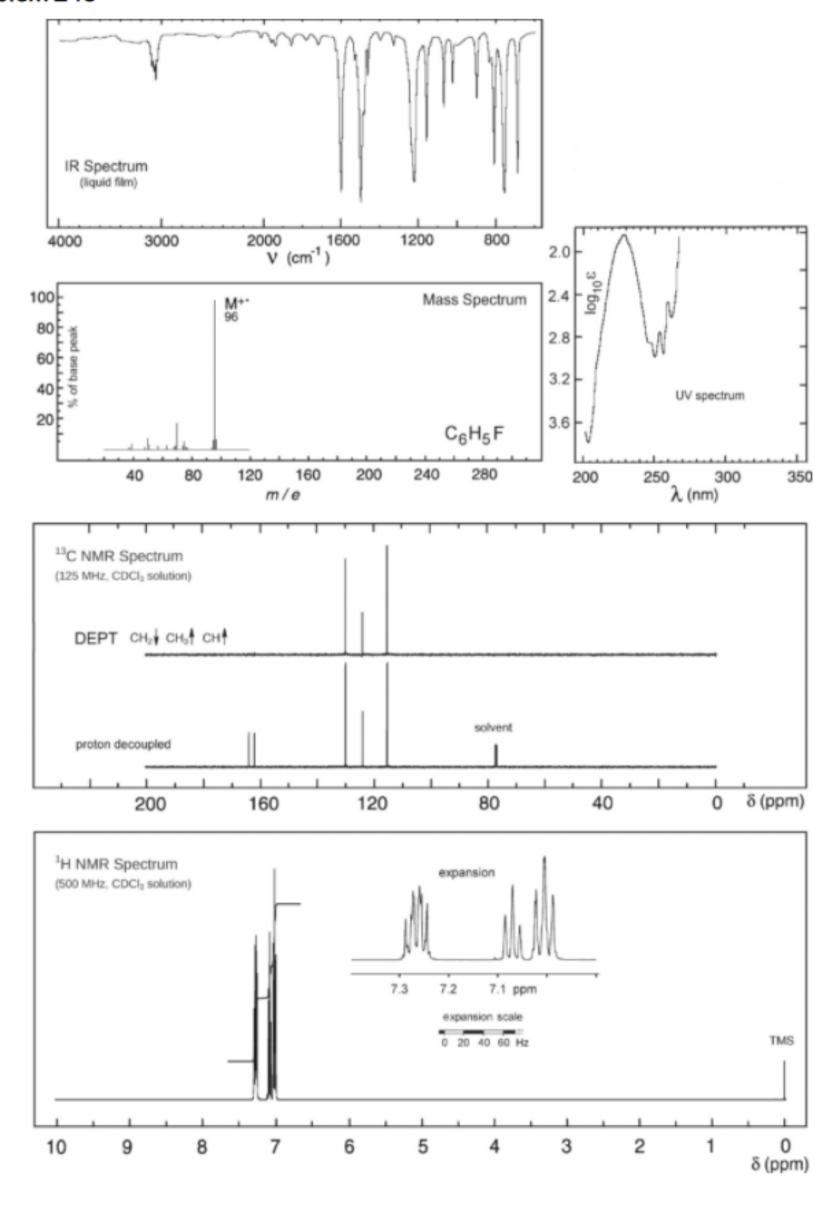
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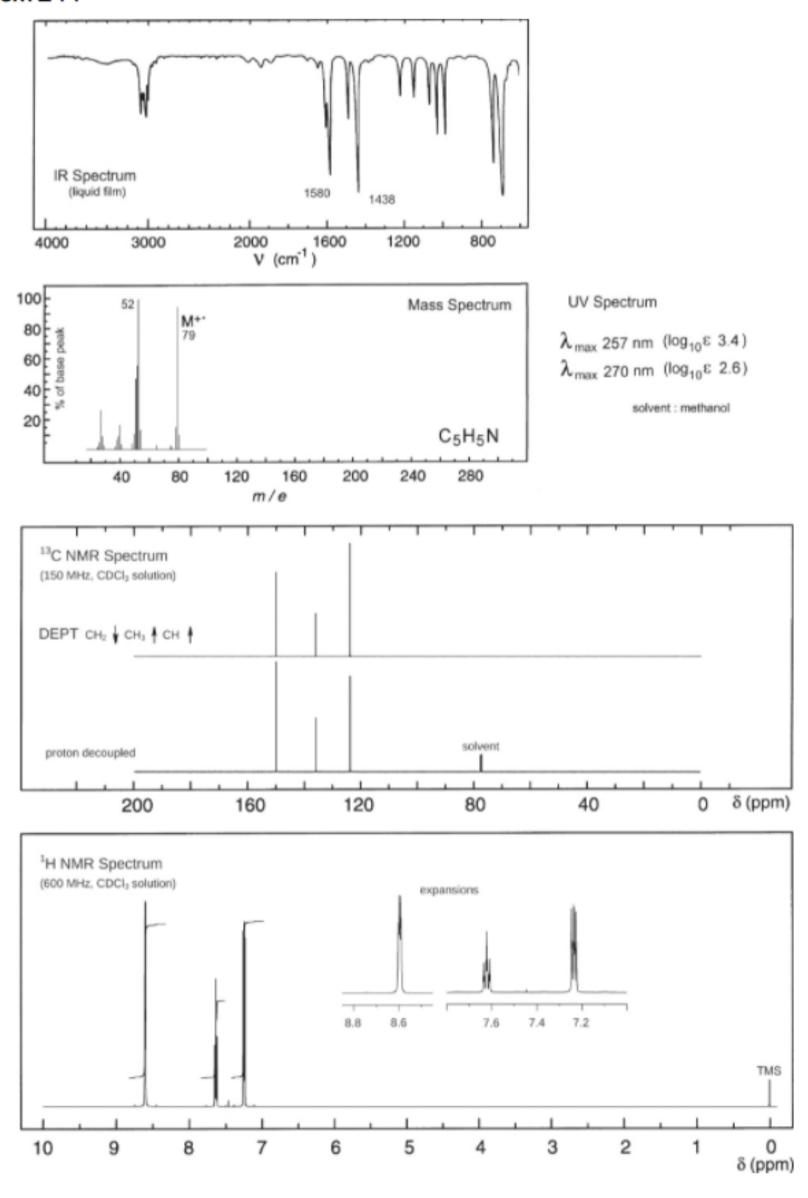
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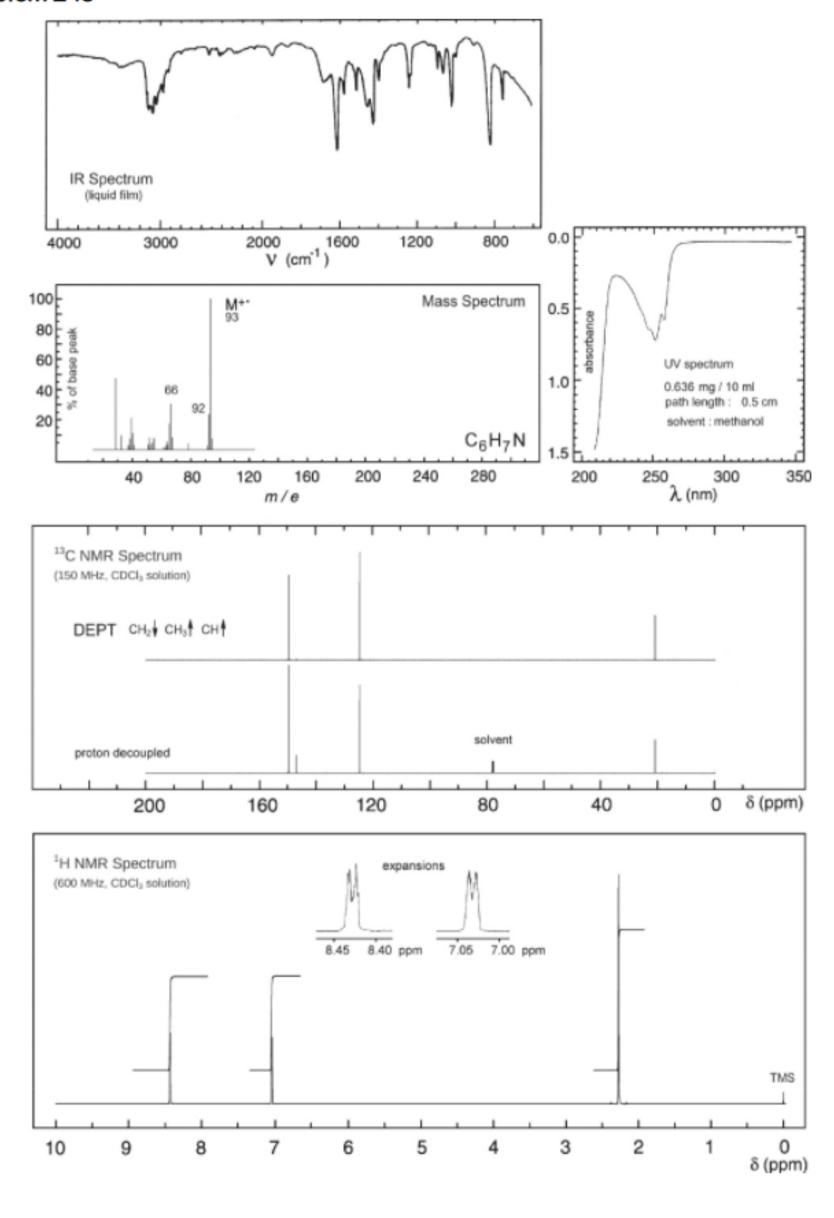
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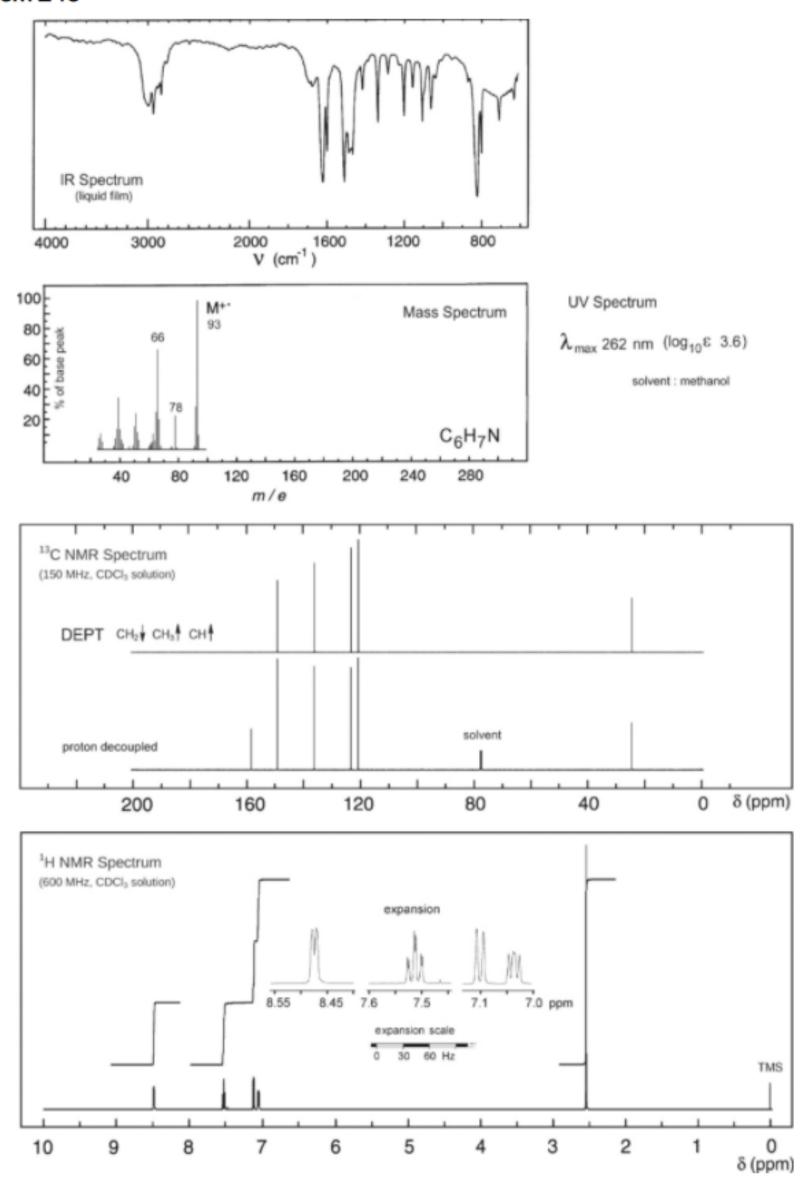
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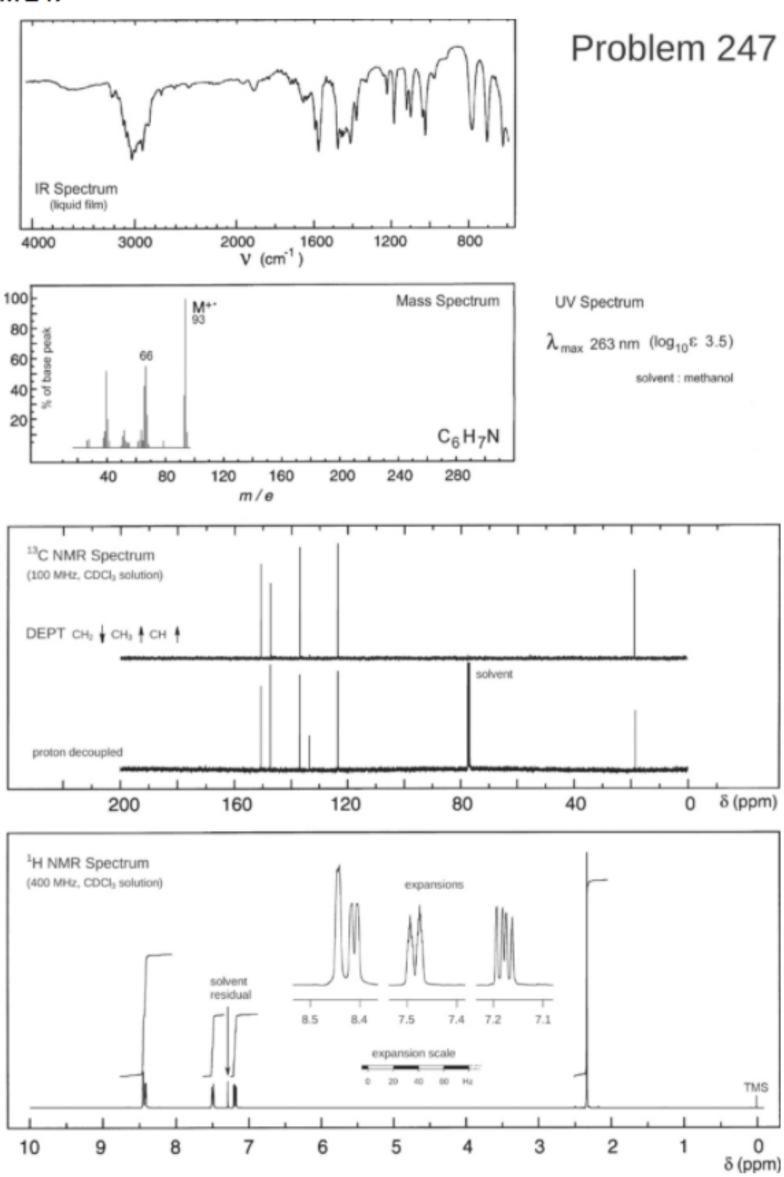


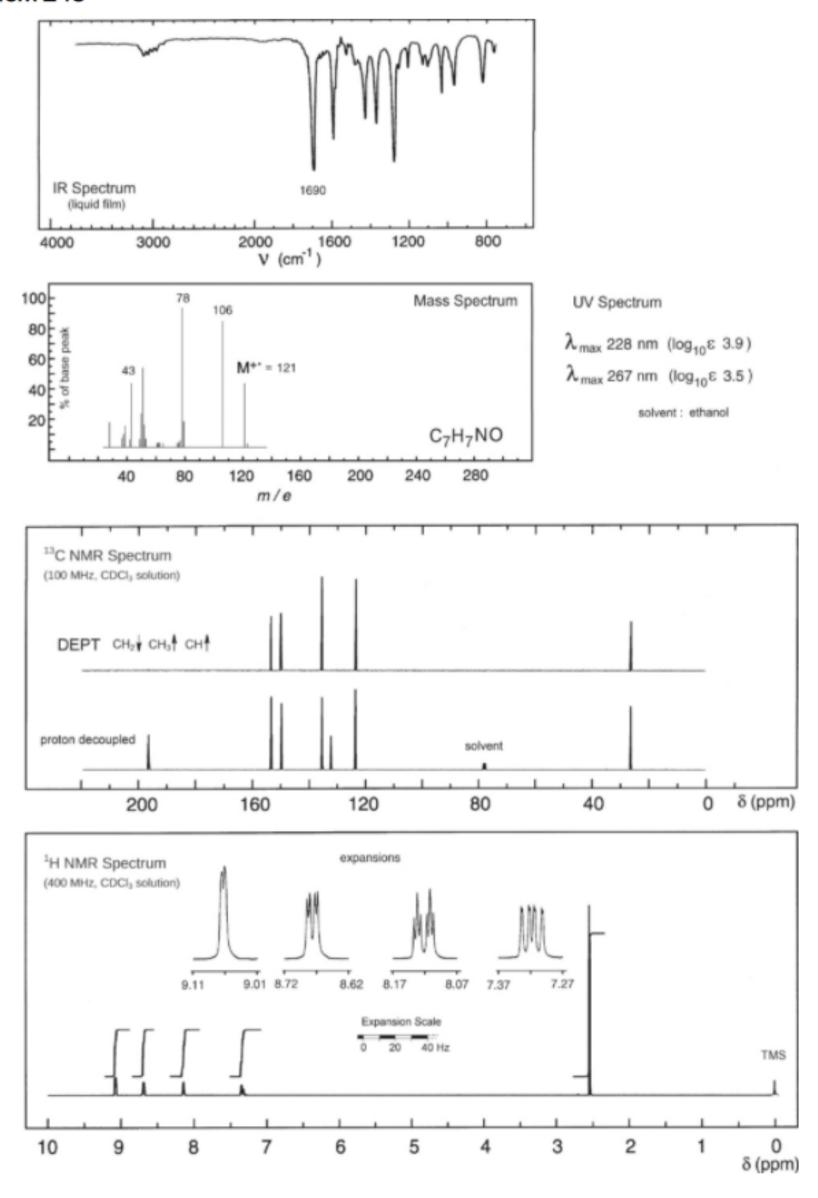


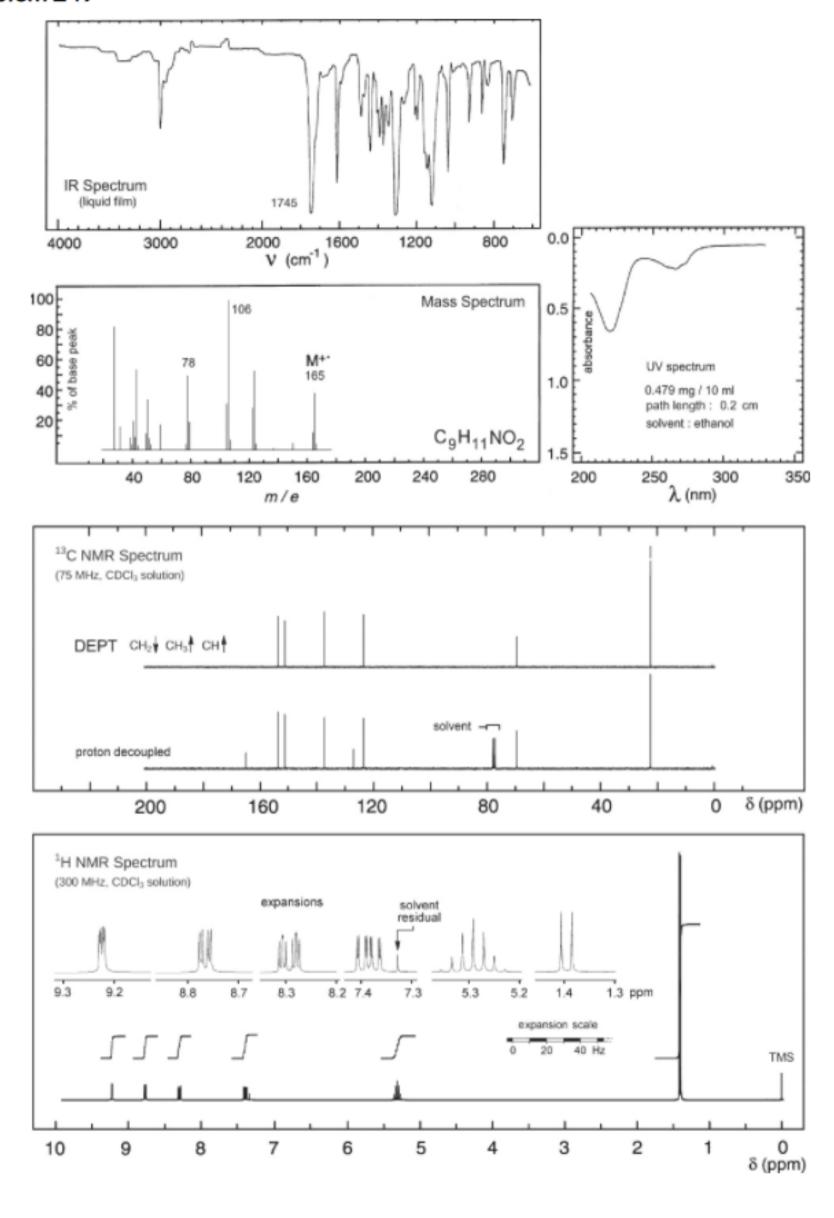


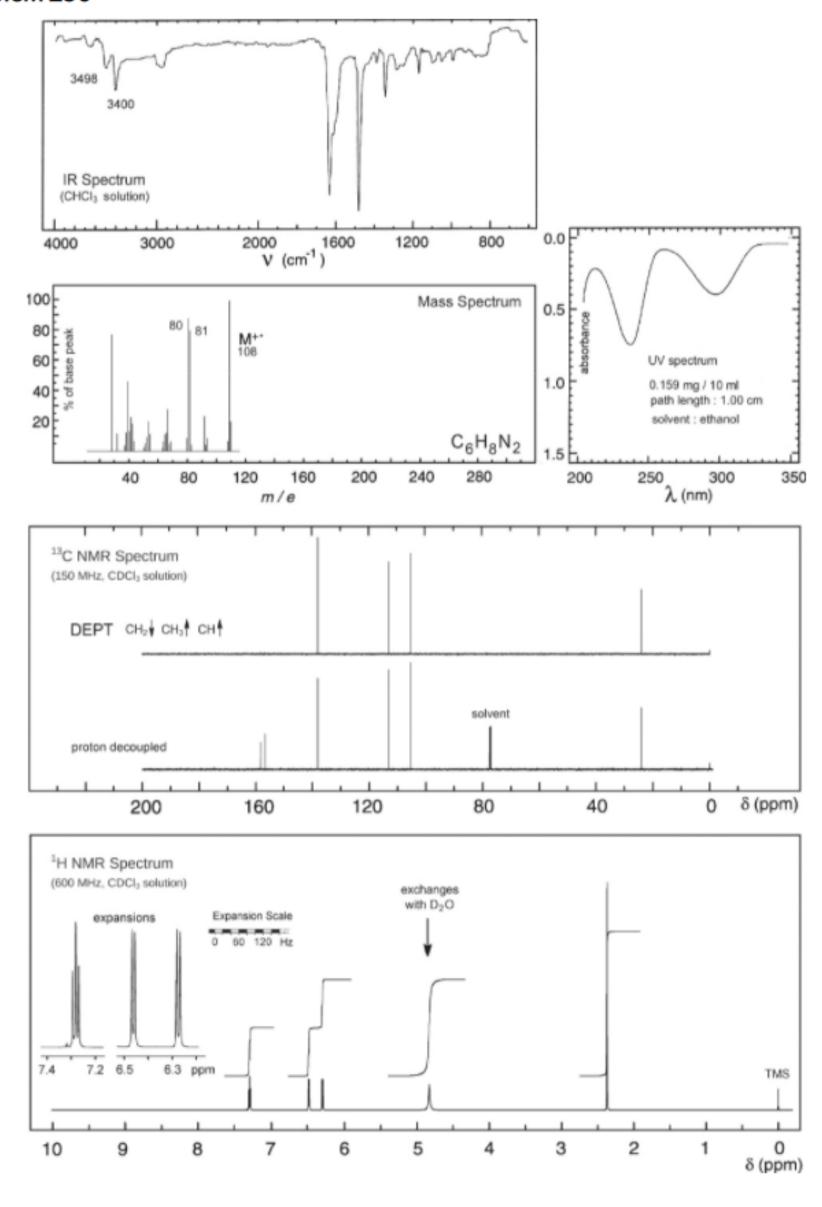


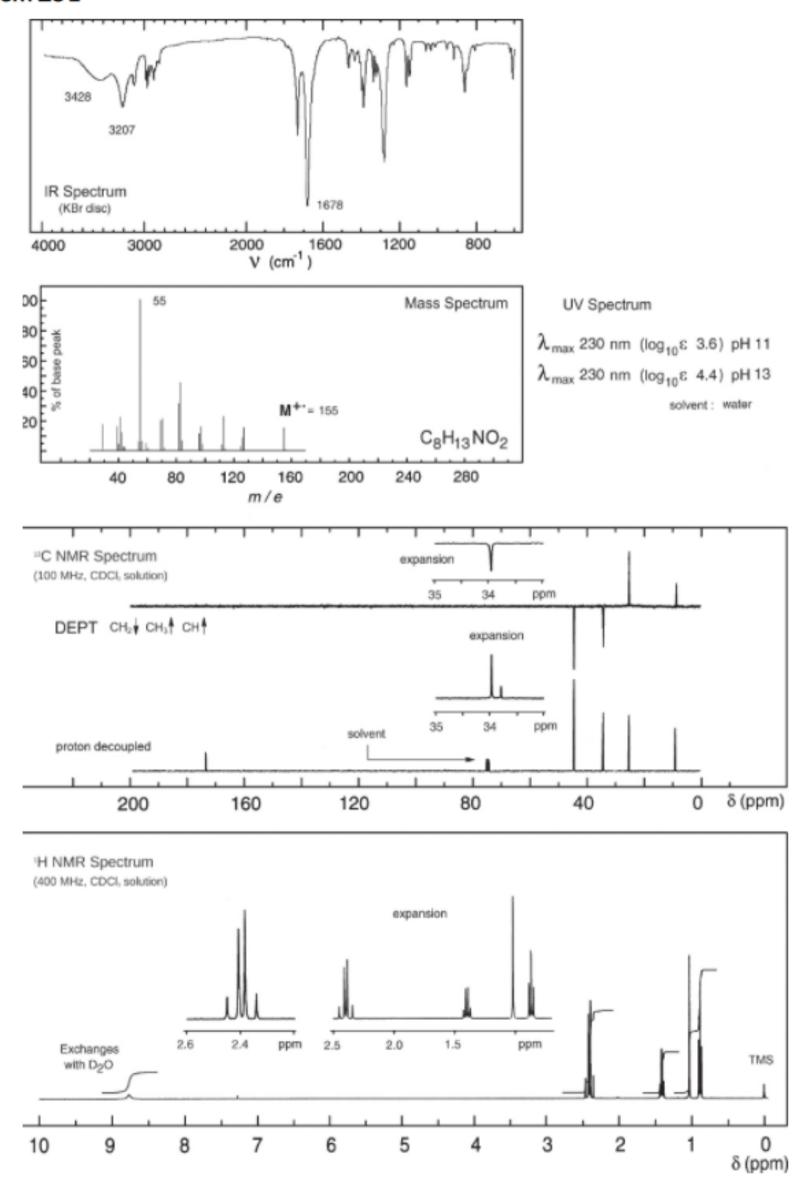


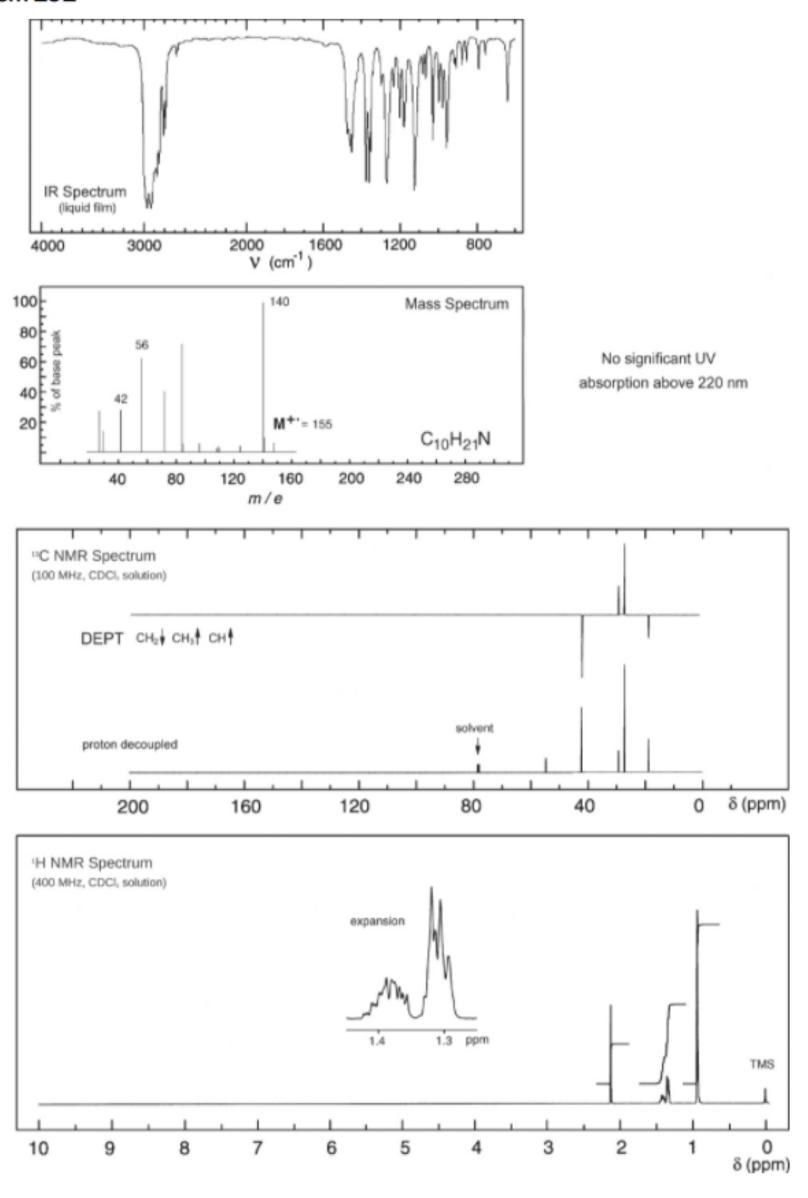


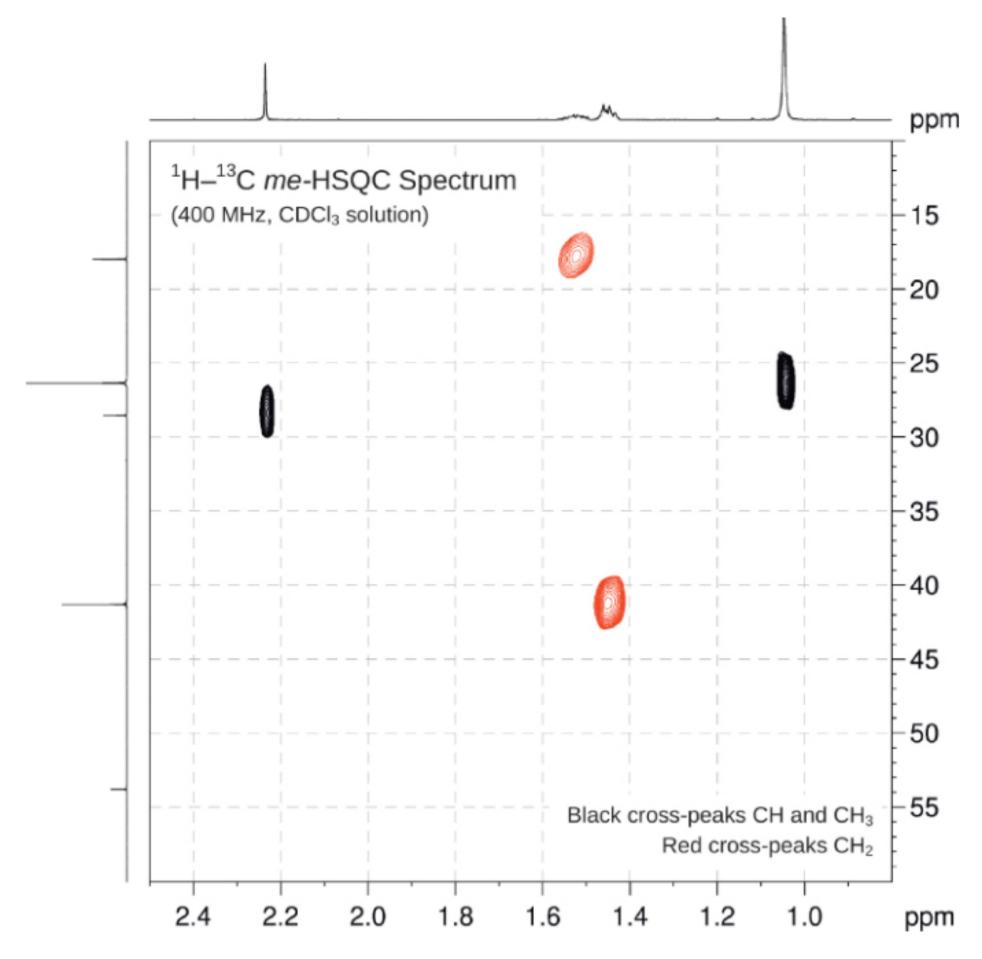




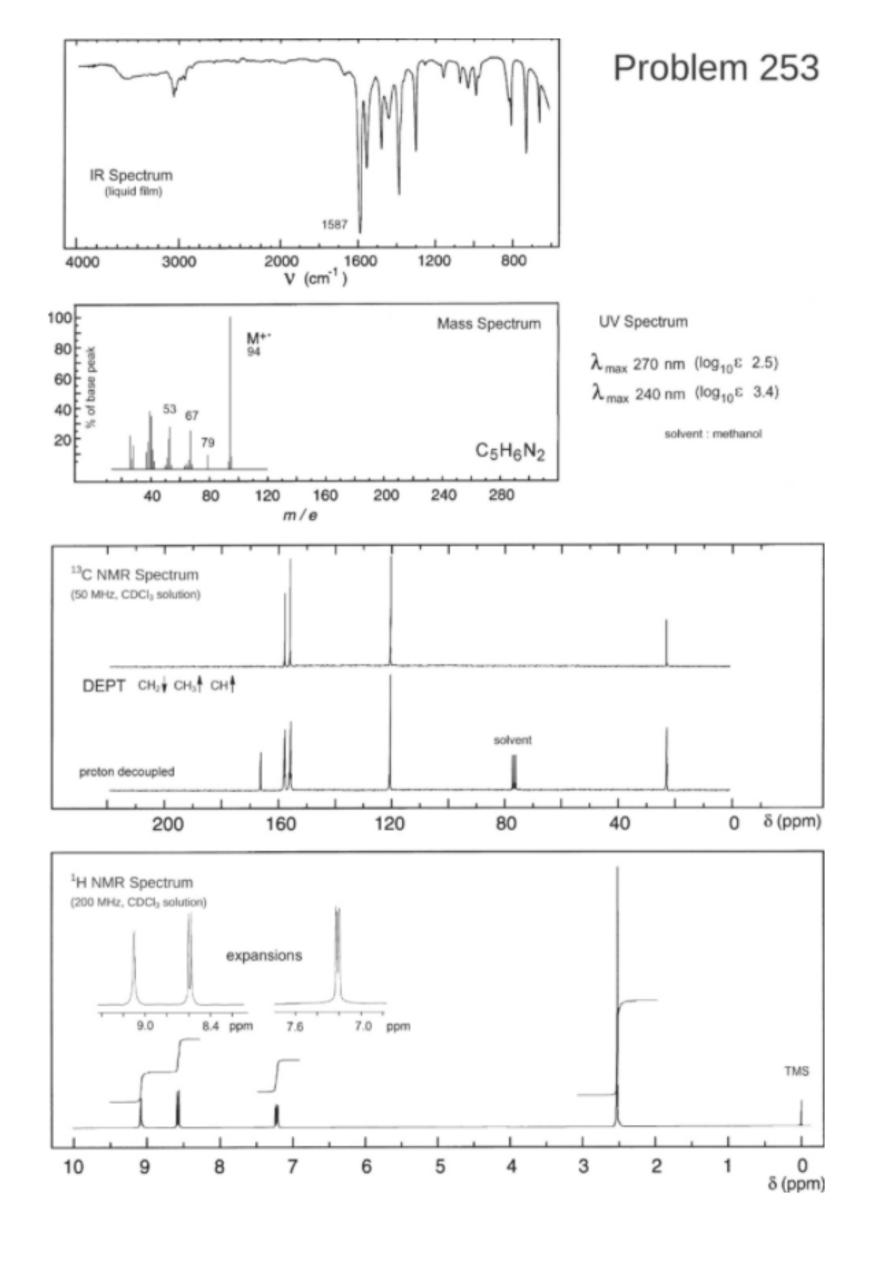


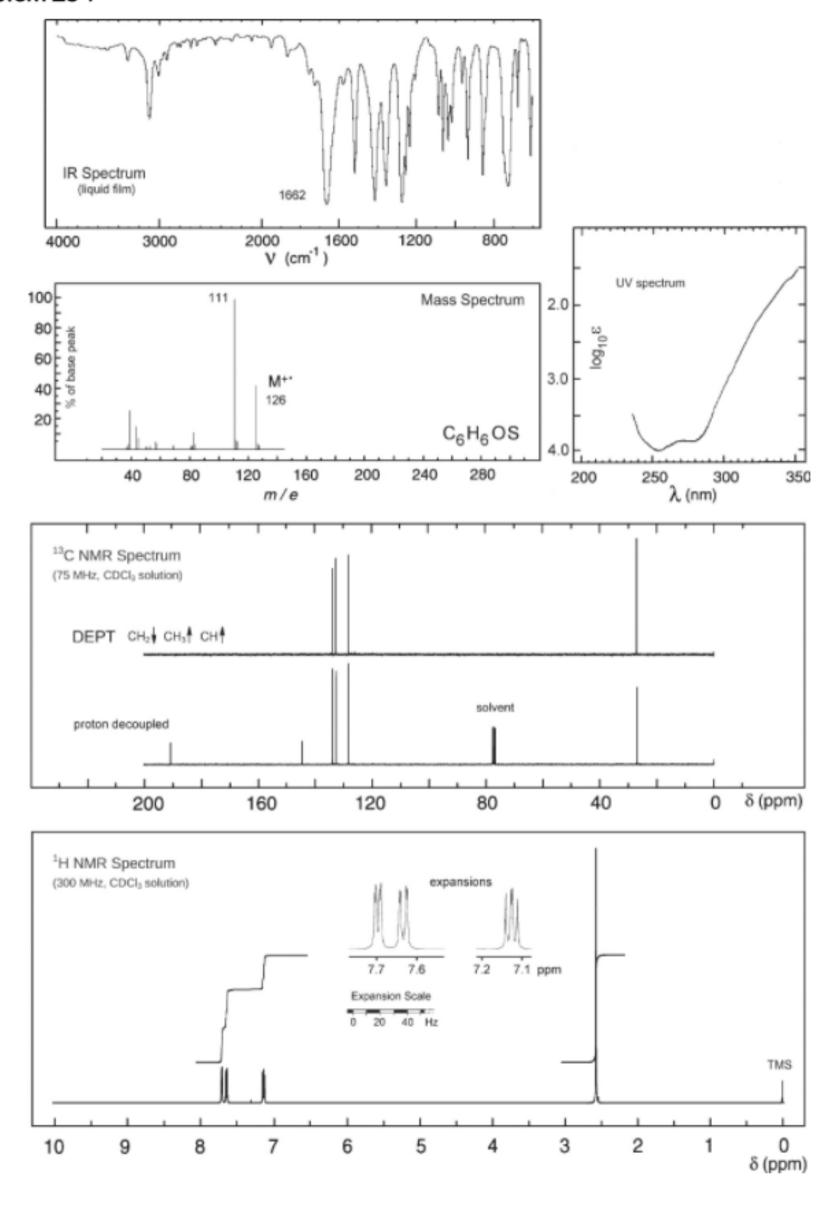


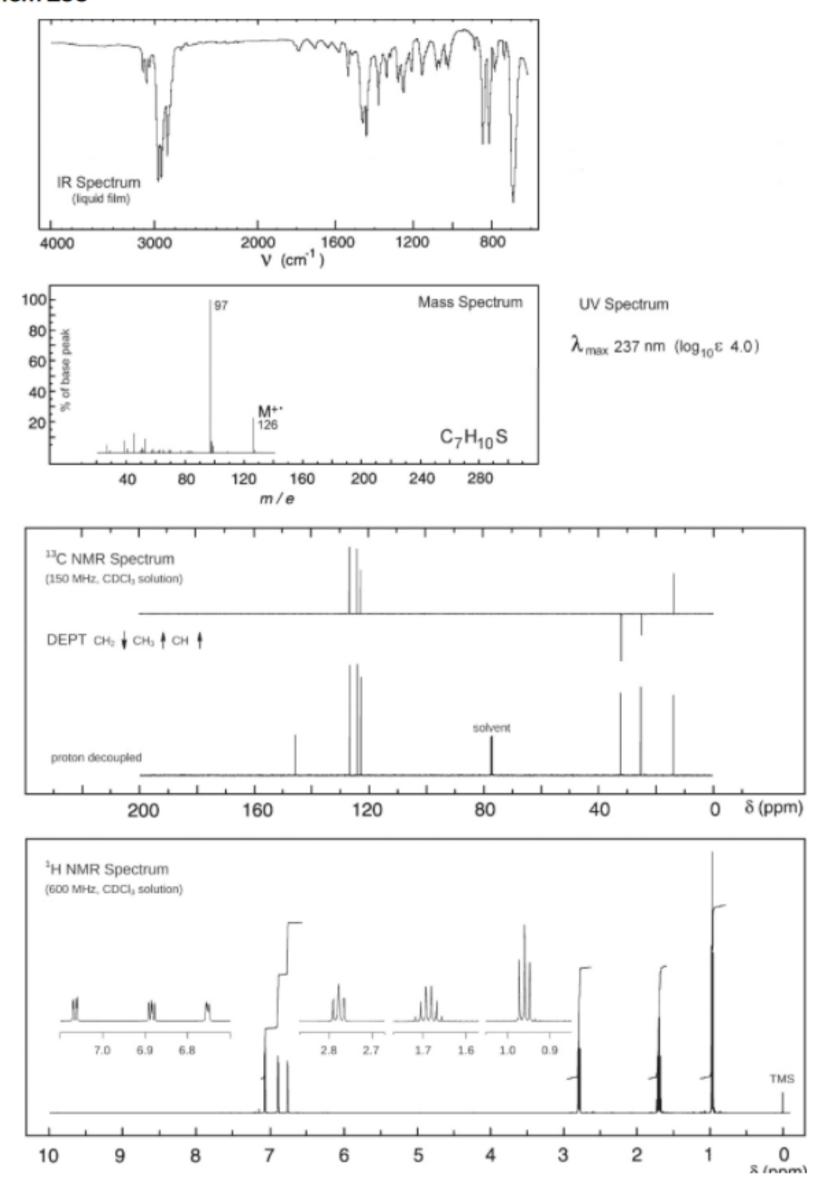


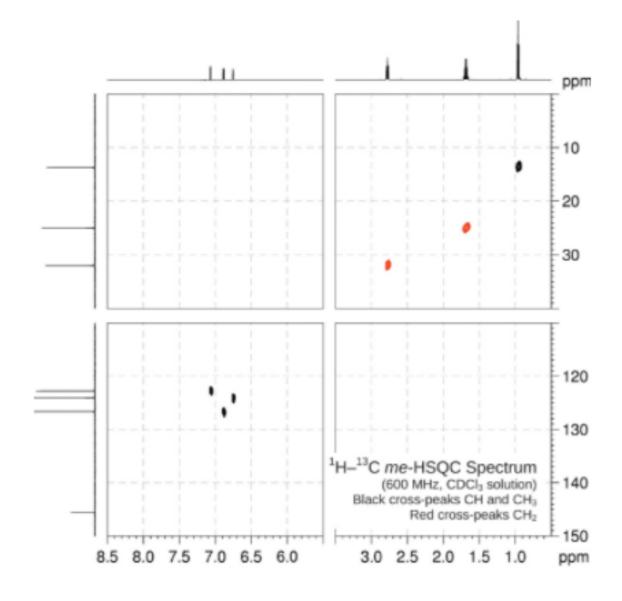


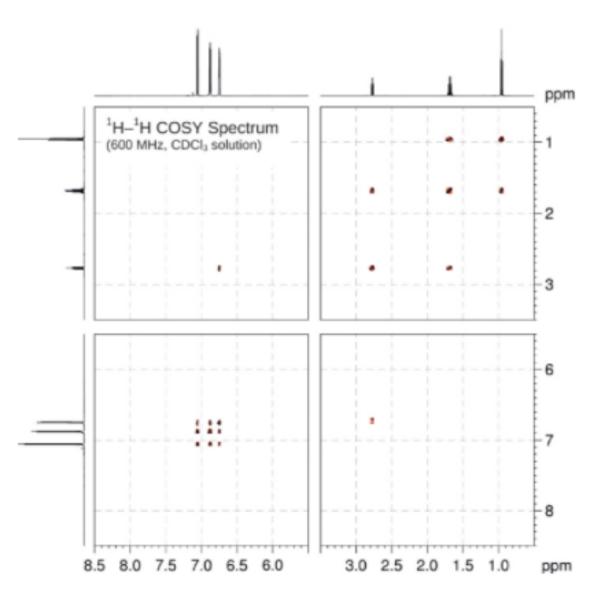
Problem 253

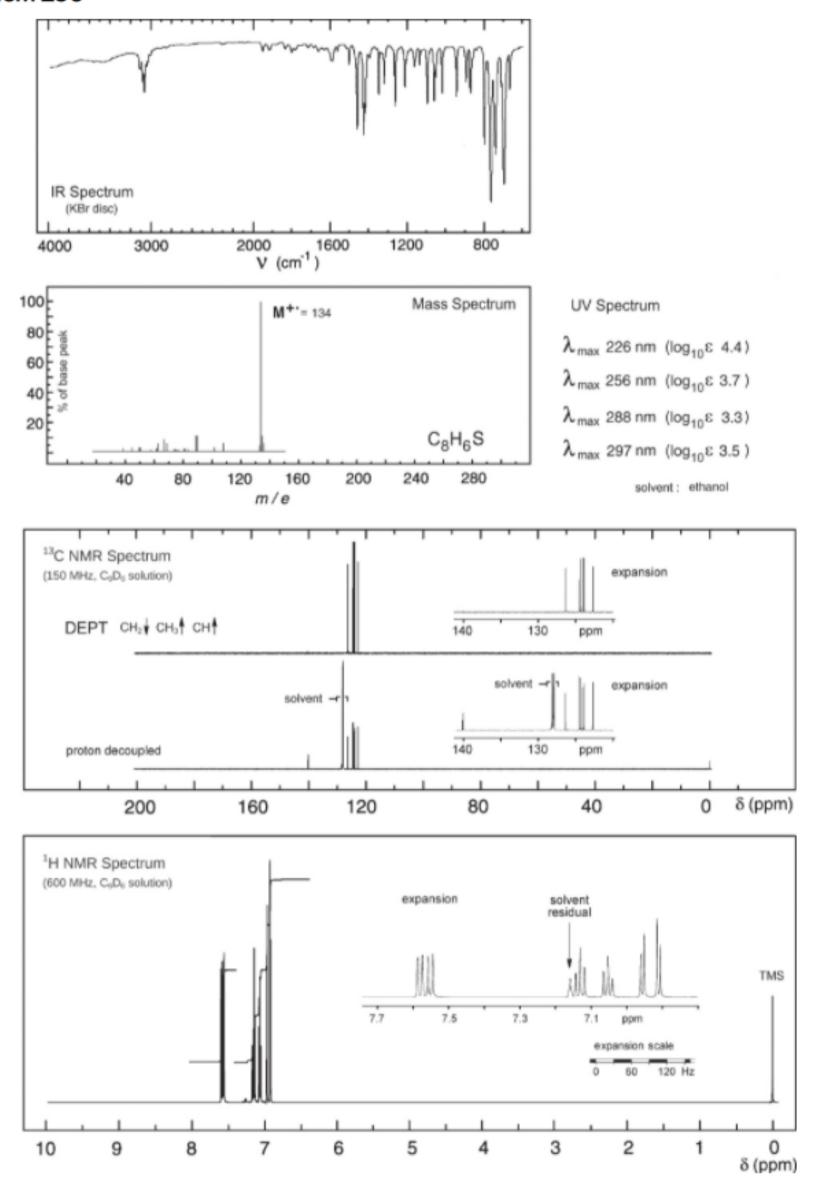


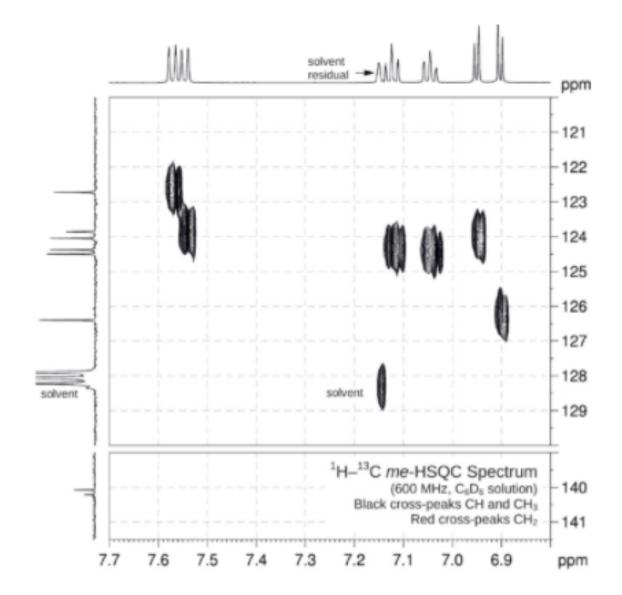


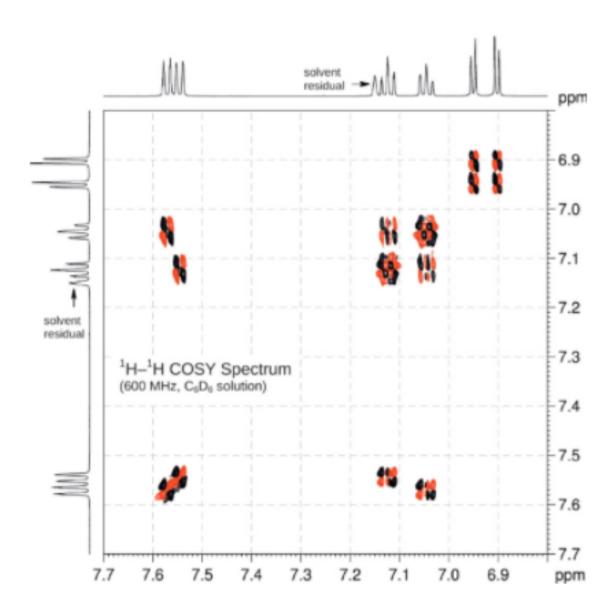


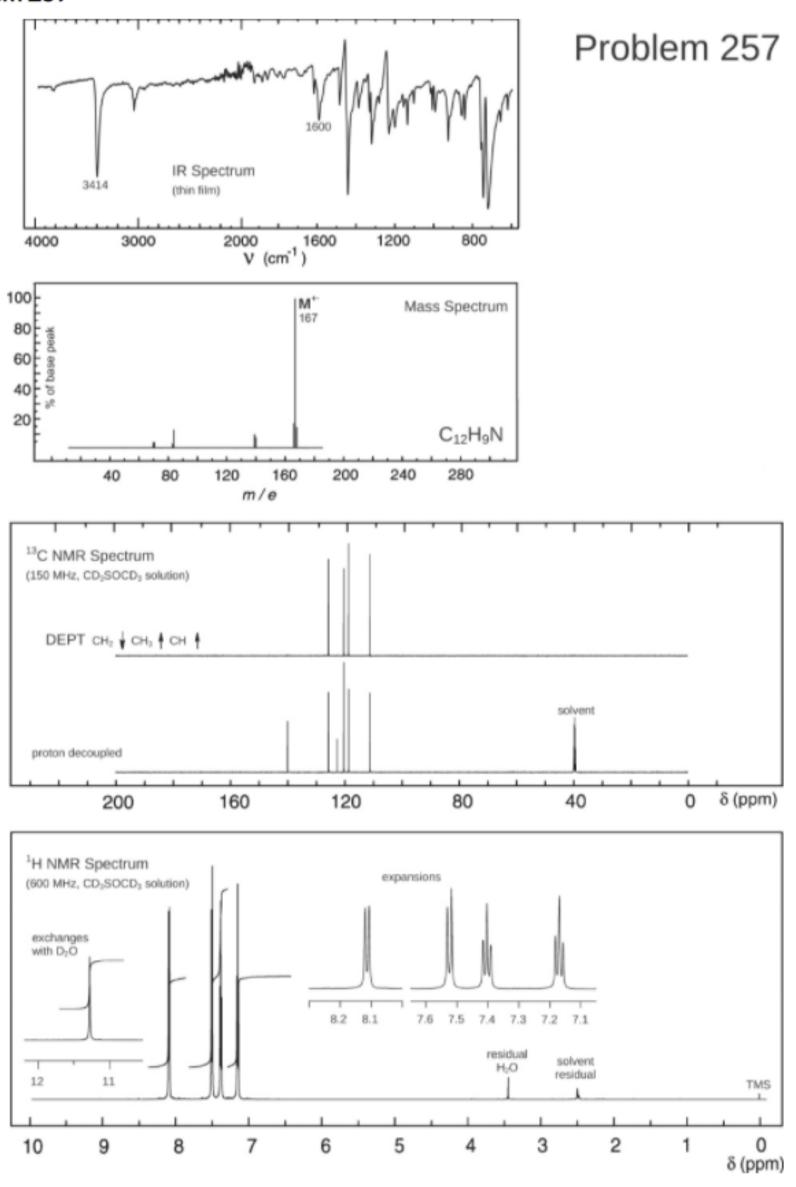


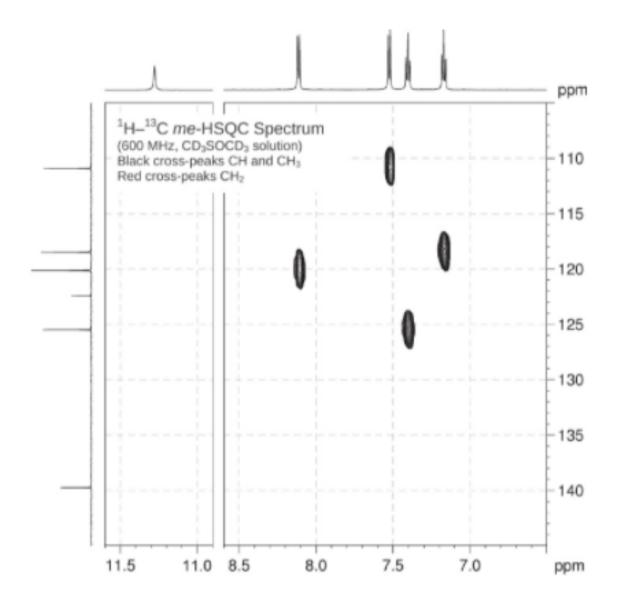


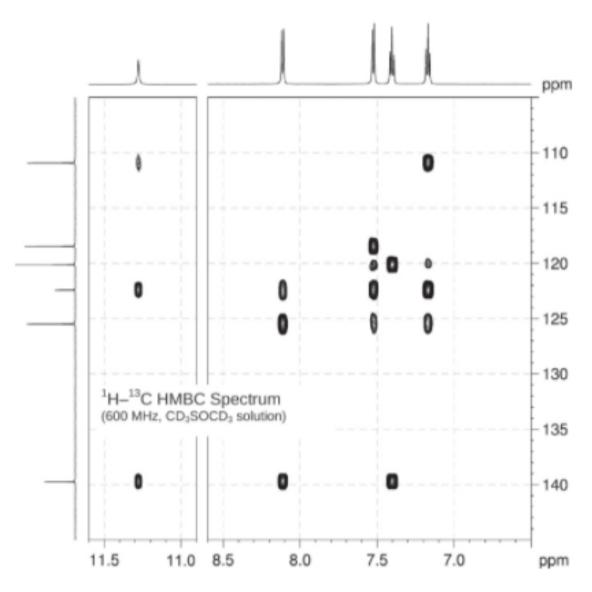


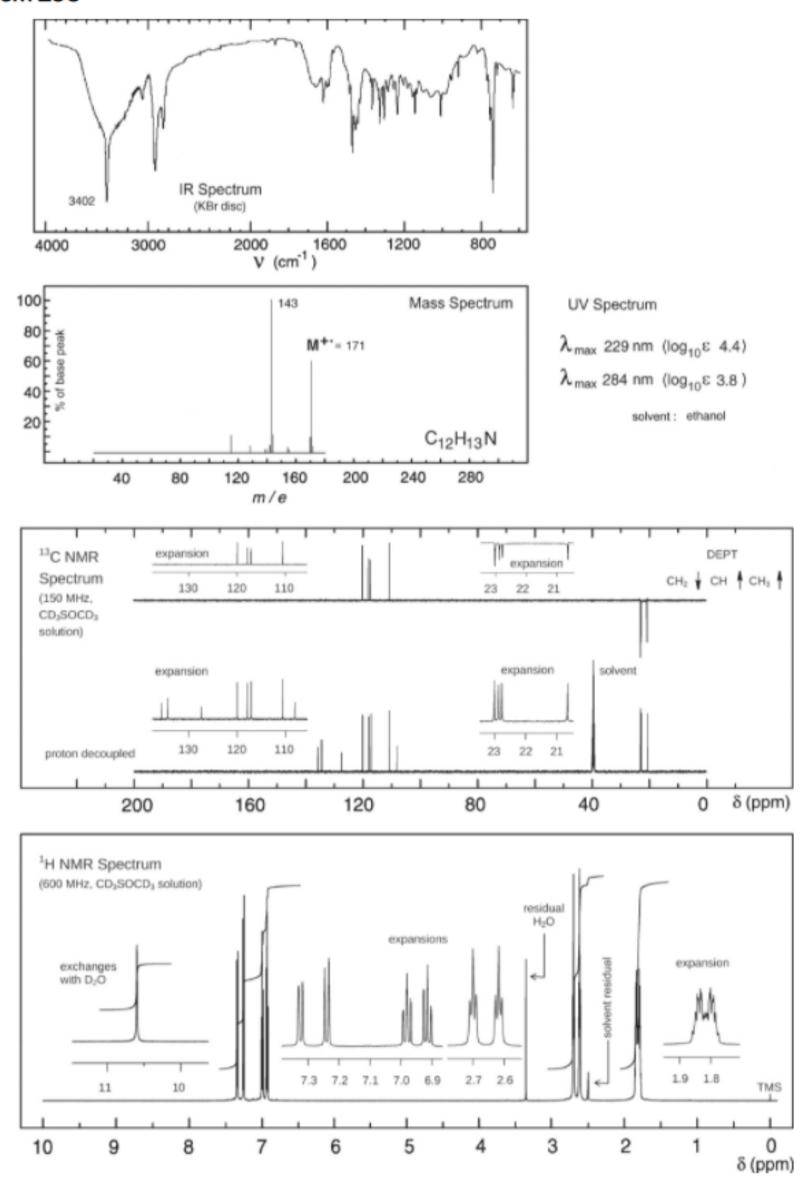


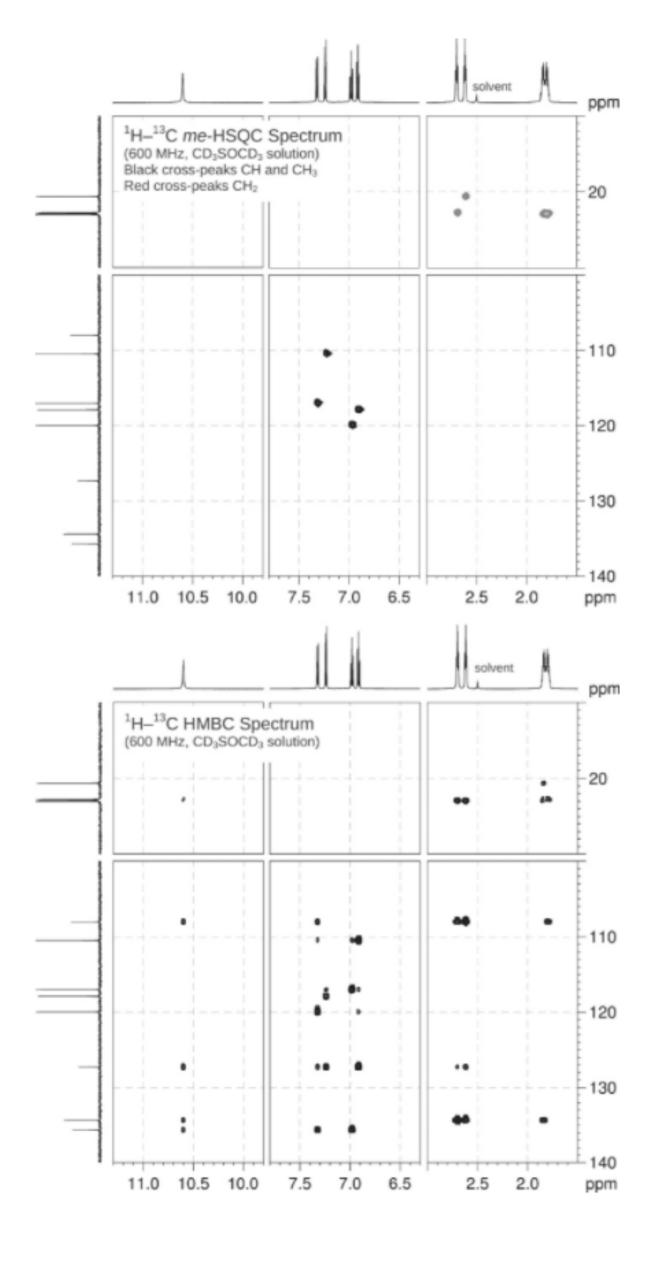


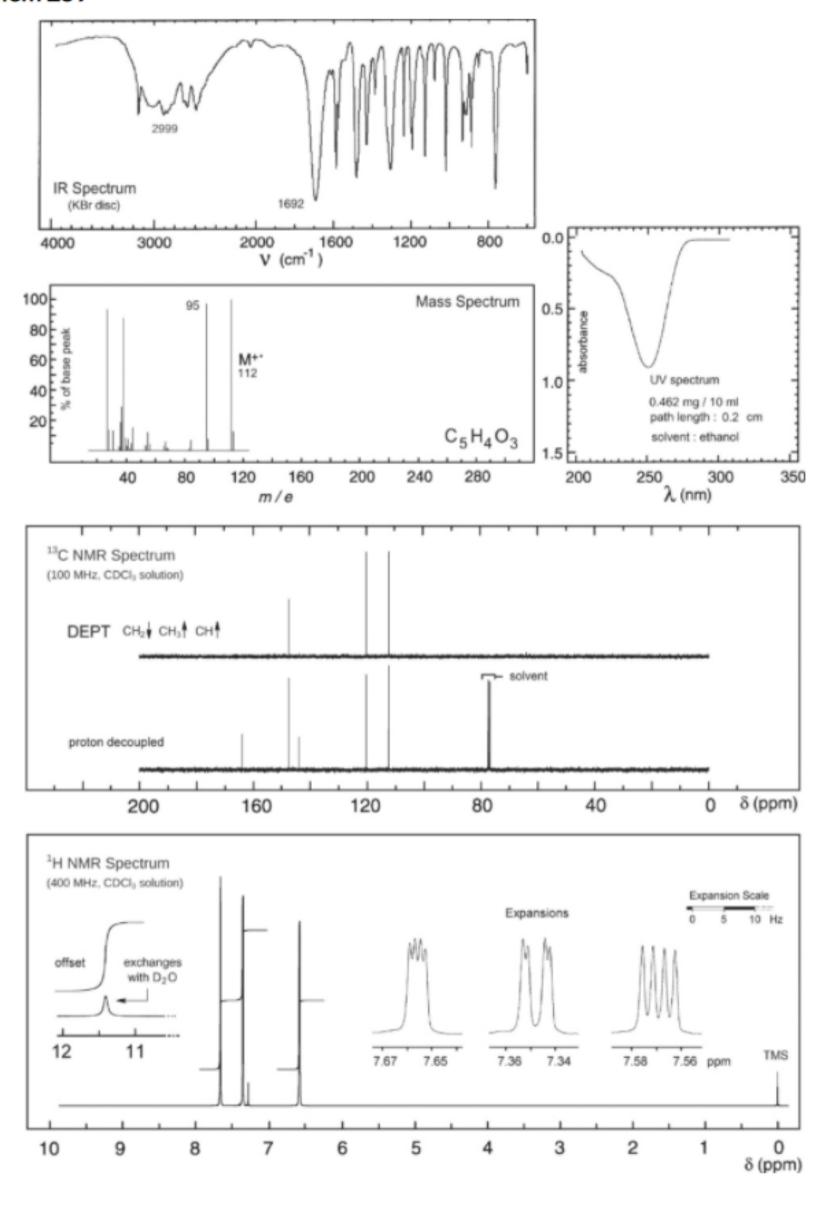


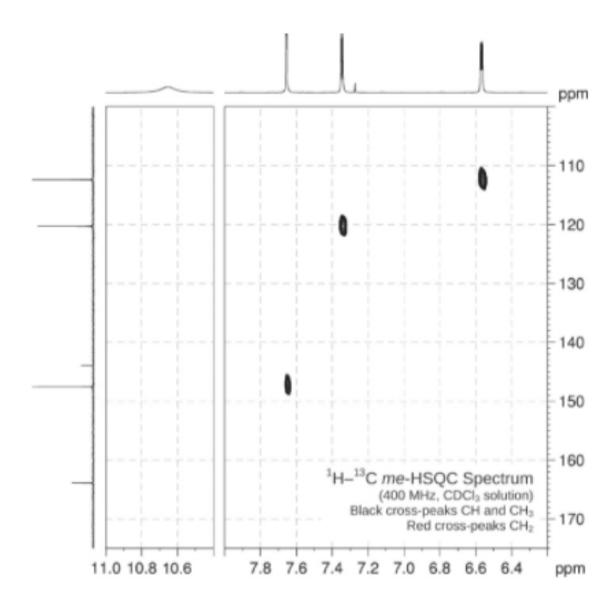


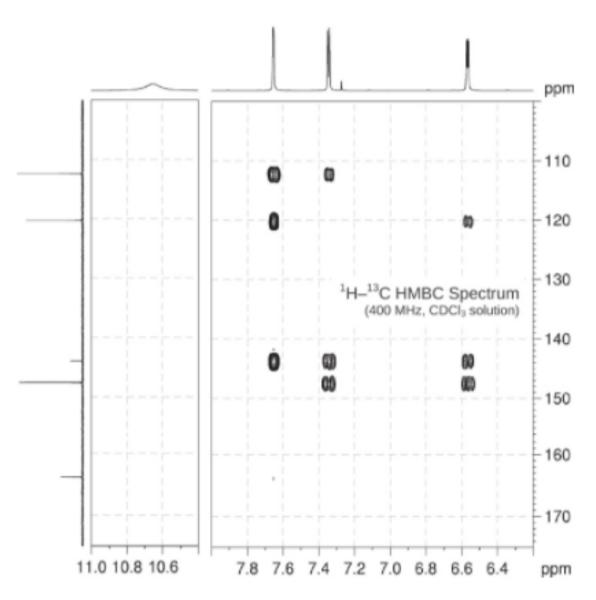


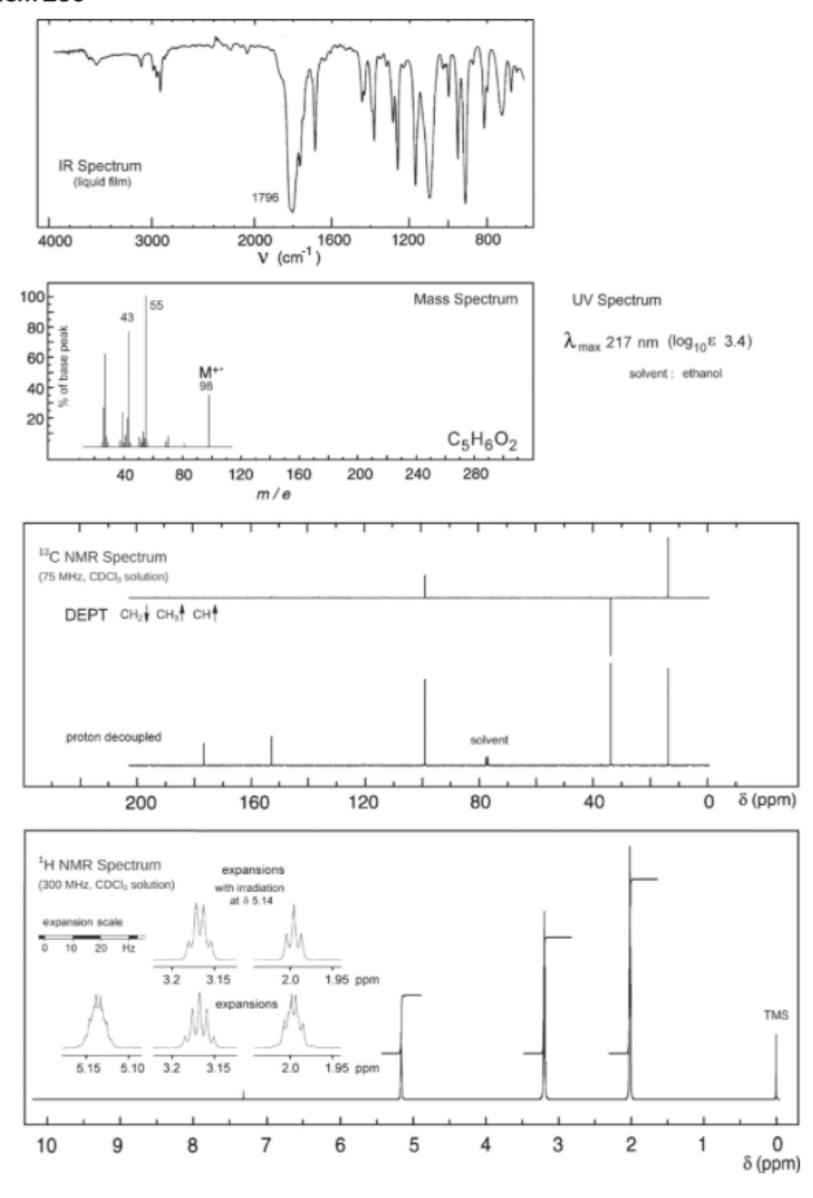


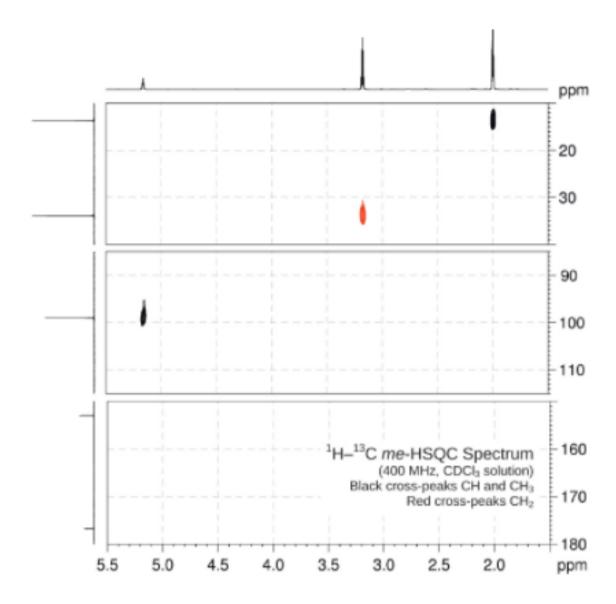


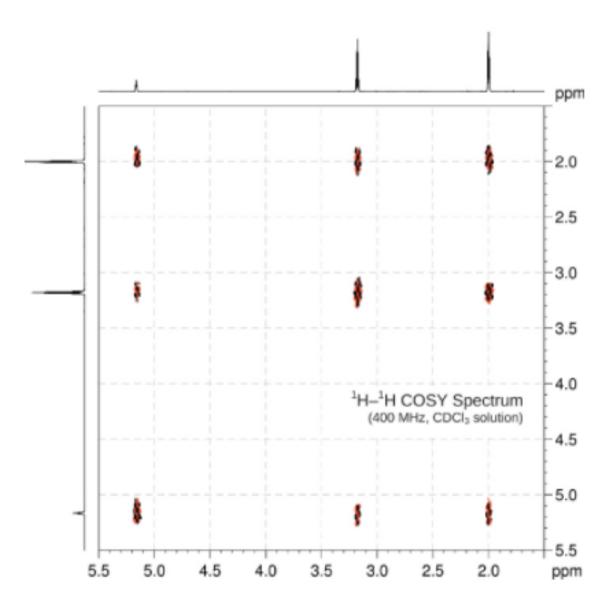


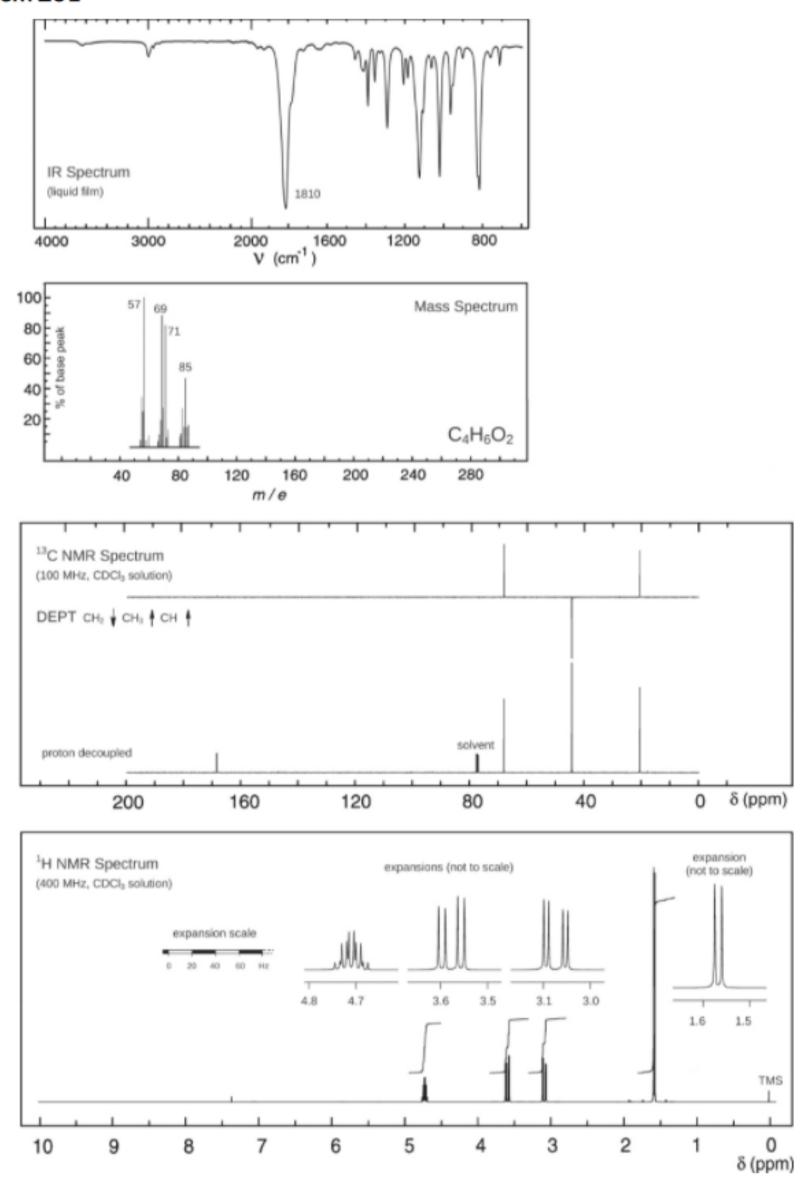


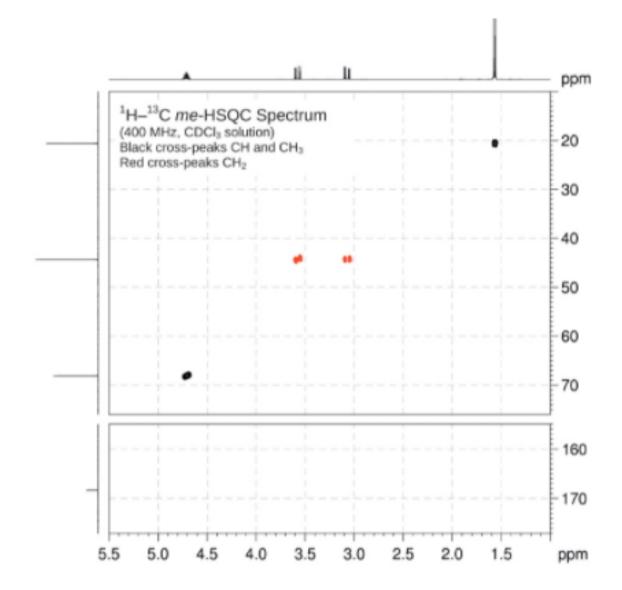


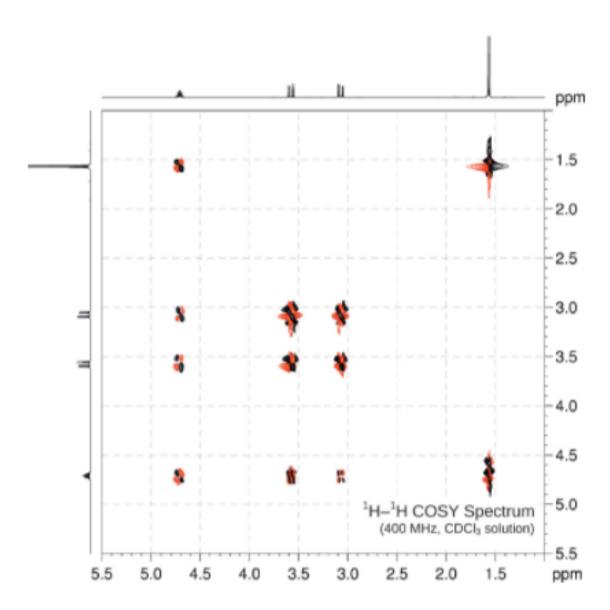


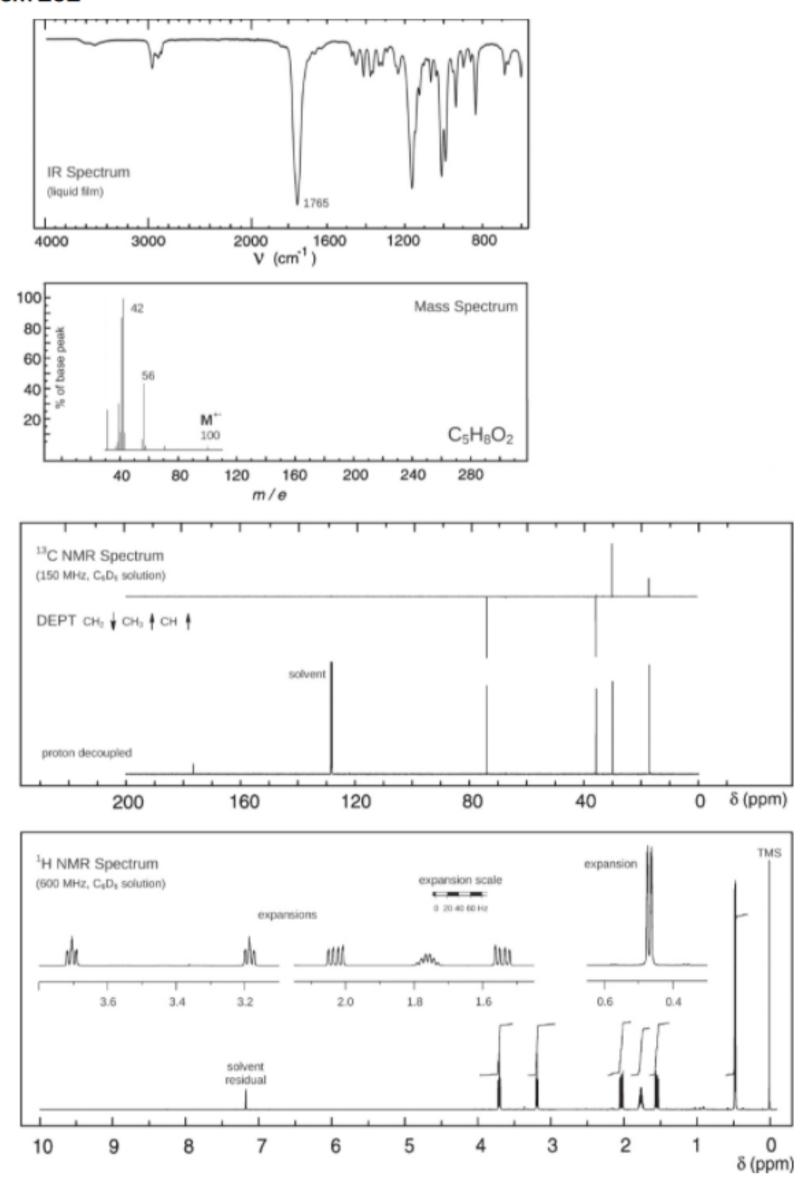


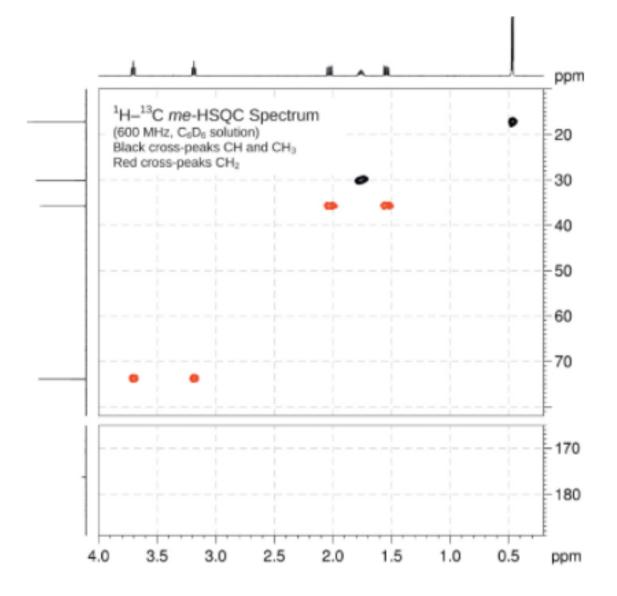


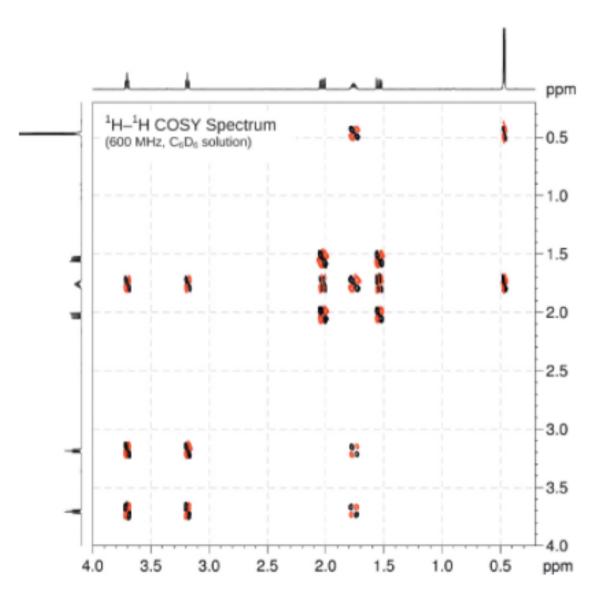


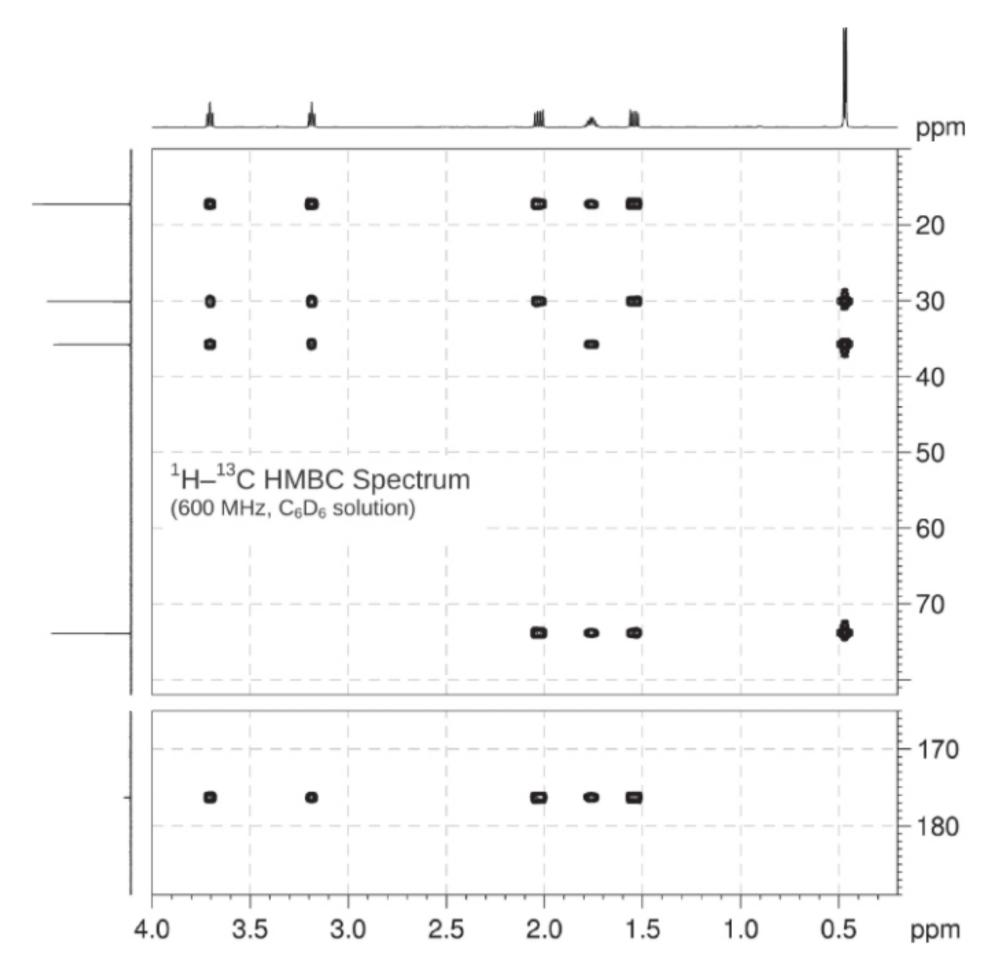




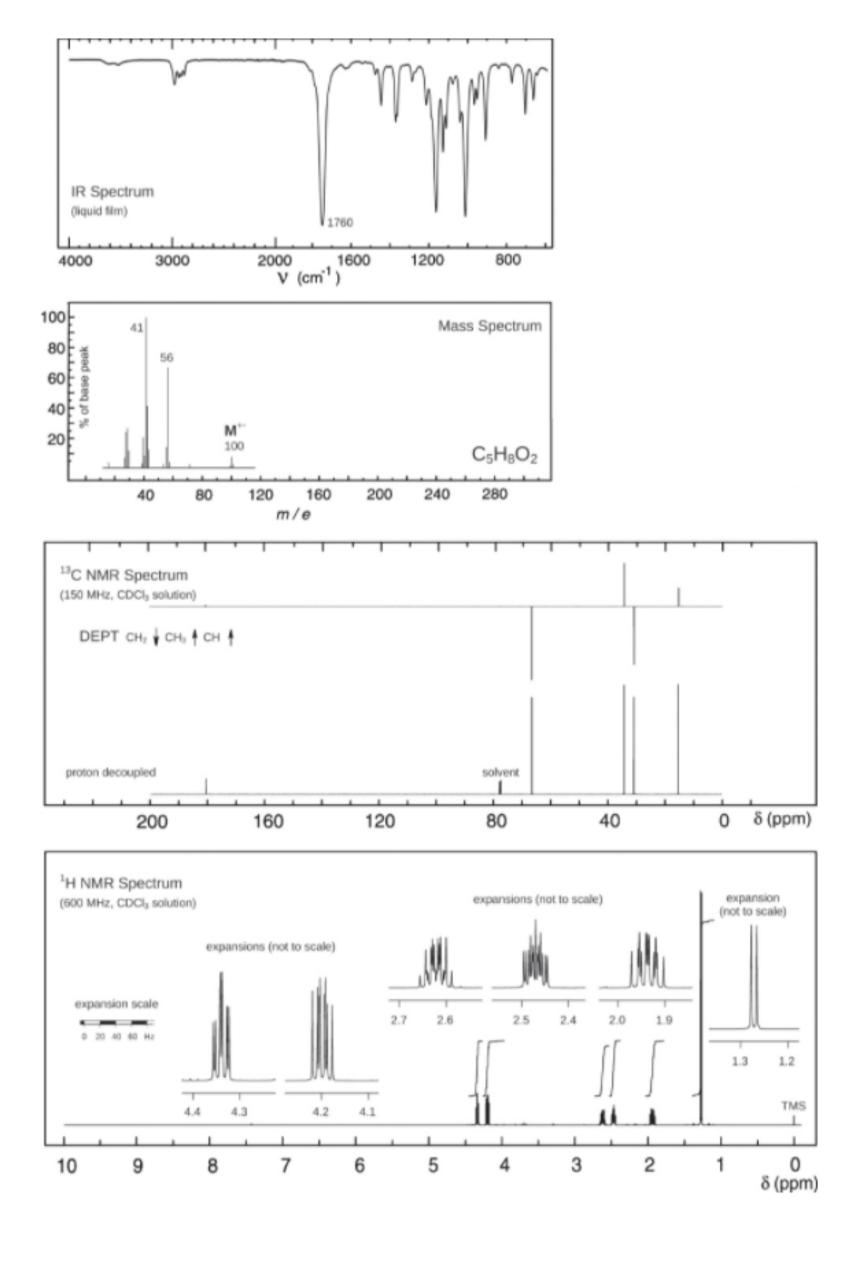


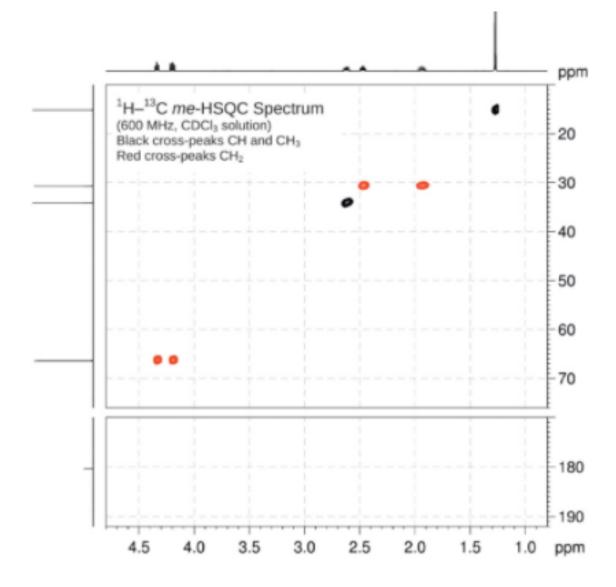


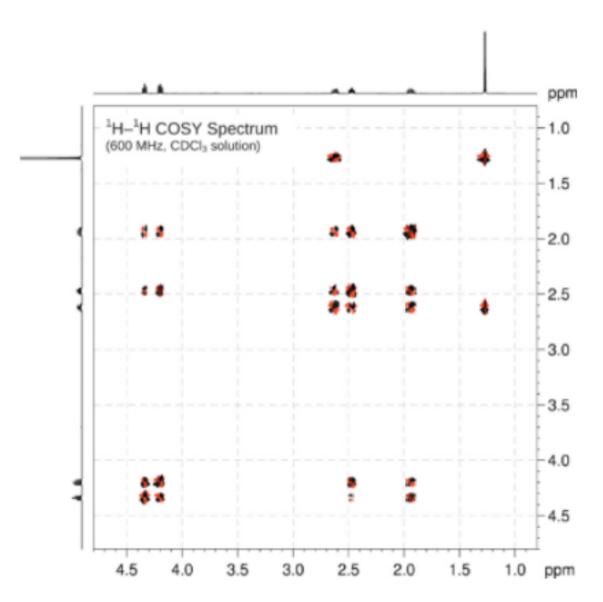


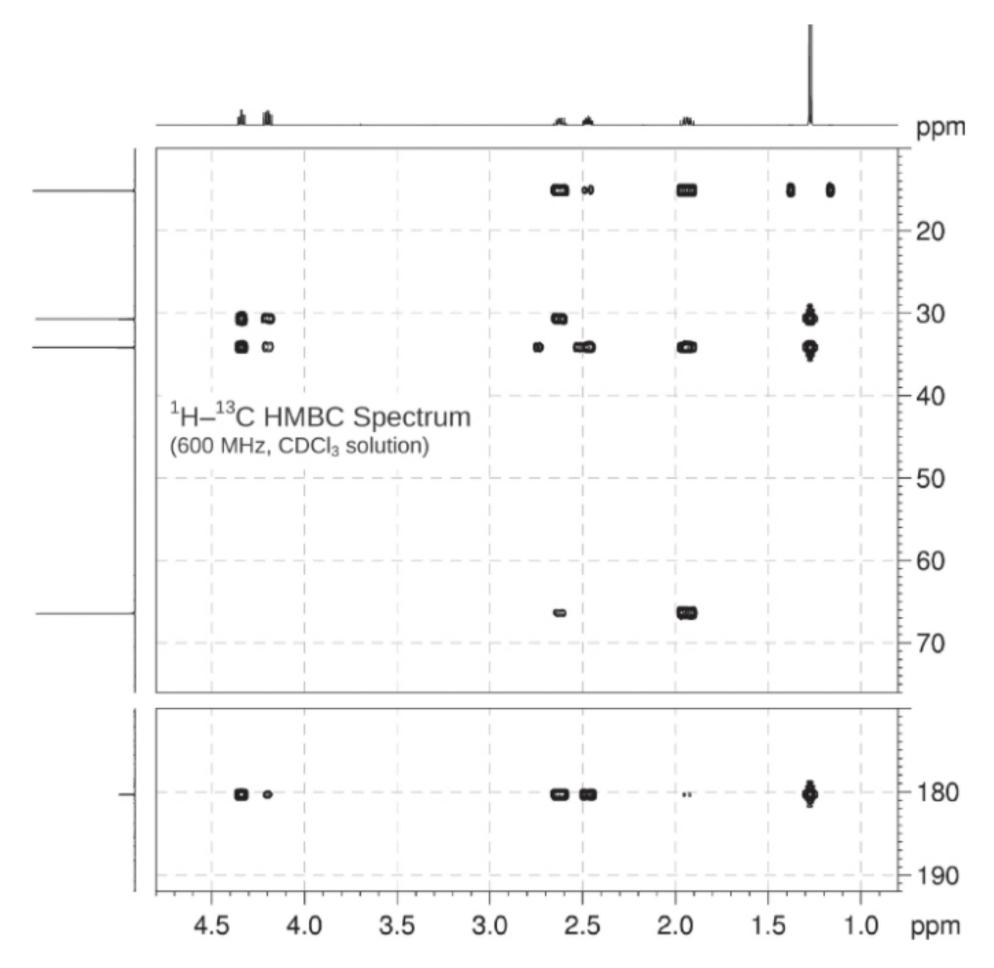


Problem 263

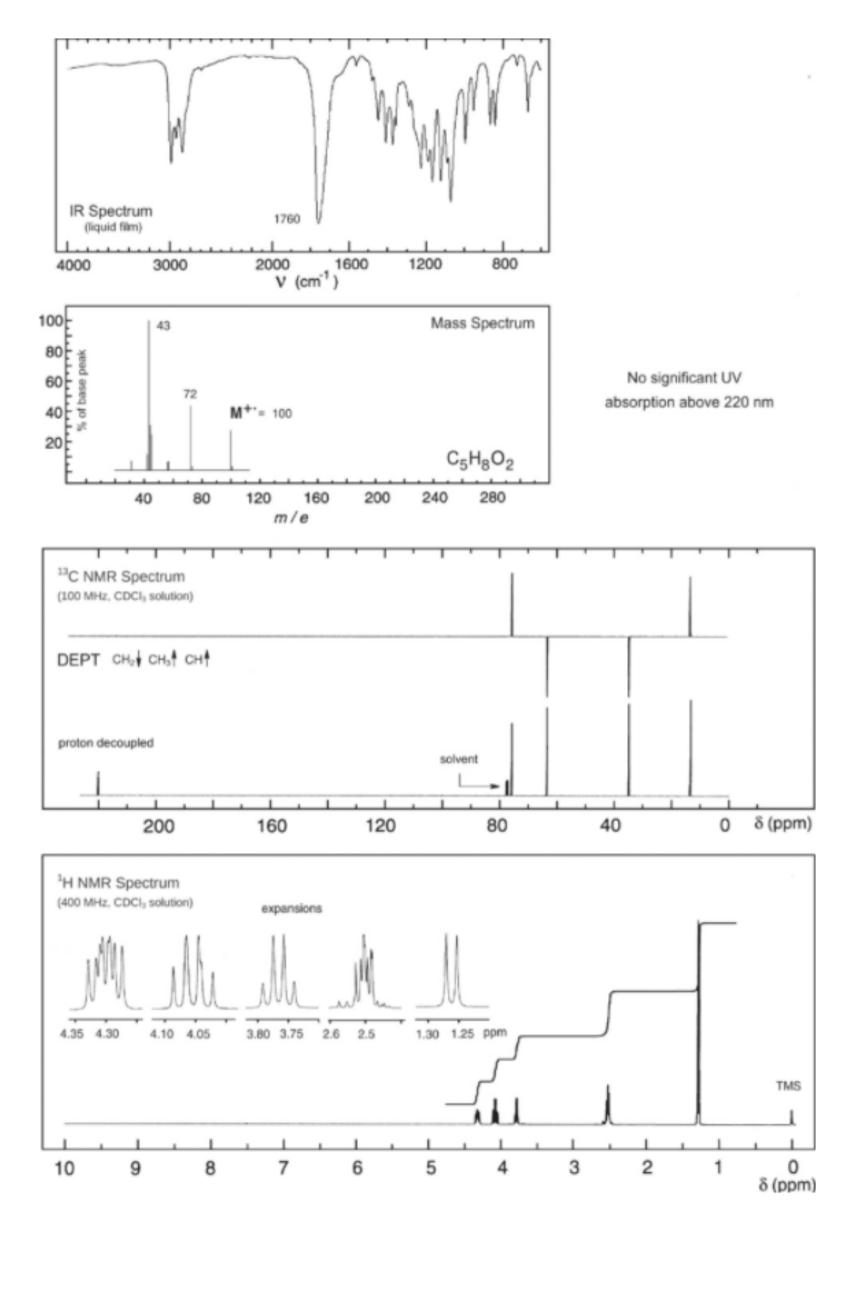


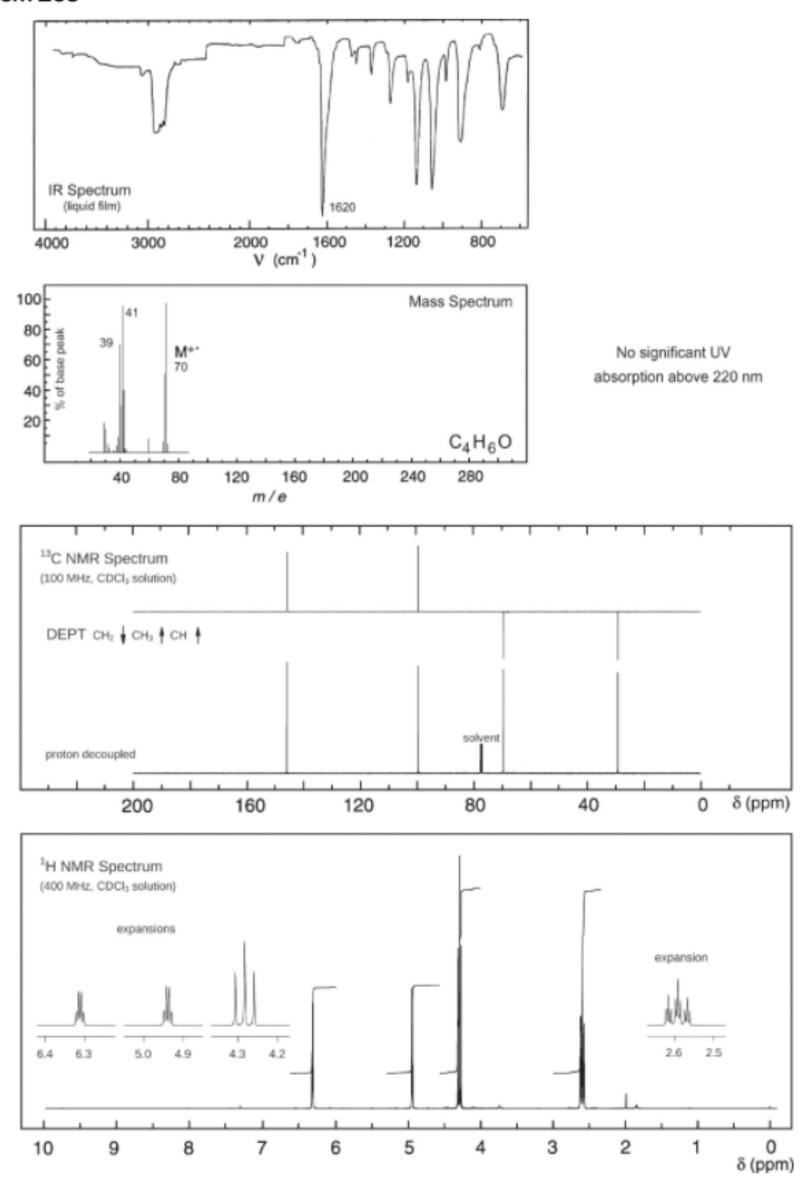


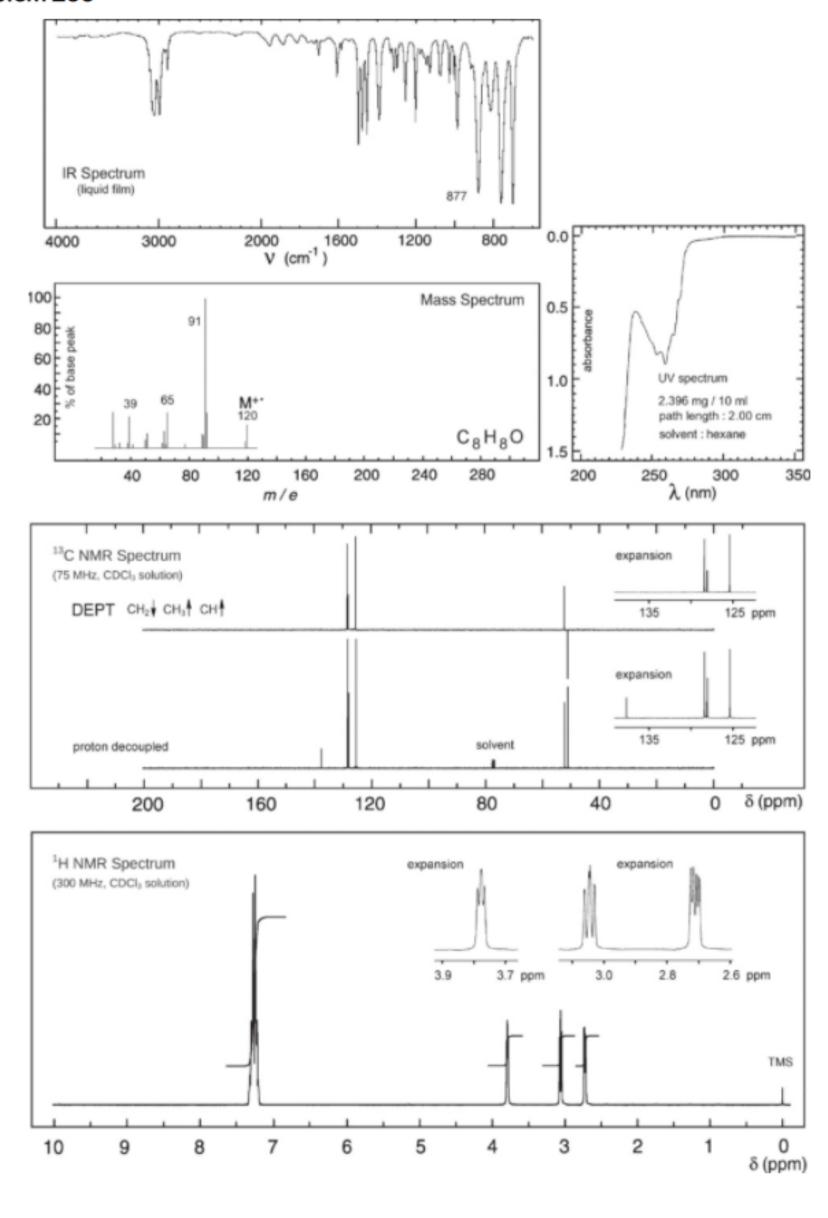


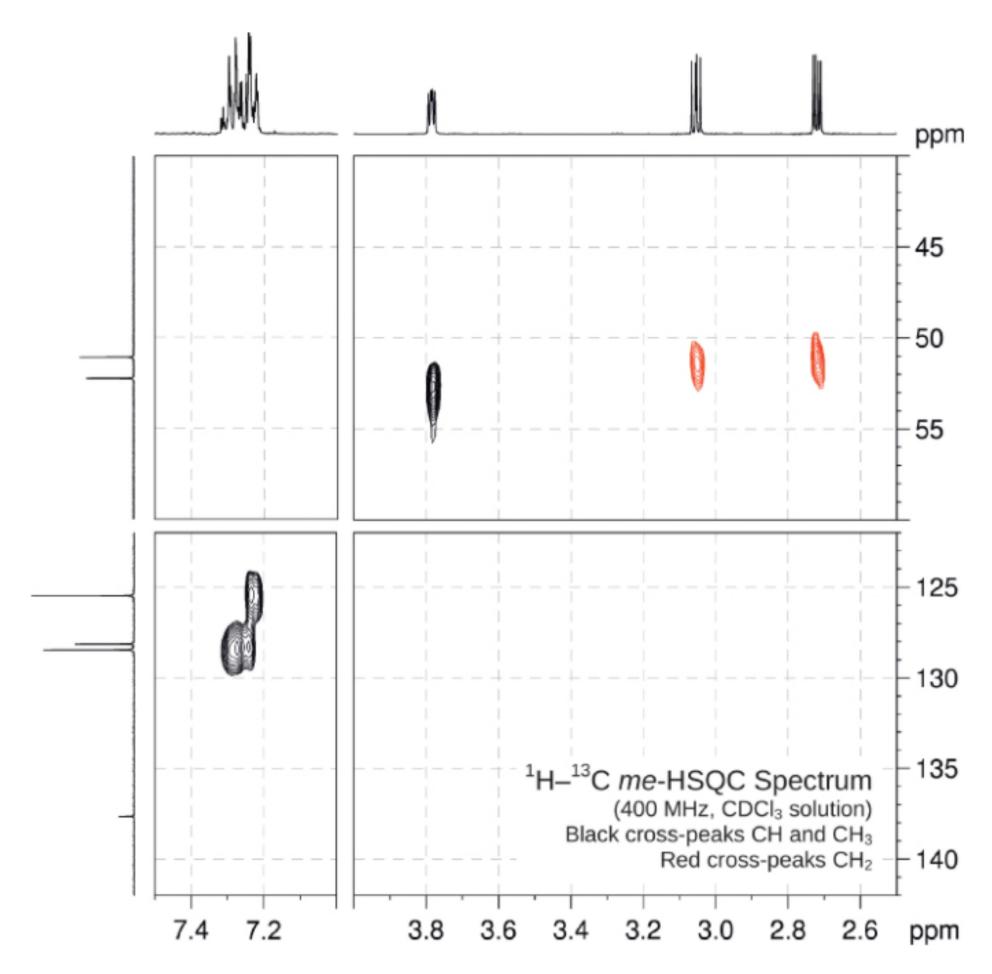


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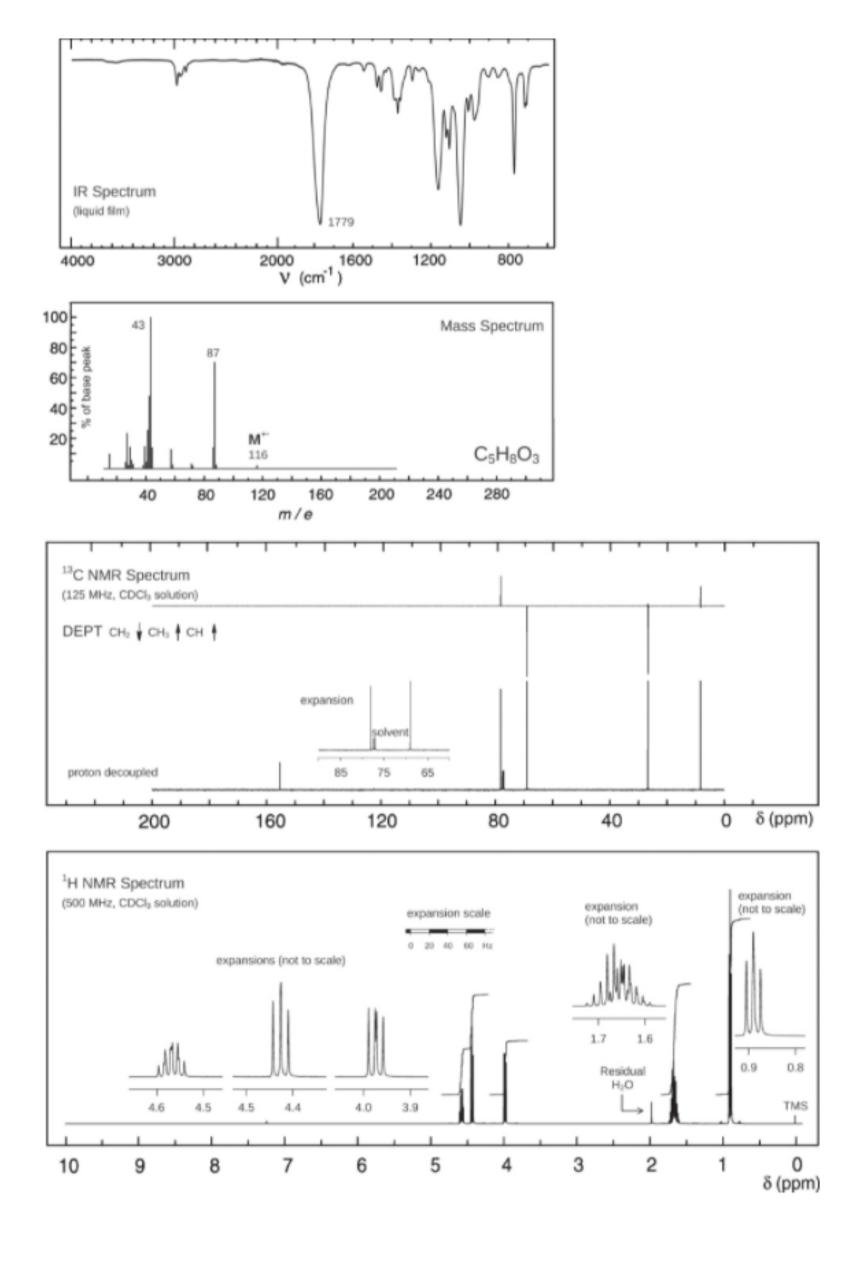


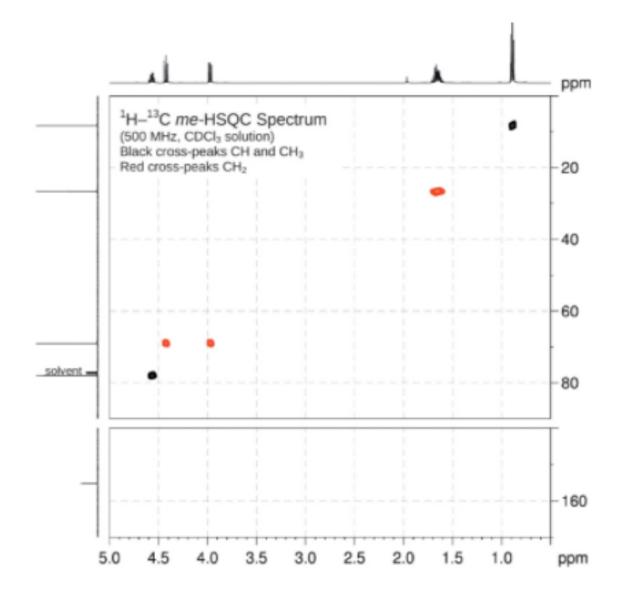


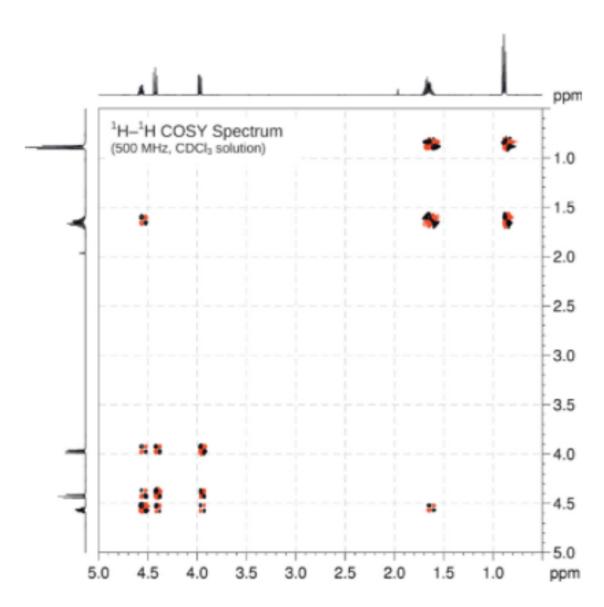


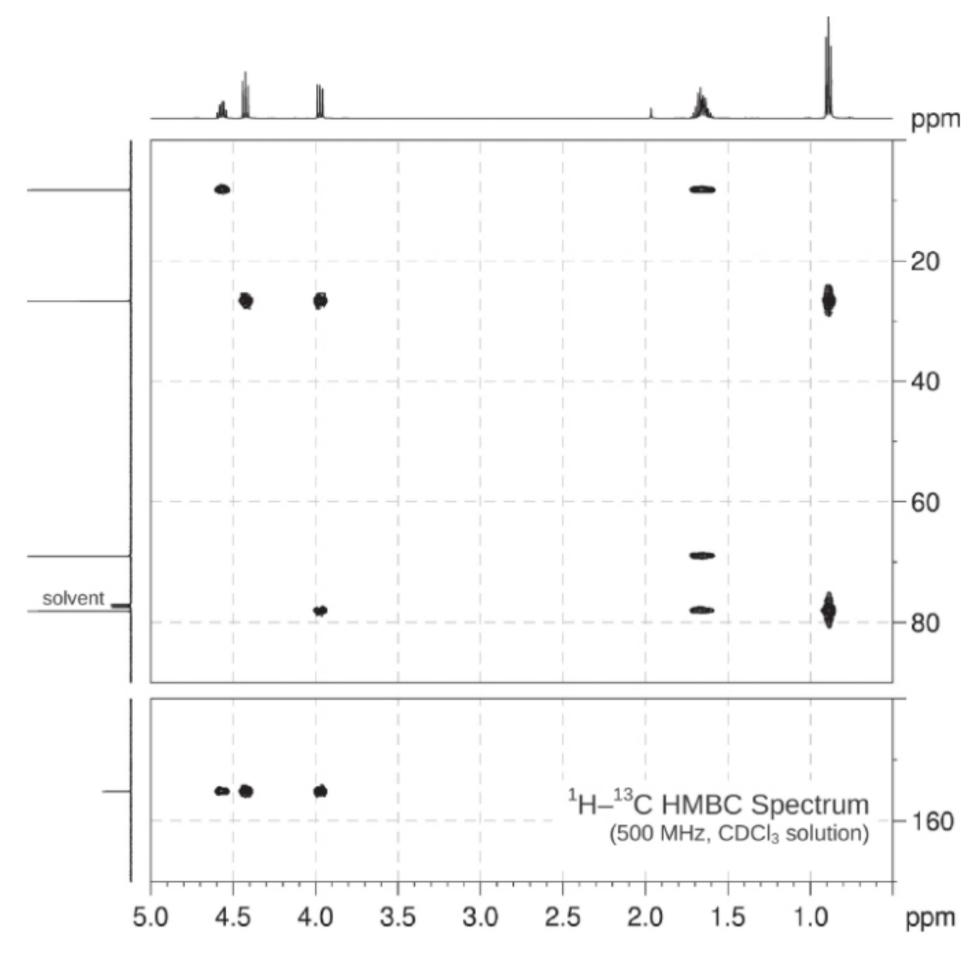


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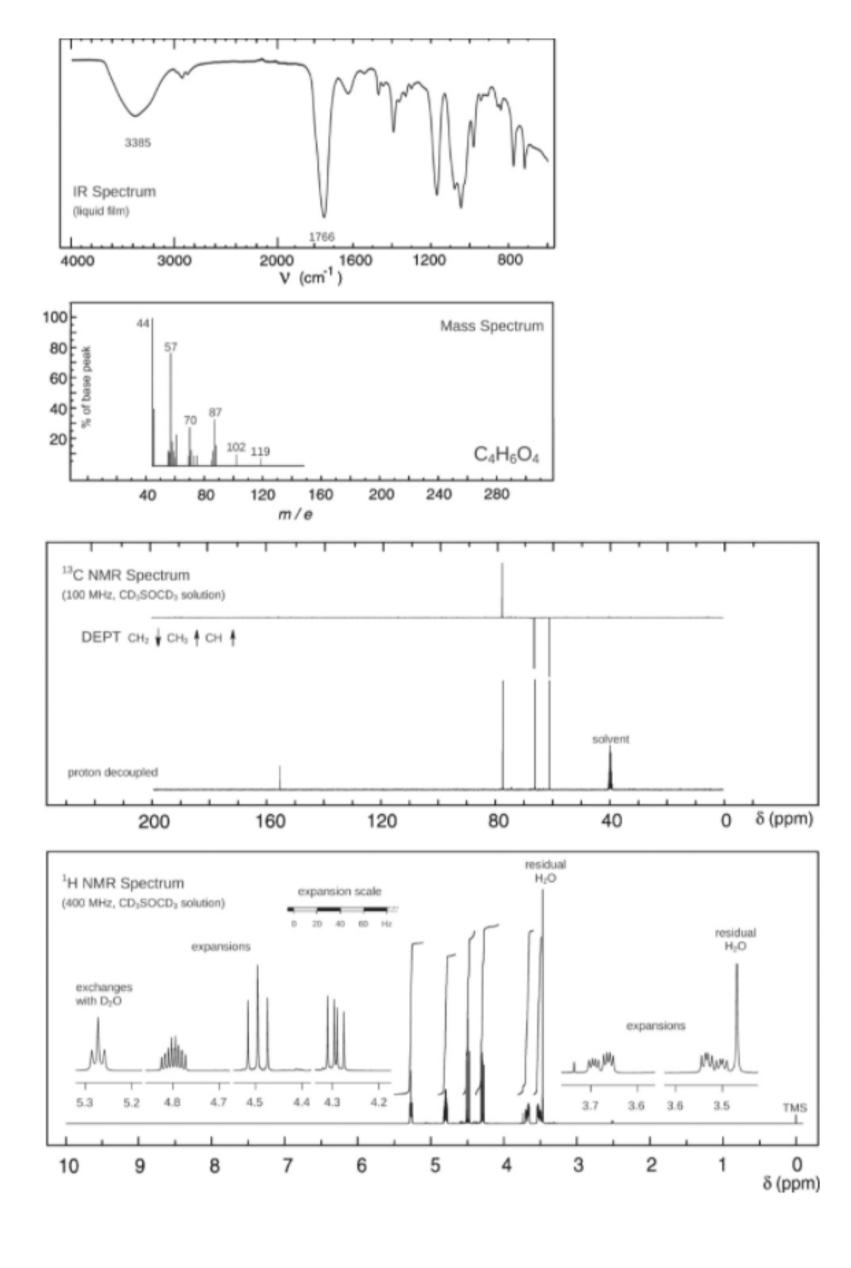


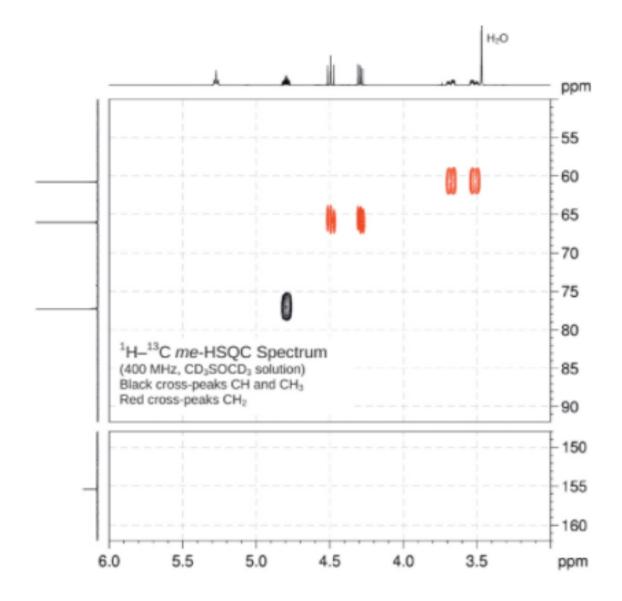


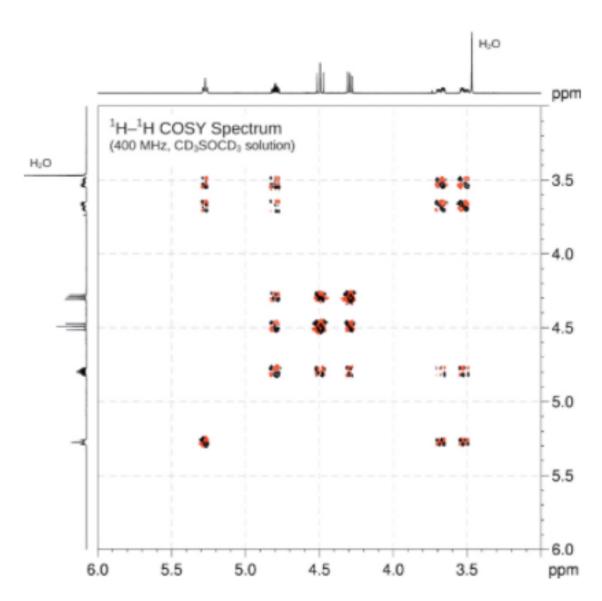


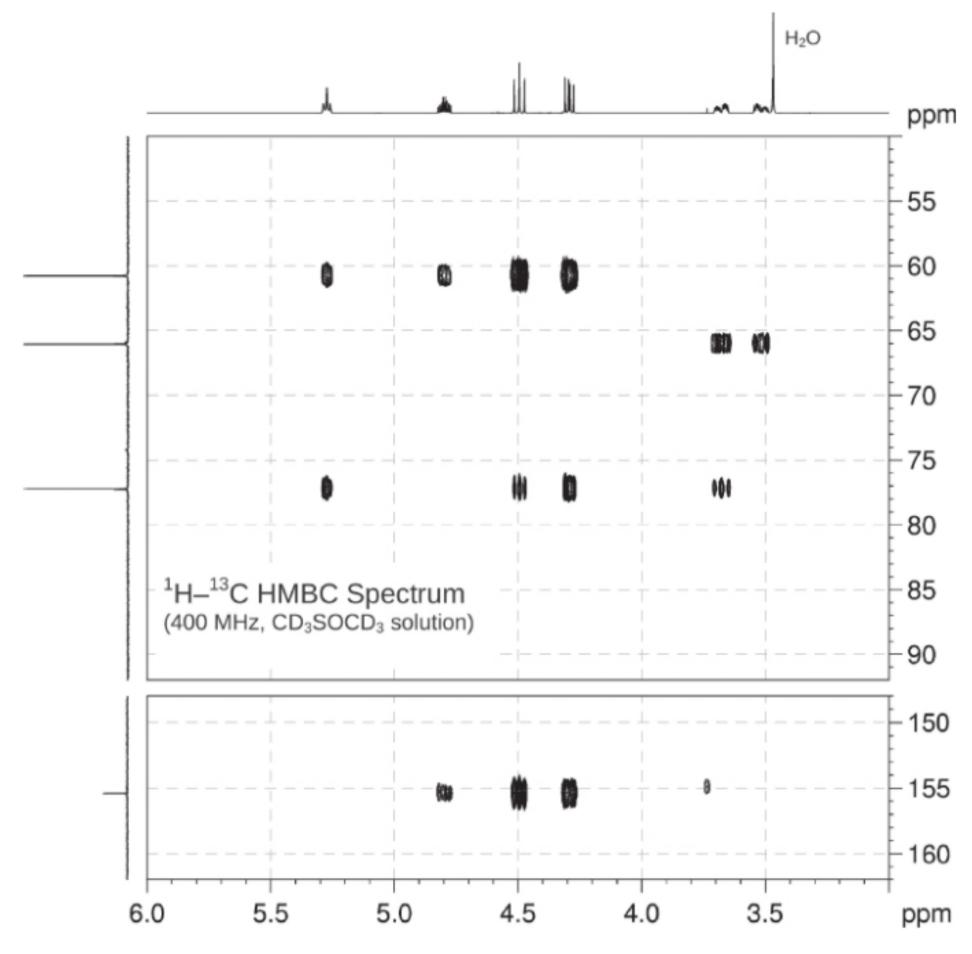


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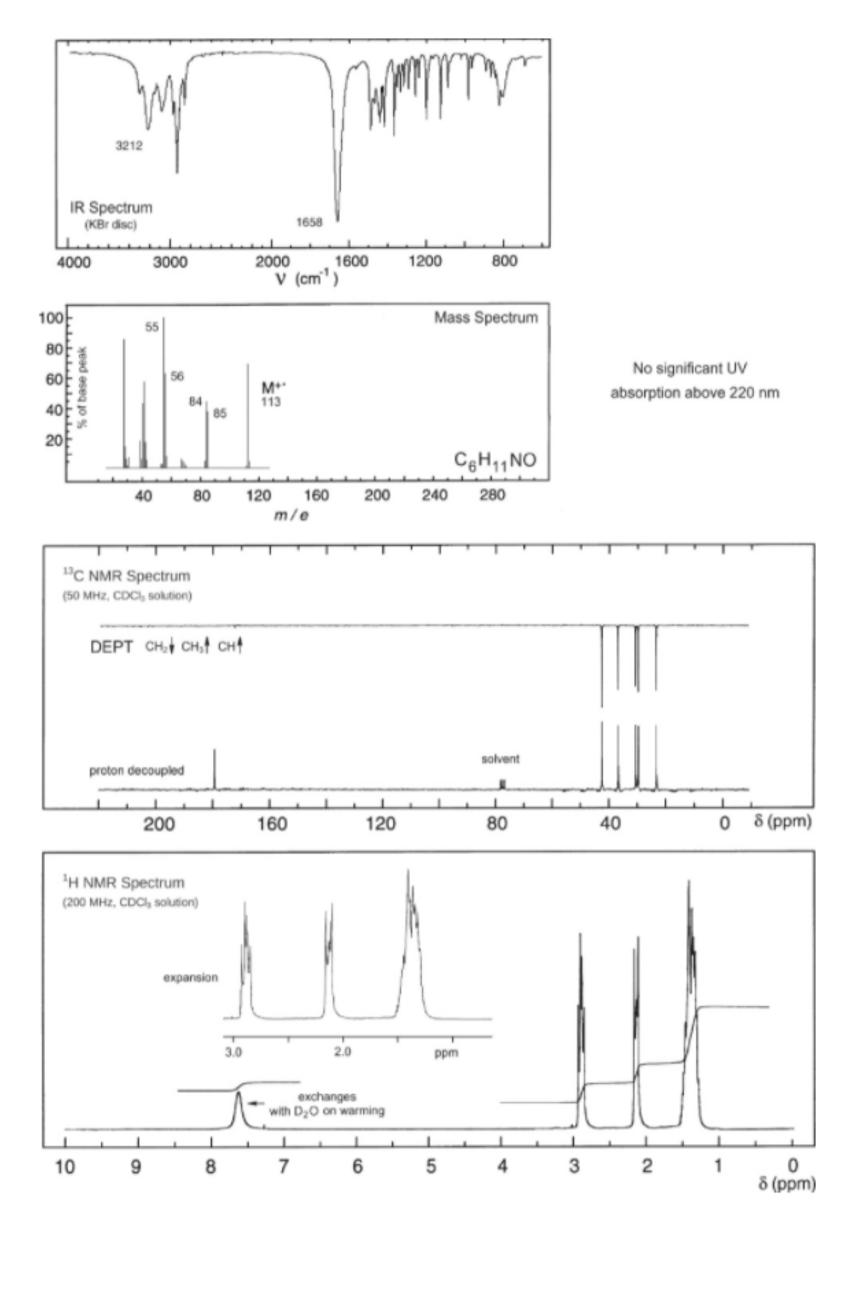


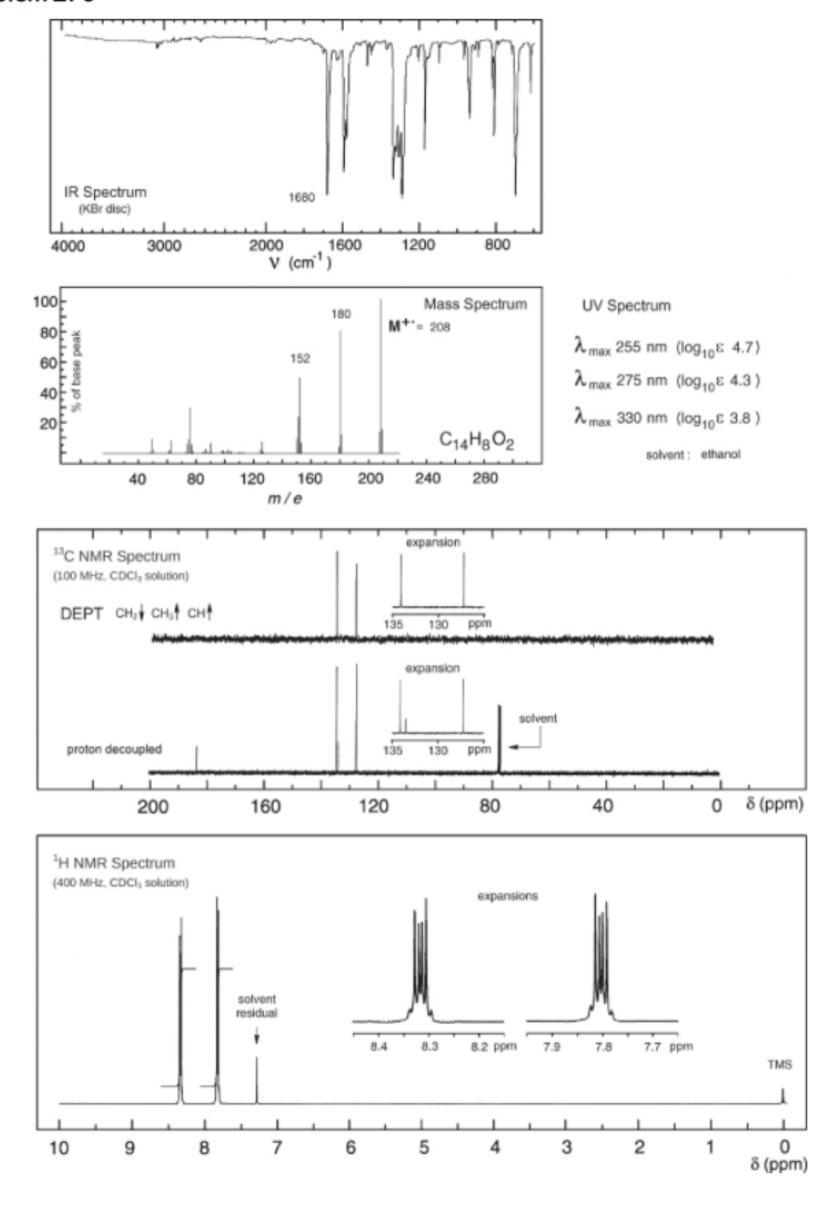


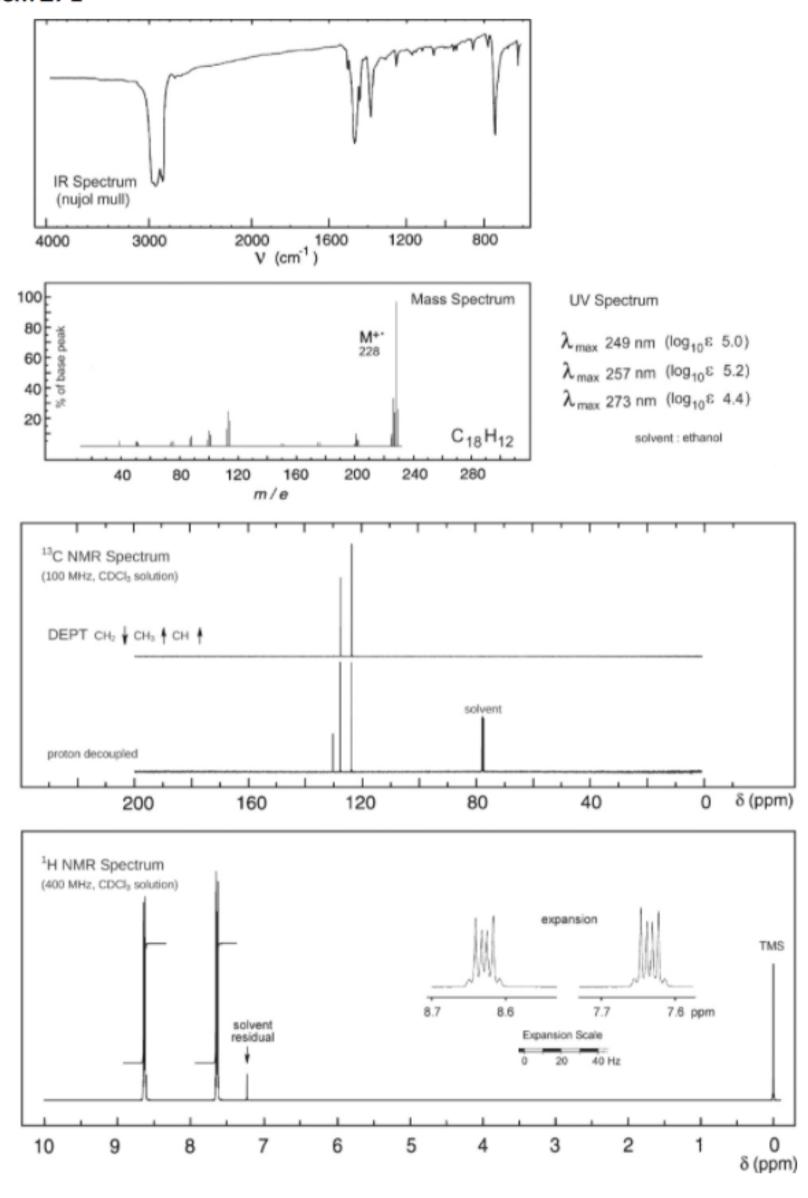


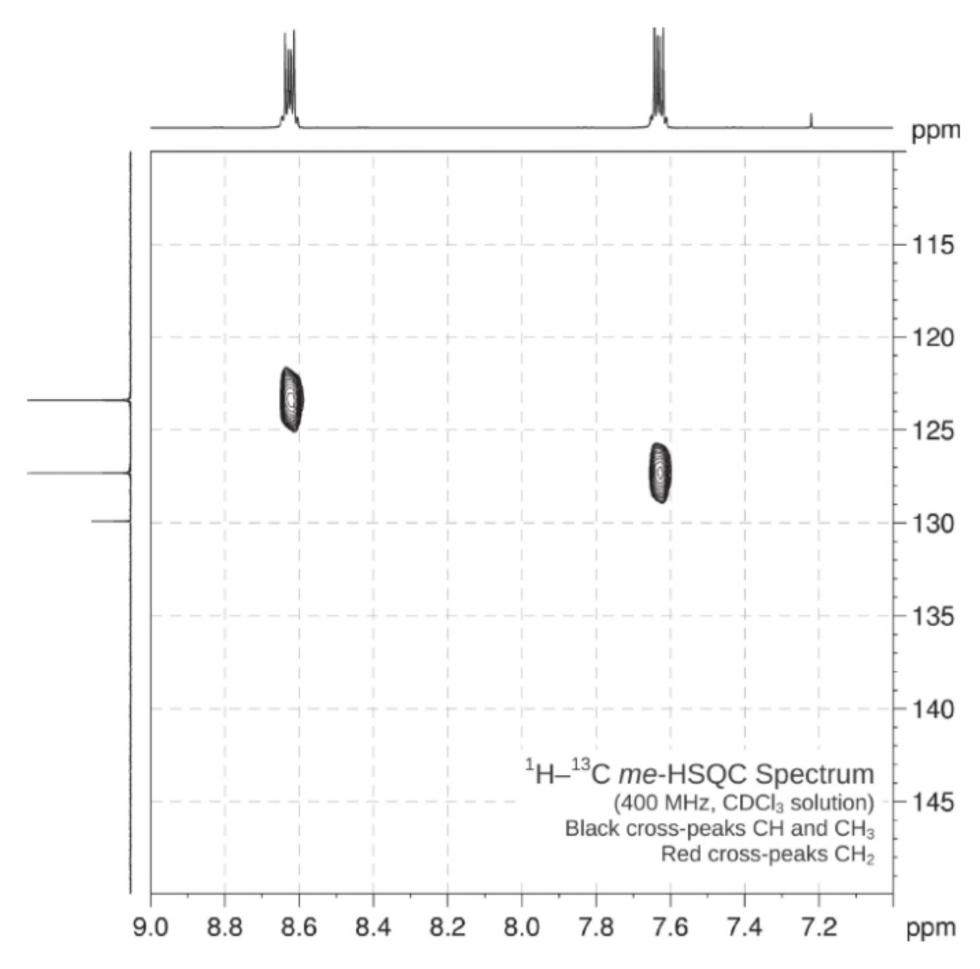


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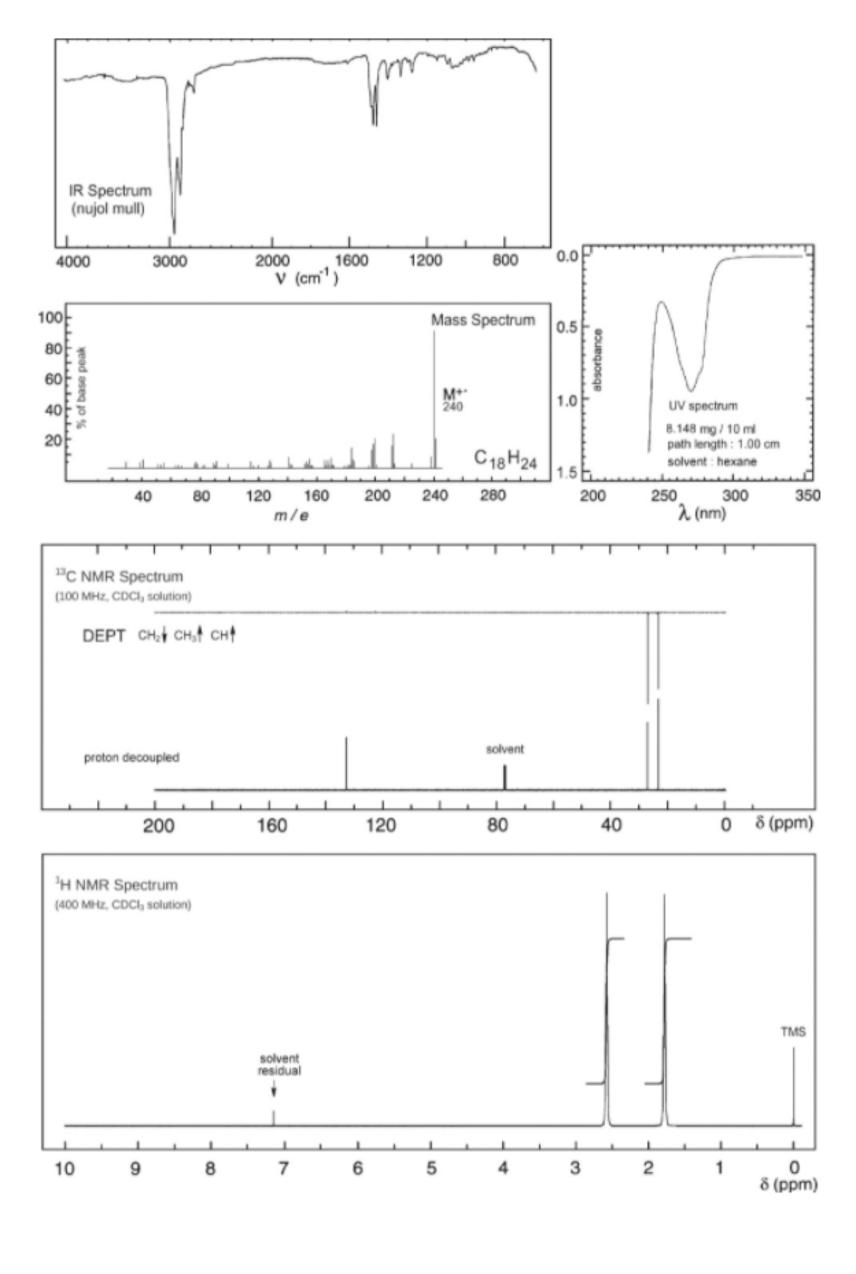


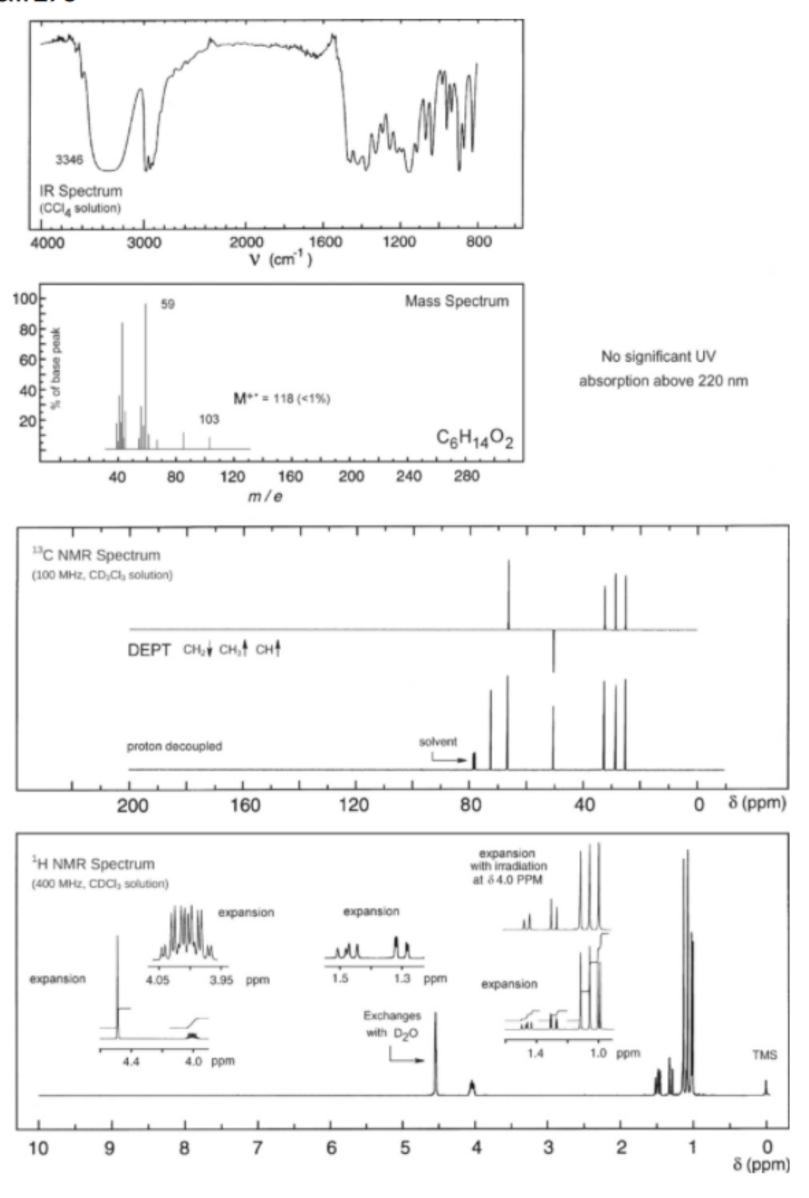


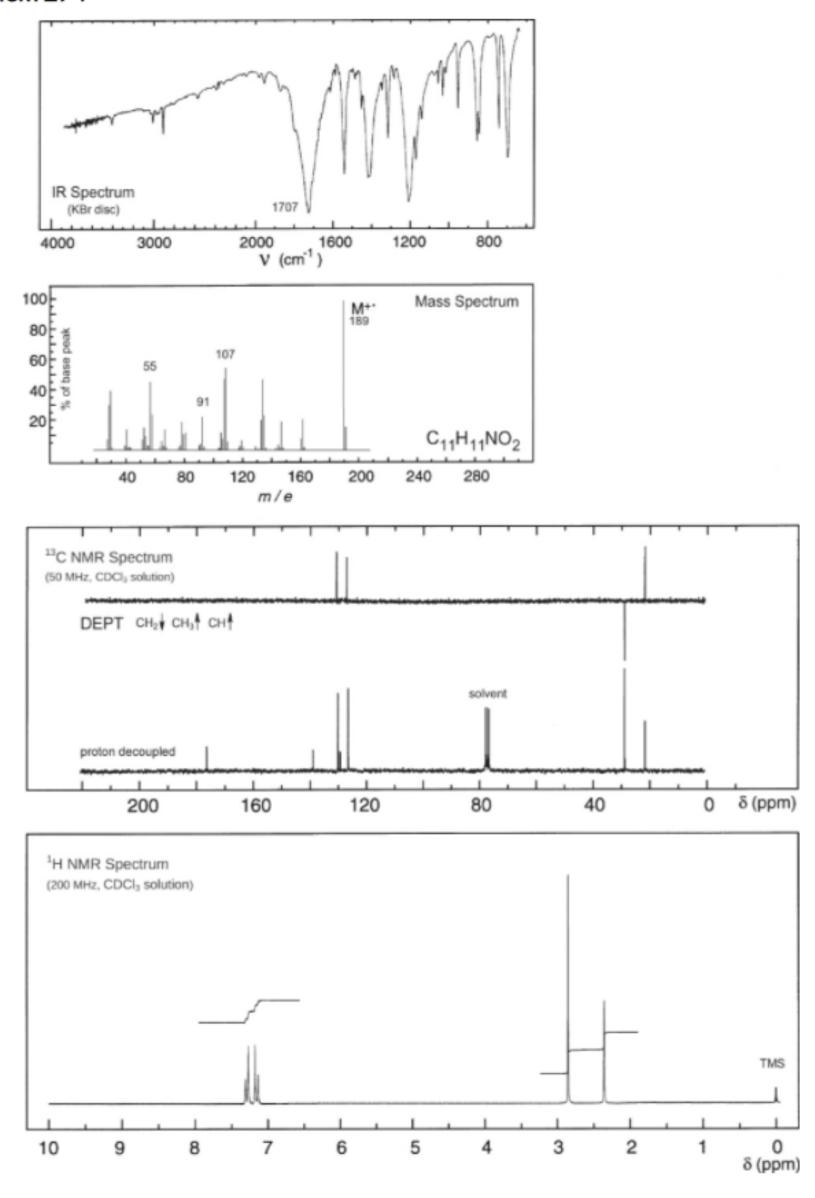


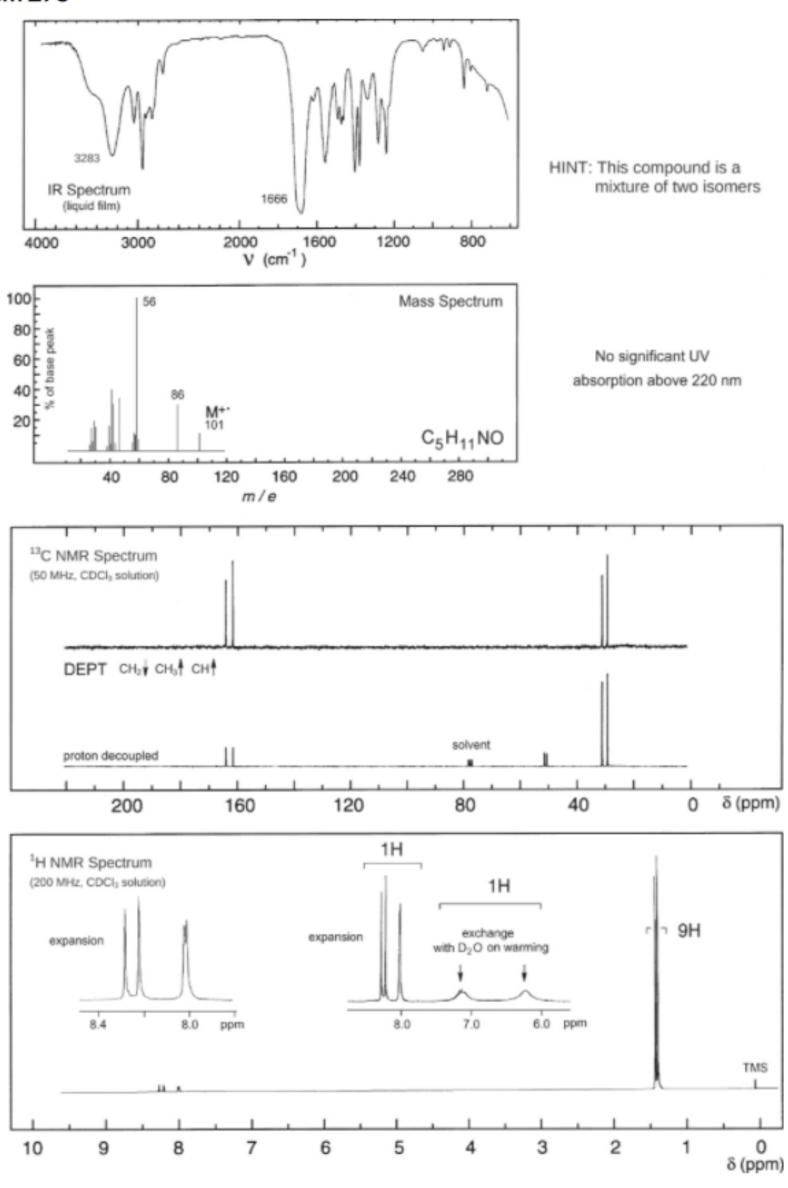


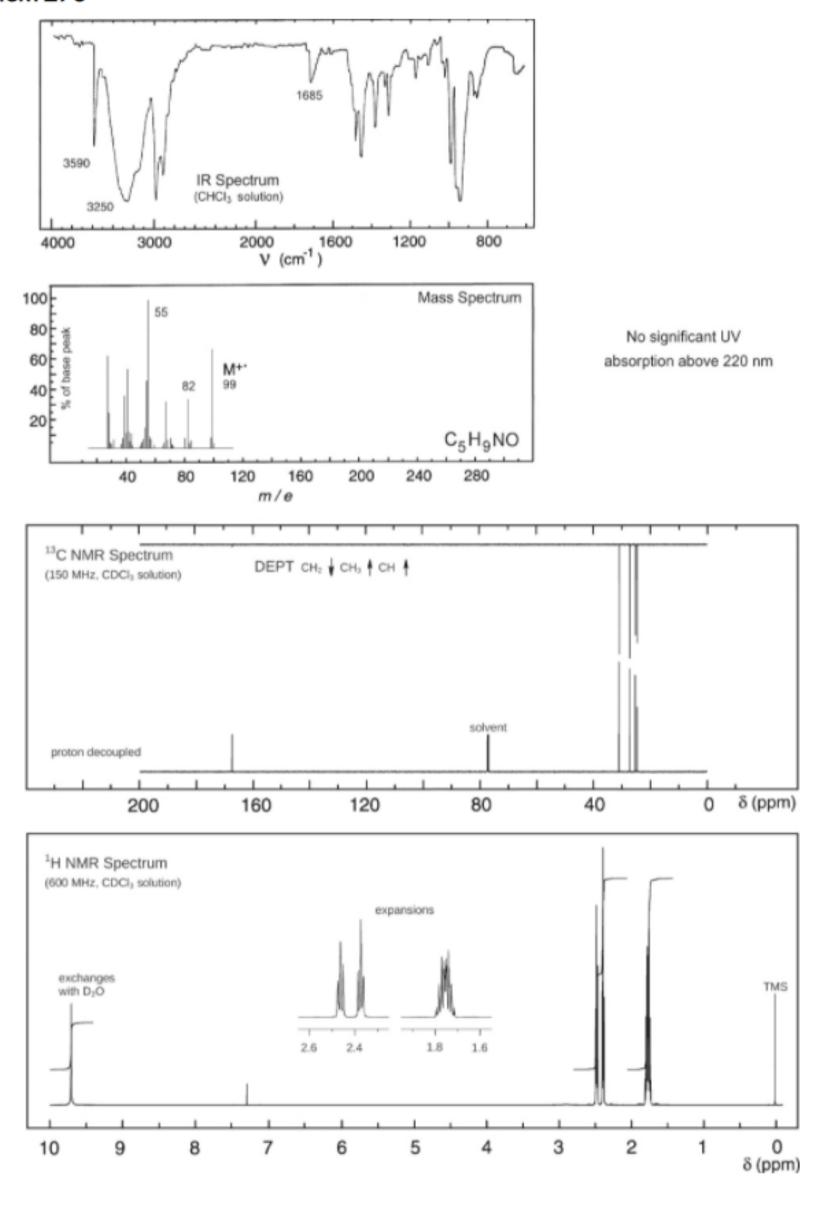
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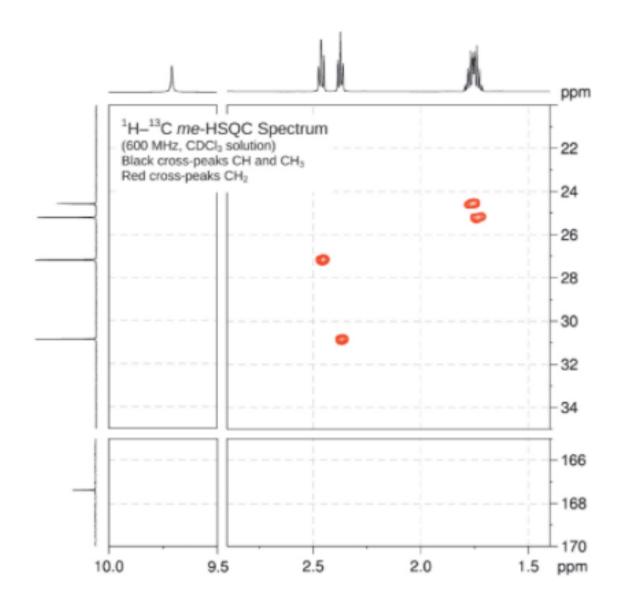


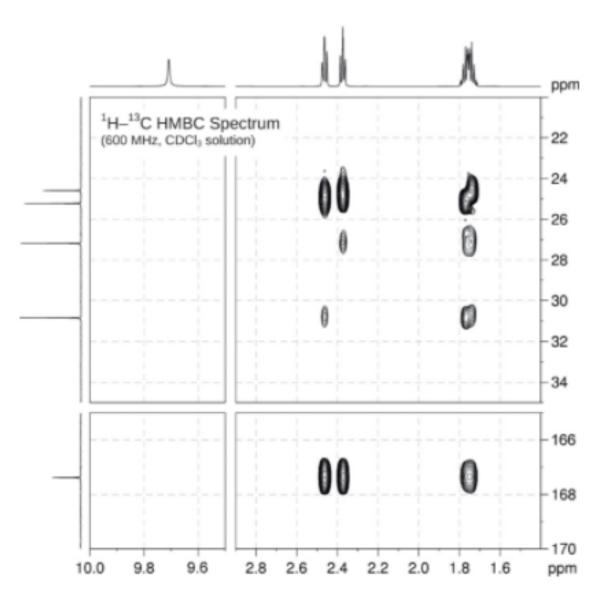


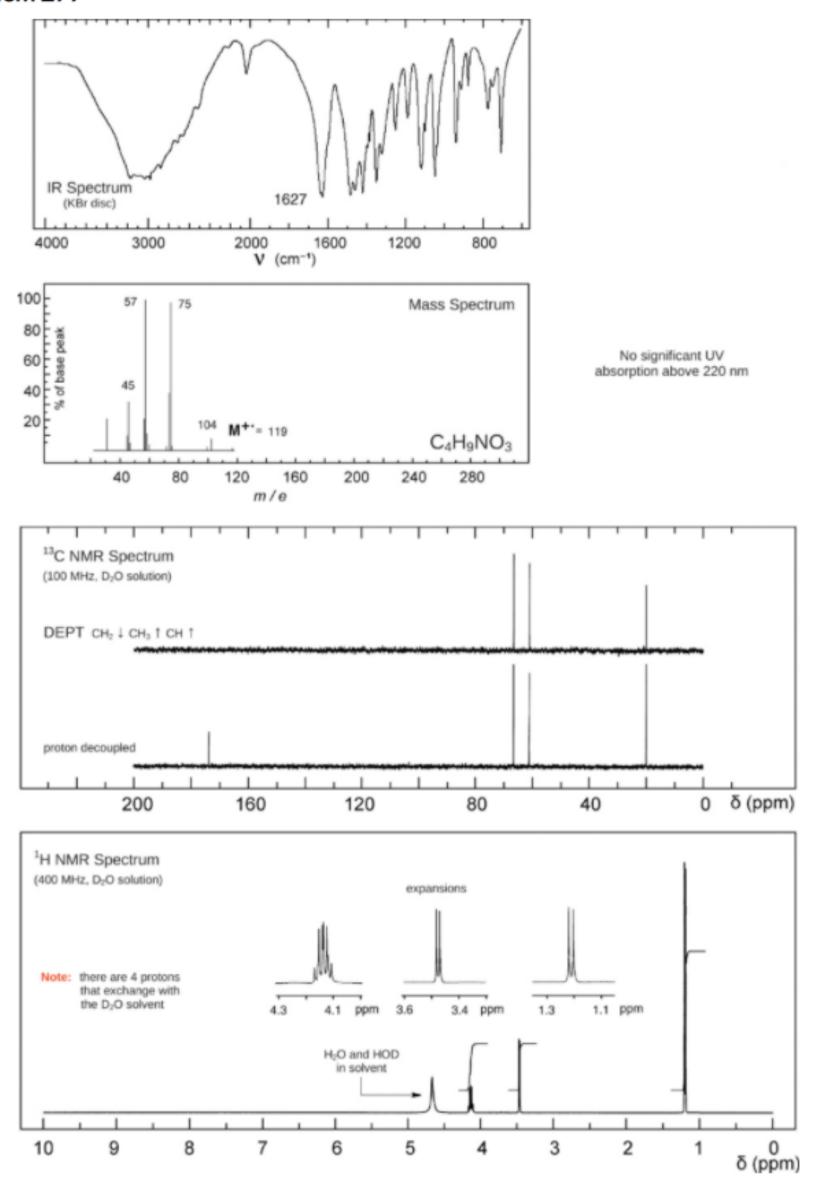


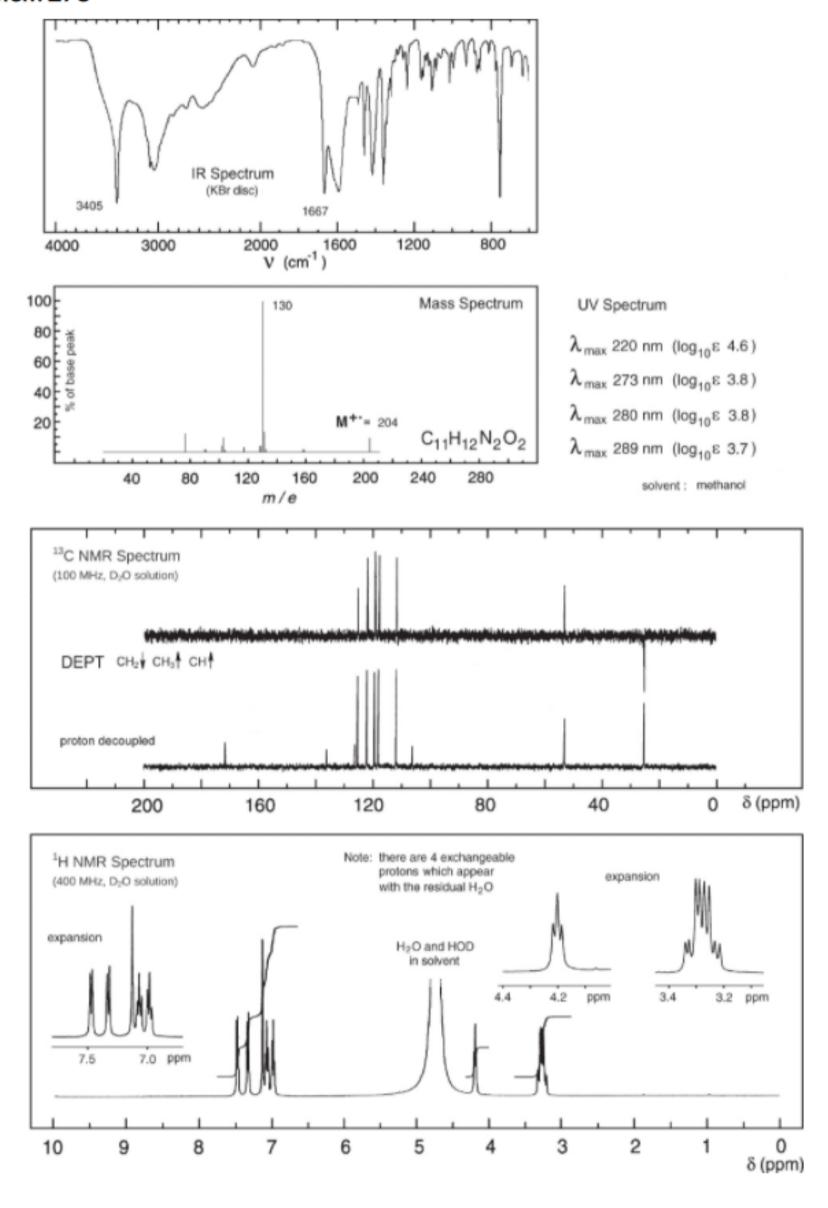


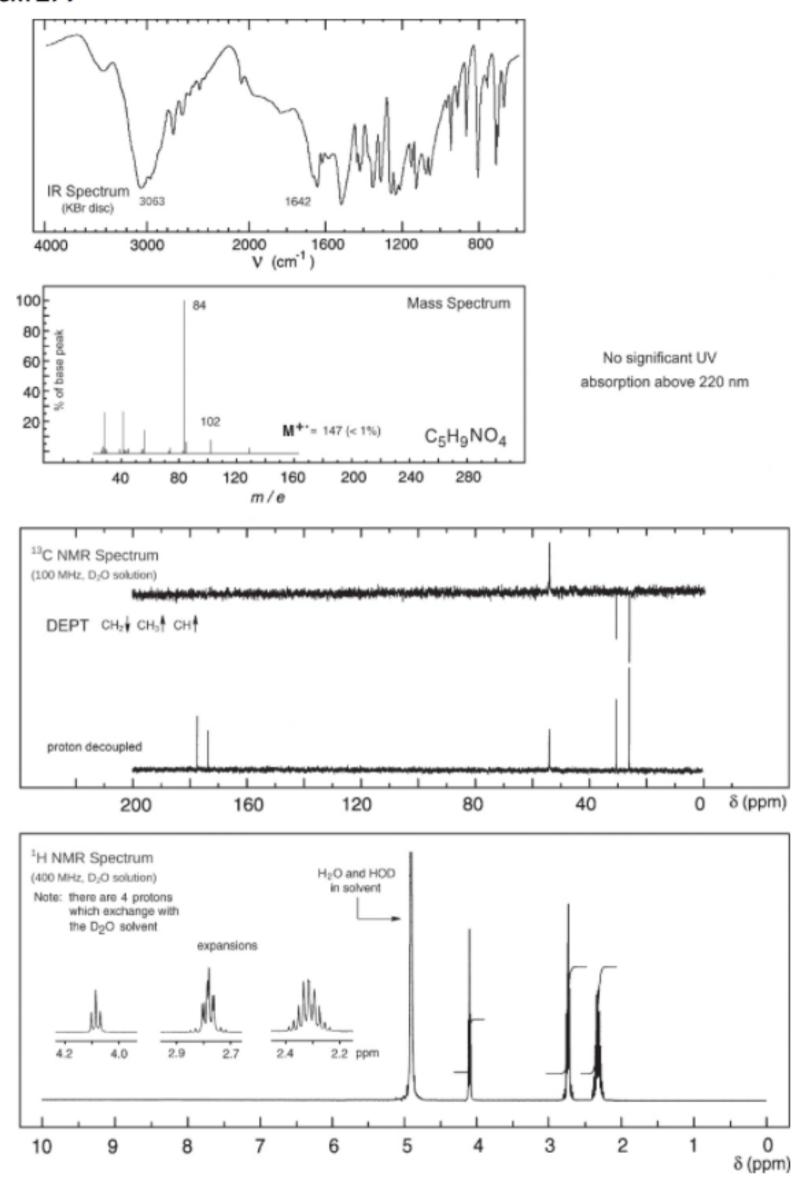


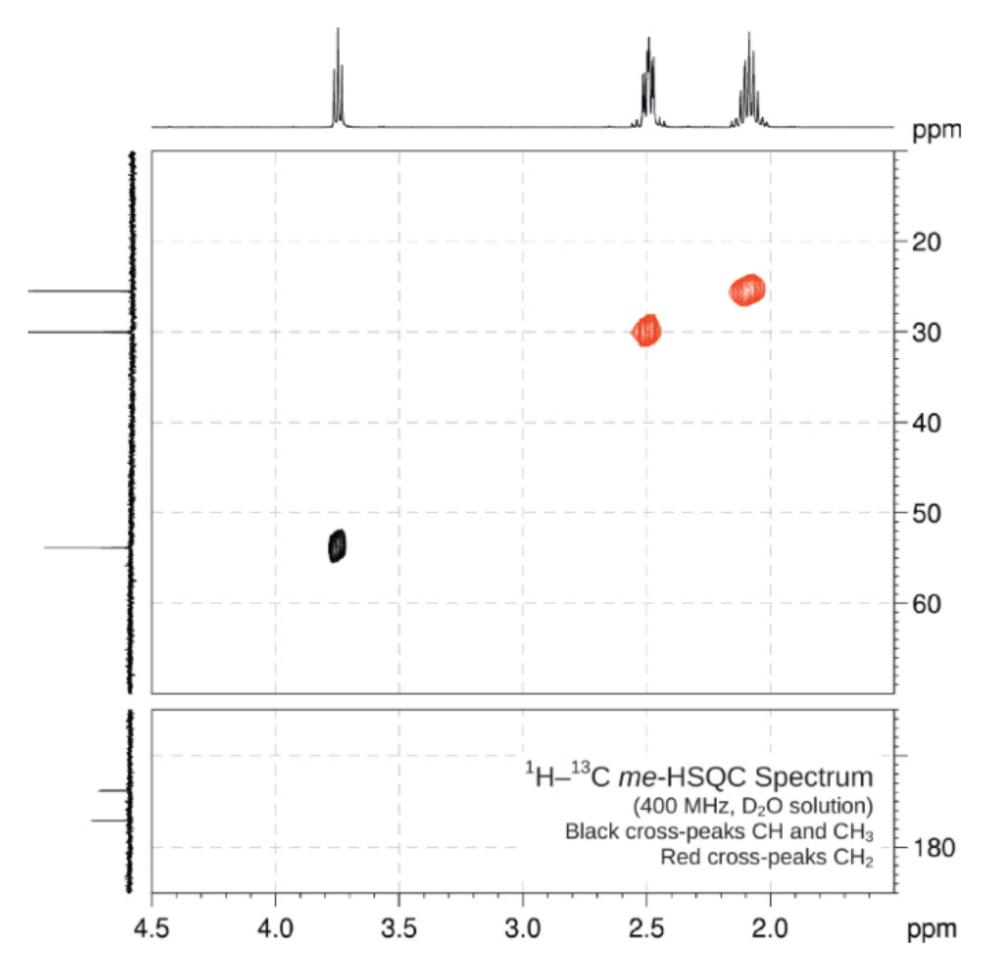




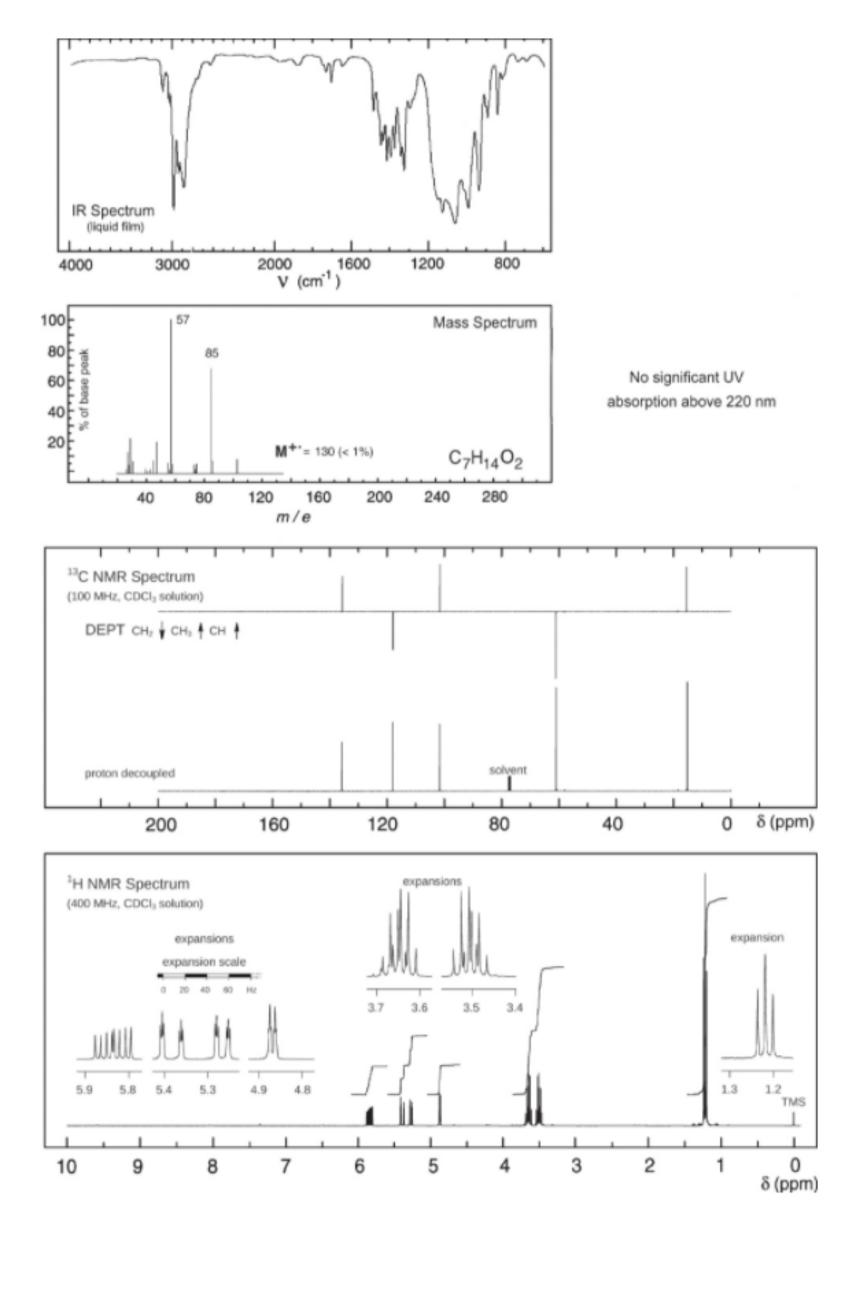


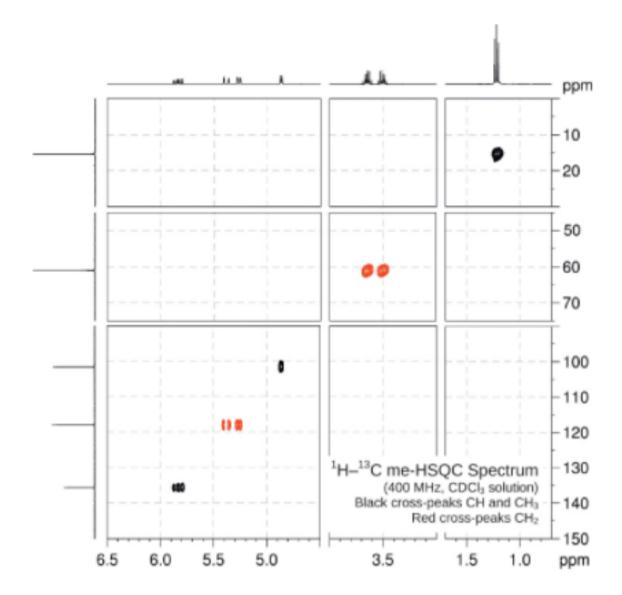


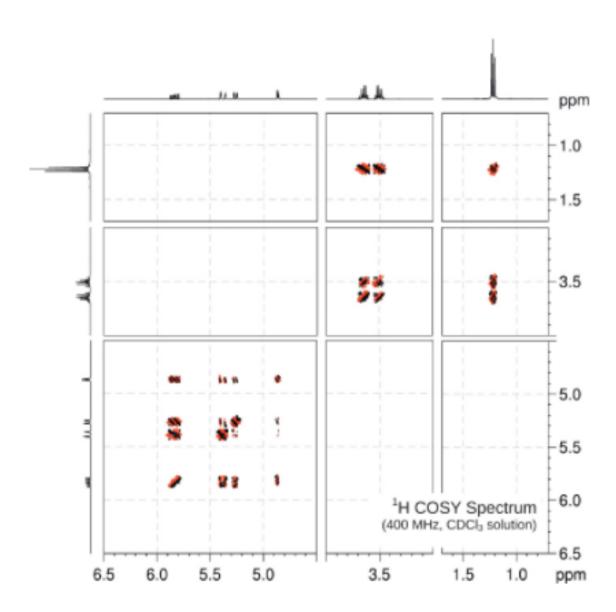


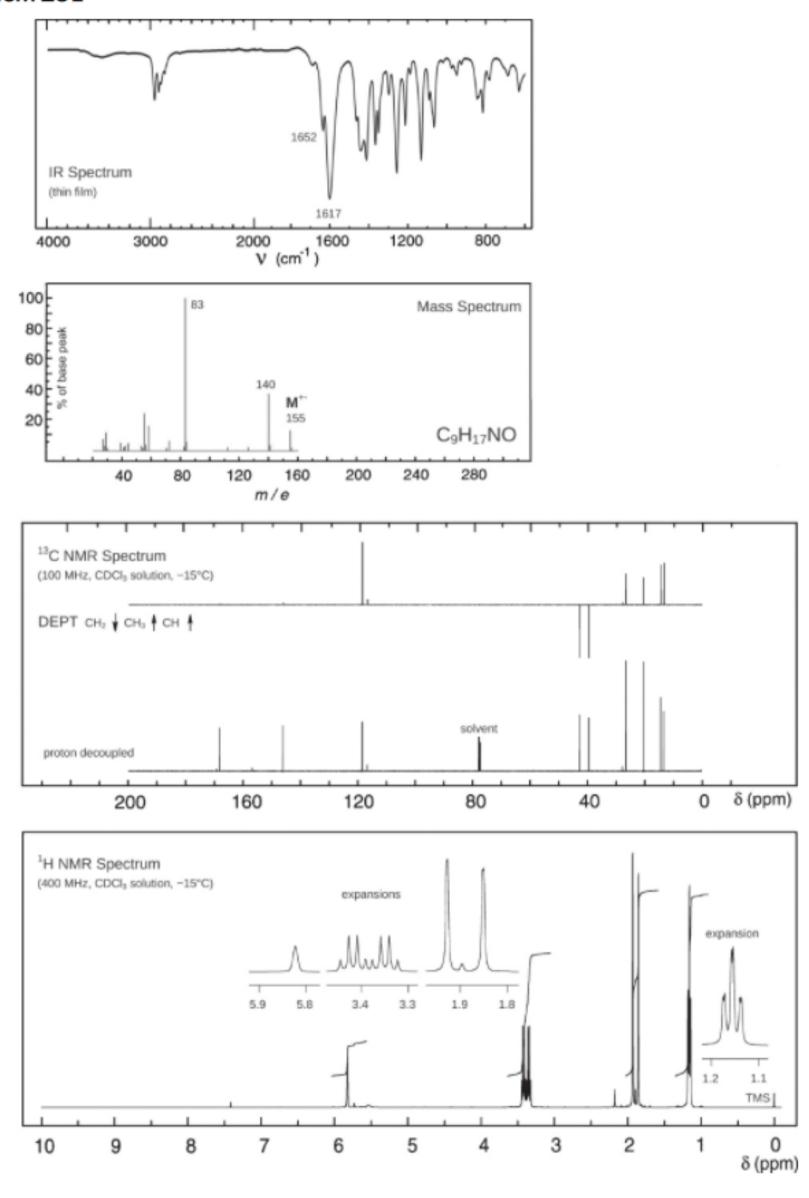


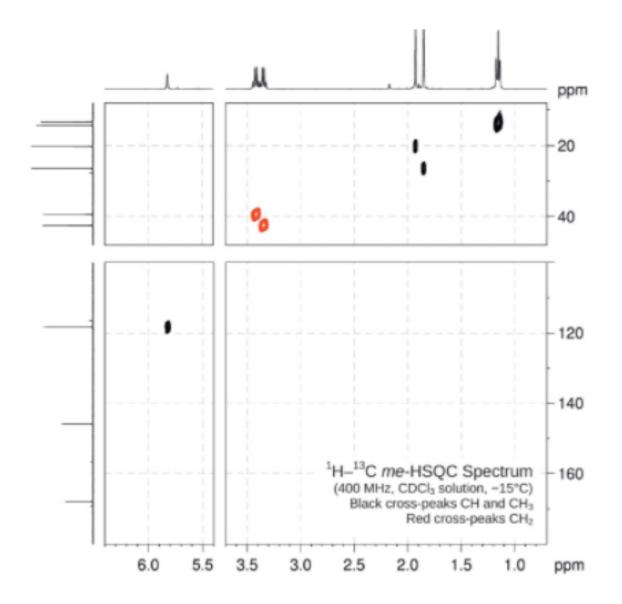
Problem 280

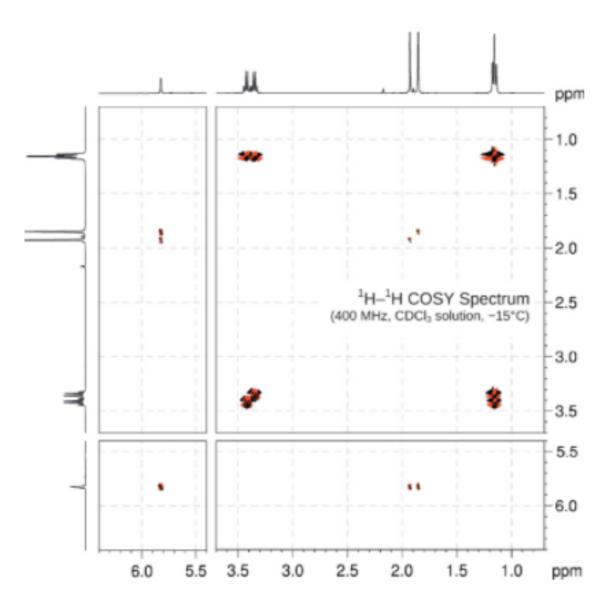


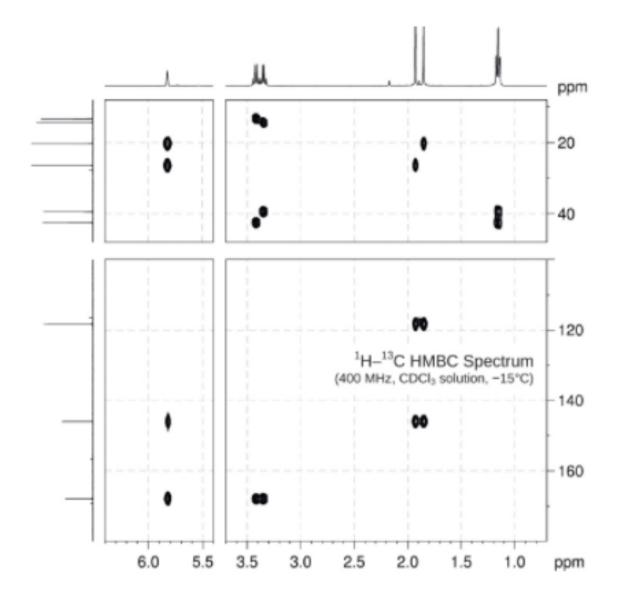


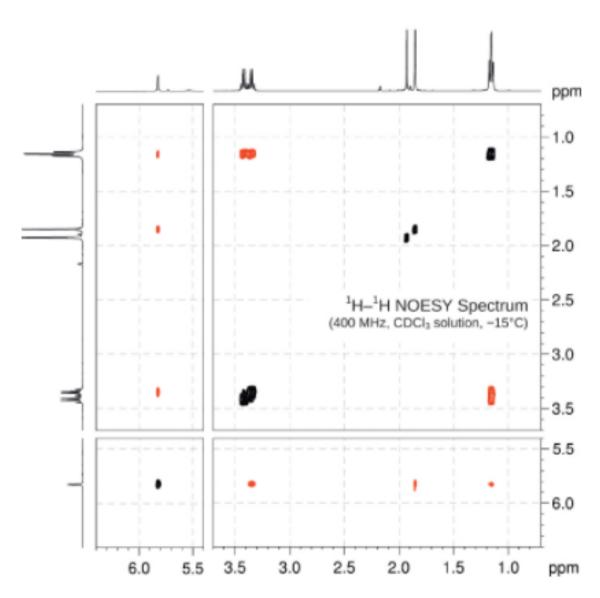


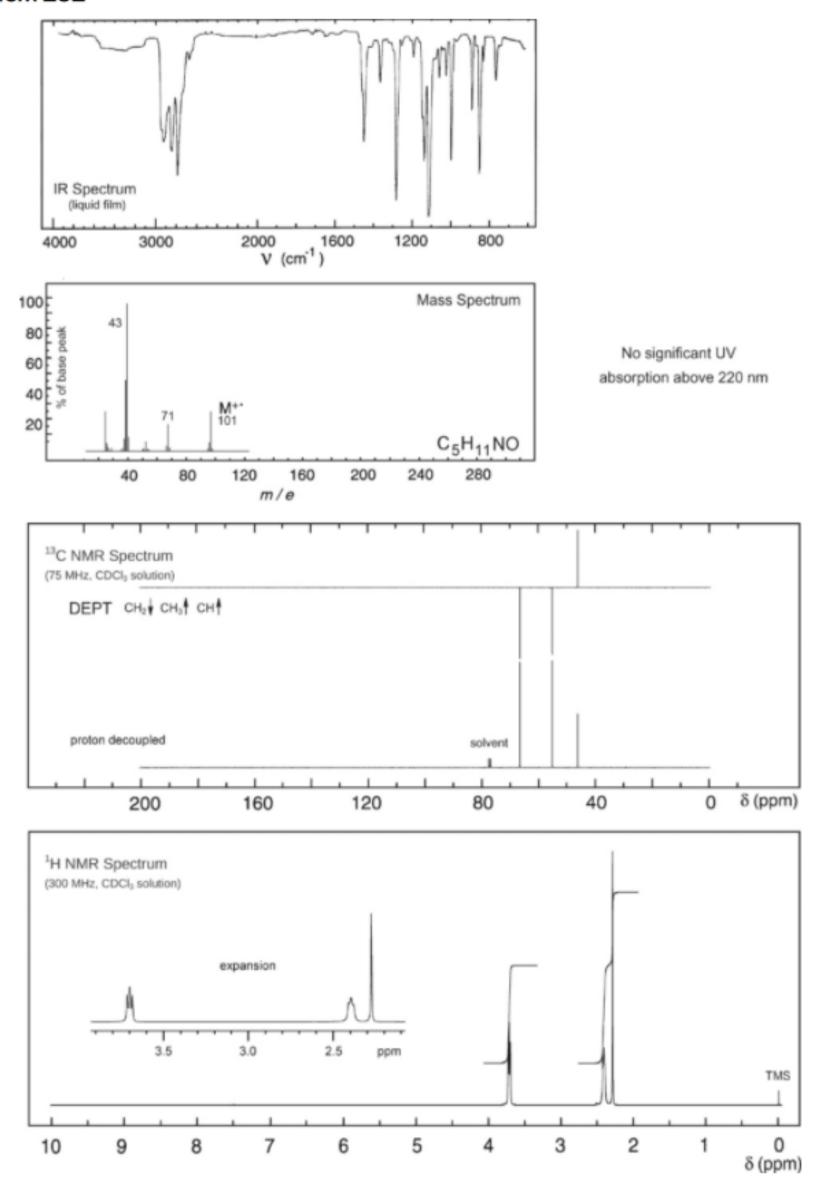


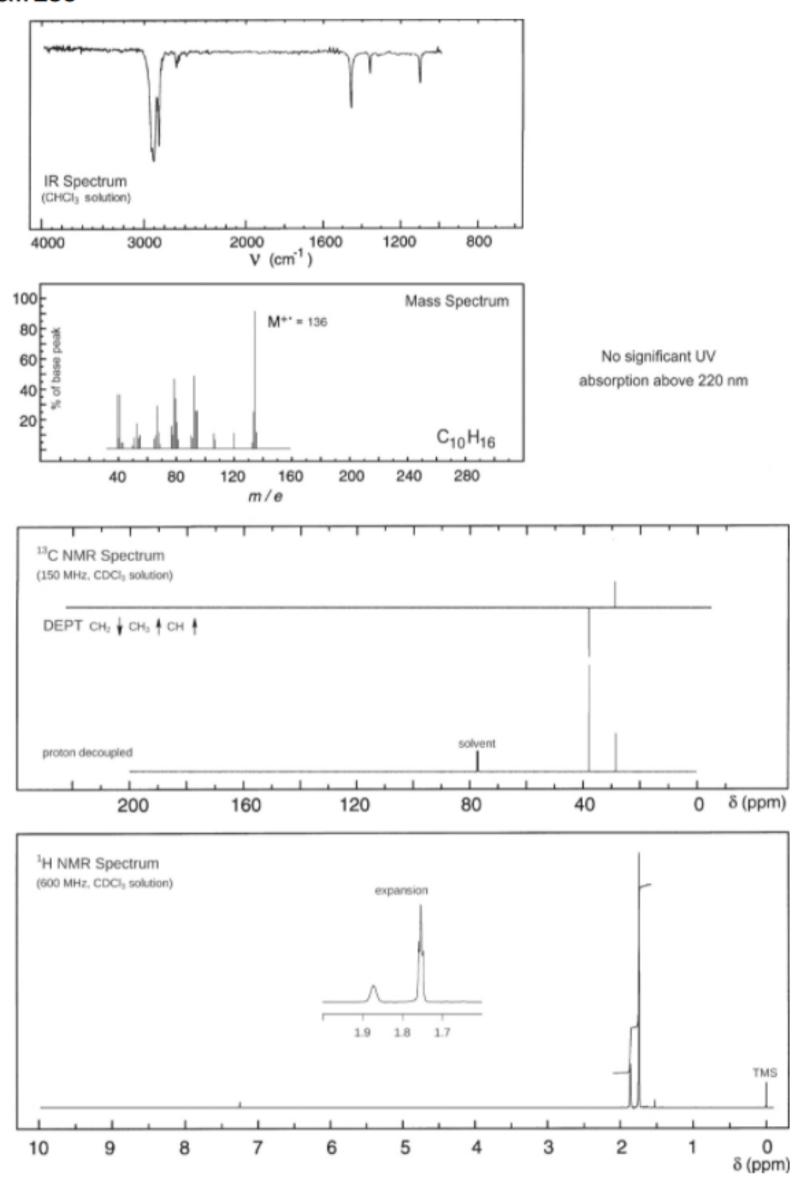


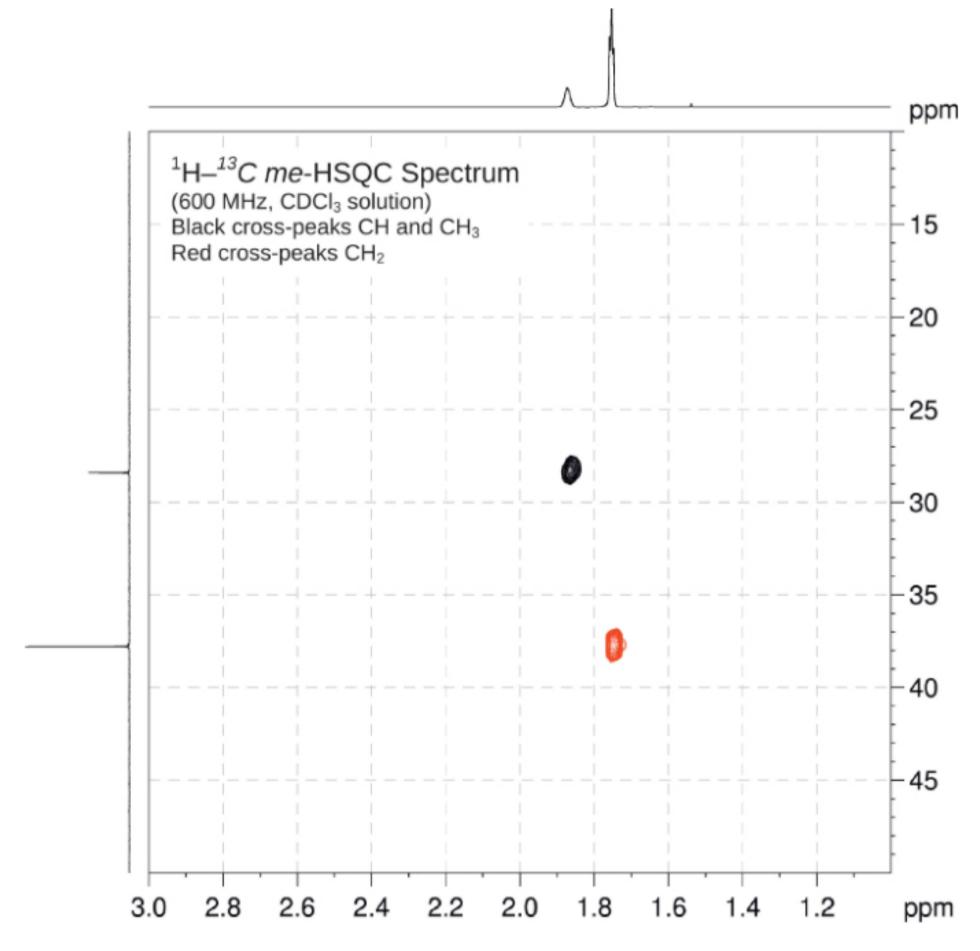




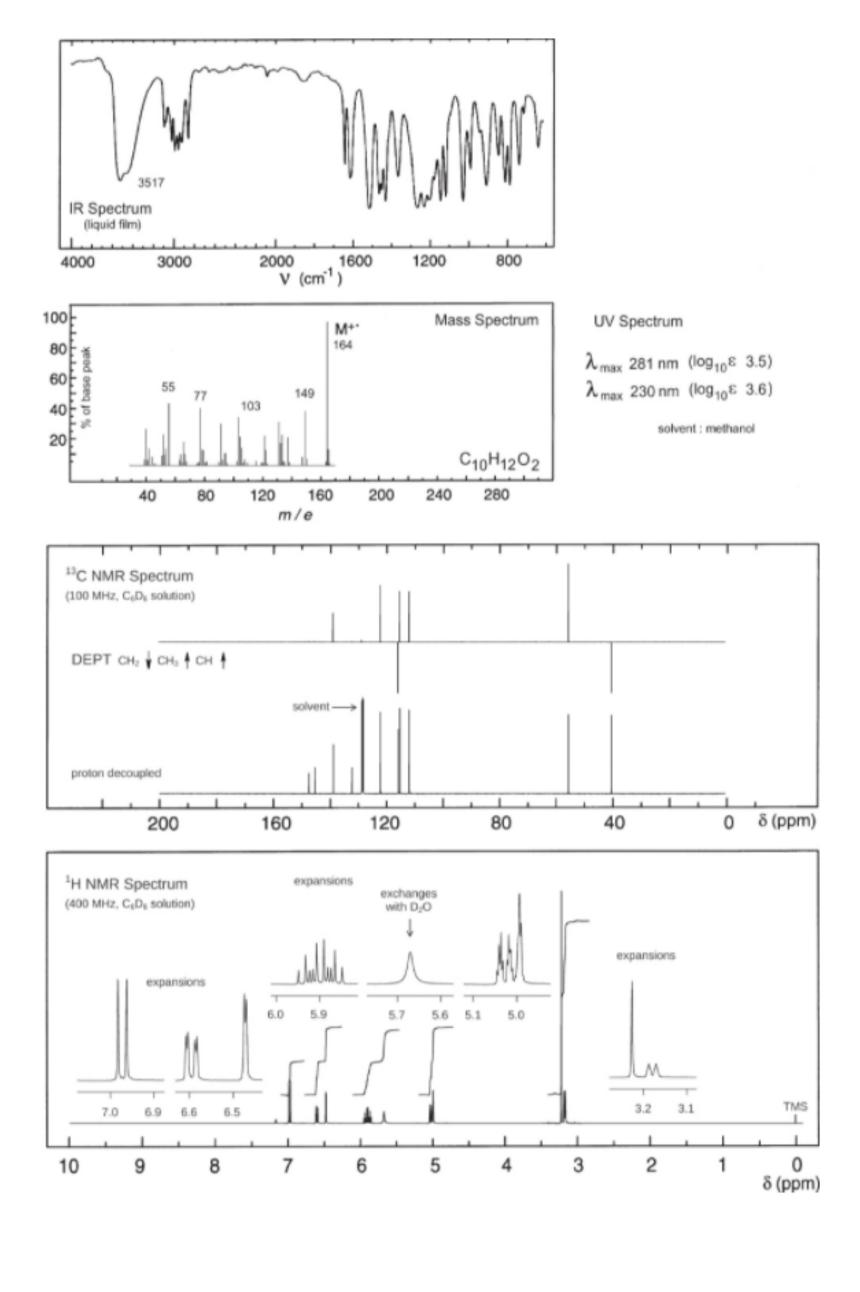


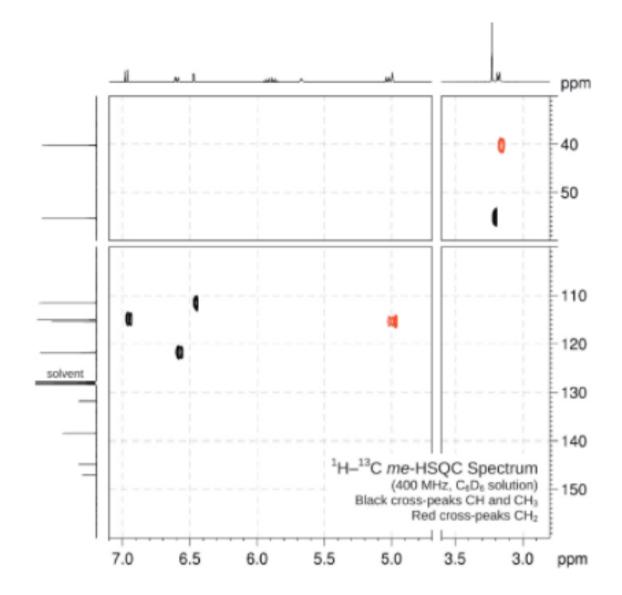


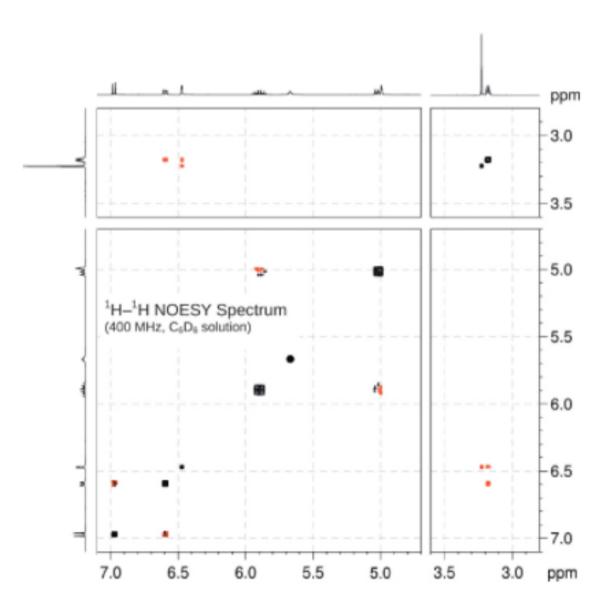


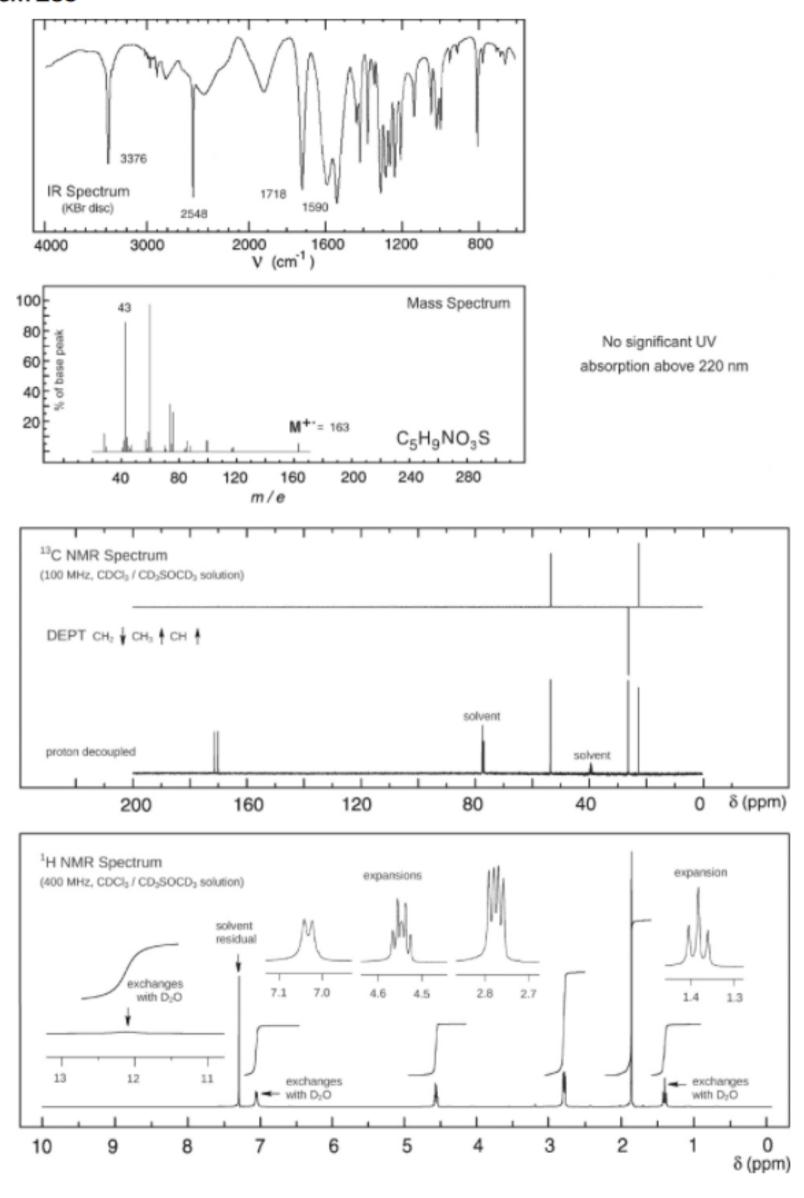


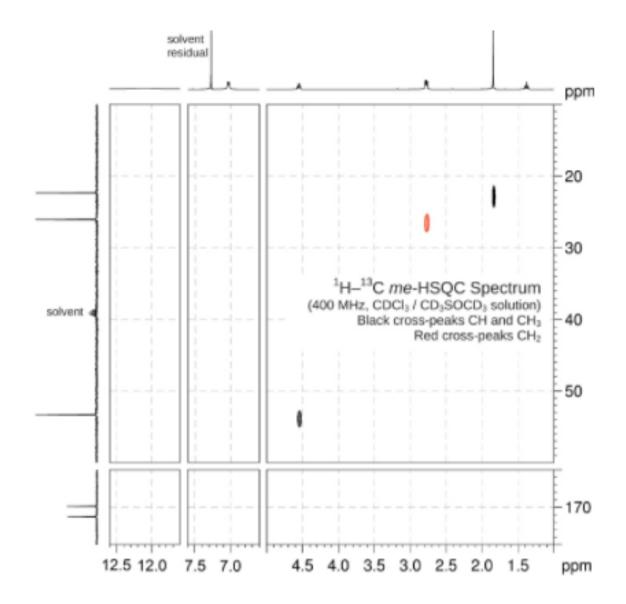
Problem 284

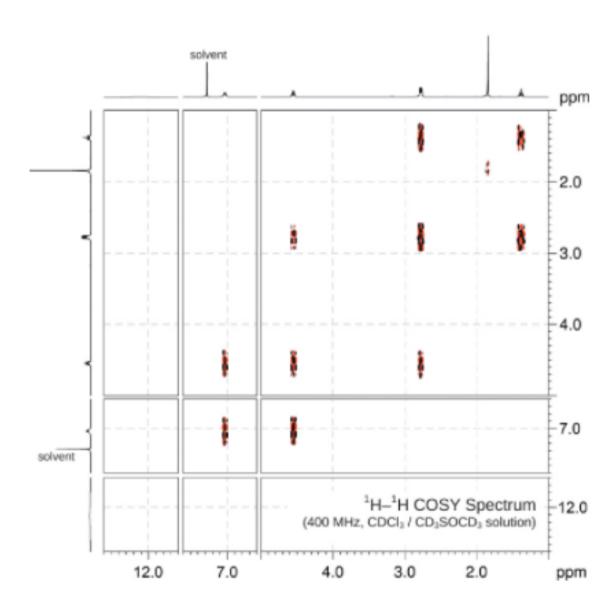


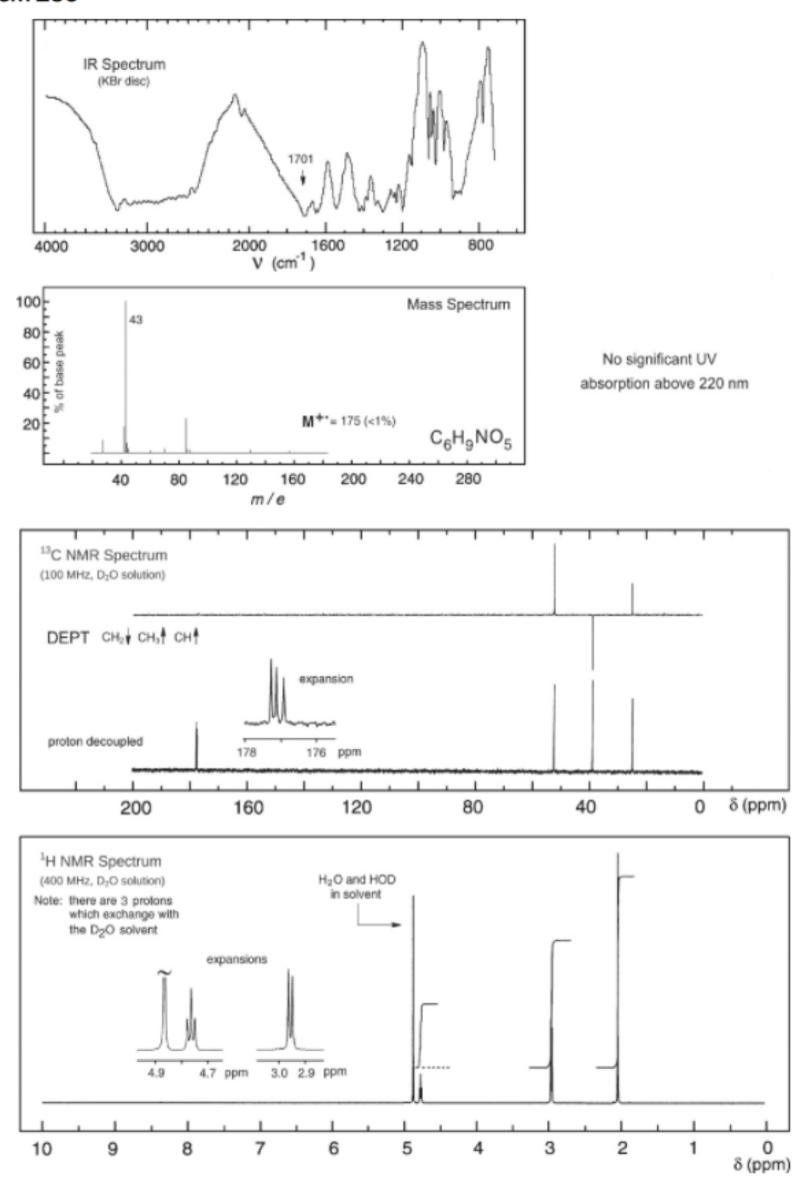


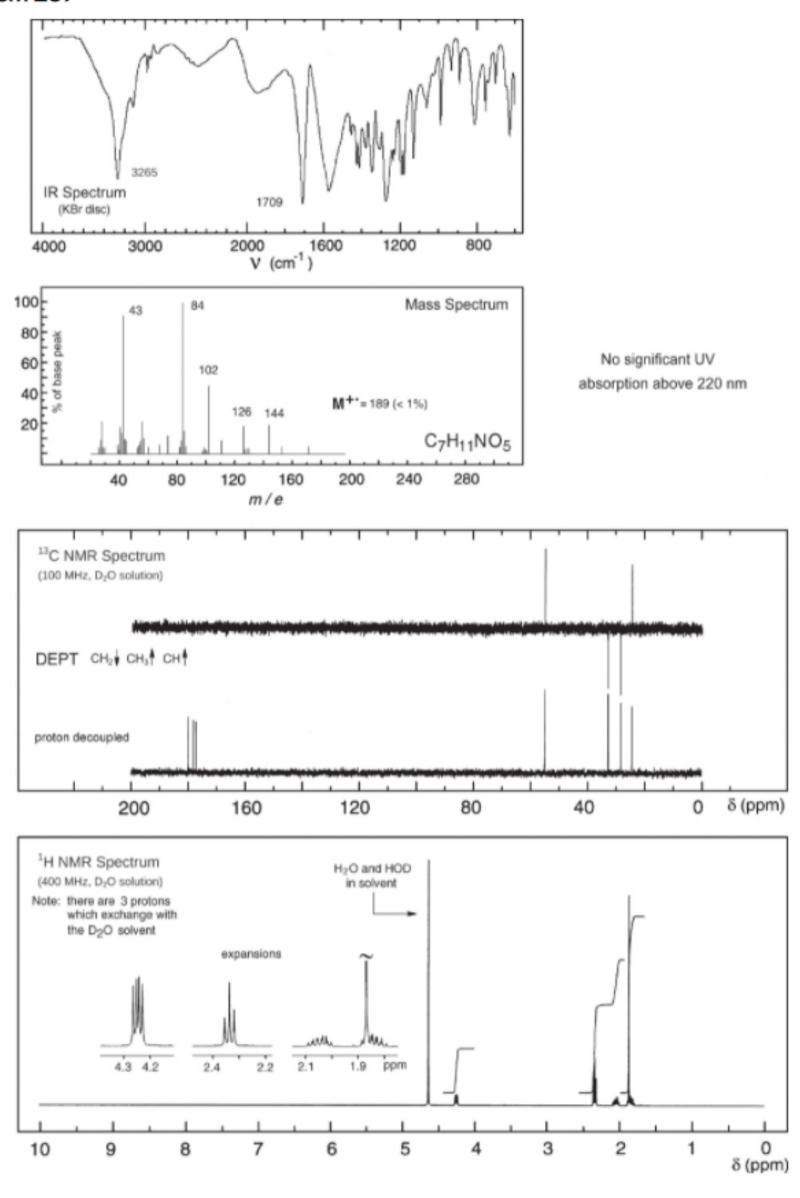


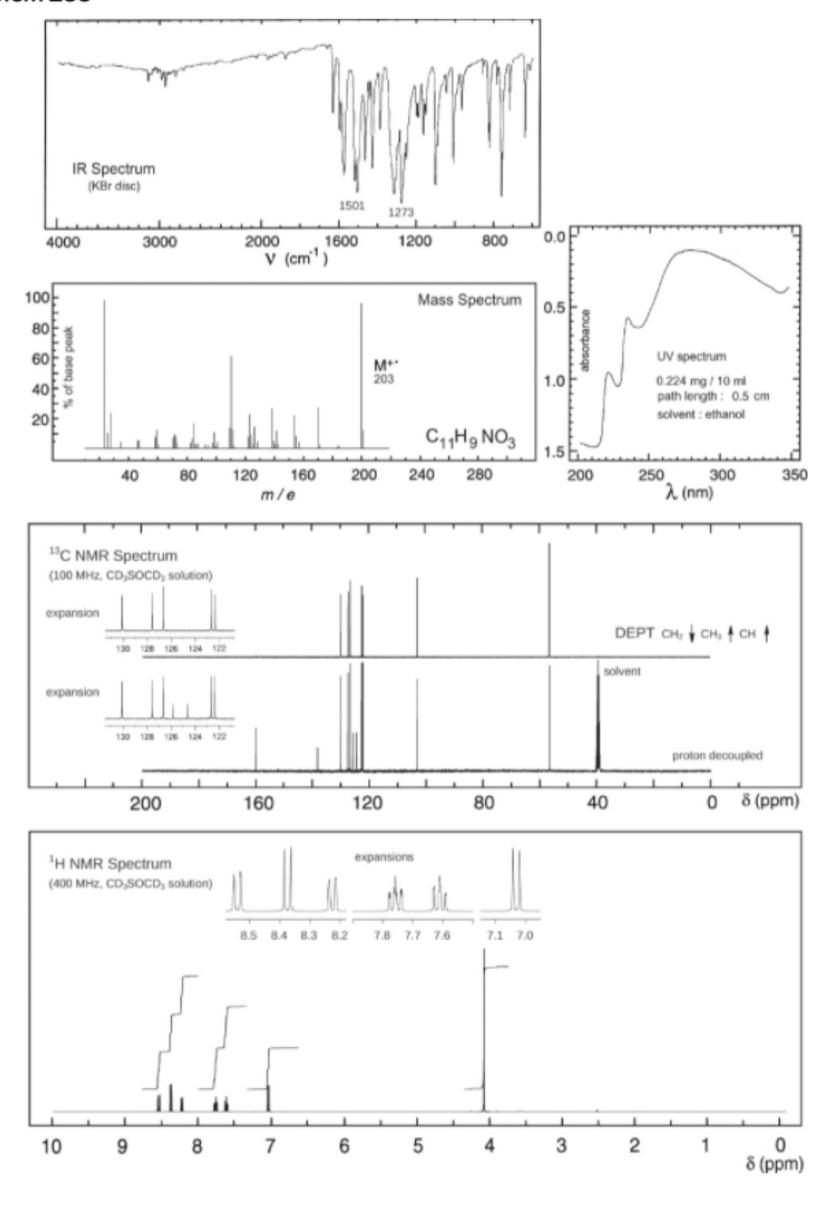


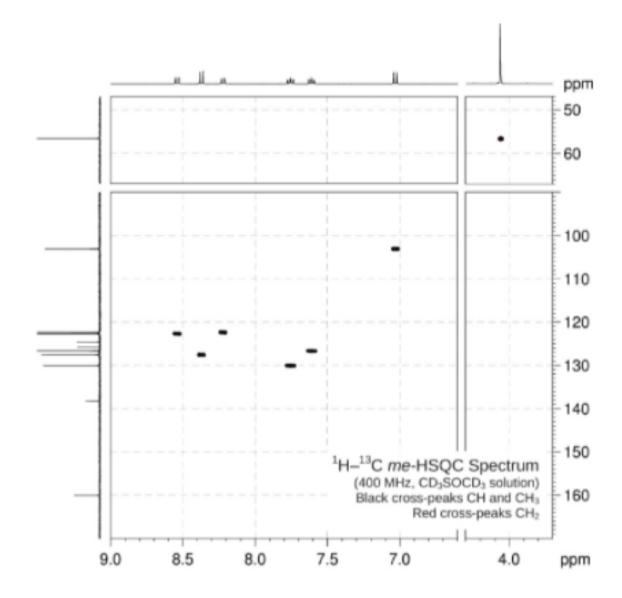


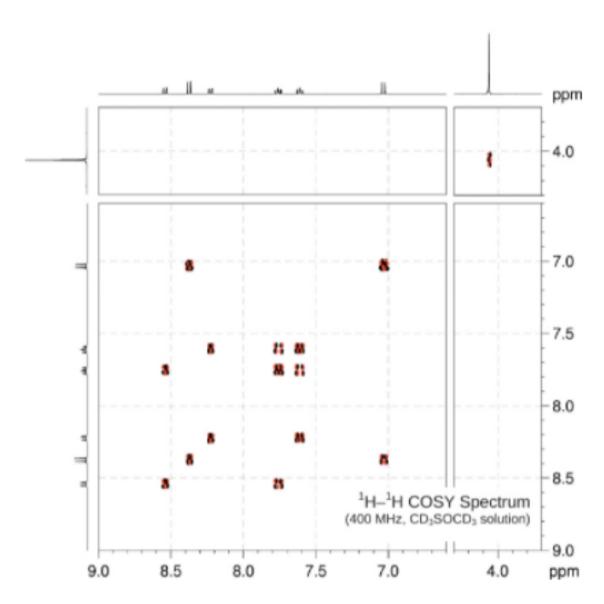


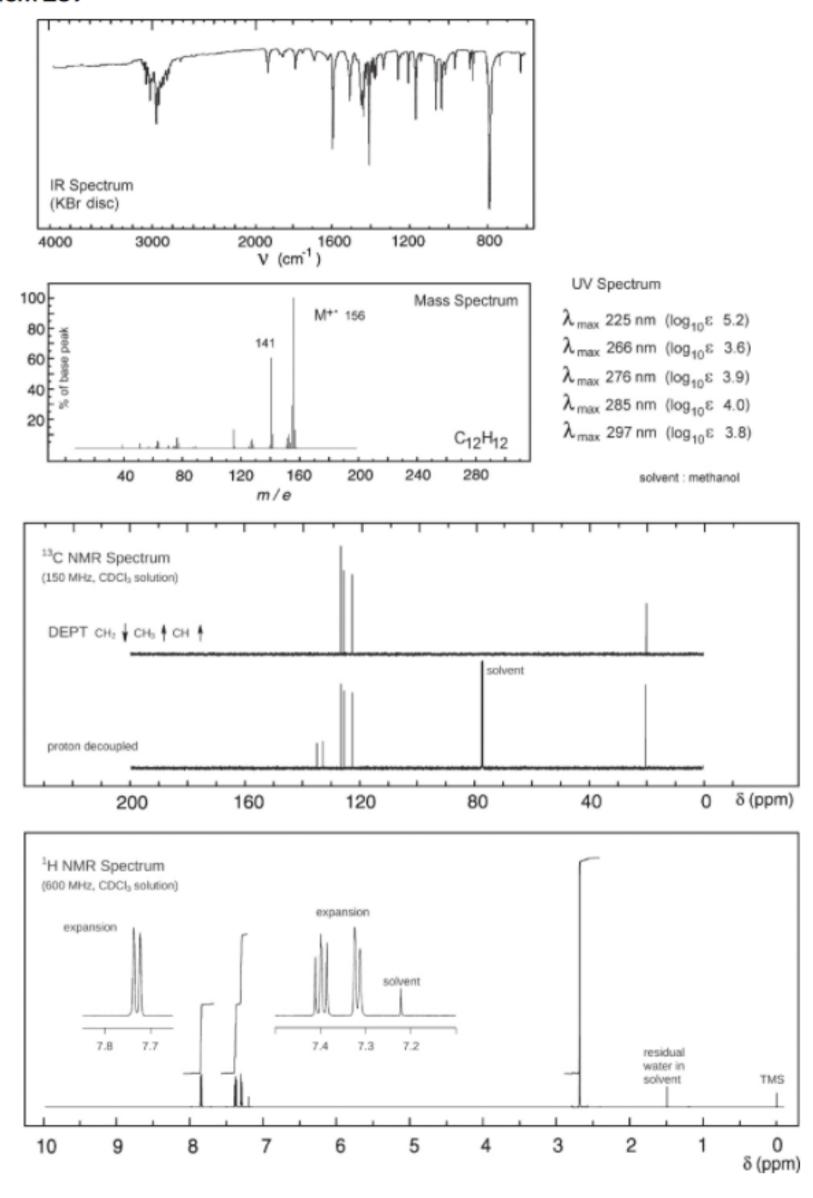


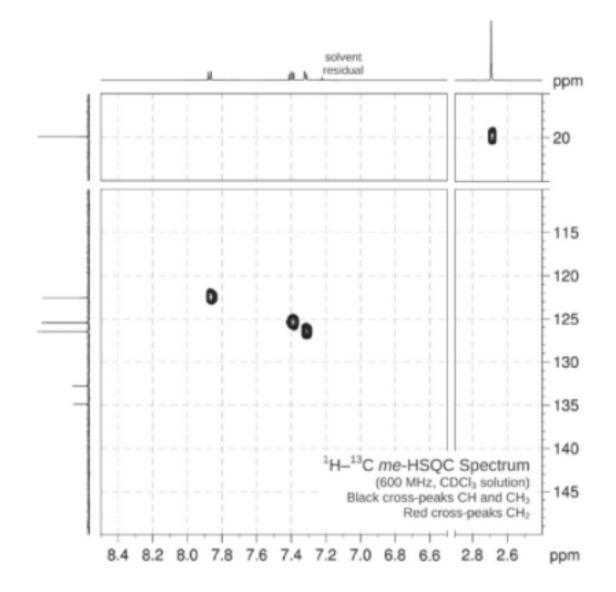


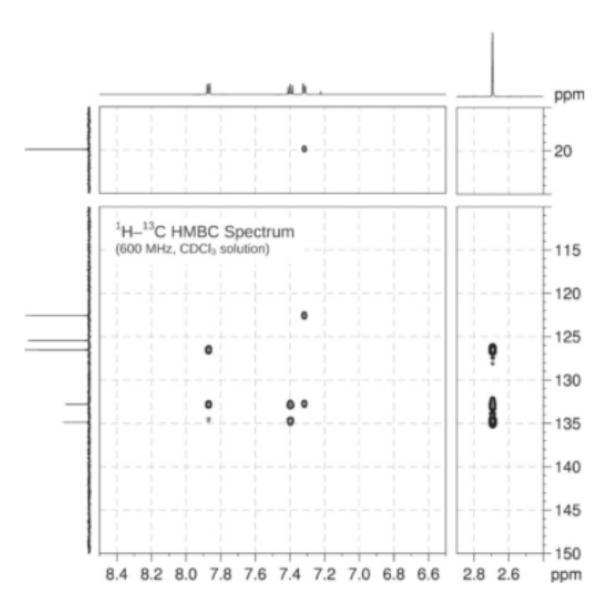


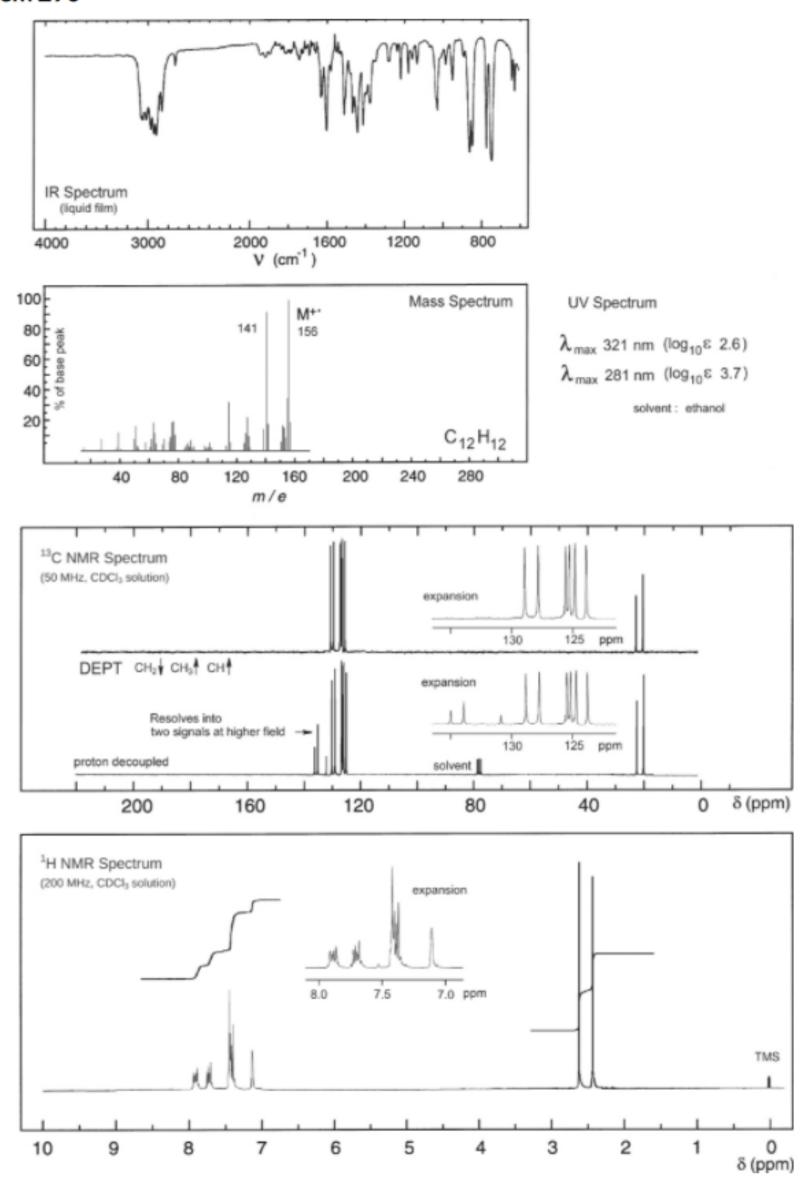


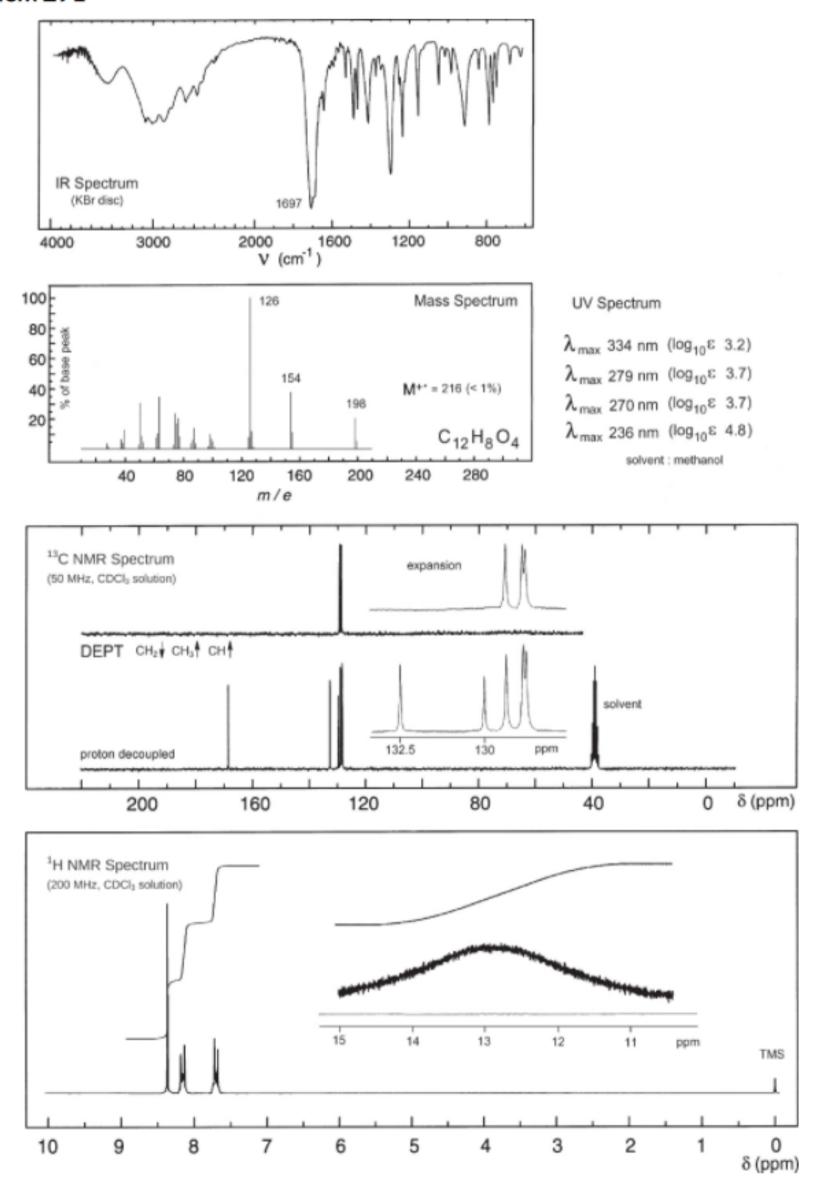


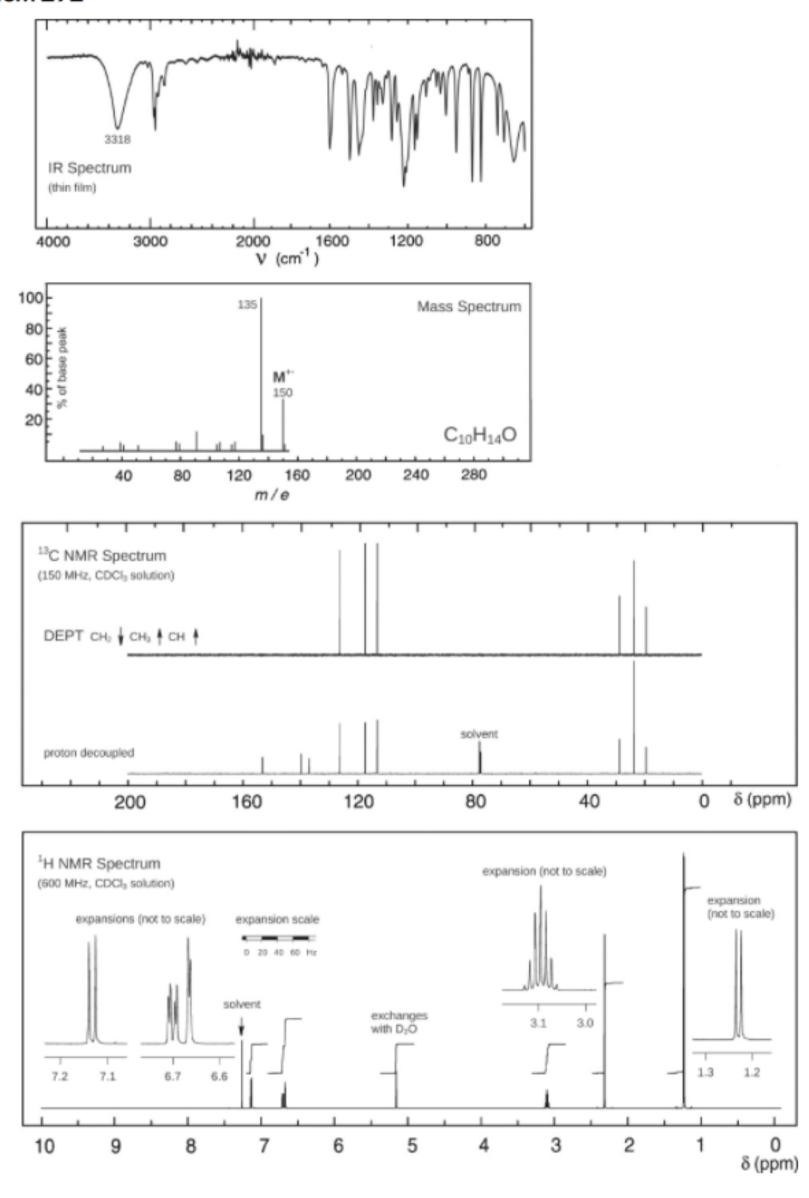


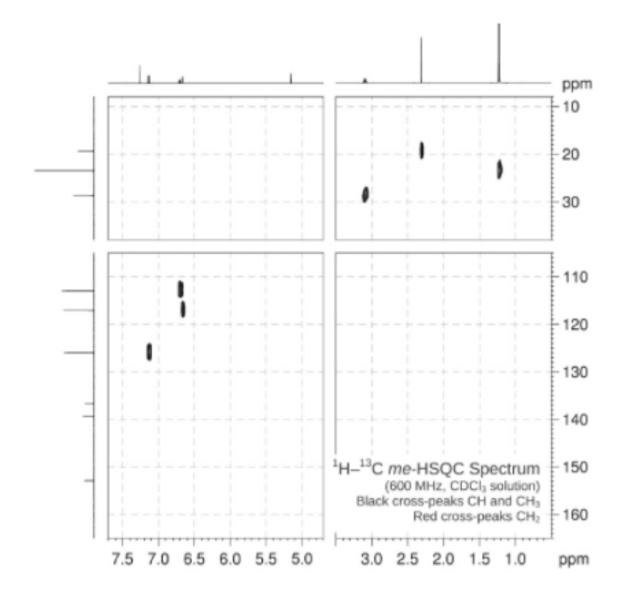


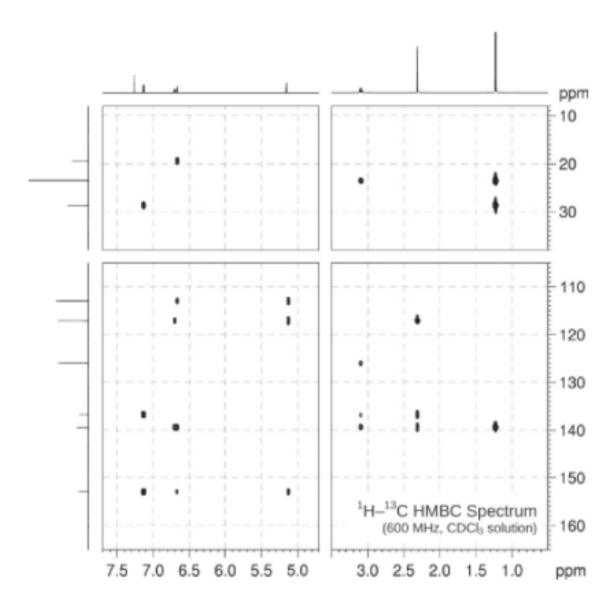


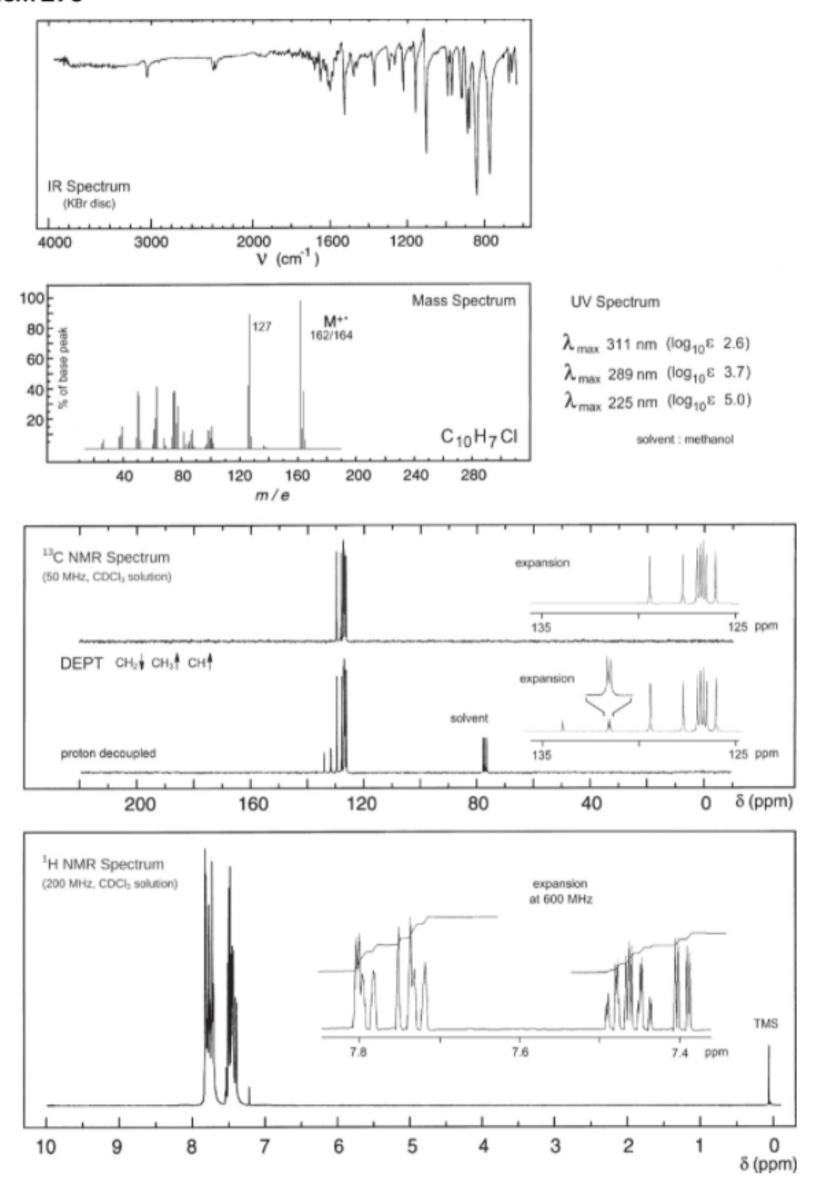


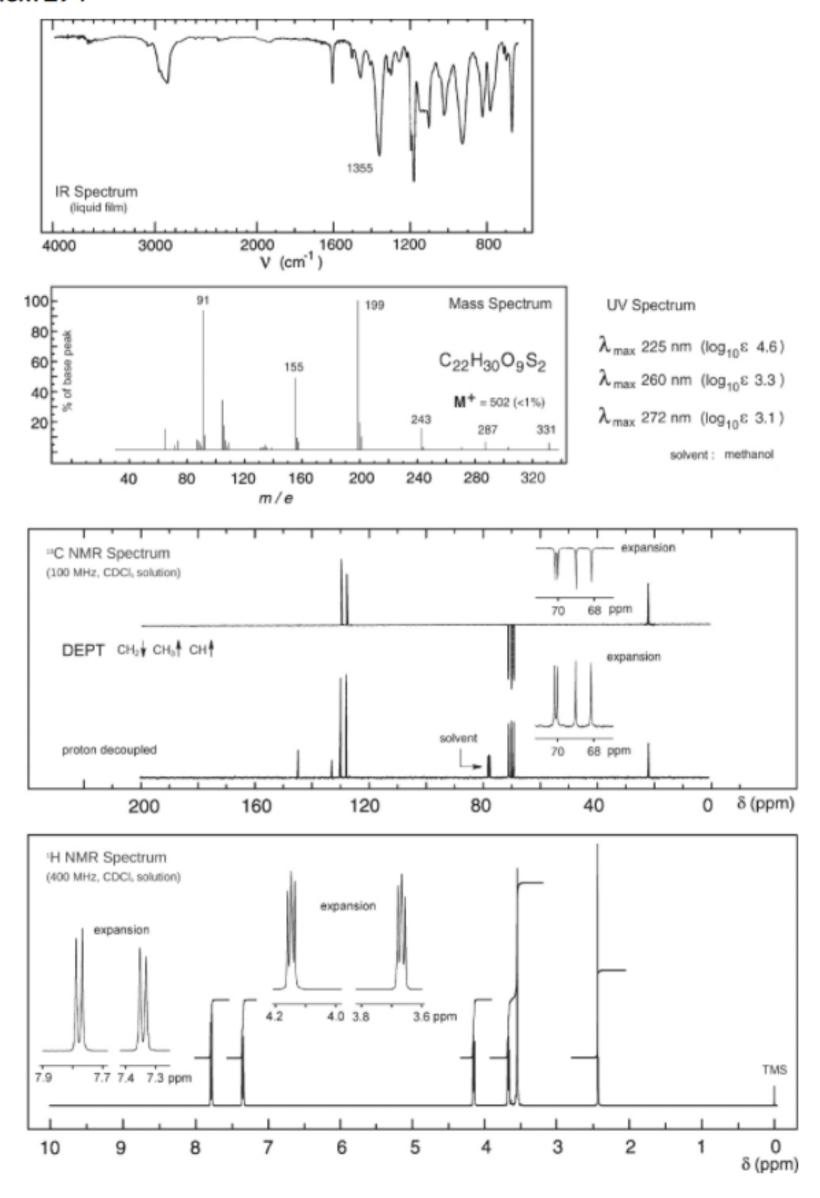












An organic compound has the molecular formula  $C_{10}H_{14}$ . Identify the compound using the spectroscopic data given below.

 $v_{\text{max}}$  (liquid film): no significant features in the infrared spectrum.  $\lambda_{\text{max}}$ : 265 (log  $\epsilon$ : 2.3) nm.  $^{1}\text{H}$  NMR (CDCl3 solution):  $\delta$  7.1, m, 5H; 2.5, apparent sextet, J7 Hz, 1H; 1.6, apparent quintet, J7 Hz, 2H; 1.22, d, J7 Hz, 3H; 0.81, t, J7 Hz, 3H ppm.  $^{13}\text{C}\{^{1}\text{H}\}$  NMR (CDCl3 solution):  $\delta$  148.4 (C), 129.3, 127.9, 126.1, 42.3 (CH), 31.7 (CH<sub>2</sub>), 22.2, 12.2 (CH<sub>3</sub>) ppm. Mass spectrum: m/e 134 (M<sup>+-</sup>, 20), 119(8), 105(100), 77(10).

#### Problem 296

An organic compound has the molecular formula  $C_{12}H_{17}NO$ . Identify the compound using the spectroscopic data given below.

 $v_{\text{max}}$  (KBr disc): 3296m, 1642s cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub> solution):  $\delta$  7.23–7.42, m, 5H; 5.74, br s, exch. D<sub>2</sub>O, 1H; 5.14, q, J6.7 Hz, 1H; 2.15, t, J7.1 Hz, 2H; 1.66, m, 2H; 1.48, d, J6.7 Hz, 3H; 0.93, t, J7.3 Hz, 3H ppm. <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub> solution):  $\delta$  172.0 (C), 143.3 (C), 128.6, 127.3, 126.1, 48.5 (CH), 38.8 (CH<sub>2</sub>), 21.7 (CH<sub>3</sub>), 19.1 (CH<sub>2</sub>), 13.7 (CH<sub>3</sub>) ppm. Mass spectrum: m/e 191(M<sup>+-</sup>, 40), 120(33), 105(58), 104(100), 77(18), 43(46).

### Problem 297

An organic compound has the molecular formula  $C_{16}H_{30}O_4$ . Identify the compound using the spectroscopic data given below.

 $v_{\text{max}}$  (CHCl<sub>3</sub> solution): 1733 cm<sup>-1</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub> solution):  $\delta$  4.19, q, J7.2 Hz, 4H; 3.35, s, 1H; 1.20, t, J7.2 Hz, 6H; 1.25–1.29, m, 10H; 1.10, s, 6H; 0.88, t, J6.8 Hz, 3H ppm. <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub> solution):  $\delta$  168.5 (C), 60.8 (CH<sub>2</sub>), 59.6 (CH), 41.1 (CH<sub>2</sub>), 36.3 (C), 31.8 (CH<sub>2</sub>), 29.9 (CH<sub>2</sub>), 25.1 (CH<sub>3</sub>), 23.6 (CH<sub>2</sub>), 22.6 (CH<sub>2</sub>), 14.1 (CH<sub>3</sub>), 14.0 (CH<sub>3</sub>) ppm. Mass spectrum: m/e 286 (M<sup>+-</sup>, 70), 241(25), 201(38), 160(100), 115(53).

### Problem 298

An organic compound has the molecular formula  $C_8H_{13}NO_3$ . Identify the compound using the spectroscopic data given below.

 $v_{\text{max}}$  (nujol mull): 1690–1725s cm<sup>-1</sup>.  $\lambda_{\text{max}}$ : no significant features in the ultraviolet spectrum. <sup>1</sup>H NMR (CDCl<sub>3</sub> solution):  $\delta$  4.25, q, J 6.7 Hz, 2H; 3.8, t, J 7 Hz, 4H; 2.45, t, J 7 Hz, 4H; 1.3, t, J 6.7 Hz, 3H ppm. <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub> solution):  $\delta$  207 (C); 155 (C); 62 (CH<sub>2</sub>); 43 (CH<sub>2</sub>); 41 (CH<sub>2</sub>); 15 (CH<sub>3</sub>) ppm. Mass spectrum: m/e 171 (M<sup>+-</sup>,15), 142(25), 56(68), 42(100).

### Problem 299

An organic compound has the molecular formula  $C_{12}H_{13}NO_3$ . Identify the compound using the spectroscopic data given below.

 $v_{max}$  (nujol mull): 3338, 1715, 1592 cm<sup>-1</sup>.  $\lambda_{max}$ : 254 (log  $\epsilon$ : 4.3) nm. <sup>1</sup>H NMR (DMSO-d<sub>6</sub> solution):  $\delta$  12.7, broad s, exch. D<sub>2</sub>O, 1H; 8.42, d, J6.1 Hz, 1H; 7.45-7.25, m, 5H; 6.63 dd, J15.9, 1.2 Hz, 1H; 6.30, dd, J15.9, 6.7 Hz, 1H; 4.93 ddd, J6.7, 6.1, 1.2 Hz, 1H; 1.90, s, 3H ppm. <sup>13</sup>C{<sup>1</sup>H} NMR (DMSO-d<sub>6</sub> solution):  $\delta$  171.9 (C), 169.0 (C), 135.9

(C), 131.7 (CH), 128.7 (CH), 127.9 (CH), 126.3 (CH), 124.6 (CH), 54.4 (CH), 22.3 (CH<sub>3</sub>) ppm. Mass spectrum: *m/e* 219 (M<sup>+-</sup>, 25), 175(10), 132(100), 131(94), 103(35), 77(46), 43(83).

#### Problem 300

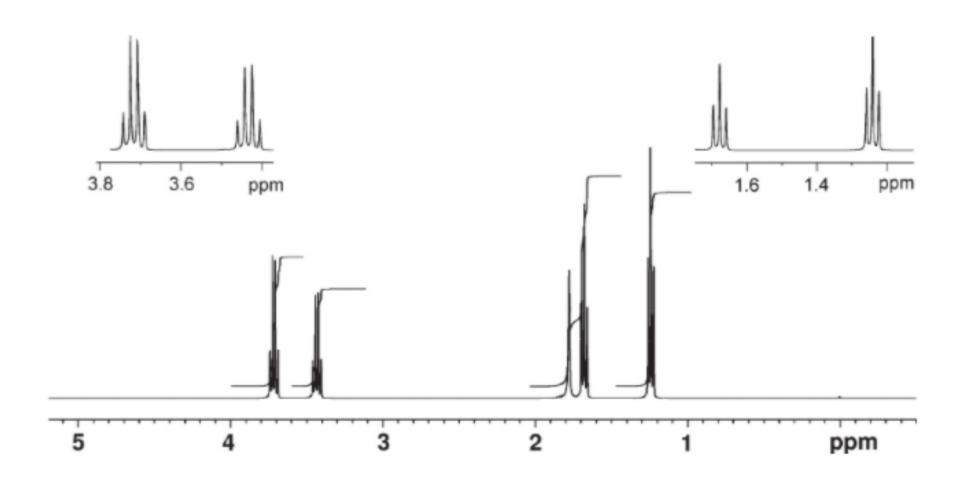
An organic compound has the molecular formula  $C_{13}H_{16}O_4$ . Identify the compound using the spectroscopic data given below.

 $v_{\text{max}}$  (KBr disc): 3479s, 1670s cm<sup>-1</sup>.  $I_{\text{max}}$ : 250 (log  $\epsilon$ : 4) nm. <sup>1</sup>H NMR (CDCI<sub>3</sub> solution): 1.40, s, 3H; 2.00, bs exch., 1H; 2.71, d, J15.7 Hz, 1H; 2.77, d, J15.7 Hz, 1H; 2.91, d, J17.8 Hz, 1H; 3.14, d, J17.8 Hz, 1H; 3.79, s, 3H; 3.84, s, 3H; 6.80, d, J9.0 Hz, 1H; 6.98, d, J9.0 Hz, 1H ppm. <sup>13</sup>C{<sup>1</sup>H} NMR (CDCI<sub>3</sub> solution):  $\delta$  196.0 (C); 154.0 (C); 157.7 (C); 131.5 (C); 122.0 (C); 116.0 (CH); 110.5 (CH); 70.8 (C); 56.3 (CH<sub>3</sub>); 55.9 (CH<sub>3</sub>); 54.1 (CH<sub>2</sub>); 37.7 (CH<sub>2</sub>); 29.2 (CH<sub>3</sub>) ppm. Mass spectrum: m/e 236 (M<sup>+-</sup>, 87), 218(33), 178(100), 163(65).

### Problem 301

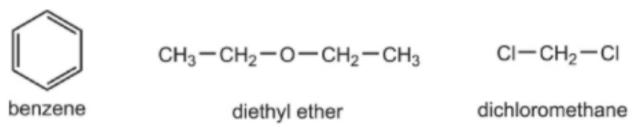
A 400 MHz  $^{1}$ H NMR spectrum of a mixture of ethanol (C $^{2}$ H $_{6}$ O)  $\delta$  1.24,  $\delta$  1.78,  $\delta$  3.72 and bromoethane (C $^{2}$ H $_{5}$ Br)  $\delta$  1.68 and  $\delta$  3.44 is given below. Estimate the relative proportions (mole %) of the 2 components from the integrals in the spectrum.

<sup>1</sup>H NMR Spectrum (400 MHz, CDCl<sub>3</sub> solution)

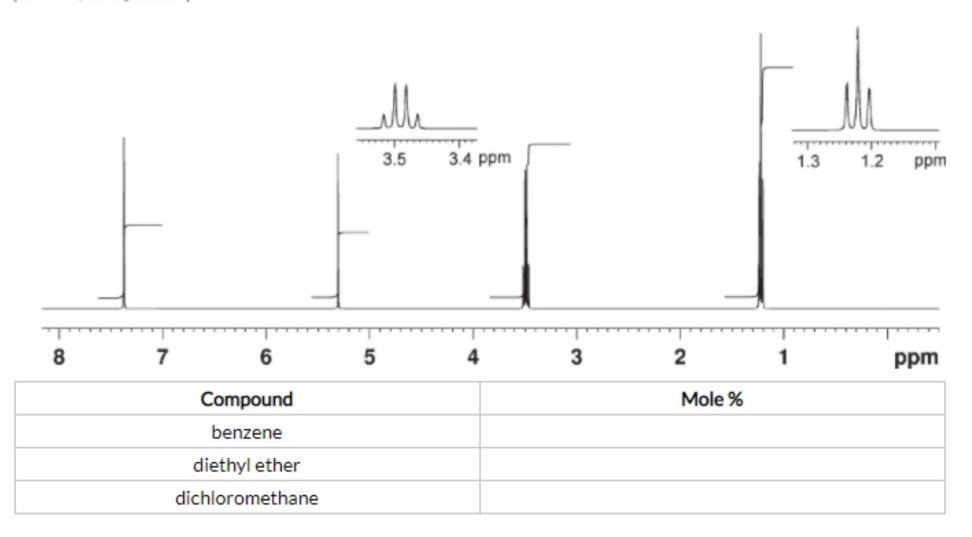


Compound	Mole %
ethanol	
bromoethane	

A 400 MHz  $^{1}$ H NMR spectrum of a mixture of common organic solvents consisting of benzene ( $C_{6}H_{6}$ )  $\delta$  7.37; diethyl ether ( $C_{4}H_{10}O$ )  $\delta$  3.49 and  $\delta$  1.22; and dichloromethane ( $CH_{2}CI_{2}$ )  $\delta$  5.30 is given below. Estimate the relative proportions (mole %) of the 3 components from the integrals in the spectrum.

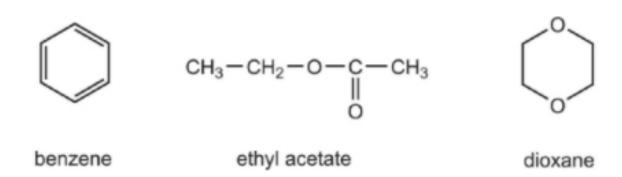


<sup>1</sup>H NMR Spectrum (400 MHz, CDCl<sub>3</sub> solution)

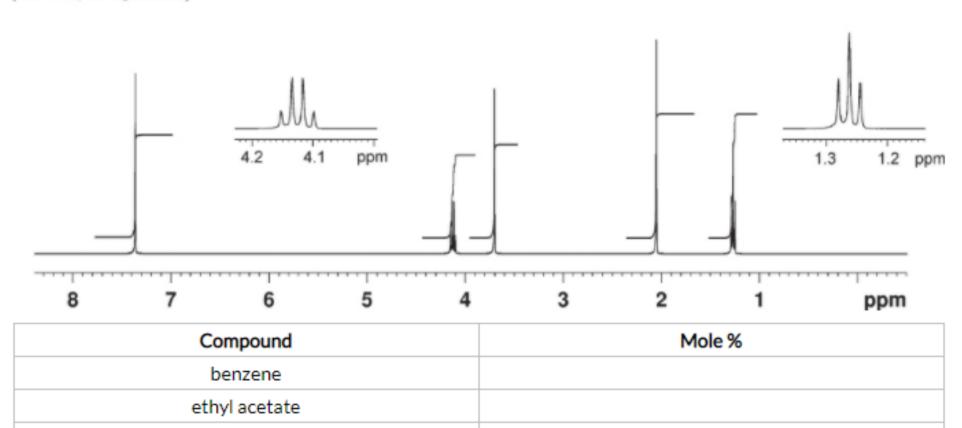


### Problem 303

A 400 MHz  $^{1}$ H NMR spectrum of a mixture of benzene ( $C_{6}H_{6}$ )  $\delta$  7.37, ethyl acetate ( $C_{4}H_{8}O_{2}$ )  $\delta$  4.13,  $\delta$  2.05,  $\delta$  1.26 and dioxane ( $C_{4}H_{8}O_{2}$ )  $\delta$  3.70 is given below. Estimate the relative proportions (mole %) of the 3 components from the integrals in the spectrum.



<sup>1</sup>H NMR Spectrum (400 MHz, CDCl<sub>3</sub> solution)

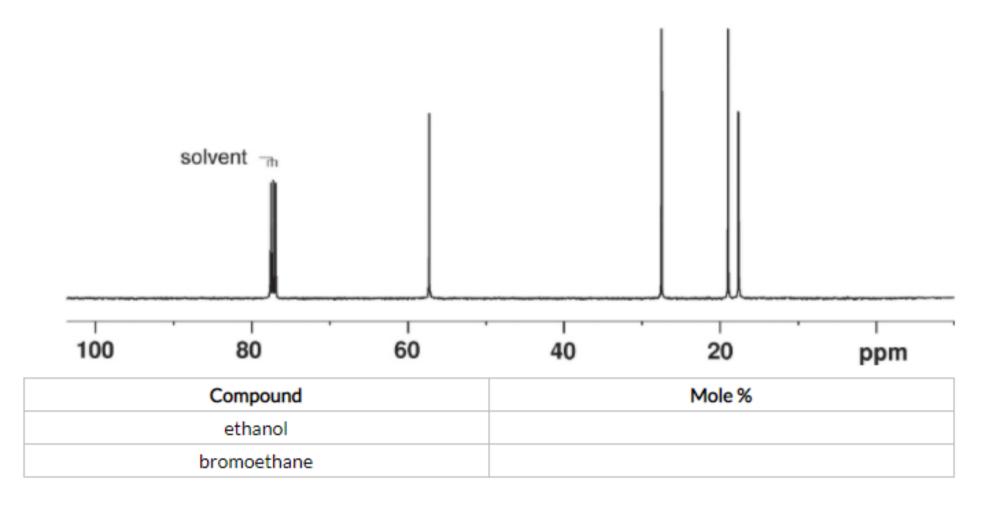


### Problem 304

dioxane

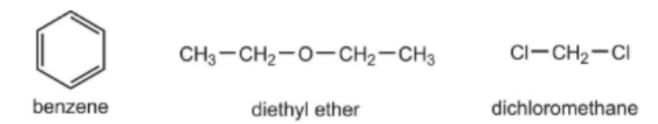
A 100 MHz  $^{13}$ C NMR spectrum of a mixture of ethanol ( $^{2}$ H<sub>6</sub>O)  $\delta$  18.3 ( $^{2}$ CH<sub>3</sub>),  $\delta$  57.8 ( $^{2}$ CH<sub>2</sub>) and bromoethane ( $^{2}$ H<sub>5</sub>Br)  $\delta$  19.5 ( $^{2}$ CH<sub>3</sub>) and  $\delta$  27.9 ( $^{2}$ CH<sub>2</sub>) in CDCl<sub>3</sub> solution is given below. The spectrum was recorded with a long relaxation delay (300 seconds) between acquisitions and with the NOE suppressed. Estimate the relative proportions (mole %) of the 2 components from the peak intensities in the spectrum.

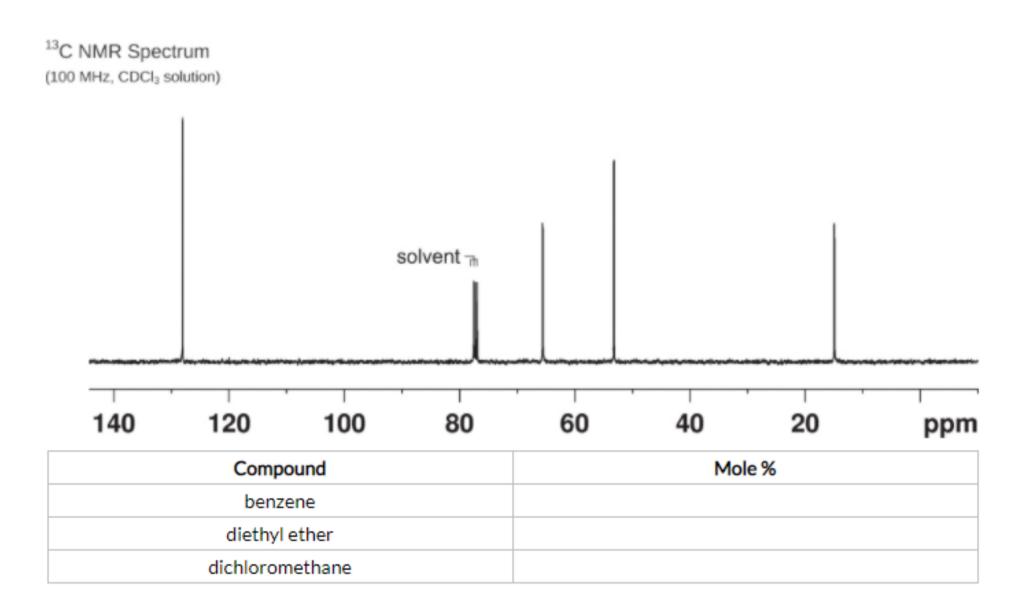
<sup>13</sup>C NMR Spectrum (100 MHz, CDCl<sub>3</sub> solution)



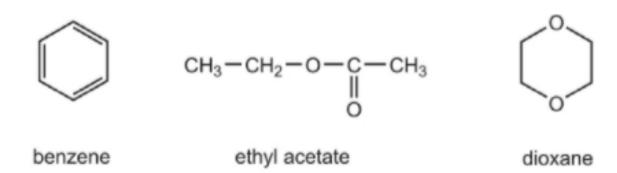
# Problem 305

A 100 MHz  $^{13}$ C NMR spectrum of a mixture of benzene ( $C_6H_6$ )  $\delta$  128.7 (CH), diethyl ether ( $C_4H_{10}O$ )  $\delta$  67.4 (CH<sub>2</sub>) and  $\delta$  17.1 (CH<sub>3</sub>) and dichloromethane ( $C_4C_1C_2$ )  $\delta$  53.7 in CDCl<sub>3</sub> solution is given below. The spectrum was recorded with a long relaxation delay (300 seconds) between acquisitions and with the NOE suppressed. Estimate the relative proportions (mole %) of the 3 components from the peak intensities in the spectrum.

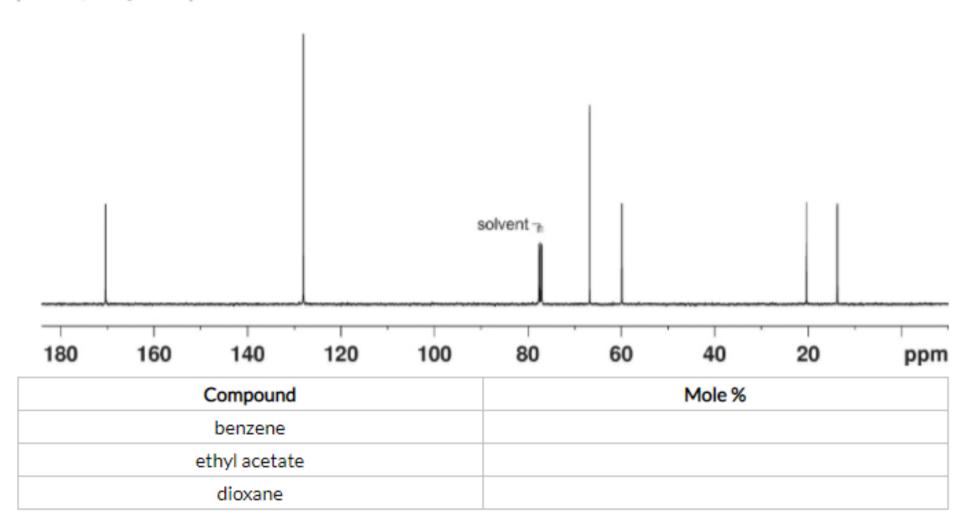




A 100 MHz  $^{13}$ C NMR spectrum of a mixture of benzene ( $C_6H_6$ )  $\delta$  128.7 (CH), ethyl acetate ( $C_3CH_2OCOCH_3$ )  $\delta$  170.4 (C=O),  $\delta$  60.1 (CH<sub>2</sub>),  $\delta$  20.1 (CH<sub>3</sub>),  $\delta$  14.3 (CH<sub>3</sub>) and dioxane ( $C_4H_8O_2$ )  $\delta$  66.3 (CH<sub>2</sub>) in CDCl<sub>3</sub> solution is given below. The spectrum was recorded with a long relaxation delay (300 seconds) between acquisitions and with the NOE suppressed. Estimate the relative proportions (mole %) of the 3 components from the peak intensities in the spectrum.



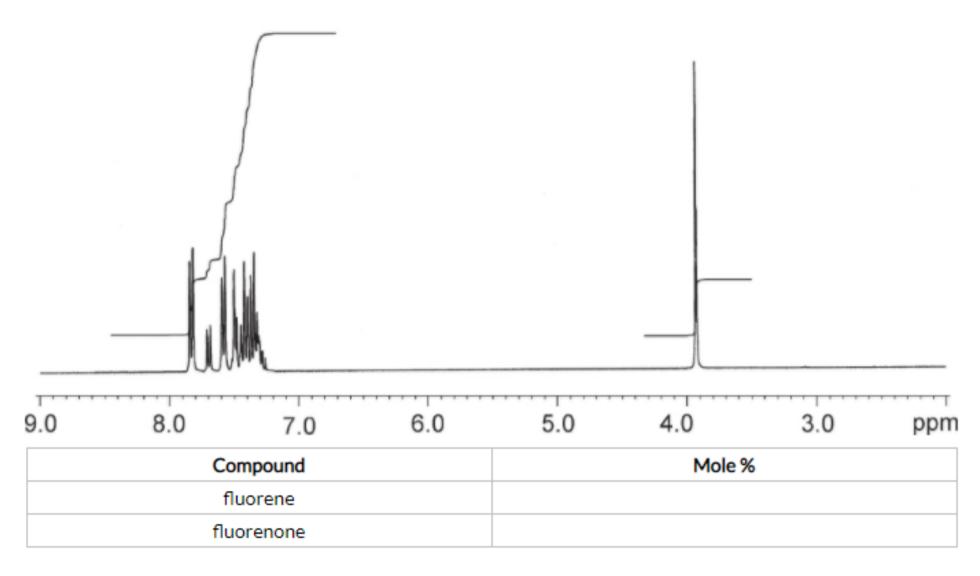
<sup>13</sup>C NMR Spectrum (100 MHz, CDCl<sub>3</sub> solution)



## Problem 307

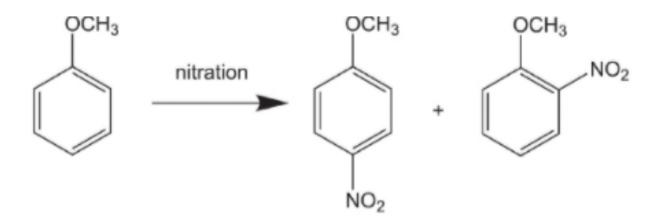
Oxidation of fluorene ( $C_{13}H_{10}$ ) with chromic acid gives fluorenone ( $C_{13}H_8O$ ). If the reaction does not go to completion, then a mixture of the starting material and the product is usually obtained. The  $^1H$  NMR spectrum below is from a partially oxidised sample of fluorene so it contains a mixture of fluorene and fluorenone. Determine the relative amounts (mole %) of fluorene and fluorenone in the mixture.

<sup>1</sup>H NMR Spectrum (300 MHz, CDCl<sub>3</sub> solution)

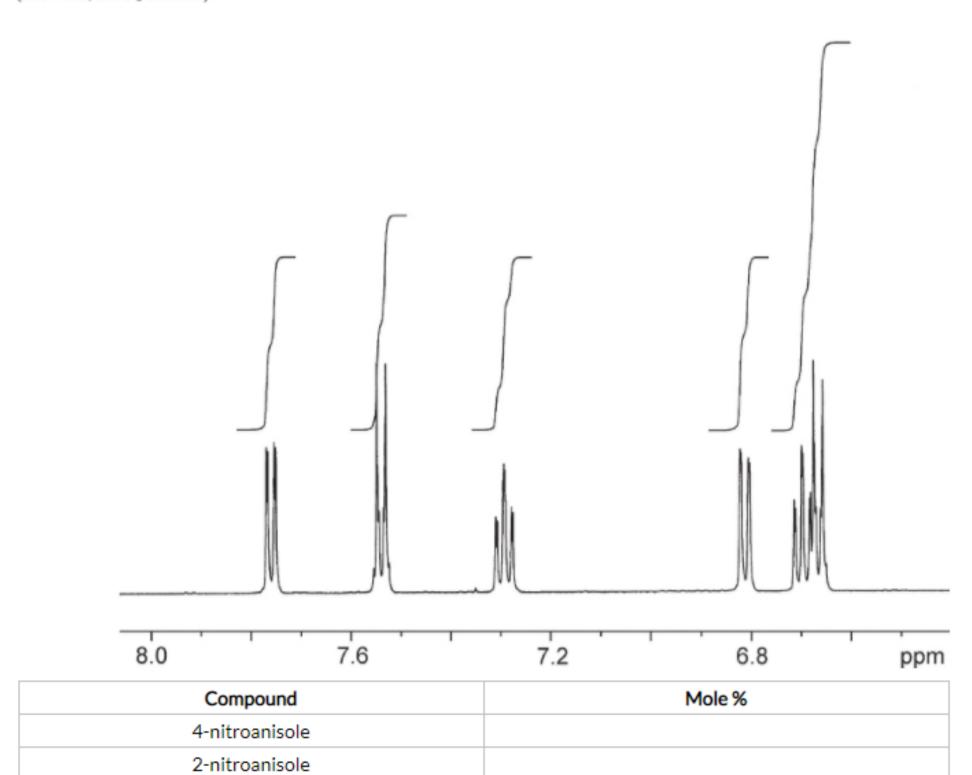


# Problem 308

Careful nitration of anisole ( $CH_3OC_6H_5$ ) with a new nitrating reagent gives a mixture of 4-nitroanisole and 2-nitroanisole. The section of the  $^1H$  NMR spectrum below is from the aromatic region of the crude reaction mixture which is a mixture of the 4- and 2-nitroanisoles. Determine the relative amounts of the two products in the reaction mixture from the integrals in the spectrum.

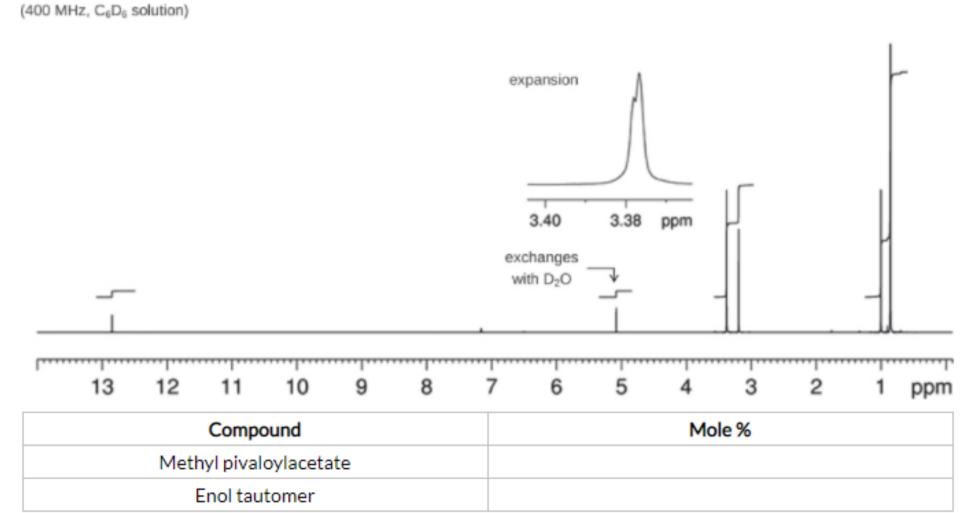


<sup>1</sup>H NMR Spectrum (500 MHz, CDCl<sub>3</sub> solution)



A 400 MHz  $^1$ H NMR spectrum of a mixture of methyl pivaloylacetate ( $C_8H_{14}O_3$ )  $\delta$  3.377,  $\delta$  3.19,  $\delta$  0.86 and its enol tautomer  $\delta$  12.84,  $\delta$  5.08,  $\delta$  3.378,  $\delta$  1.00 is given below. Estimate the relative proportions (mole %) of the 2 components from the integrals in the spectrum.

<sup>1</sup>H NMR Spectrum



# **INDEX**

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